### Introduction

- Dictionary learning (DL) methods have been successfully extended to multi-subject fMRI data analysis using spatially or temporally concatenated datasets.
- Spatial concatenation allows for the extraction of group-level temporal dynamics and sub-specific spatial maps.
- Temporal concatenation lets us extract sub-specific dynamics and group-level spatial maps.
- Here we propose a hybrid dictionary learning framework which can extract both group and subspecific dynamics and spatial maps simultaneously which are of particular interest in task-based fMRI analysis.

### Background

Given a set of signals  $\mathbf{Y} = [\mathbf{y}_1, \mathbf{y}_2, ..., \mathbf{y}_N]$ , DL methods aims at finding a linear representation for the set of signals  $\mathbf{Y}$  by solving

$$\{\mathbf{D}, \mathbf{X}\} = \arg\min_{\mathbf{D}, \mathbf{X}} \parallel \mathbf{Y} - \mathbf{D}\mathbf{X} \parallel_F^2$$

With an overcomplete  $\mathbf{D}$ , this problem is ill-posed. Extra constraints are imposed on both  $\mathbf{D}$  and  $\mathbf{X}$  to solve this problem, which are

- Columns of  $\mathbf{X} \in \mathbb{R}^{K \times N}$  should be sparse.
- Columns of  $\mathbf{D} \in \mathbb{R}^{n \times K}$  should have unit  $\ell_2$  norm.

The resulting dictionary  $\mathbf{D}$  contains K dense temporal dynamics and the sparse matrix  $\mathbf{X}$  has the respective K spatial maps.

Multi-subject extensions of DL methods use spatially concatenated datasets  $\mathbf{Y}_{sp} = [\mathbf{Y}_1, \mathbf{Y}_2, \dots, \mathbf{Y}_p]$  leading to group-level dynamics or temporally concatenated datasets  $\mathbf{Y}_{te} = [\mathbf{Y}_1^{\top}, \mathbf{Y}_2^{\top}, \dots, \mathbf{Y}_p^{\top}]^{\top}$  which generates group-level spatial maps. Here p denotes the number of subjects.

# Dictionary learning algorithm for Multi-Subject fMRI analysis via temporal and spatial concatenation

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### The Proposed Algorithm

Goal of the algorithm is to represent each voxels' time course from  $\mathbf{Y}_i$  as a linear combination of a few atoms from  $\mathbf{D}_0$  (shared) and  $\mathbf{D}_i$  (sub-specific) dictionaries such that  $\forall i = 1, 2, \cdots, p$ 

$$\mathbf{Y}_{i} \simeq \tilde{\mathbf{D}}_{i} \, \tilde{\mathbf{X}}_{i} = \begin{bmatrix} \mathbf{D}_{0}, \mathbf{D}_{i} \end{bmatrix} \begin{bmatrix} \mathbf{X}_{0} \\ \mathbf{X}_{i} \end{bmatrix} = \mathbf{D}_{0} \mathbf{X}_{0} + \mathbf{D}_{i} \mathbf{X}_{i} \quad (1)$$

To achieve this goal, we solve the following minimization problem:

$$\min_{\tilde{\mathbf{D}}_{i},\tilde{\mathbf{X}}_{i}} \sum_{i=1}^{p} \left\{ \frac{1}{2} \| \mathbf{Y}_{i} - \mathbf{D}_{0} \mathbf{X}_{0} - \mathbf{D}_{i} \mathbf{X}_{i} \|_{F}^{2} + \frac{\eta}{2} \| \mathbf{D}_{i}^{\top} \mathbf{A}_{i} \|_{F}^{2} \right\} \\
\text{s.t.} \| \mathbf{x}_{i}^{m} \|_{0} \leq s_{i}, \| \mathbf{x}_{0}^{m} \|_{0} \leq s_{0}, \| \mathbf{d}_{k} \|_{2} = 1 \\
\forall \quad i = 1, 2, \dots, p \text{ and } m = 1, 2, \dots, N$$
(2)

Here  $\mathbf{A}_i = [\mathbf{D}_0, \mathbf{D}_1, \dots, \mathbf{D}_{i-1}, \mathbf{D}_{i+1}, \dots, \mathbf{D}_p]$  is the concatenation of all except currently updating dictionary. We propose to solve 2 in an alternating optimization fashion, i.e. solving for one variable with others fixed.

**1**. Sparse Coding: With dictionaries  $(\mathbf{D}_0, \mathbf{D}_i)$  and sub-specific sparse codes  $\mathbf{X}_i$  fixed, we first update  $\mathbf{X}_0$ , by minimizing

$$\hat{\mathbf{X}}_{0} = \min_{\mathbf{X}_{0}} \frac{1}{2} \| \mathbf{E}_{te} - \mathbf{D}_{te} \mathbf{X}_{0} \|_{F}^{2}; \text{ s.t.} \| \mathbf{x}_{0}^{m} \|_{0} \leq s_{0}(3)$$
  
where  $\mathbf{E}_{te} = \frac{1}{\sqrt{p}} \left[ \mathbf{E}_{1}^{\top}, \mathbf{E}_{2}^{\top}, \dots, \mathbf{E}_{p}^{\top} \right]^{\top}, \mathbf{E}_{i} = \mathbf{Y}_{i} - \mathbf{D}_{i} \mathbf{X}_{i}, \text{ and } \mathbf{D}_{te} \in \mathbb{R}^{np \times K_{0}}.$  Similarly, we find  $\mathbf{X}_{i}$   
by minimizing

$$\hat{\mathbf{X}}_i = \min_{\mathbf{X}_i} \frac{1}{2} \|\mathbf{B}_i - \mathbf{D}_i \mathbf{X}_i\|_F^2; \text{ s.t. } \|\mathbf{x}_i^m\|_0 \le s_i \quad (4)$$
  
where  $\mathbf{B}_i = \mathbf{Y}_i - \mathbf{D}_0 \mathbf{X}_0.$ 

**2.** Dictionary Updates: To solve for  $\mathbf{D}_0$ , we solve:

$$\hat{\mathbf{D}}_{0} = \min_{\mathbf{D}_{0}} \frac{1}{2} \|\mathbf{E}_{sp} - \mathbf{D}_{0}\mathbf{X}_{sp}\|_{F}^{2} + \frac{\eta}{2} \|\mathbf{D}_{0}^{\top}\mathbf{A}_{0}\|_{F}^{2} \quad (5)$$
where  $\mathbf{E}_{sp} = [\mathbf{E}_{1}, \mathbf{E}_{2}, \dots, \mathbf{E}_{p}], \ \mathbf{E}_{i} = \mathbf{Y}_{i} - \mathbf{D}_{i}\mathbf{X}_{i}.$ 
Similarly, we find  $\mathbf{D}_{i}$  by solving:

 $\mathbf{\hat{D}}_{i} = \min_{\mathbf{D}_{i}} \frac{1}{2} \|\mathbf{B}_{i} - \mathbf{D}_{i}\mathbf{X}_{i}\|_{F}^{2} + \frac{\eta}{2} \|\mathbf{D}_{i}^{\top}\mathbf{A}_{i}\|_{F}^{2} \quad (6)$ where  $\mathbf{B}_i = \mathbf{Y}_i - \mathbf{D}_0 \mathbf{X}_0$ .

### Algorithm Overview

**Input:** fMRI datasets  $\mathbf{Y}_i, K_0, K_i, s_0, s_i, \eta$ **Initialization:** Initialize  $\mathbf{D}_0$ ,  $\mathbf{D}_i$ ,  $\mathbf{X}_0$  and  $\mathbf{X}_i$ for t = 1: noIt do Fix  $\mathbf{D}_0$ ,  $\mathbf{D}_i$  and use OMP to solve (3) for  $\mathbf{X}_0$  and (4) for  $\mathbf{X}_i \forall i = 1, \dots, p$ . Fix  $\mathbf{X}_0$ ,  $\mathbf{X}_i$  and sequentially update  $\mathbf{D}_0$  by solving (5) and  $\mathbf{D}_i$  by solving (6)  $\forall i = 1, \ldots, p$ . Output:  $\mathbf{D}_0, \mathbf{X}_0, \mathbf{D}_i, \mathbf{X}_i$ 

### **Simulation Results**



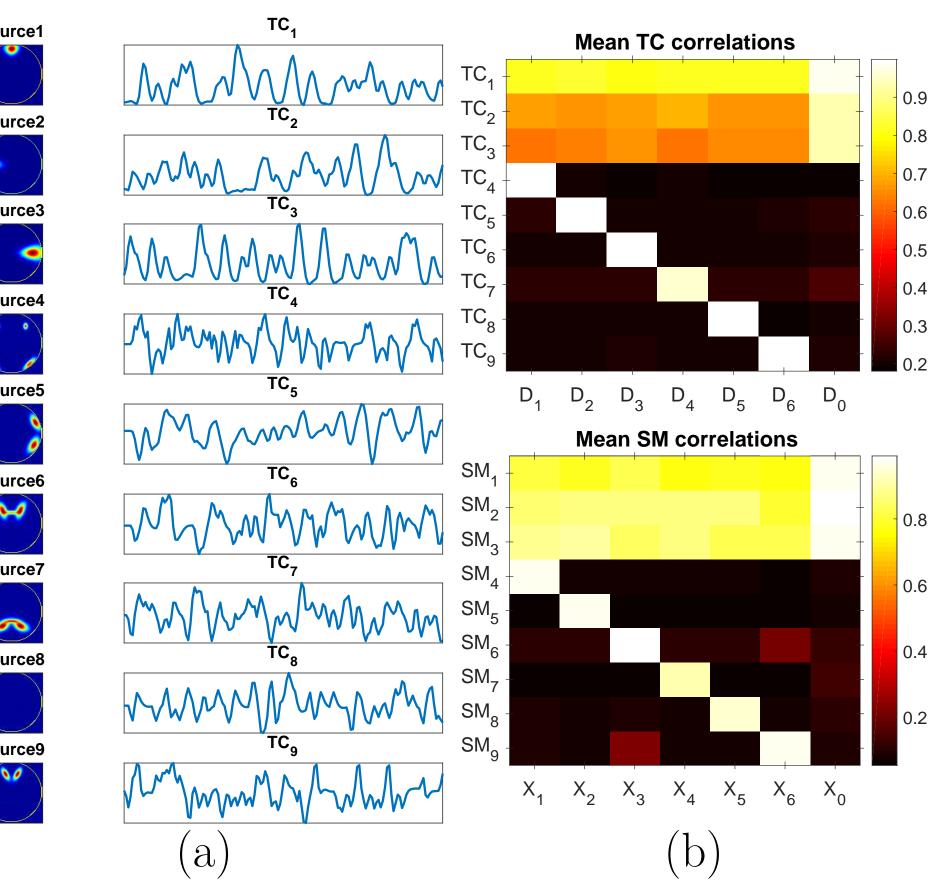


Figure 1: a) The simulated ground truth TC/SMs and their b) mean correlation coefficients w.r.t.  $\mathbf{D}_0, \mathbf{D}_i$  and  $\mathbf{X}_0, \mathbf{X}_i$  over 100 trials for SNR = 0 dB.

Table 1: Mean, median, and standard deviation of most correlated TCs and SMs w.r.t. GrTr over 100 trials.

SNR dB	Algo		TCs		SMs			
		Mean	Median	STD	Mean	Median	STD	
-10	Proposed	0.98	0.98	0.02	0.87	0.88	0.05	
	CODL	0.95	0.95	0.03	0.79	0.82	0.14	
15	Proposed	0.92	0.96	0.08	0.69	0.66	0.18	
-15	CODL	0.68	0.68	0.23	0.44	0.27	0.34	

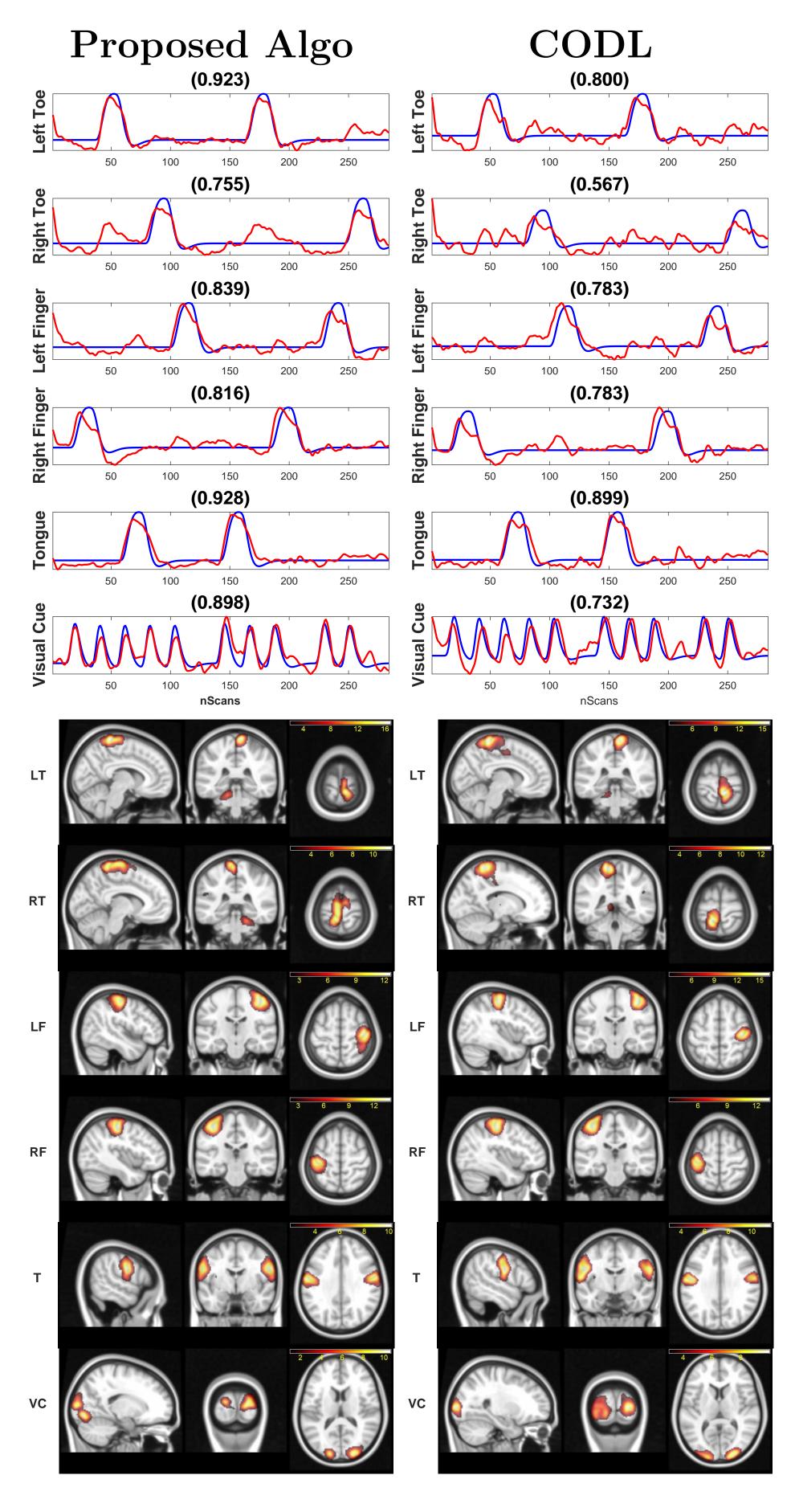


 
 Table 2: Correlation coefficients of most correlated spatial maps
 w.r.t. the RSN templates as recovered by proposed algorithm and CODL. RSN Propose CODL





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### **Real fMRI Results**

	1	2	3	4	5	6	7	8	9	10	Mean
sed	0.55	0.48	0.57	0.60	0.41	0.44	0.47	0.41	0.55	0.57	0.51
	0.72	0.71	0.43	0.47	0.31	0.34	0.36	0.31	0.49	0.37	0.45