## Towards Robust Visual Transformer Networks via K-Sparse Attention IEEE ICASSP 2022 Paper #4604

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# Deep Learning Architectures [2]

### Strengths

### Capable of Feature Engineering

- Unstructured data accepted
- Self-supervised Efficiency
- Multimodality

### Robustness

#### Challenges

- Data Hunger
- Loosely Interpretable
- Low Robustness
- Computational Complexity





#### Figure: Sample Adversarial attack [1]

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### Convolution vs. Attention







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## K-Sparse Attention Justification





#### Justifications

- Improve accuracy by blocking the propagation of irrelevant information
- Improve robustness via blocking back-propagation through irrelevant paths

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## Vision Transformers (ViT) [4]



Figure: Visual Transformer Architecture (Photo from [4])

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## K-Sparse Attention Formulation

#### Basic Constrained Formulation

$$\mathcal{P}: \min_{\mathbf{p}} \sum_{j=1}^{N} D(\mathbf{y}_{j}, \widehat{\mathbf{y}}_{j}) \ w.r.t \ \|\mathbf{w}_{i,l}^{j}\|_{0} \leq K_{i,l}^{j}, \begin{cases} 0 \leq i \leq l_{l} \\ l \in \mathcal{S} \end{cases}$$

Vector of transformer parameters

where:

• p

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- N, j
- **y**<sub>j</sub>, **ŷ**<sub>j</sub>
- i, l
- $\mathbf{w}_{i,l}^{j}, K_{i,l}^{j}$
- Number of training samples, Training set index
  Network and target output for *j*-th sample
  - Sequence position, layer index
  - Weight vector and corresponding sparsity level
  - Sequence length for *I*-th layer
  - Regularized attention module set

### K-Sparse Attention Formulation

#### Unconstrained Formulation

$$\begin{split} \min_{\mathbf{p}} \sum_{j=1}^{N} \left[ D(\mathbf{y}_{j}, \widehat{\mathbf{y}}_{j}) + \sum_{l \in \mathcal{S}} \sum_{i=1}^{l_{l}} \mathcal{I} \left\{ \|\mathbf{w}_{i,l}^{j}\|_{0} \leq \mathcal{K}_{i,l}^{j} \right\} \right] \\ \mathcal{I} \left\{ \|\mathbf{x}\|_{0} \leq \delta \right\} = \begin{cases} 0 & \text{if } \|\mathbf{x}\|_{0} \leq \delta \\ \infty & \text{if } \|\mathbf{x}\|_{0} > \delta \end{cases} \end{split}$$

where:

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## K-Sparse Attention Formulation

### Using Penalty method [5]

$$\mathcal{P}_{\mu}: \min_{\mathbf{p}, \{\mathbf{s}_{i,l}^{j}\}} \sum_{j=1}^{N} \left[ D(\mathbf{y}_{j}, \widehat{\mathbf{y}}_{j}) + \sum_{l \in \mathcal{S}} \sum_{i=0}^{l_{l}} \left( \mathcal{I}\left\{ \|\mathbf{s}_{i,l}^{j}\|_{0} \leq \mathcal{K}_{i,l}^{j} \right\} + \frac{1}{2\mu_{i,l}^{j}} \|\mathbf{s}_{i,l}^{j} - \mathbf{w}_{i,l}^{j}\|_{2}^{2} \right) \right]$$
  
For  $\mu_{i,l}^{j} \rightarrow 0$ ,  $\mathcal{P}_{\mu}$  can approximate  $\mathcal{P}$ .

Using proximal mapping:

$$\mathbf{s}(k+1) = \arg\min_{\mathbf{s}} \mathcal{I}\left\{\|\mathbf{s}\|_{0} \leq K\right\} + \frac{1}{2\mu}\|\mathbf{s} - \mathbf{w}(k)\|_{2}^{2} = \operatorname{Prox}_{\mathcal{I}}(\mathbf{w}(k)) = [\mathbf{w}(k)]_{K}$$

Using gradient based optimization methods:

$$\mathbf{p}(k+1) = \arg\min_{\mathbf{p}} \sum_{j=1}^{N} \left[ D(\mathbf{y}_{i}, \widehat{\mathbf{y}}_{i}) + \sum_{l \in \mathcal{S}} \sum_{0 \le i \le l_{l}} \frac{1}{2\mu_{i,l}^{j}} \|\mathbf{s}_{i,l}^{j}(k+1) - \mathbf{w}_{i,l}^{j}\|_{2}^{2} \right]$$

-

#### Algorithm pseudocode for the calculation of

**Input:** Training patterns ({ $X_i, y_i$ }),  $N_1, N_2, c, \mu$ . **Output:** Network parameters vector **p**<sub>final</sub> 1:  $\mathbf{p}_0, k = 0, m = 0$ 2: while  $m < N_1$  do 3. while  $k < N_2$  do  $\mathbf{s}_{i,l}^{j}(k+1) = [w_{i,l}^{j}(k)]_{K_{i,l}^{j}}$ , for *i*, *l* and *j* 4:  $\mathbf{p}(k+1) = \arg\min_{\mathbf{p}} \sum_{j=1}^{N} \left[ D(\mathbf{y}_{i}, \widehat{\mathbf{y}}_{i}) + \sum_{l \in S} \sum_{0 \le i \le l_{i}} \frac{1}{2\mu_{i}^{j}} \|\mathbf{s}_{i,l}^{j}(k+1) - \mathbf{w}_{i,l}^{j}\|_{2}^{2} \right]$ 5: 6:  $k \leftarrow k + 1$ end while 7. 8:  $\mu \leftarrow \mathbf{c} \cdot \mu$  $m \leftarrow m + 1$ Q٠  $\mathbf{p}_0 \leftarrow \mathbf{p}$ 10. k = 011: 12. end while

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## Sparsity Comparison

Hoyer measure vs. Epochs (Blue: ViT - Red: KSA-ViT)



### Untargeted Adversarial Attacks



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Tuno	CW-L2			CW-Linf					
туре	ASR	$L_1$	L <sub>2</sub>	$L_{\infty}$	ASR	$L_1$	L <sub>2</sub>	$L_{\infty}$	
Satndard	0.62	27.29	0.74	0.08	,0.81	,37.70	,0.81	,0.033	
Layer 1	0.60	32.71	0.88	0.09	,0.78	,46.08	,0.97	,0.036	
Layer 2	0.57	32.38	0.87	0.09	,0.76	,45.82	,0.96	,0.036	
Layer 3	0.61	30.91	0.83	0.09	,0.76	,46.13	,0.97	,0.036	
Layer 4	0.58	33.46	0.90	0.09	,0.79	,46.14	,0.97	,0.036	
Layer 5	0.56	33.46	0.90	0.09	,0.77	,48.06	,1.00	,0.036	
Layer 6	0.58	32.95	0.88	0.09	,0.77	,46.98	,0.98	,0.036	
All	0.56	34.83	0.93	0.09	,0.75	,49.51	,1.03	,0.036	

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## Conclusions

### Dense weight vector in the attention module

- Lower the generalization of architecture
- Provide space for adversarial attacks

### K-Sparse attention

- $\bullet$  Formulation Based on  $\ell_0$  norm regularizer
- Solve the problem using penalty method
- Limit the weight matrix in an unstructured manner
- Improve the generalization performance
- Improve adversarial robustness

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