# Introduction

### **Deep learning based face recognition**

- Deep Convolutional Neural Network (DCNN) significantly improved the performance of face recognition
- Face Recognition including verification and identification is open-set recognition problem
- Discrimination and Metric learning are widely used for representation&feature learning for open-set recognition
- Softmax with cross-entropy is now a main loss function which is powerful and robust for discrimination learning



Fig1:Pipeline of DCNN for face recognition

**Challenges of face recognition** 

- Most training dataset present imbalanced distribution
- Low quality face images( profile, occlusion, blurriness) is difficult to recognize
- Collecting training datasets is tedious and time-consuming

# Motivation

**Softmax with Cross-entropy** 

$$L_{S} = -\sum_{k}^{N} \sum_{i}^{c} I(y_{k} = i) log(\frac{e^{w_{i}x_{k}}}{\sum_{j}^{c} e^{w_{j}x_{k}}})$$

#### Statistical probability of each sample belonging to label

$$p_k^i = \frac{e^{w_i x_k}}{\sum_{j}^c e^{w_j x_k}}, y_k = i$$

- Low quality faces are prone to lower probability to its label for sparsity distribution
- Lower probabilities to some degree response the lower quality of the face images
- DCNN is able to learn more from low quality faces by relatively magnifying their loss



Fig2: $p_k^i$  of some examples in the training images set MS-1M[1]

# **KNOT MAGNIFY LOSS FOR FACE RECOGNITION** Qiang Rao, Bing Yu, Yun Yang, Bailan Feng Noah's Ark Laboratory, Huawei Technologies Co., Ltd

# **Proposed Method**

#### **Relatively magnify the loss of low quality images**

The proposed Knot Magnify(KM) loss is multiplying a factor  $\frac{1}{(\gamma p+1)^2}$  with softmax loss. As we can see, setting  $\gamma$  to a suitable value, the KM loss will suppress the loss of easy samples and magnify that of hard samples relatively; When  $\gamma = 0$ , the KM loss degenerates into softmax loss. Fig.3 shows that modifying the loss weight of each sample will have different impacts on the easy samples that have larger softmax output  $p_k^l$ and hard samples that have smaller  $p_k^J$ 

### **Knot Magnify Loss based on Softmax**

• The proposed KM loss definition

$$L_{k} = -\sum_{k}^{n} \frac{1}{(\gamma p_{k}^{i} + 1)^{2}} log(p_{k}^{i})$$

• The Component of KM Loss

$$K_{\gamma}(p_k^i) = \frac{1}{(\gamma p_k^i + 1)^2} log(p_k^i)$$

The KM loss adds different weight to each sample's loss during training, such that hard samples would have more effect on optimizing DCNNs. In other words, we assign larger weights to the rare hard samples and smaller weights to the mostly easy samples in terms of recognition loss

# **Theoretic Analysis**

#### **Normalized Loss**

We quantitatively analyzed the loss effect by considering its cumulative loss corresponding to softmax output  $p_k^i$  which ranges in [0,1].

• Definition of softmax loss and KM loss

$$L_{S}^{N} = \frac{\log(p_{k}^{i})}{\int_{0}^{1} \log(p_{k}^{i})} = \log(p_{k}^{i}) \qquad L_{K_{\gamma}}^{N} = \frac{K_{\gamma}(p_{k}^{i})}{\int_{0}^{1} K_{\gamma}(p_{k}^{i})} = \frac{-\gamma \log(p_{k}^{i})}{\log(\gamma+1)(\gamma p_{k}^{i}+1)^{2}}$$

Analysis of factor  $\gamma$ 

• Ratio of normalized KM loss over normalized softmax loss

$$R(\gamma) = \frac{L_{K_{\gamma}}}{L_{S}^{N}} = \frac{-\gamma}{\log(\gamma+1)(\gamma p_{k}^{i}+1)^{2}}$$

We expect  $R_{\gamma}(p) \ge 1$  when  $p \ge p_c$  and  $R_{\gamma}(p) \le$  when  $p \le p_c$ . Considering  $\gamma > 0, p > 0$ , letting  $R_{\gamma}(p) = 1$ , we get the critical point:

$$p_{c}(\gamma) = \sqrt{\frac{1}{\gamma log(1+\gamma)}} - \frac{1}{\gamma}$$

γ	0.1	1	2	4	6	8
$p_c(\gamma)$	0.244	0.202	0.175	0.145	0.126	0.114



Fig3:Illustration of Knot Magnify Loss

### **Face verification and identification performance**

- The performance of our proposed method is compared with a serial of methods in both verification and identification
- KM loss outperforms softmax a large margin in face verification and identification on LFW data
- KM loss combined with center loss outperforms a serial of methods both on LFW and CFP data

### Tab3:Verification accuracy on CFP

Data	Loss	
	softmax	
CFP-FF	KM loss	
	KM+cent	
	softmax	
CFP-FP	KM loss	
	KM+cent	

- the effect of rare hard samples.
- challenging CFP dataset.

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## Results

#### Tab1:Verification accuracy on LFW

Methods	Data	#loss	#Net	mAcc(%)
DeepFace[2]	4M	2	3	97.35
VGGFace[3]	2.6M	1	2	98.95
Facenet[4]	200M	1	1	99.63
DeepID2[5]	300k	2	25	99.47
Center Loss[6]	700k	2	1	99.28
Sphereface[7]	500k	1	1	99.42
softmax	3.7M	1	1	99.10
KM loss	3.7M	1	1	99.31
Center+KM loss	3.7M	2	1	99.53

mAcc(%) 99.19 99.43 ter loss 99.46 91.27 91.71 ter loss 93.39

Tab2:Rank-1 identification accuracy on LFW dataset

Methods	#Net	Protocol	Rank1(%)
DeepFace[2]	7	unrestricted	97.35
Web-Scale[8]	4	unrestricted	98.95
DeepID2[5]	25	unrestricted	99.63
VGGFace[3]	1	unsupervised	99.47
Center Loss[6]	1	unsupervised	99.28
softmax	1	unsupervised	99.10
KM loss	1	unsupervised	99.31
<b>Center+KM loss</b>	1	unsupervised	99.53

# Conclusion

To address the problem of lacking learning ability from hard samples, we modified the widely adopted softmax loss by proposing KM loss which assigns weights to training samples according to its softmax output in order to suppress the influence of easy samples and magnify

Our approach is simple and easy to implement and can be easily combined with other auxiliary losses which benefit for getting a more robust model

• We demonstrated our method's effectiveness on the well-known LFW dataset and the

### Reference

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