# ICASSP 2024 SLP-L13.6: Improving ASR Contextual Biasing using Guided Attention Loss

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# **Background: Contextual Biasing (CB)**

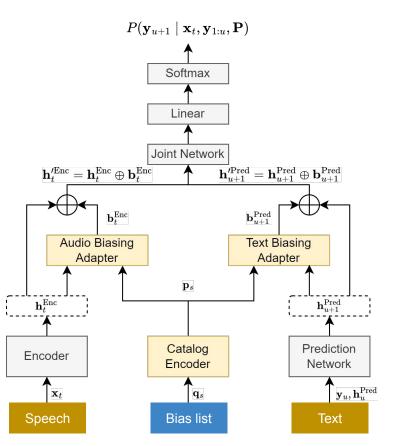
Adapting ASR systems to recognize custom entities (bias phrases)

- Example utterance: "I work at ASAPP WTC"
- "ASAPP" and "WTC" are uncommon/unseen in the training dataset
- Sometimes we have prior knowledge of possible vocabulary to occur
- We give the ASR system a list of bias phrases as a reference
  ["ASAPP", "WTC", "ICASSP 2024", "Gangnam District" ...]
- Advantage: the **bias list** is dynamic, so no need to retrain/finetune ASR model for different conversation scenarios

## **Related Work 1: Contextual Adapter [1]**

- Based on RNN Transducer (RNN-T)
- **Catalog Encoder** encodes a bias phrase token sequence into a vector representation
- Biasing Adapter attends to a bias phrase at each time step using cross attention
- The output of **Biasing Adapter** is added to the encoder output or prediction network output to bias ASR output towards bias phrases

[1] Kanthashree Mysore Sathyendra, Thejaswi Muniyappa, Feng-Ju Chang, Jing Liu, Jinru Su, Grant P. Strimel, Athanasios Mouchtaris, and Siegfried Kunzmann, "Contextual adapters for personalized speech recognition in neural transducers," ICASSP 2022 – 2022 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP), pp. 8537–8541, 2022.

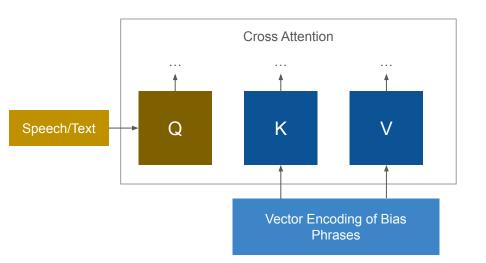


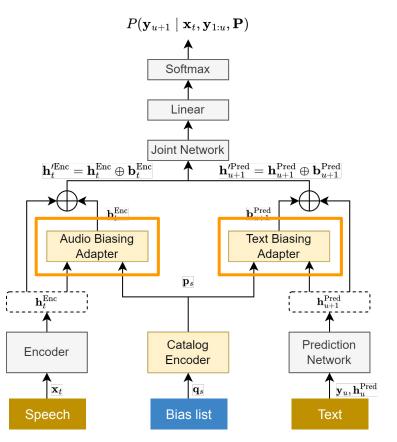
#### **Related Work 1: Contextual Adapter [1]**

Catalog Encoder:  $P(\mathbf{y}_{u+1} \mid \mathbf{x}_t, \mathbf{y}_{1:u}, \mathbf{P})$ Softmax Linear \_ASAPP **Bias Embedding 1** Joint Network **BILSTM**  $\mathbf{h}_{u+1}^{\prime ext{Pred}} = \mathbf{h}_{u+1}^{ ext{Pred}} \oplus \mathbf{b}_{u+1}^{ ext{Pred}}$  $\mathbf{h}_t^{\prime ext{Enc}} = \mathbf{h}_t^{ ext{Enc}} \oplus \mathbf{b}_t^{ ext{Enc}}$ Bias Embedding 2 WTC  $\mathbf{b}_{t}^{\mathrm{Enc}}$  $\mathbf{b}_{u+1}^{ ext{Pred}}$ Audio Biasing **Text Biasing** Adapter Adapter  $\mathbf{p}_s$  $\mathbf{h}_{t}^{\mathrm{Enc}}$  $\mathbf{h}_{u+1}^{ ext{Pred}}$ Catalog Prediction Encoder Encoder Network [1] Kanthashree Mysore Sathyendra, Thejaswi Muniyappa, Feng-Ju Chang, Jing Liu, Jinru Su, Grant P.  $\mathbf{y}_u, \mathbf{h}_u^{ ext{Pred}}$  $\mathbf{x}_t$  $\mathbf{q}_s$ Strimel, Athanasios Mouchtaris, and Siegfried Kunzmann, "Contextual adapters for personalized speech recognition in neural transducers," ICASSP 2022 - 2022 IEEE International Conference on Speech **Bias list** Text Acoustics, Speech and Signal Processing (ICASSP), pp. 8537–8541, 2022.

#### **Related Work 1: Contextual Adapter [1]**

**Biasing Adapter:** 

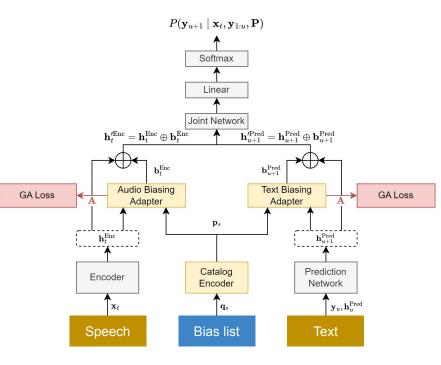




[1] Kanthashree Mysore Sathyendra, Thejaswi Muniyappa, Feng–Ju Chang, Jing Liu, Jinru Su, Grant P. Strimel, Athanasios Mouchtaris, and Siegfried Kunzmann, "Contextual adapters for personalized speech recognition in neural transducers," ICASSP 2022 – 2022 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP), pp. 8537–8541, 2022.

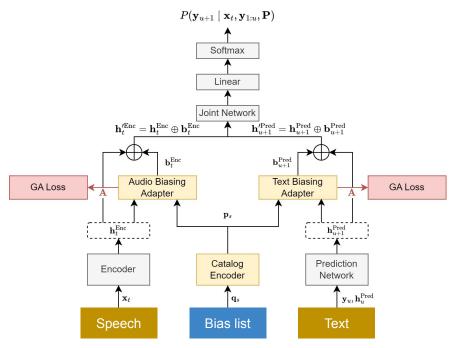
## **Related Work 2: Adding Supervision [2]**

- Idea: force the **Biasing Adaper** to learn which bias phrase to attend to at each time step
- One of the contributions of this paper is using **cross entropy** as an auxiliary loss
- Treating the attention weight A<sub>ts</sub> as the likelihood of observing phrase s at time step t, we calculate the cross entropy against the reference phrase sequence
- The auxiliary loss is added to the final loss



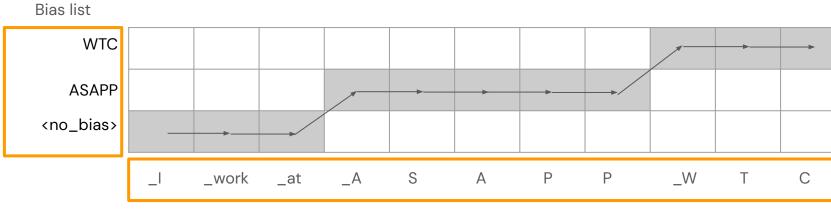
#### **Our Contribution**

- The **Guided Attention** loss using cross entropy (**GA-CE**) requires phrase index labels for every text token or audio frame
  - Extra work to generate this, for example force alignment
- We propose using **CTC loss** as the guided attention loss function (**GA-CTC**)
  - Only requires an ordered label sequence of bias phrases that occurred in the utterance



## **Attention weights: GA-CE**

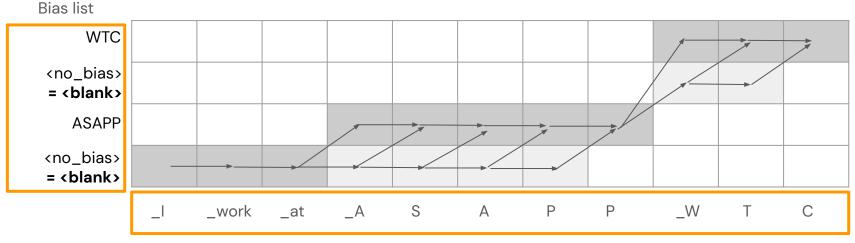
- Label: [0, 0, 0, 1, 1, 1, 1, 2, 2, 2]
- Valid "paths" are marked using arrows



Text/Audio sequence

## Attention weights: GA-CTC

- Label: [1, 2]
- Multiple valid "paths" -> more relaxed supervision



Text/Audio Sequence

## **Results on LibriSpeech**

**B-WER** = WER of biased words

**Distractors** = Unrelated words added to the bias list as distraction. We want to verify whether the Biasing Adapter is able to distinguish and choose the correct phrases

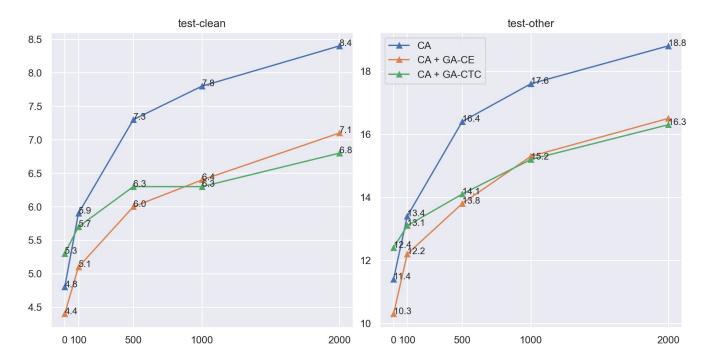


Fig. 2: B-WER of Contextual Adapter (CA) baseline, CA with GA-CE and CA with GA-CTC, using different number of distractors N ∈ {0, 100, 500, 1000, 2000}.

# Conclusion

- Explicit supervision (both GA-CE and GA-CTC) can significantly improve performance of contextual biasing
- Proposed GA-CTC is easier to implement in practice while its error rate is on par with GA-CE
  - Especially when many distractors exist (common scenario in application)

# Thank you!

Questions?