# STRUCTURE OF THE SET OF SIGNALS WITH STRONG DIVERGENCE OF THE SHANNON SAMPLING SERIES

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# Shannon sampling series

**Shannon sampling series:** 

$$(S_N f)(t) = \sum_{k=-N}^{N} f(k) \frac{\sin(\pi(t-k))}{\pi(t-k)}, \quad t \in \mathbb{R}.$$

Local uniform convergence (Brown): For all  $f \in \mathcal{PW}_{\pi}^{1}$  and  $\tau > 0$  fixed we have

$$\lim_{N\to\infty}\max_{t\in[-\tau,\tau]}|f(t)-(S_Nf)(t)|=0.$$

### **Global Behavior**

Peak value of the reconstruction error:

$$P_{\mathcal{N}}f = \max_{t \in \mathbb{R}} |f(t) - (S_{\mathcal{N}}f)(t)|$$

#### Divergence of the peak value $P_N f$ :

There exists a signal  $f \in \mathcal{PW}^1_{\pi}$  such that

$$\limsup_{N o \infty} \max_{t \in \mathbb{R}} |f(t) - (S_N f)(t)| = \infty,$$

or equivalently,

$$\limsup_{N\to\infty}\max_{t\in\mathbb{R}}|(S_Nf)(t)|=\infty.$$

- The divergence is only in terms of the lim sup.
- Weak notion of divergence: existence of a subsequence  $\{N_n\}_{n\in\mathbb{N}}$  of the natural numbers such that  $\lim_{n\to\infty} P_{N_n}f = \infty$ .
- Leaves the possibility that there is a different subsequence  $\{N_n^*\}_{n\in\mathbb{N}}$ such that  $\lim_{n\to\infty} P_{N_n^*} f = 0$ .

Idea of adaptive signal processing: With an adaptive choice of the subsequence  $\{N_n^*\}_{n\in\mathbb{N}}$  (in general  $\{N_n^*\}_{n\in\mathbb{N}}$  will depend on the signal f) we can create convergence.

# Weak and Strong Divergence

For a sequence  $\{a_n\}_{n\in\mathbb{N}}$  we distinguish two modes of divergence:

Weak divergence if  $\limsup_{n\to\infty} |a_n| = \infty$ .

(existence of a subsequence  $\{N_n\}_{n\in\mathbb{N}}$  such that  $\lim_{n\to\infty}|a_{N_n}|=\infty$ )

 $\rightarrow$  adaptivity can help

Strong divergence if  $\lim_{n\to\infty} |a_n| = \infty$ .

 $(\lim_{n\to\infty}|a_{N_n}|=\infty \text{ for all subsequences } \{N_n\}_{n\in\mathbb{N}})$ 

→ adaptivity does not help

### Notation

Paley-Wiener Space  $\mathcal{PW}_{\sigma}^{\rho}$ : Space of signals f with a representation  $f(z)=1/(2\pi)\int_{-\sigma}^{\sigma}g(\omega)\,\mathrm{e}^{iz\omega}\,\mathrm{d}\omega,\,z\in\mathbb{C},\,\mathrm{for\,\,some}\,g\in L^p[-\sigma,\sigma],\,1\leqslant p\leqslant 1$ ∞. Norm:  $||f||_{\mathcal{PW}_{\sigma}^{p}} = (1/(2\pi) \int_{-\sigma}^{\sigma} |g(\omega)|^{p} d\omega)^{1/p}$ .

### **Strong Divergence**

#### Strong divergence of the peak value:

There exists a signal  $f \in \mathcal{PW}_{\pi}^{1}$  such that peak value of  $S_{N}f$  diverges strongly, i.e., that

$$\lim_{N\to\infty} \max_{t\in\mathbb{R}} \left| \sum_{k=-N}^{N} f(k) \frac{\sin(\pi(t-k))}{\pi(t-k)} \right| = \infty.$$

- → Adaptivity cannot be used to control the peak value of the Shannon sampling series.
- H. Boche and B. Farrell, "Strong divergence of reconstruction procedures for the Paley-Wiener space  $PW_{\Pi}^{1}$  and the Hardy space  $H^{1}$ ," Journal of Approximation Theory, Elsevier, 2014, 183, 98–117

### Questions

What is the structure / size of the set of signals for which we have strong divergence?

Does this set contain a subset with linear structure?

# Linear Structure / Spaceability

Linearity is an important property of signal spaces.

Lineability and spaceability are two mathematical concepts to study the existence of linear structures in general sets.

#### **Definition:**

A subset S of a Banach space X is said to be lineable if  $S \cup \{0\}$  contains an infinite dimensional subspace.

A subset S of a Banach space X is said to be spaceable if  $S \cup \{0\}$ contains a closed infinite dimensional subspace.

Easy to see linear structure for convergence:

•  $f_1, f_2$  such that  $P_N f$  converges  $\Rightarrow$  convergence for  $f_1 + f_2$ 

Difficult to show a linear structure for divergence:

•  $f_1, f_2$  such that  $P_N f$  diverges  $\Rightarrow$  not necessarily divergence for  $f_1 + f_2$ 

#### **Example:**

 $f_1 = u_c + u_d$  and  $f_2 = u_c - u_d$ , where  $u_c$  is any signal with convergent and  $u_d$  any signal with divergent approximation process.

- $\rightarrow$  For  $f_1$  and  $f_2$  we have divergence.
- $\rightarrow$  For  $f_1 + f_2 = 2u_c$  we do not have divergence.
- → The sum of two signals, each of which leads to divergence, does not necessarily lead to divergence.

### **Spaceability and Strong Divergence**

The set of signals with strong divergence of the peak value of the Shannon sampling series is spaceable.

**Theorem:** The set of signals  $f \in \mathcal{PW}_{\pi}^{1}$  for which the peak value of  $S_{N}f$ diverges strongly, i.e., for which

$$\lim_{N\to\infty} \max_{t\in\mathbb{R}} \left| \sum_{k=-N}^{N} f(k) \frac{\sin(\pi(t-k))}{\pi(t-k)} \right| = \infty$$
 (\*)

is spaceable. That is, there exists an infinite dimensional closed subspace  $\mathcal{D}_{Shannon} \subset \mathcal{PW}_{\pi}^{1}$  such that (\*) holds for all  $f \in \mathcal{D}_{Shannon}$ ,  $f \not\equiv 0$ .

- Strong divergence of the Shannon sampling series is a frequent event
- We have strong divergence for infinitely many signals that form an infinitely dimensional vector space.
- Any linear combination of signals from this vector space, that is not the zero signal, is again a signal that creates divergence.

### Discussion

The subspace  $\mathcal{D}_{Shannon}$  from the proof has interesting properties.

- $\bullet$   $\mathcal{D}_{Shannon}$  has an unconditional basis, i.e., there exists a sequence of functions  $\{\zeta_n\}_{n\in\mathbb{N}}\subset \mathcal{D}_{Shannon}$  such that for all  $f\in \mathcal{D}_{Shannon}$  there exists a unique sequence of coefficients  $\{a_n(f)\}_{n\in\mathbb{N}}$  such that  $\lim_{N\to\infty} \|f-\sum_{n=1}^N a_n(f)\zeta_n\|_{\mathcal{PW}_{\pi}^1}=0.$
- There exist two constants  $C_1$ ,  $C_2 > 0$  such that for all  $f \in \mathcal{D}_{Shannon}$

$$C_1 \left( \sum_{n=1}^{\infty} |a_n(f)|^2 \right)^{\frac{1}{2}} \leqslant \|f\|_{\mathcal{PW}_{\pi}^1} \leqslant C_2 \left( \sum_{n=1}^{\infty} |a_n(f)|^2 \right)^{\frac{1}{2}}.$$

- $\mathcal{D}_{Shannon}$  is isomorphic to the Hilbert spaces  $l^2$  and  $\mathcal{PW}_{\pi}^2$ .
- If we equip the space  $\mathcal{D}_{\text{Shannon}}$  with the norm  $||f||_{\mathcal{D}_{\text{Shannon}}} = \left(\sum_{n=1}^{\infty} |a_n(f)|^2\right)^{1/2}$  then it becomes a Hilbert space.

### Conjecture

Non-equidistant sampling:

$$\sum_{k=-\infty}^{\infty} f(t_k) \varphi_k(t), \quad t \in \mathbb{R}$$
 (\*\*)

 $\{t_k\}_{k\in\mathbb{Z}}$  is the sequence of sampling points,  $\phi_k$  reconstruction functions

**Theorem:** For a large subclass of the set of sine type functions, if  $\{t_k\}_{k\in\mathbb{Z}}$ is the zero set of a function in this class, then there exists a signal  $f \in \mathcal{PW}^1_{\pi}$  such that the peak value of (\*\*) is weakly divergent, i.e., such that  $\limsup_{N\to\infty} \max_{t\in\mathbb{R}} \left|\sum_{k=-\infty}^{\infty} f(t_k) \varphi_k(t)\right| = \infty$ .

Conjecture: We have strong divergence for a set that is spaceable.