# **REAL-TIME MULTI-CANDIDATES FUSION BASED HEAD TRACKING KINECT DEPTH SEQUENCE**

# Abstract

Considering depth images are robust to illumination variations with complex backgrounds, the paper developed a real-time head tracking system with one Kinect camera. Distance transform is applied to pre-processed depth frames to further reduce the effect of appearance deformation. A multi-candidates fusion strategy is proposed for template updating that assures head representation robustness. Twostage template matching is adopted for computational efficiency in the searching procedure. In addition, an early termination criterion for template updating is presented to reliably improve the tracking accuracy. Abundant experimental results on our depth database demonstrate that the proposed method performs favorably against state-of-the-art methods in terms of robustness, accuracy, and efficiency.

#### Flowchart of the proposed method



#### **Pre-processing**

A series of morphological operations including complement, erosion and dilation are adopted to fill in occlusion regions. To further reduce the effect of appearance deformation, we adopt distance transform to solve the problem of low level of discrimination of depth images and enrich detail information.

#### Flowchart of multi-candidates fusion



#### **Candidate seeds generation**

A bunch of depth image patches are selected as *candidate seeds* for template updating within a circular area centered at  $c(H_i)$ , where  $H_i$  denotes the depth patch of tracking head in the *i*-th frame, and *c*(•) denotes their center location. Our previous work reveals that the number of pixels corresponding to each of 10 centimeters (decimeter, dm) under different distances conditions was modeled as

$$\alpha = \frac{5.11 \times 10^4}{d_s - 56.5}$$

where  $d_s = (255 - D_i) \times 16$  represents the physical distance  $d_s$  between head and camera, and the depth data  $D_i$  can be extracted easily on depth images. Let  $\Delta c$  denote the number of pixels that the head location changes between adjacent images at most. With reasonable assumption that a common person's average walking pace is 1m/s (10 dm/s) and the Kinect camera captures 30 images per second, we have

$$\Delta c = \frac{10}{30} \alpha = \frac{1.7 \times 10^4}{d_s - 56.5}$$

Taking into account abruptly rapid motion of the tracking object, we also allow

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**Multi-candidates Fusion** based Template updating

candidate seeds to be selected out of the radius. The set of candidate seeds can be randomly selected as

$$\begin{cases} X_{iN} = \left\{ X_{ij} \left\| c(X_{ij}) - c(H_i) \right\| \le \Delta c, j = [1, \dots, N] \right\} \\ Y_{iU} = \left\{ Y_{it} \left| \beta \le \left| c(X_{ij}) - c(H_i) \right| \le \varphi, t = [1, \dots, U] \right\} \end{cases} \end{cases}$$

where  $\beta$  and  $\varphi$  are inner and outer radius ( $\Delta c \leq \beta \leq \varphi$ ) of the ring area, N and U are constant parameters that denote the cardinality of  $X_{iN}$  and  $Y_{iII}$ .

### **Multi-candidates fusion based template updating**

Patches extracted from candidate seed positions that are not related to the object to be tracked should be left out during template updating. Only those from related seed positions are utilized as *candidates* for fusion to generate the updated template for the next frame.

The proposed candidate determination algorithm can be formulated as following. For each candidate seed, we calculate their correlation with the template of last frameT<sub>i 1</sub>

$$corr_{ip} = \frac{\sum_{m} \sum_{n} \left( \left( c_{ipmn} - c_{ip} \right) \left( T_{i-1mn} - T_{i-1} \right) \right)}{\sqrt{\left( \sum_{m} \sum_{n} \left( c_{ipmn} - \overline{c_{ip}} \right)^{2} \right) \left( \sum_{m} \sum_{n} \left( T_{i-1mn} - \overline{T_{i-1}} \right)^{2} \right)}}, T_{0} = H_{1}$$

where m and n are the row and column indexes of image patches,  $_{C}$  and  $_{T}$  are means of  $c_{ip}$  and  $T_{i-1}$ , respectively. Then we get the set of candidates for the *i*-th frame as

$$S_i = \left\{ c_{ip} \left| corr_{ip} \ge \tau \right\} \right\}$$

where  $\tau$  is a threshold parameter. Then the multi-candidate fusion based template  $T_i$ at the *i*-th frame is derived by  $T_i = \rho_1 \times S_i + \rho_2 \times H_1$ 

Template  $T_i$  is used for matching in the (*i*+1)-th frame to give the tracking result.

### Flowchart of the two-stage template matching



# **Two-stage template matching strategy**

A two-stage template matching strategy is proposed to address the contradiction between tracking effectiveness and computation efficiency. Different sampling ratios are employed for nearer and further test samples, i.e.

$$\Delta s = \begin{cases} 1, & \text{if } \left| c(Z_{(i+1)k}) - c(H_i) \right| \le \Delta c \\ 1/\delta, & \text{if } \Delta c \le \left| c(Z_{(i+1)k}) - c(H_i) \right| \le \gamma \end{cases}$$

where  $\gamma$  denotes the search range and  $Z_{(i+1)k}$  represents the k-th test sample in the

where  $\overline{S_1}$  is the mean of candidate sets,  $\rho_1$ ,  $\rho_2$  are weighting coefficients that satisfies.





could be computed. A *temporary* head location  $c(H_{i+1})$  that maximize the correlation coefficients could be obtained:

when  $\left|c(H_{i+1}) - c(H_i)\right| \geq \Delta c$ .

# **Early termination criterion for template updating**

In case in the (*i*+1)-th frame, we can conclude that  $T_{i+1}$  is not similar to  $T_i$ . To prevent tracking drift, we stop template updating by setting  $T_{i+1} = T_i$  and expanding  $\gamma$  to  $\gamma *$ ( $\gamma < \gamma *$ ) at the *(i+2)*-th frame.

# Parameter settings of the proposed method



It is good property to be robust to parameter settings. In this subsection, we discuss the settings of  $\tau$  and  $\rho_1$  in an experimental manner. In our experiments,  $\tau$  is fixed to 0.6 and  $P_1$  is fixed to 0.7.



	Sequence	Frame	Proposed	TLD	SemiB	SCM	CT	IVT	FCT
		number		[2]	[17]	[18]	[19]	[20]	[3]
	Yang	500	100	92	84	29	30	56	7
	Ma	455	<b>98</b>	81	48	37	21	19	17
_	Dong	422	<b>98</b>	90	39	72	47	47	31
	Li	468	99	96	84	96	53	35	34
_	Zhang	431	100	87	91	74	72	72	52
	Kong	429	99	82	94	21	53	24	15
_	Average		99	88	73	54	46	42	26

(i+1)-th frame,  $k = [1, \dots, V]$  is the index for test samples. According to this sampling rule, all test samples  $Z_{i+1}$  could be extracted and correlation

 $corr_{(i+1)k} = \frac{\sum_{m} \sum_{n} \left( \left( Z_{(i+1)k \, mn} - \overline{Z_{(i+1)k}} \right) \left( T_{imn} - \overline{T_{i}} \right) \right)}{\sqrt{\left( \sum_{m} \sum_{n} \left( Z_{(i+1)k \, mn} - \overline{Z_{(i+1)k}} \right)^{2} \right) \left( \sum_{m} \sum_{n} \left( T_{imn} - \overline{T_{i}} \right)^{2} \right)}}$ 

 $c(H_{i+1}) = \arg\max corr_{(i+1)k}$ 

We also record the maximum correlation coefficient as  $corr_{max}$ . This forms the **basic** stage of template matching. A second fine-tuning stage is designed to enhance the tracking accuracy. We carry out exhaustive search centered at  $c(H_{i+1})$  within radius to obtain the more accurate head location  $c(H_{i+1})$ , and corr<sub>max</sub> should also be updated. Note that the second fine-tuning stage is optional and only take place

#### **Subjective comparison of tracking results**

Figure shows part of tracking results of the proposed method under the varying circumstance of motion, selfocclusion, deformations and camera motion from top to bottom. It is safely concluded that our method is robust and adaptable. highly However others are not suitable for depth image tracking. As clearly depicted in Table that the proposed method yields the best tracking result. An average 99% success rate is achieved, which demonstrates its robustness. On the other hand, some tracker that works well in color channels loses their superiority (below 50%) when directly applied to depth sequences.