



Asia-Pacific Signal and Information Processing Association  
Annual Summit and Conference

APSIPA ASC 2015

DECEMBER 16-19, 2015

HONG KONG

APSIPA ASC 2015 Tutorial 2  
9:00am – 12:30 pm

# Assisted Listening for Headphones and Hearing Aids

## Signal Processing Techniques



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Digital Signal Processing Laboratory  
School of Electrical and Electronic Engineering  
Nanyang Technological University, Singapore



**16<sup>th</sup> Dec, 2015**

# Outline of Tutorial : (9am – 10:30am)

## I. 3D Sound and Headphones

## II. Natural Sound Rendering for Headphone

- Virtualization
- Sound scene decomposition
- Individualization
- Equalization
- Head tracking
- Integration
- 3D Audio Headphones
- Demo

# Outline of Tutorial : (11am – 12:30pm)

## III. An Overview and Applications of ANC Headsets

## IV. Natural Augmented Reality Audio in Headsets

- Signal processing and practical challenges
- ARA headset for mobile and wearable devices
- Multichannel headphone sound reproduction
- Natural augmented reality (NAR) headset using adaptive techniques

## V. Assisted Listening in Hearing aids

- Hearing loss and hearing aids
- Noise reduction and speech enhancement
- Integration of ANC and noise reduction in hearing aids

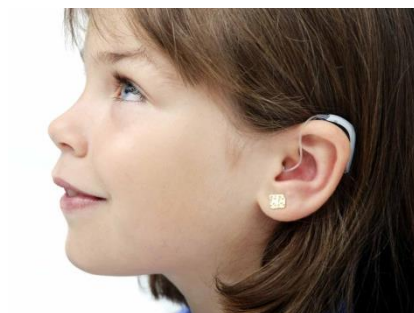
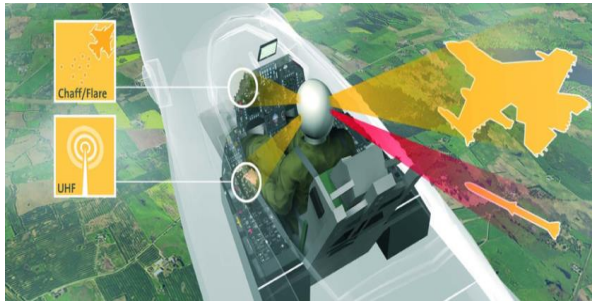
## VI. Summary and Demo

# Module I

## 3D Sound and Headphones




# (Assisted) Binaural Listening



# Selected Kickstarter projects on assisted listening

**Hooke: Wireless 3D Audio Headphones**




Wireless headphones with built in binaural microphones that let you listen to music, take calls and capture 3D Audio on any device at any time.

[Follow along!](#)

Created by **Hooke**

**1,386 backers** pledged \$163,166 to help bring this project to life.

**Here Active Listening - Change The Way You Hear The World**  
by Doppler Labs



**KICKSTARTER STAFF PICK**

**1,424 backers**  
**\$314,303** pledged of \$350,000 goal  
**25 days to go**

[Back This Project](#) [Remind me](#)


This project will be funded on Wed, Jul 1 2015 9:00 PM AEST.

Doppler Labs  
[First created](#) | [3 backed](#)  
[doppleralabs.com](#)  
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New York, NY [Wearables](#) [Share this project](#)

Use two wireless buds and a smartphone app to control what you hear and how you hear it.

**NEOH : the first smart 3D audio headphones**



**Thank you**

**neoh**  
CINEMA SOUND ANYWHERE ANYTIME

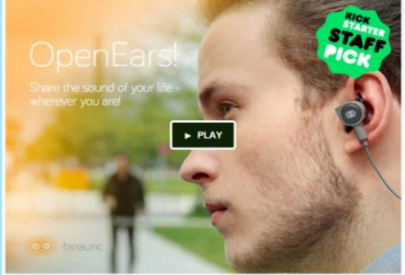
**KICKSTARTER STAFF PICK**

NEOH is the first headset to provide a spatial sound rendering system, giving you a sound closer to reality than any other device.

Created by **3D Sound Labs**

**589 backers** pledged \$125,171 to help bring this project to life.

**OpenEars!**  
Share the sound of your life wherever you are!



**KICKSTARTER STAFF PICK**

**227 backers**  
**€34,336** pledged of €125,000 goal  
**19 days to go**

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This project will only be funded if at least €125,000 is pledged by Thu, Jun 25 2015 7:40 PM AEST.

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Munich, Germany [Technology](#) [Share this project](#)

First Bluetooth In-Ear Headphones to record your video with 3D audio to share & stream, GoPro connection, great sound, HearThrough mode

**The ultimate sleep sanctuary: Kokoon EEG headphones**  
by Tim



**KICKSTARTER STAFF PICK**

**2,802 backers**  
**\$622,698** pledged of \$100,000 goal  
**35 days to go**

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Tim  
[First created](#) | [7 backed](#)  
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London, UK [Wearables](#) [Share this project](#)

Sleep better with the world's first sleep sensing EEG headphones. Perfect peace and comfort with audio that responds to your sleep.

**The Dash – Wireless Smart In Ear Headphones**



**Wireless Smart Headphones**


World's First Wireless Smart In Ear Headphones. 1000 Songs. Performance Tracking. Body Sensors. Secure Fit.

[Pre-Order now](#)

Created by **BRAGI LLC.**

**15,998 backers** pledged \$3,390,551 to help bring this project to life.

**Rondo Motion: Bring your headphones to life**




**rondoMOTION**

Add motion sensing technology to your headphones and get an unparalleled immersive sound experience

Created by **Dysonics Inc.**

**109 backers** pledged \$60,841 to help bring this project to life.

**HELIX**  
The World's First Wearable with Wireless Bluetooth Headphones



**CES INNOVATION AWARDS 2016 WINNER**

**KICKSTARTER STAFF PICK**

Helix by Ashley Chloe is the first wearable with headphones on your wrist. Helix ensures that fashion and tech are always at hand.

[PRE-ORDER Here](#)

Created by **Ashley Chloe Inc.**

**1,865 backers** pledged \$264,205 to help bring this project to life.

Featured On **Mashable** **FAST COMPANY** **DIGITAL TRENDS** **YAHOO!** **designboom**

**Aivvy Q: Smart Headphones Caches Personalized Music For You**



**KICKSTARTER STAFF PICK**

High-end headphones, a streaming app and personalized streaming music all in one magic device: Aivvy Q.

[PRE-ORDER NOW](#)

Created by **the aivvy team** **aivvy**

**511 backers** pledged \$168,573 to help bring this project to life.

The Music Never Stops



# A realistic and engaging experience



# Speakers vs Headphones

## Speaker systems



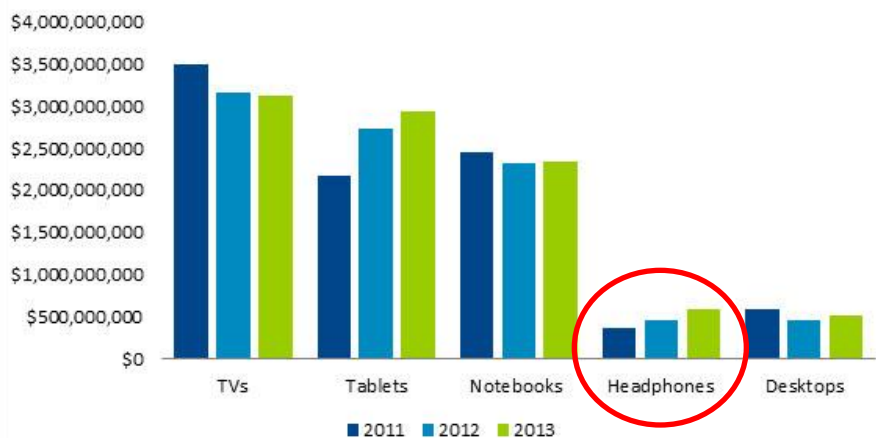
## Headphones



# Strong headphone market

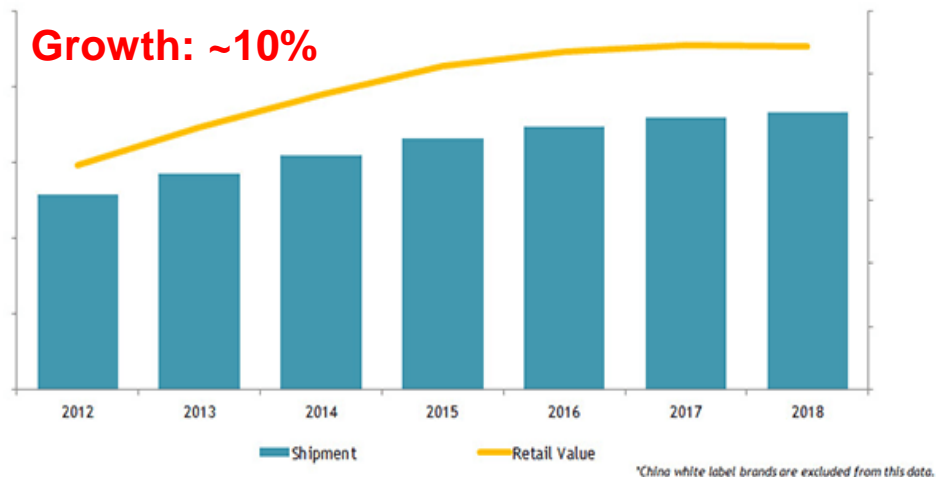


2013 Top 5 Revenue Categories



Worldwide Headphones Market\*

Growth: ~10%



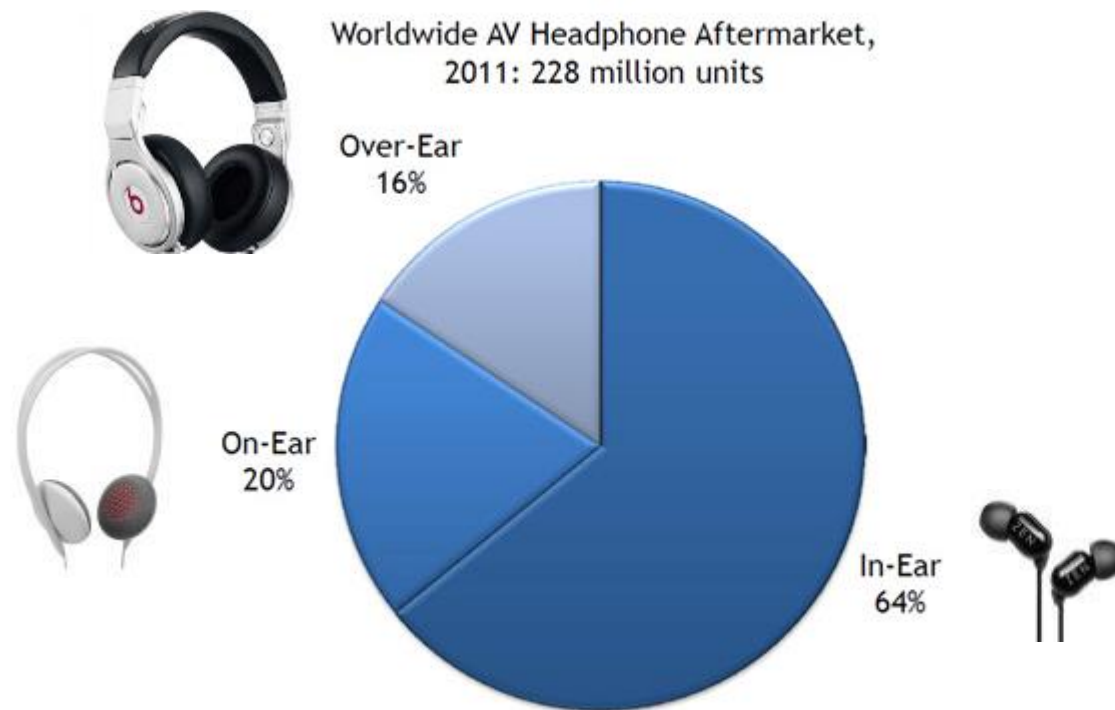
Source from

<https://www.npd.com/wps/portal/npd/us/news/press-releases/key-ce-categories-deliver-positive-2013-holiday-results-according-to-npd/>

Source from

[http://www.ceatec.com/report\\_analysis/en/ra\\_150512\\_2.html](http://www.ceatec.com/report_analysis/en/ra_150512_2.html)

## AV Headphone Market by Type: Worldwide



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# Prices of Over-Ear Headphones

Headphone Type	Target Consumers	Headphones Brands	Features and comments	Price
Stereo	General Pro-sumer	AKG, BeyeDynamic, Denon, German Maestro, Grado, Koss, Ultrasone	High fidelity stereo, mostly requires power amplification for optimal sound	<b>Hundreds to Thousands</b>
	High-End Consumer	Bose, Fanny Wang, Jays, Monster (beats), Sony, Shure	Stereo, simple to drive, can feature good fidelity stereo	<b>Hundreds (&lt; \$500)</b>
	General Consumer	Beats, Creative, Eskuche, Hed Kandi, Goldring, iFrogz, Jays, Marshall, Ministry of Sound, Philips, Pioneer, Shure, SkullCandy, SonicGear, Sumajin, TDK	Low cost, stereo, meant for general usage, styling of headphone is general more critical than sound quality	<b>Hundreds (&lt; \$200)</b>
Stereo with Virtual Surround	General Consumer/Gamers	Acoustic Research, Creative, Corsair, Philips, Logitech, Pioneer, Razer, SteelSeries, Sony, Turtle Beach, Tritton, Ultrasone, Yamaha, Zulman	Virtual surround achieved with HRTF processing, Dolby headphone, S-Logic (ultrasone), CMSS-3D (creative), Dolby Ex (turtle beach)	<b>Hundreds (&lt; \$200)</b>
Discrete Surround	Consumer for gaming, general entertainment	Creative, Logitech, Mentor, Razer, Psyko, Razor, Turtle Beach, Tritton, Zalman		<b>Hundreds (&lt; \$400)</b>



# Hearings Aids

DC (deep-canal)	CIC (completely-in-canal)	ITC (in-the-canal)	RIE (receiver in ear)	Open (open ear)	BTE (behind-the-ear)	Power (high powered)
						
						
<p>Mild to moderate hearing losses Fits deep inside the ear canal, making it <b>invisible</b> Less occlusion Not suitable for people with narrow ear canals Size prevents the use of directional microphones</p>	<p>Mild to moderate hearing losses Very small case Fits inside the ear canal, making it practically invisible Size prevents the use of directional microphones</p>	<p>Mild to moderately-severe hearing losses Small, one piece case Fits inside the ear canal Directional microphones are possible with this model</p>	<p>Mild to moderately-severe hearing losses Ear canal open for a natural sound quality Smallest external hearing aid, as the receiver is located in the end of the tube inside the ear Very small case that sits behind the ear, making it practically invisible</p>	<p>Mild to moderately-severe hearing losses Ear canal is open for a natural sound quality Very small case that sits behind the ear, making it practically invisible Many colour options</p>	<p>Mild to severe losses Fully featured hearing aids Larger case can be easier for wearers with dexterity considerations Case contains all features and sits behind the ear Many colour options</p>	<p>Profound hearing losses More powerful solutions that provide the greatest levels of amplification Larger case worn behind the ear</p>

Source from

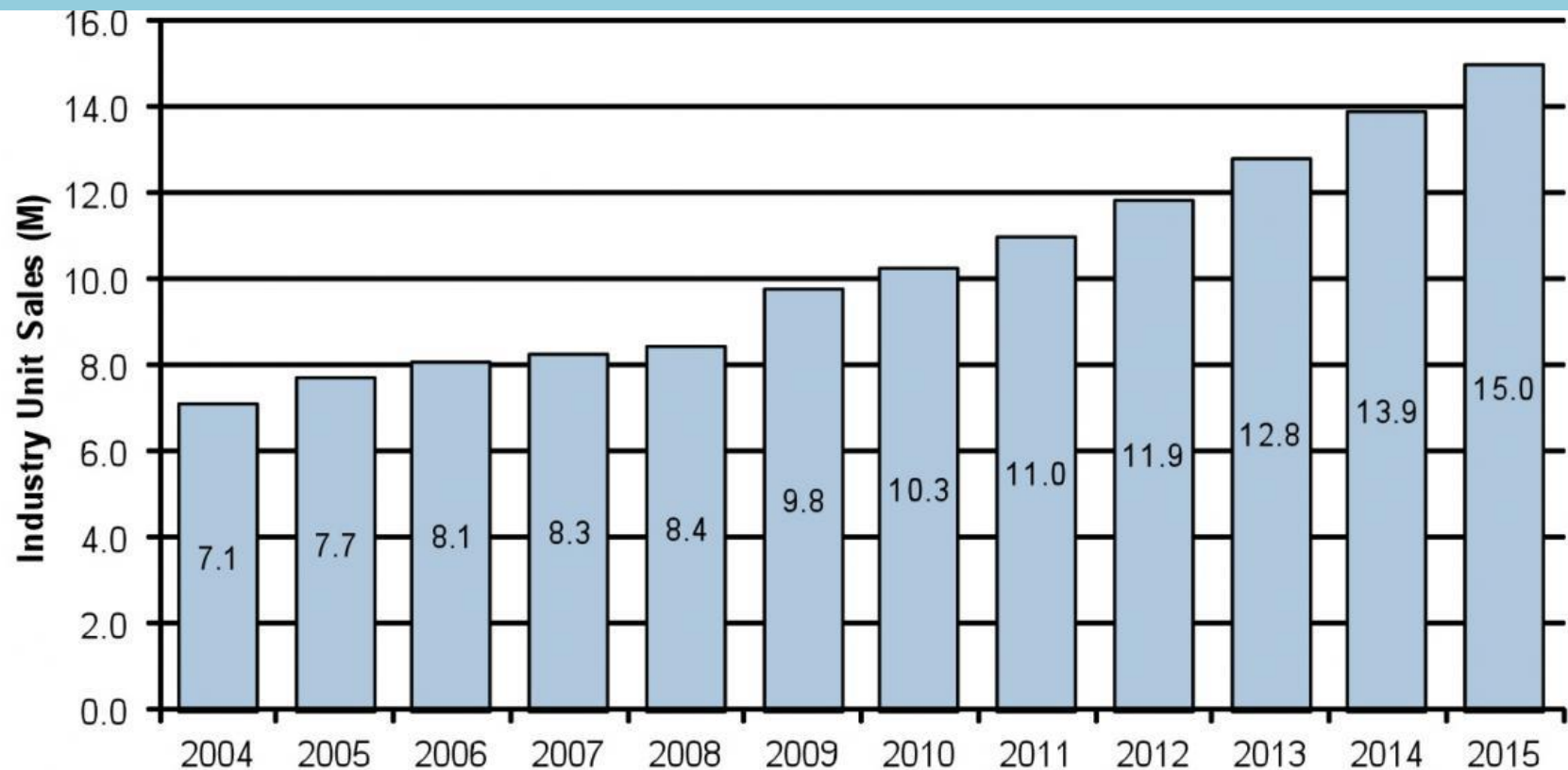
<http://www.globalhearing.com.au/models&sizes.html>



# Hearing Aids market

“The hearing aids market is expected to reach USD 8,374 million by 2020 from USD 6,183 million in 2015, at a CAGR of 6.3%.” - Marketsandmarkets reports

“Hearing Aid Sales Increase by 8.8% in First Half of 2015.” - Hearing Industries Association (HIA)



Source from  
<http://www.bdti.com/InsideDSP/2014/10/16/ONSemi>

# Pursuing natural 3D sound in Headphones Industry



**\$19.99**  
Download for free and use on your PC

## Razer Surround

Personalized 7.1 Gaming Surround Sound

DOWNLOAD NOW

Source from [http://www.razerzone.com/referral/invite-surround/?ref=Adrian%20Wong&ref\\_email=awsh%40techarp.com](http://www.razerzone.com/referral/invite-surround/?ref=Adrian%20Wong&ref_email=awsh%40techarp.com)

Thank you

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3D SOUND LABS

Source from <http://3dsoundlabs.com/en/>



# Experimenting 3D audio

- Designing an experimentation platform using headphones
  - With user interaction (touch and spatial audio)
  - Sonification / Auralization
  - User preference / hearing profile
  - **Personalized HRTF / anthropometry**
  - Computation and resources demand in portable devices
- Creating new mobile and wearable apps
  - Assistive Applications
  - Enhanced Telepresence
  - Remote Monitoring
- **Goal: design a headphone system which is perceptually indistinguishable from real listening.**

# Existing Apps: Binaural Recordings

## 3D Sounds Illusions

- Package name: sounds3d.soundboard
- <https://play.google.com/store/apps/details?id=sounds3d.soundboard>

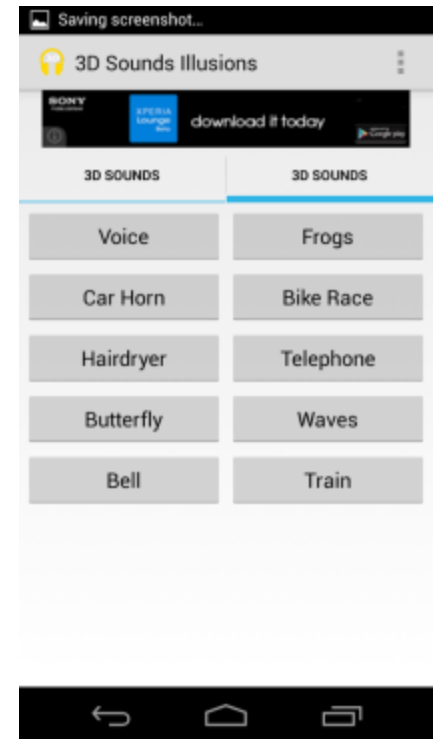
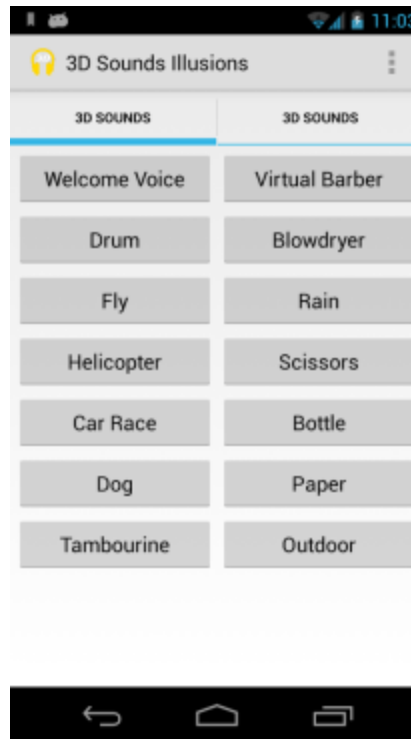
Retail: free (with ads)

Real-time 3D Audio Processing: ✘

HRTF Processing: ✘

### Features:

- A collection of binaural recording files
- Playback binaural recordings.



# Existing 3D audio Apps in the Market



Parrot headphones apps

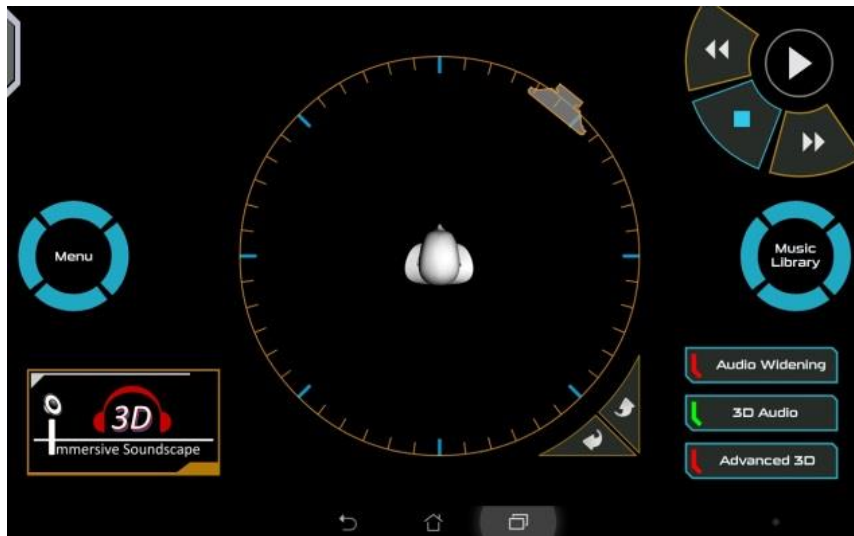


Headquakes apps



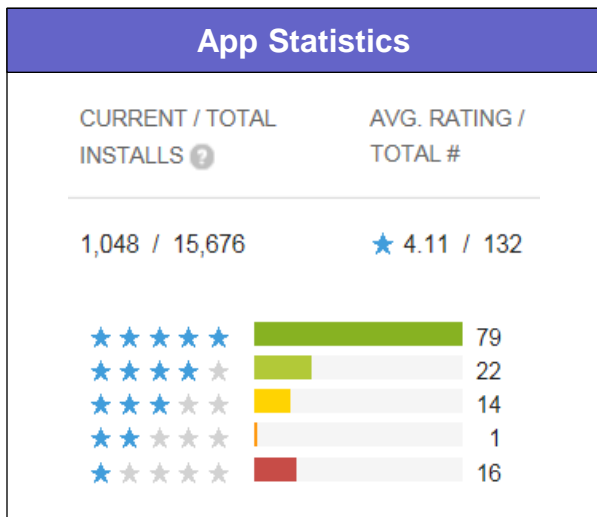
Audio-3D Player  
Headphones HD 7.1

They are mainly stereo extender and virtual downmix apps



Download here:  
<http://tinyurl.com/kboq2g7>

Technical Specifications	
Supported Platform	Android
Supported OS Versions	4.0 and up
Minimum CPU requirement (Chipset)	Snapdragon 400
	Nvidia Tegra 3 T30L
	Mediatek MT6589T
	Exynos 4210
Minimum RAM requirement	512 MB
App Size	6.84 MB
Audio Decoder	FFMPEG library
Supported formats	MP3, WAV
Supported Sampling rate	44100Hz
Frame Size	Stereo: 1152 (Mp3), 1024 (WAV)
HRTF Database	CIPIC HRTF Database
HRTF taps	200
Data type	double-precision 64-bit IEEE 754 floating point
HRTF sets (48 points/set)	Azimuth: 6 sets
	Elevation: 2 sets
	Near Field: 10 sets
Memory usage (HRTF)	6.75 KB
Azimuth HRTF Resolution	7.5°
Elevation HRTF Resolution	7.5°
Near Field depth	25cm, 50cm, 75 cm, 100cm, 125cm
Devices tested	Asus Transformer Pad TF300T
	Xiaomi Redmi
	Samsung Galaxy S2, S3, S4, S5
	Asus Padfone Infinity



- ### Main Features
1. Real-time DSP on Android platform
  2. Fully customized user interface and design.
  3. Audio Widening (Externalization)
  4. 3D Audio using HRTF filtering
  5. Near Field 3D (audio depth) with recording
  6. Elevation function
  7. Auto-rotate function
  8. Up to four channels simultaneous processing
  9. Virtual Bass System integration
  10. Ffmpeg Audio Decoding

# Demo video on 3DA<sup>3</sup>



## Quick Overview

- Techniques to capture 3D sound (Spatial Audio Recording)?
- **Types of processing required**
- Rendering over **headphones** and loudspeakers.



# Spatial Audio Recording

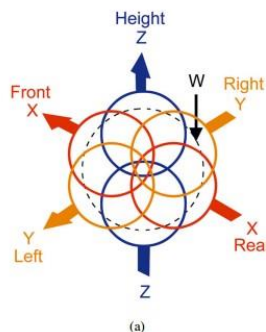
- Binaural recording
  - Dummy head
  - Human subjects



- Stereo/5.1



- B-format



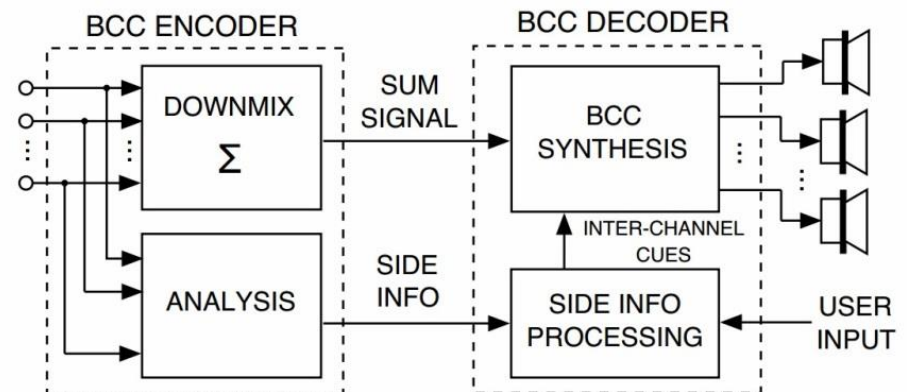
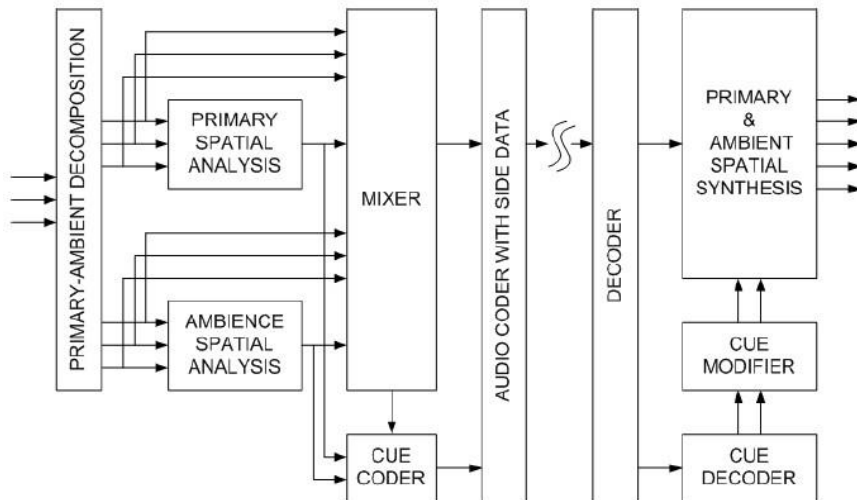
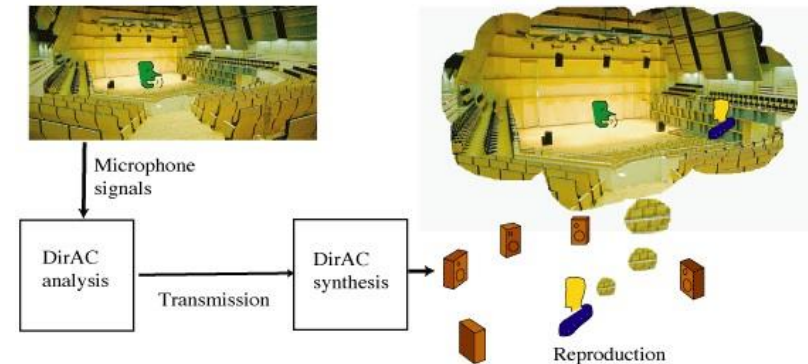
- Microphone arrays



# Spatial Audio Processing

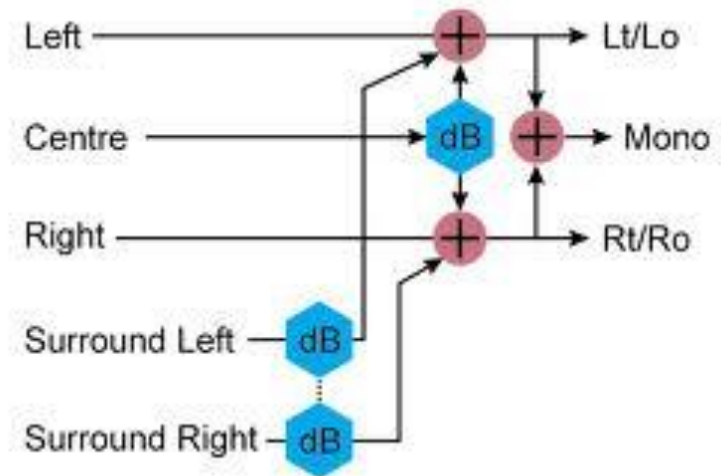
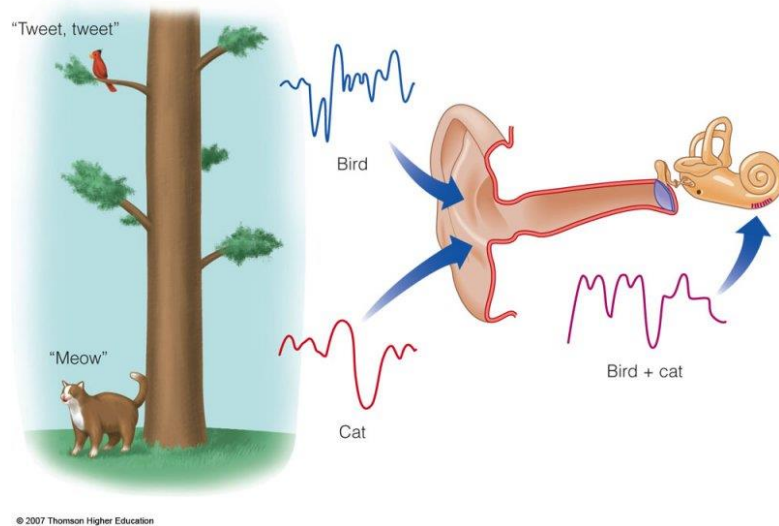
## ➤ Spatial audio coding

- Directional audio coding (DirAC)
- Spatial audio scene coding (SASC)
- Binaural cue coding (BCC)



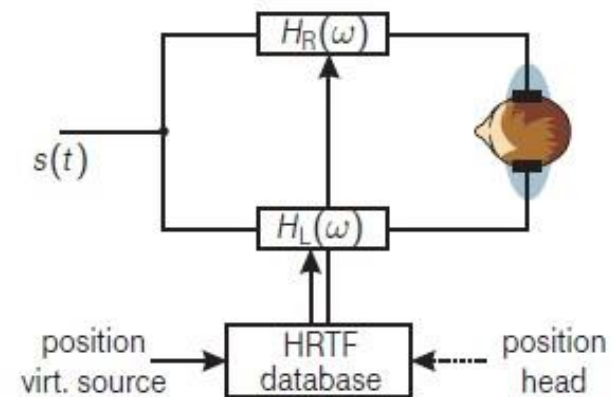
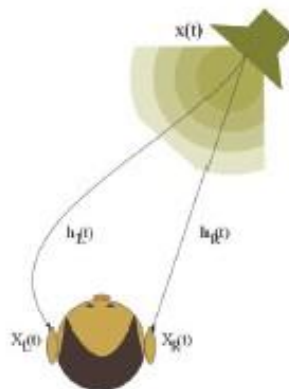
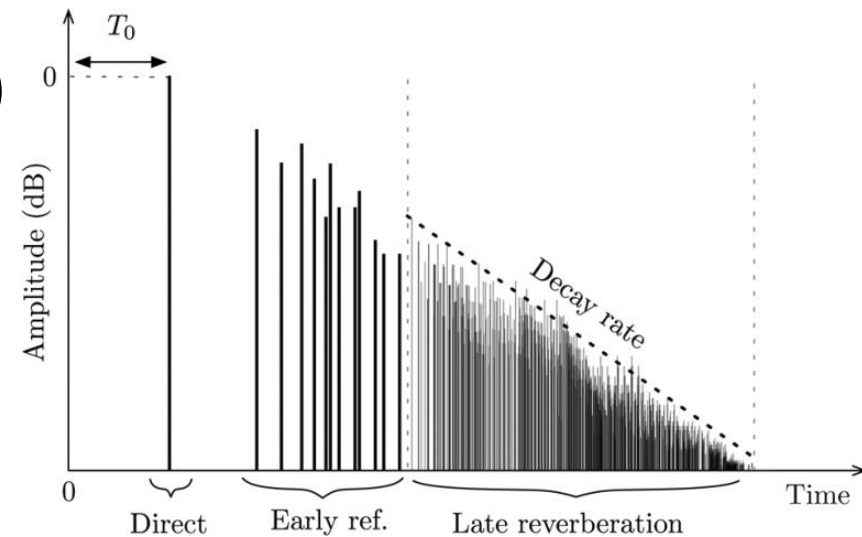
# Spatial Audio Processing

- Spatial audio coding
  - Directional audio coding (DirAC)
  - Spatial audio scene coding (SASC)
  - Binaural cue coding (BCC)
- Audio mixing (Down-mix, Up-mix)
- Sound Scene Decomposition



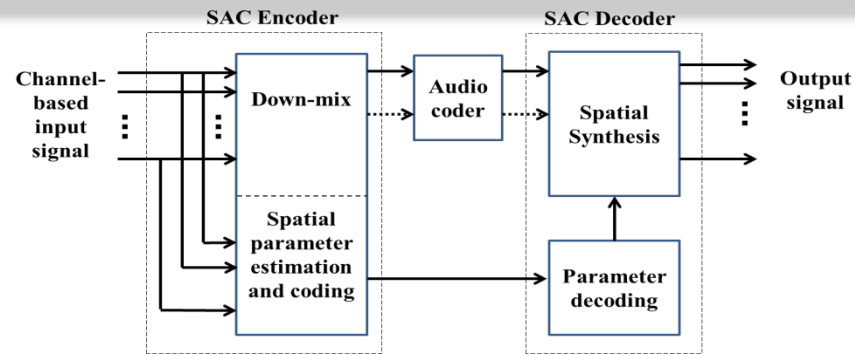
# Spatial Audio Processing

- Spatial audio coding
  - Directional audio coding (DirAC)
  - Spatial audio scene coding (SASC)
  - Binaural cue coding (BCC)
- Audio mixing (Down-mix, Up-mix)
- Sound Scene Decomposition
- Binaural synthesis
- Artificial reverberation
- Equalization, Decorrelation, Crosstalk cancellation etc.

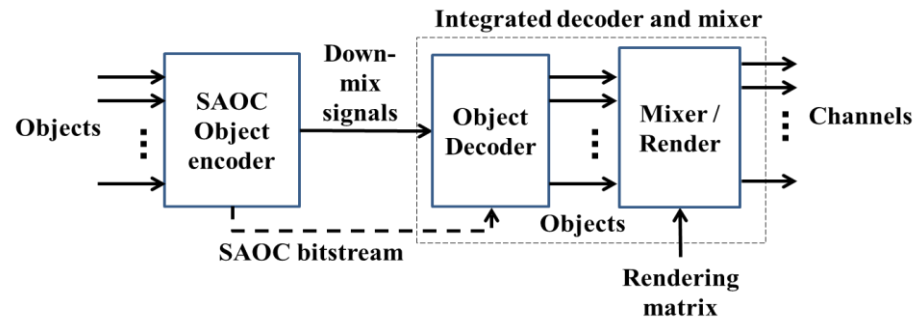


# Spatial Audio Standards

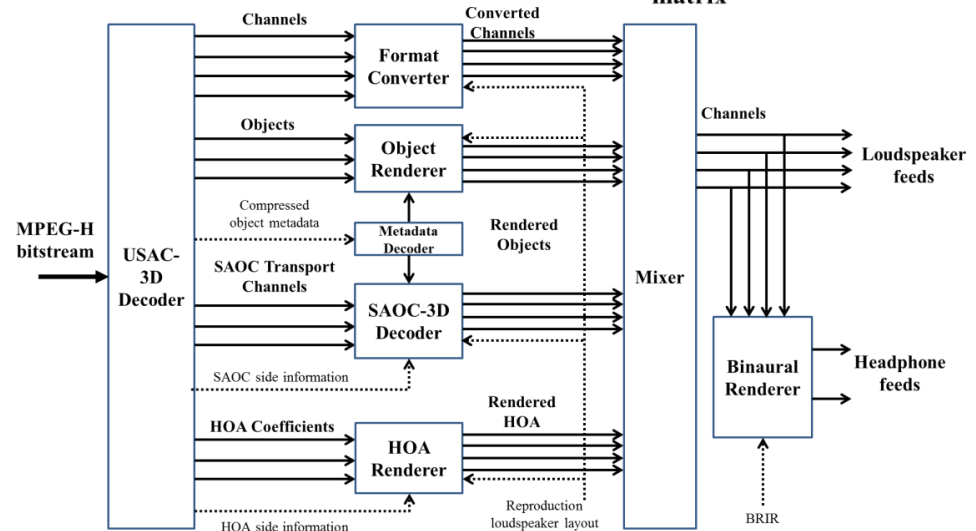
➤ MPEG Surround



➤ MPEG SAOC



➤ MPEG-H 3D Audio



# Spatial Audio Reproduction

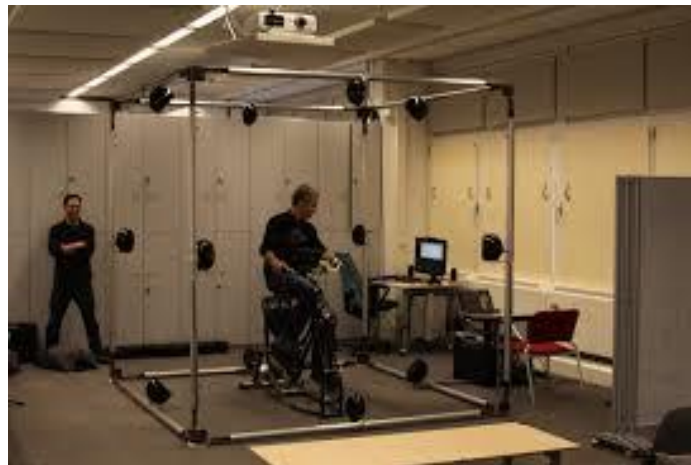
- Stereo/ Multichannel Loudspeakers (5.1, 7.1, 22.2)

- Stereo Headphone
  - Binaural Headphone



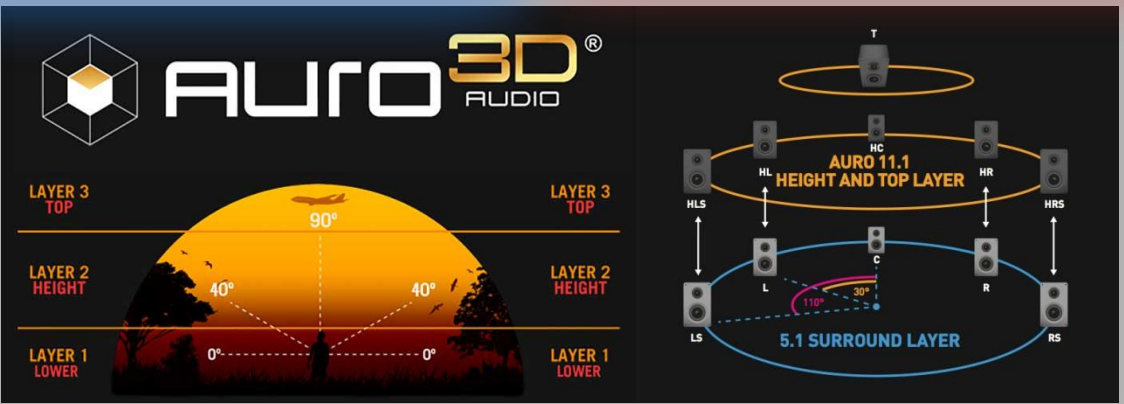
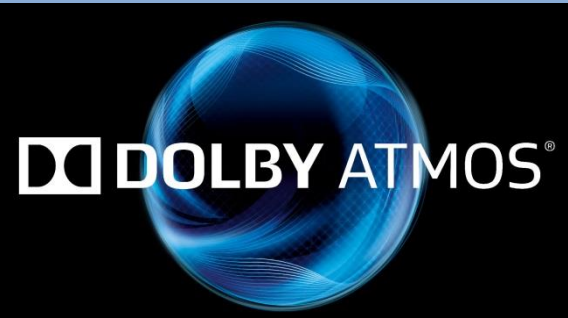
- Wave Field Synthesis (WFS)

- Ambisonics





# Emerging Spatial Audio Reproduction



# Binaural Technology

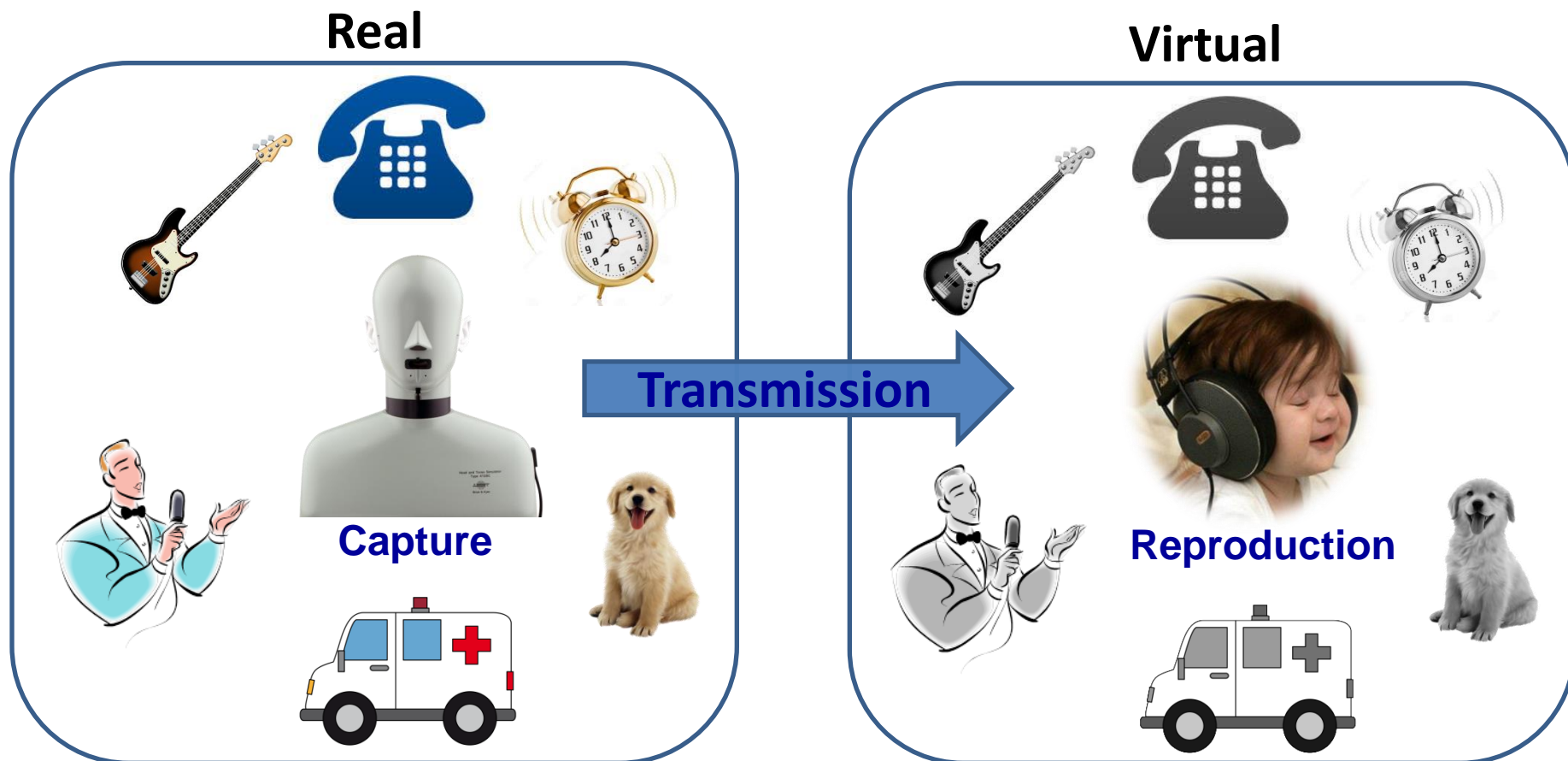


\* Picture from [logicomusic.com.br](http://logicomusic.com.br)

- Encompasses a set of tools for recording or synthesizing and rendering binaural signals at the listener's ear
- Deals with the natural cues of auditory localization which results from the reflection and diffraction of the acoustical waves with the human torso, shoulders, and the external ears

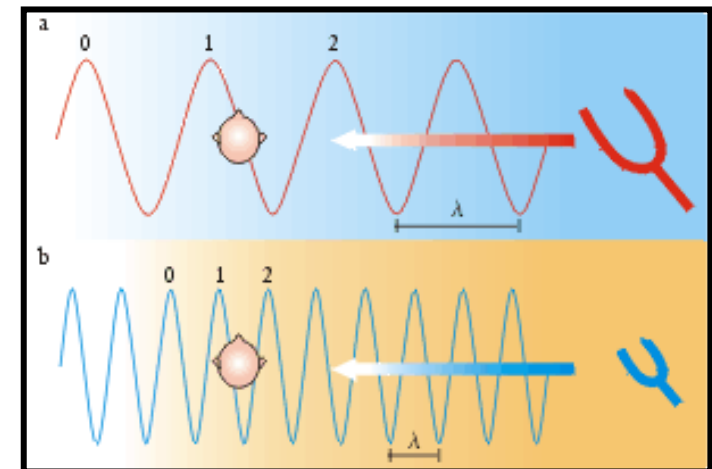
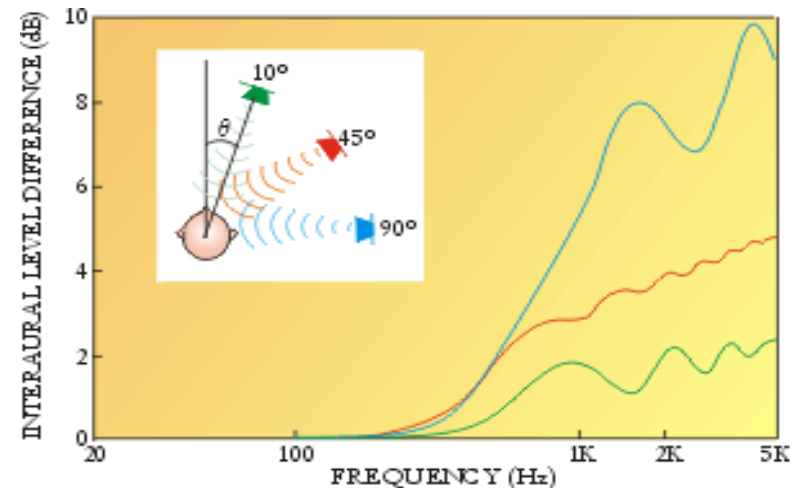


# From Real to Virtual Reality



# How do we perceive sound location?

- Compare sound received at two ears
  - **Interaural Level Differences (ILD)**
    - Effective for high frequencies above 1.5 kHz
    - Head size  $>$  wavelength
    - Smallest detectable ILD = 0.5 dB
  - **Interaural Time Differences (ITD)**
    - Effective for low frequencies below 1.5 kHz
    - **Rayleigh's duplex theory of ILD and ITD**
    - Smallest detectable ITD = 13  $\mu$ s



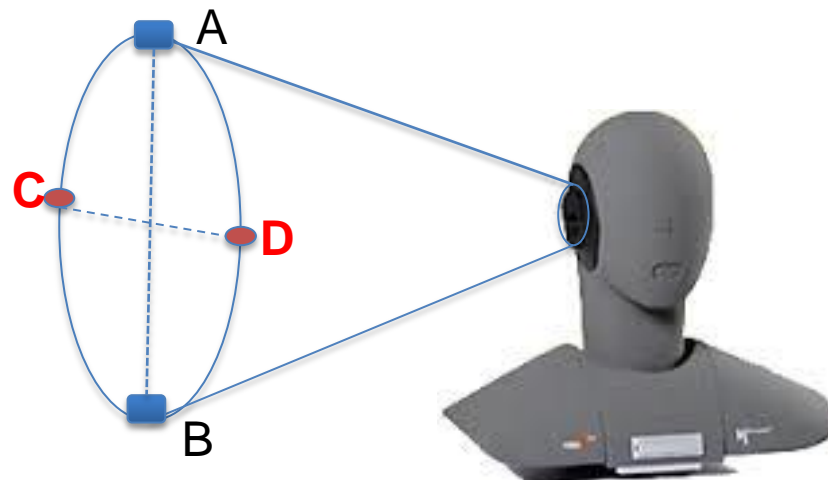
Pictures from W. M. Hartmann, "How we localize sound," Physics Today, 52(11), 24(1999)

# Inadequacy of Interaural Difference Cues

- **Similar ITD and ILD due to:**

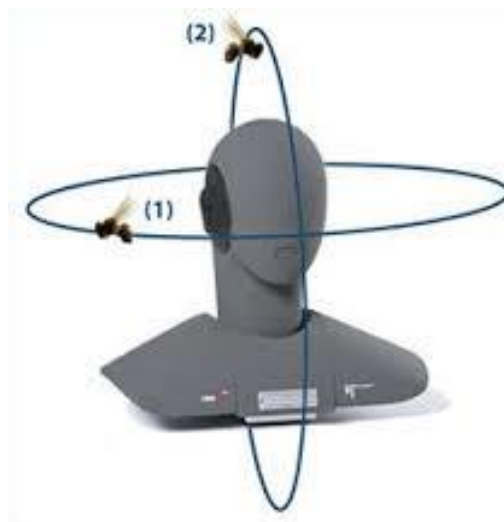
- **Cone of confusion**

Sources A & B; Sources C & D have identical ITD and ILD

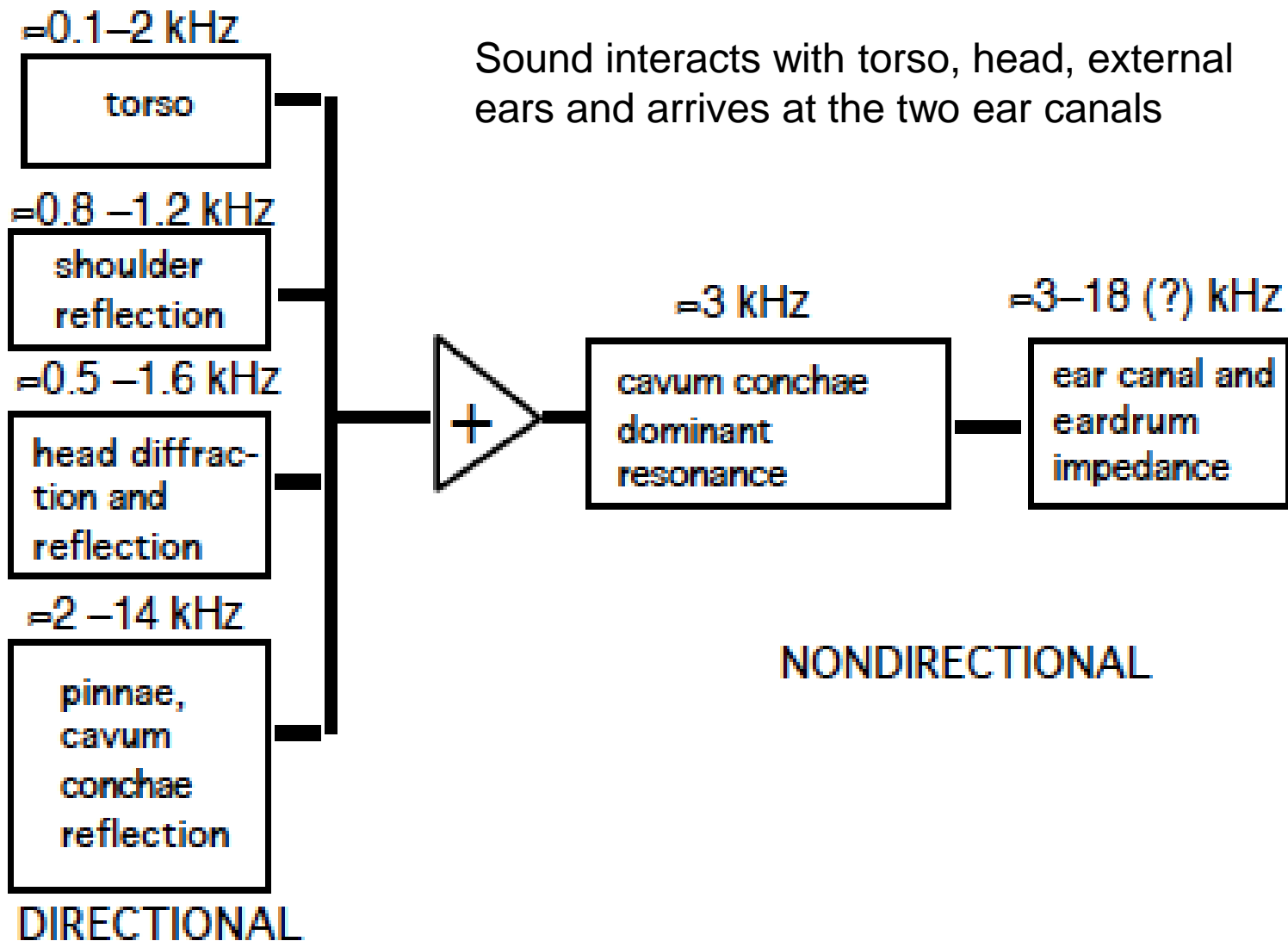


- **Media Plane (extreme case of cone of confusion)**

- **We need another sound localization cue!**

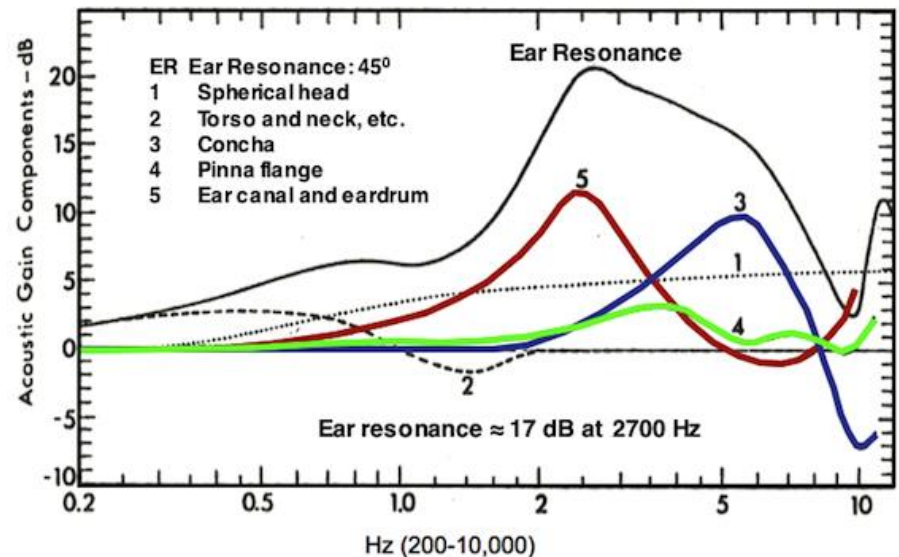
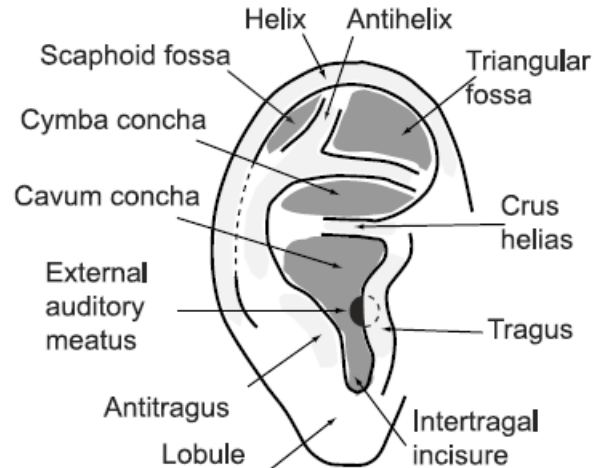


# Modeling of Sound Scattering (Human body & ears)



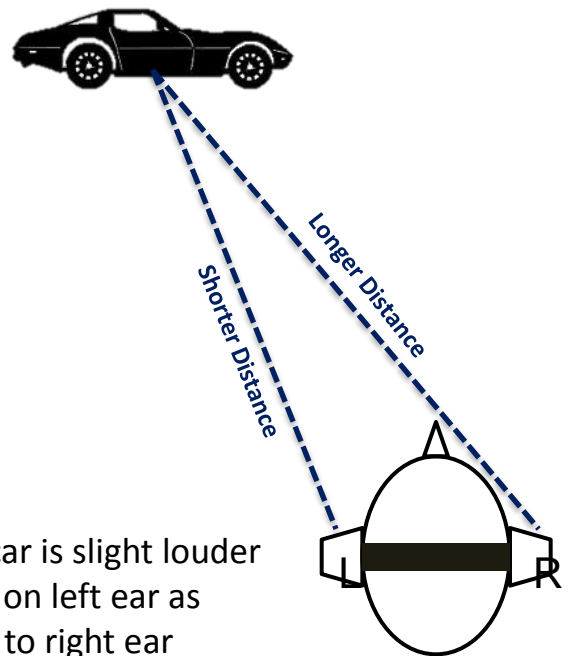
# Main Binaural Cues due to Head Related Transfer Function (HRTF)

- Sound wave scatters of torso (~45 cm), head (~20cm) and ears (~4 cm)
- Also scatter off surrounding (>2m)
- Model scattering effect independently
- Ears act as directional acoustic probes.

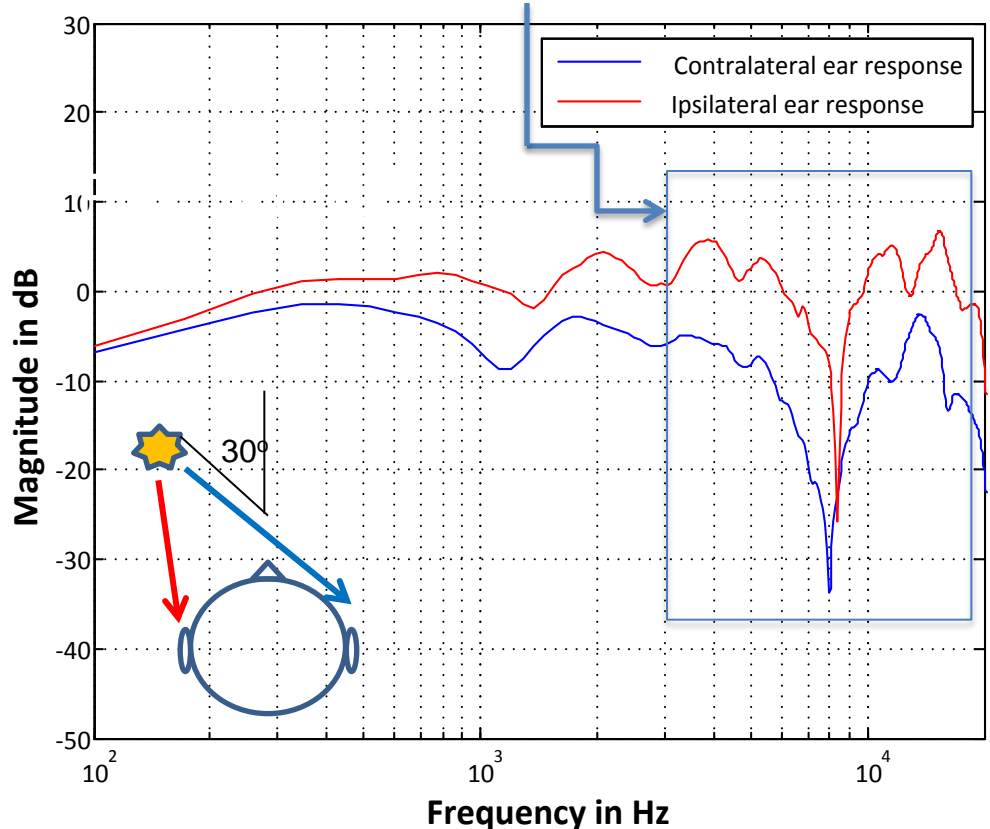


# Head Related Transfer Functions (HRTF)

Many high-frequency details due to pinna scattering



Sound of car is slight louder and faster on left ear as compared to right ear



HRTF of KEMAR dummy head for an angle of 30 degree azimuth\*

\*W. G. Gardner and K. D. Martin, "HRTF Measurements of a Kemar," Journal of the Acoustical Society of America, vol. 97, pp. 3907-3908, June 1995.

# Implementation of 3D sound in headphones



(perceived image location)

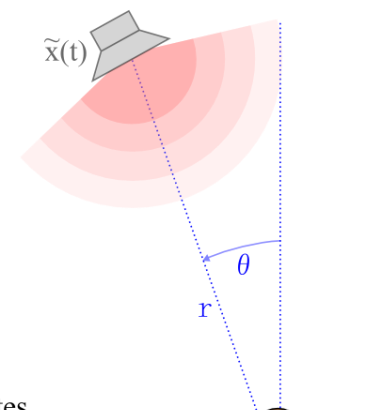
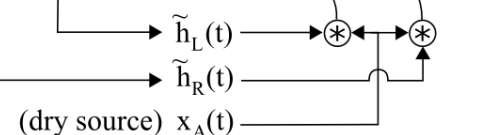


image coordinates  
( $r, \theta, \phi$ )

HRIR database,  
interpolation



Images from Wikipedia

- Head related impulse response (HRIR)
- Encodes the acoustic propagation between the sound source and the listener's ears
- Characteristic to the position of the sound source with respect to the listener
- Highly dependent on the morphology of listener.



# Binaural Recording

**Binaural Recording** can be done either at the eardrum (or at blocked ear canal) of a listener or a dummy head



Binaural Recording on human subjects and dummy heads

- Played back using a stereo set of loudspeakers or a headphone
- Encapsulates all the directional information generated by the interaction of the sound with the listener's morphology



# Individual Sound Filtering (Earprint)

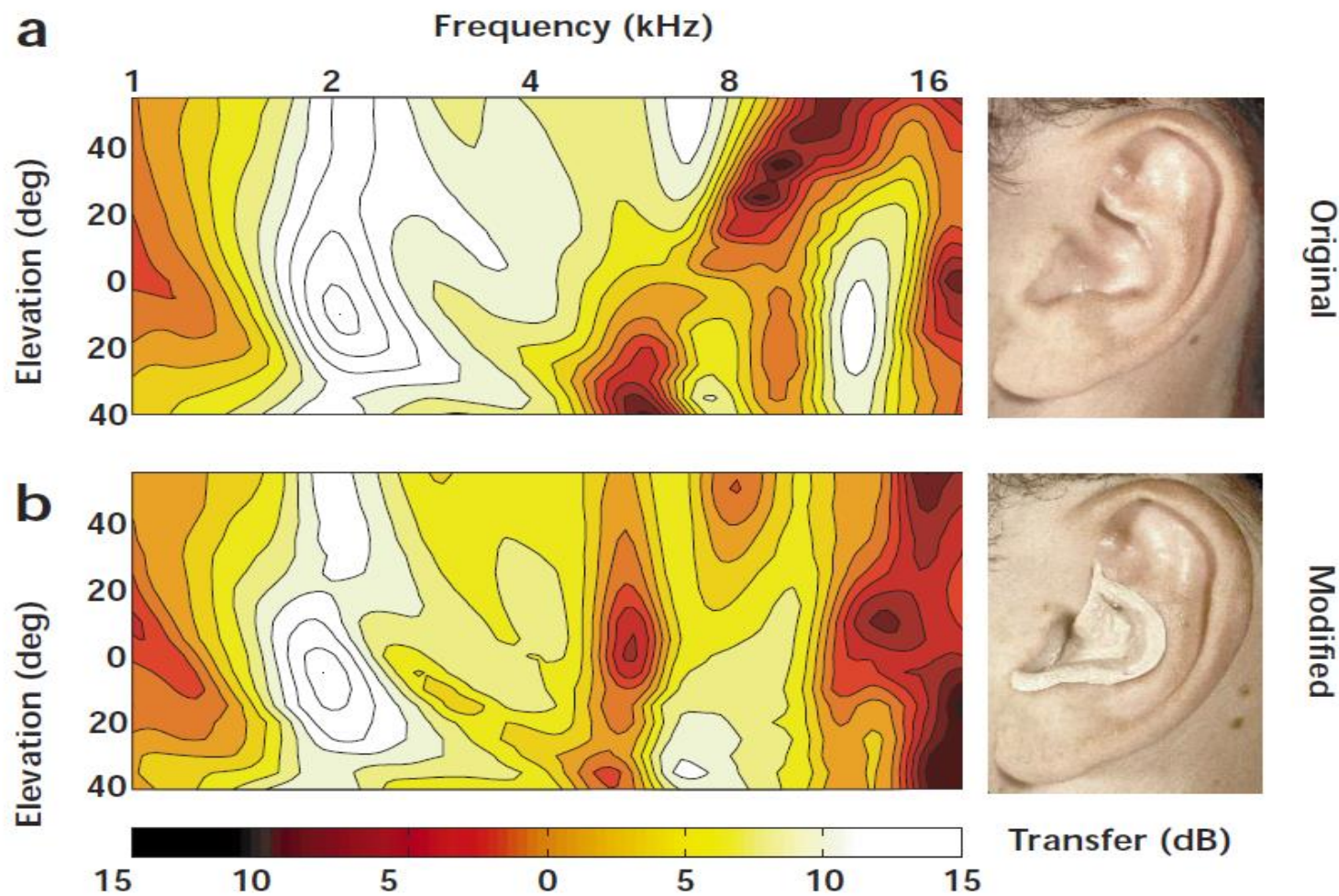
Variation in Pinna morphology



Pinna of human subjects taken from the CIPIC database

- Human pinna is found to be as **idiosyncratic as the fingerprint**
- HRTFs are highly individual and differs substantially from one subject to the other
- For perfect 3D audio playback, **individualized recordings/HRTFs** and **individualized headphone equalization** are required

# Highly Individualized Ear's Response



Picture extracted from  
Paul M. Hofman, "Relearning sound localization with new ears," *nature neuroscience* •  
volume 1 no 5 • september 1998

# CIPIC Anthropometry Measurements

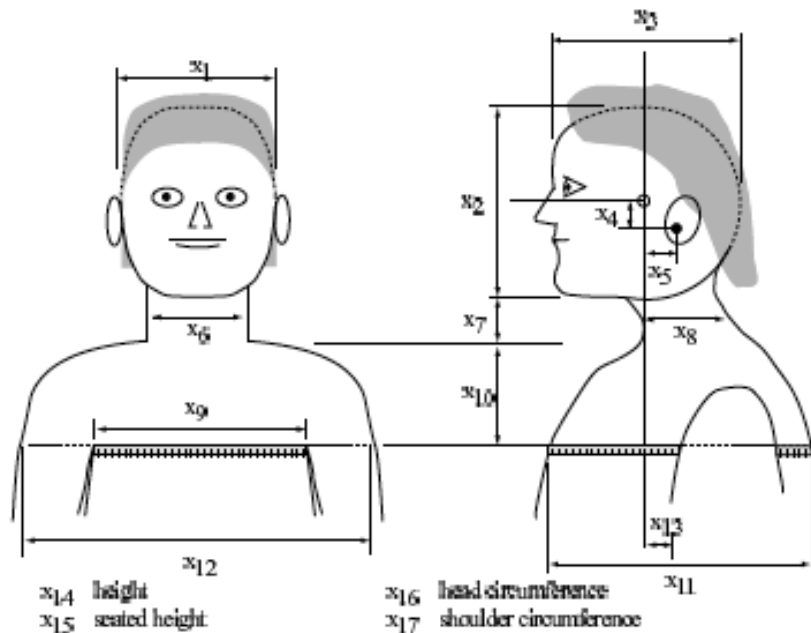


Figure 2: Head and torso measurements

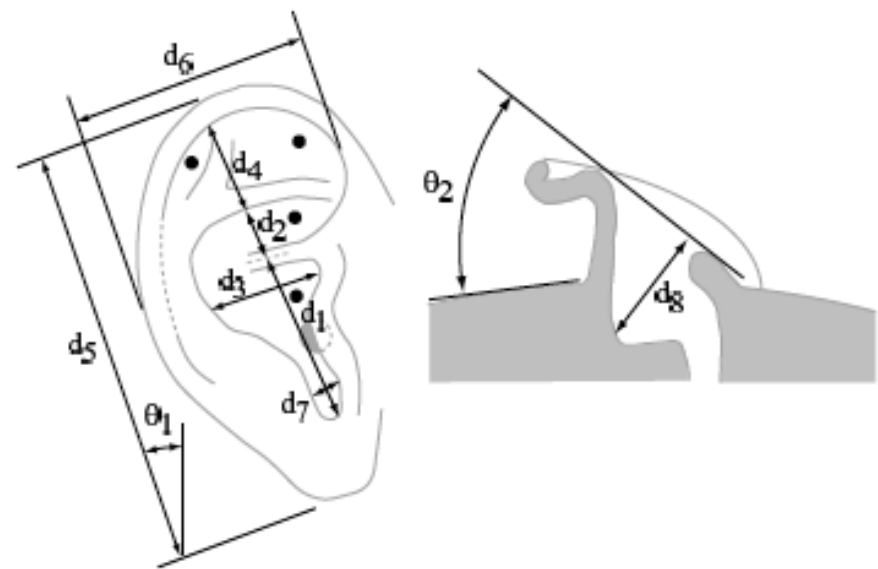


Figure 3: Pinna measurements

Var	Measurement	$\mu$	$\sigma$	%
$x_1$	head width	14.49	0.95	13
$x_2$	head height	21.46	1.24	12
$x_3$	head depth	19.96	1.29	13
$x_4$	pinna offset down	3.03	0.66	43
$x_5$	pinna offset back	0.46	0.59	254
$x_6$	neck width	11.68	1.11	19
$x_7$	neck height	6.26	1.69	54
$x_8$	neck depth	10.52	1.22	23

# 3D Audio Using Stereo Headphones

- Least expensive way to add realism to game and movie; instead of loudspeaker.
- Can produce intimately close sounds.

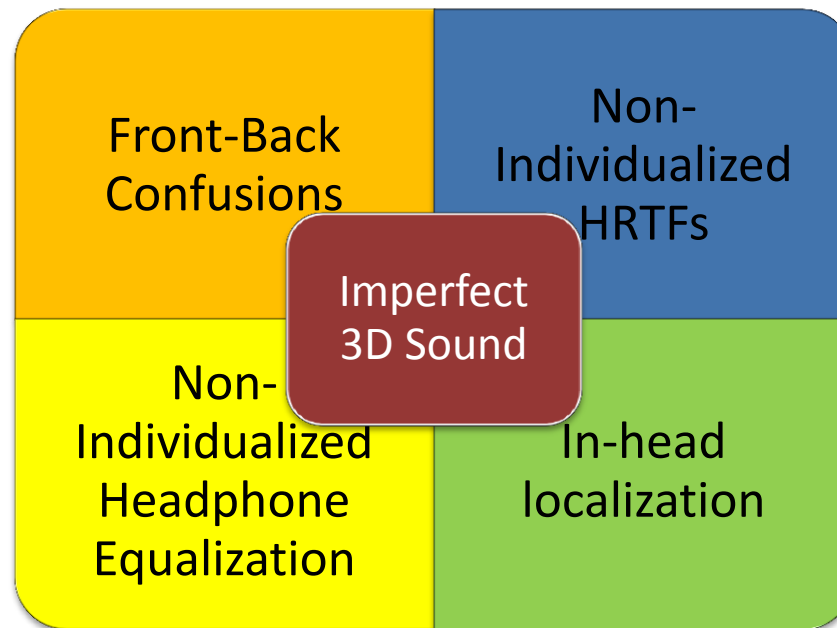


Image from: <http://www.srstechologies.com/content.aspx?id=426>

3D audio reproduction using headphones is degraded due to **front-back confusion and in-the-head localization**. By overcoming these issues, we are able to faithfully recreate 3D audio using headphones (**as in natural listening**).

# Binaural audio reproduction over headphones

Binaural audio is highly idiosyncratic



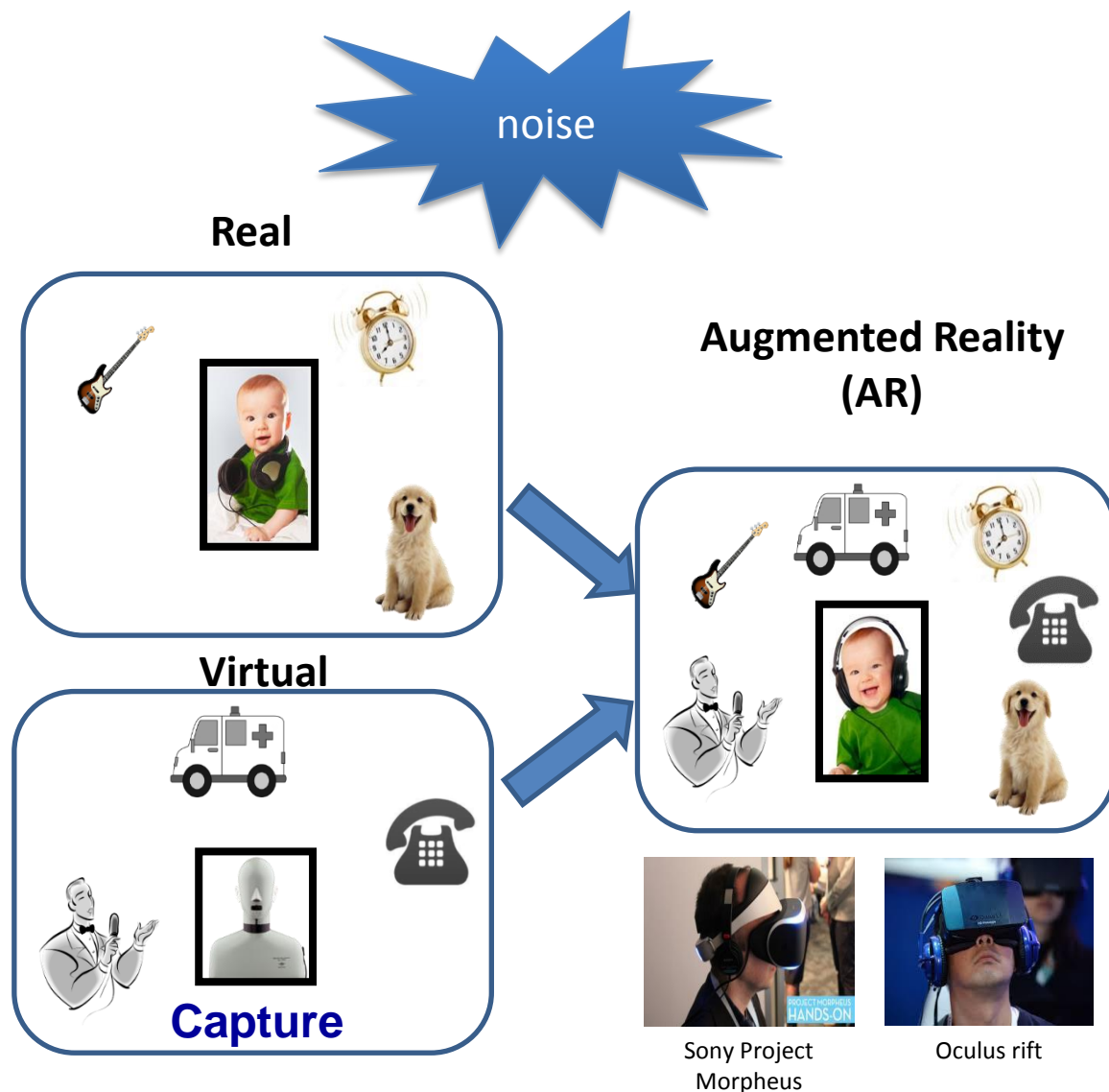
Use of non-individual HRTFs degrades the veracity of the perception of 3D sound

## Solution:

Individualizing the non-individual HRTFs can improve the 3D audio playback using headphones

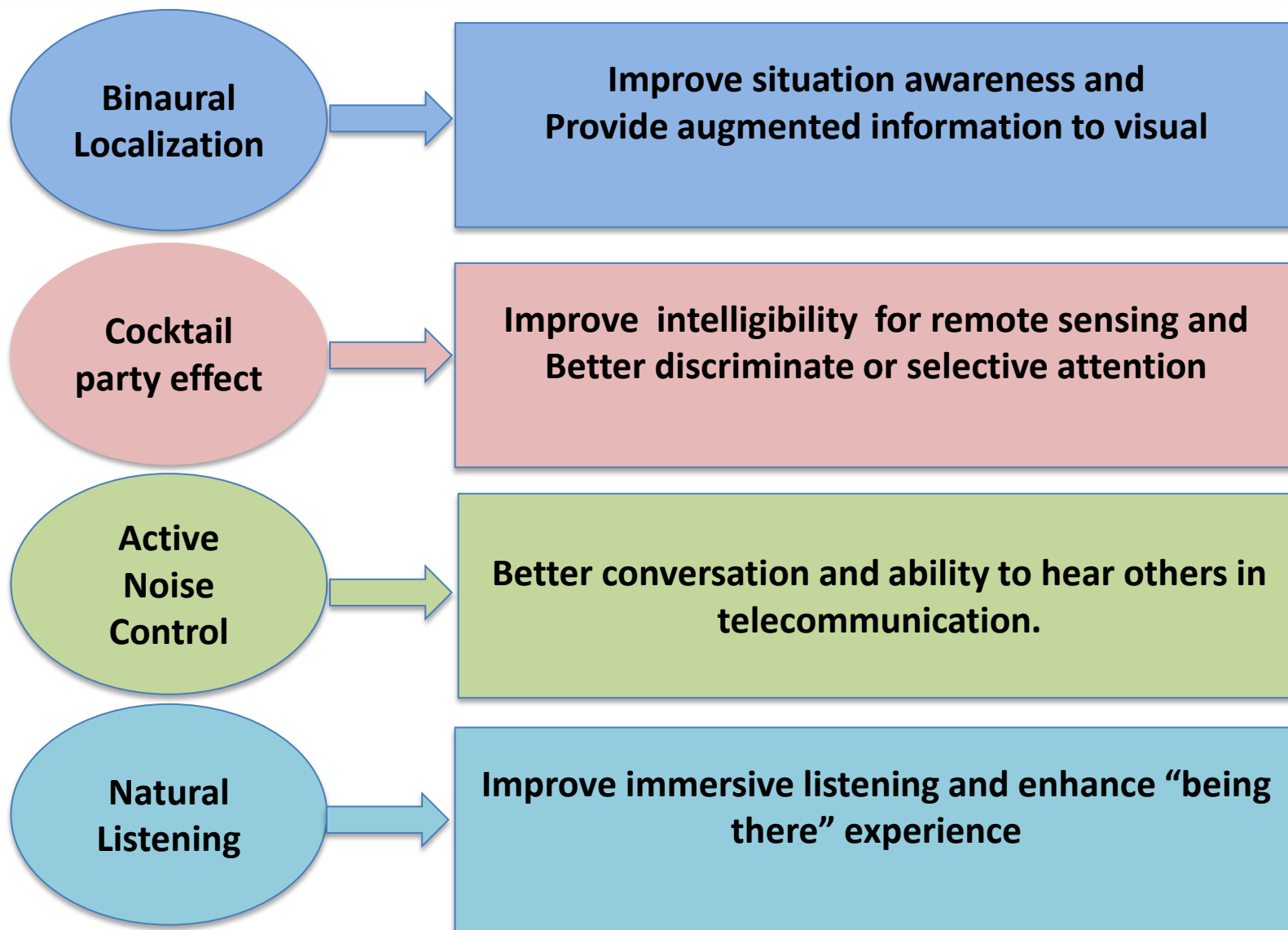
# Other Challenges and New Applications

- Natural Sound Rendering
- Active Noise Reduction
- Extension to Augmented Reality Applications
- Assisted Listening
- **Binaural Signal Processing...**





# Benefits of Spatial (binaural) Listening



Adapted from Begault

# Key References on Fundamentals of 3D Sound

- [1] D. R. Begault, *3-D sound for virtual reality and multimedia*: AP Professional, 2000.
- [2] V. R. Algazi and R. O. Duda, "Headphone-based spatial sound," *Signal Processing Magazine, IEEE*, vol. 28, no. 1, pp. 33-42, Jan. 2011.
- [3] R. Nicol, *Binaural Technology*: AES, 2010.
- [4] H. Møller, M. F. Sørensen, D. Hammershøi, and C. B. Jensen, "Head-related transfer functions of human subjects," *J. Audio Eng. Soc.*, vol. 43, no. 5, pp. 300-321, May 1995.
- [5] F. Rumsey, *Spatial Audio*. Oxford, UK: Focal Press, 2001.
- [6] T. Holman, *Surround sound up and running 2nd ed.*, MA: Focal Press, 2008.
- [7] J. Blauert, *Spatial hearing: The psychophysics of human sound localization*. Cambridge, MA, USA: MIT Press, 1997.
- [8] B. S. Xie, *Head-related transfer function and virtual auditory display, 2<sup>nd</sup> edition*. J. Ross Publishing, US, 2013.
- [9] W. G. Gardner, and K. D. Martin, "HRTF Measurements of a KEMAR," *J. Acoust. Soc. Am.*, vol. 97, pp. 3907-3908, 1995.
- [10] W. Gardner, "3-D audio using loudspeakers," PhD thesis, School of Architecture and planning, MIT, USA, 1997.
- [11] V. R. Algazi, R. O. Duda, D. M. Thompson, and C. Avendano, "The CIPIC HRTF database," in *Proc. IWASPAA*, New Paltz, NY, USA, Oct. 2001.
- [12] J. Blauert (Ed.), *The Technology of Binaural Listening*, Springer-Verlag Berlin Heidelberg, 2013.
- [13] J. Breebaart, and C. Faller, *Spatial audio processing: MPEG Surround and other applications*, John Wiley & Sons, 2007
- [14] H. Møller, "Fundamentals of binaural technology," *Appl. Acoust.*, vol. 36, pp. 171–218, 1992.

# Module II

## Natural Sound Rendering for Headphones

K. Sunder, J. He, E. L. Tan, and W. S. Gan, "Natural sound rendering for headphones," IEEE Signal Processing Magazine, vol. 32, no. 2, pp. 100-113, Mar. 2015.

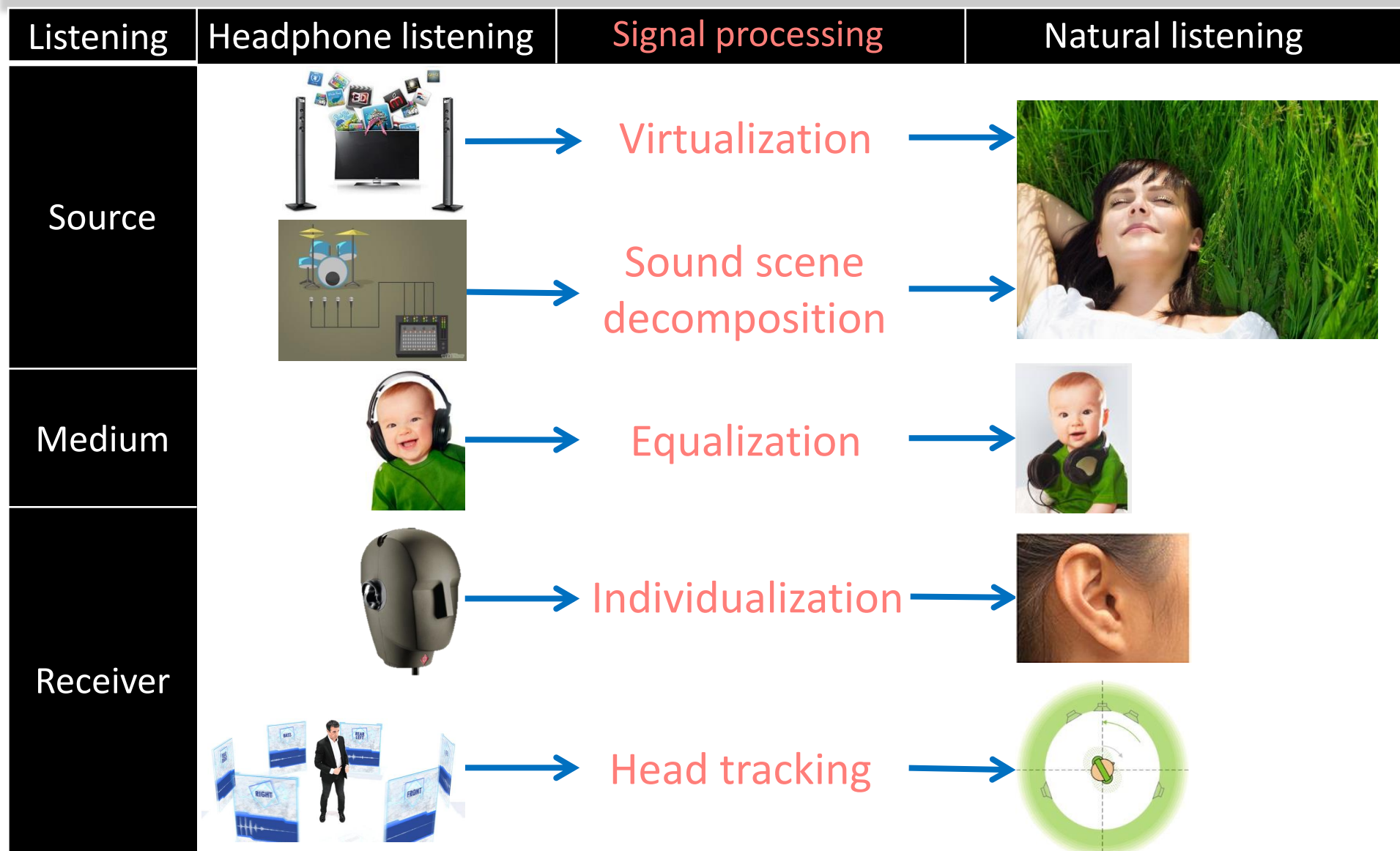


# To achieve natural sound rendering in headphones

***Natural sound rendering*** essentially refers to rendering of the spatial sound using headphones to create an immersive listening experience and the sensation of “being there” at the venue of the acoustic event.

- **Differences** between natural listening and headphone listening;
- **Challenges** for rendering sound in headphone to mimic natural listening;
- How can **signal processing** techniques help?
- How to **integrate** these techniques?
- And **subjective evaluation**

# Challenges and solutions



D. R. Begault, *3-D sound for virtual reality and multimedia*: AP Professional, 2000.

# Signal processing techniques

## 1. Virtualization:

- to match the desired playback for the digital media content;

## 2. Sound scene decomposition:

- to optimally facilitate the separate rendering of sound sources and sound environment;

## 3. Individualization:

- to compensate for the lost or altered individual filtering of sound in headphone listening;

## 4. Equalization:

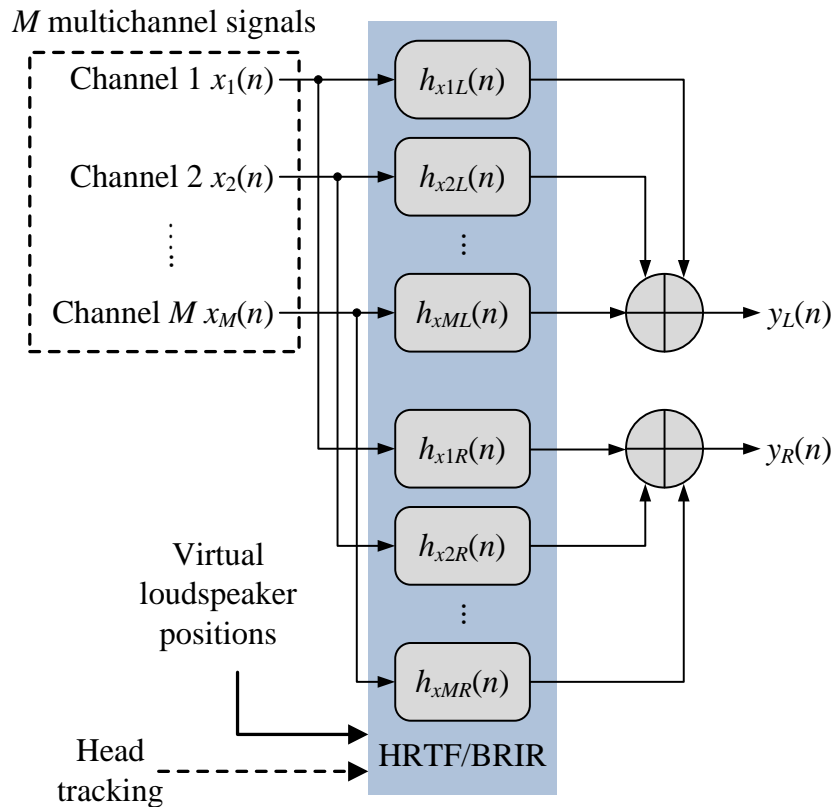
- to preserve the original timbral quality of the source and alleviate the adverse effect of the inherent headphone response;

## 5. Head tracking:

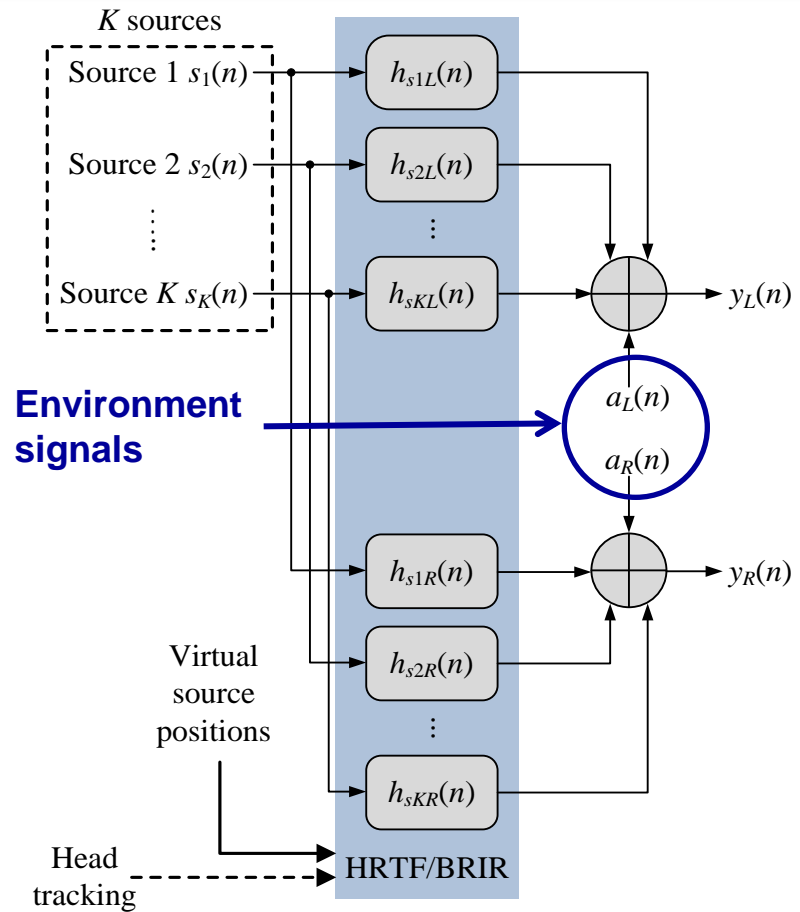
- to adapt to the dynamic head movements of the listener.



# Virtualization



(a) Virtualization of multichannel loudspeaker signals



(b) Virtualization of source and environment signals

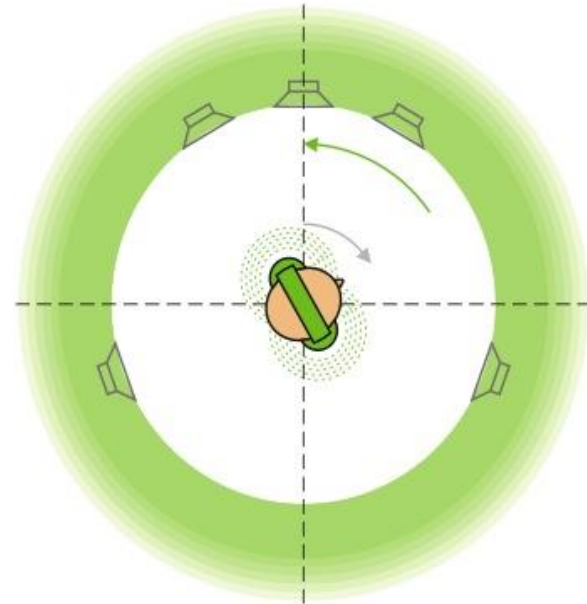
J. Breebaart and E. Schuijers, "Phantom materialization: a novel method to enhance stereo audio reproduction on headphones," *IEEE Trans. Audio, Speech, and Language Processing*, vol. 16, no.8, pp. 1503-1511, Nov. 2008.

# Virtualization with head tracking

- ❑ Head movement information is tracked by a sensor (e.g., accelerometer, gyroscope, magnetometer, camera);
- ❑ Adapt to the changes of sound scene with respect to head movements;
- ❑ Reduce front-back confusions, azimuth localization errors;
- ❑ Concern of head tracking latency (80ms).



Source from <http://3dsoundlabs.com/en/>

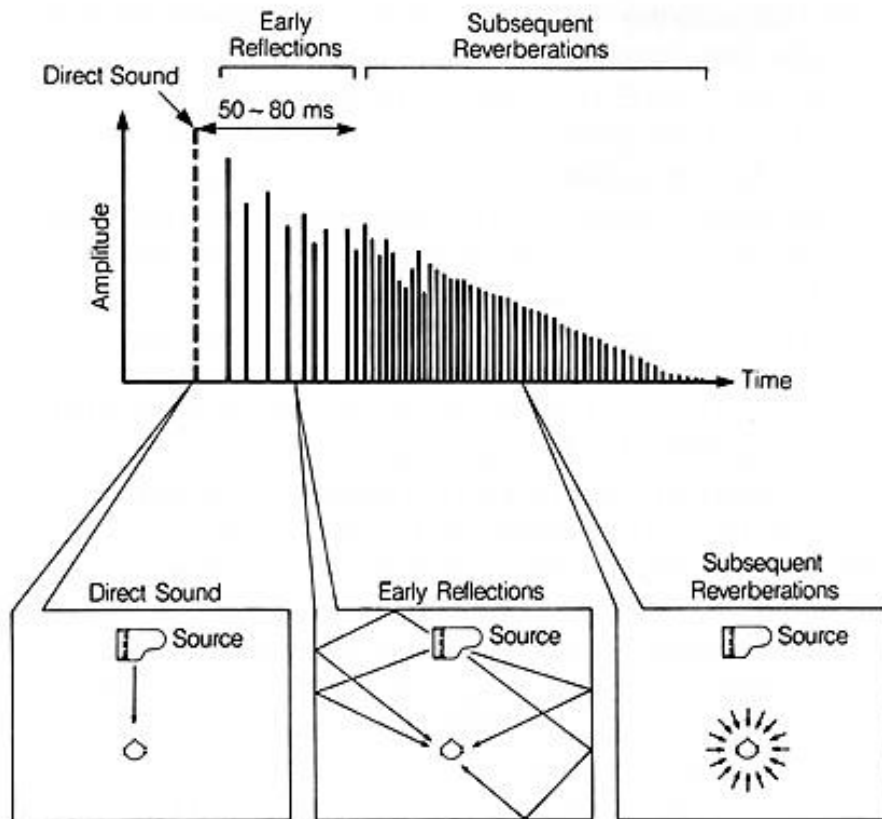


Source from [north-america.beyerdynamic.com](http://north-america.beyerdynamic.com)

# Virtualization: further considerations

## ➤ Add reverberation

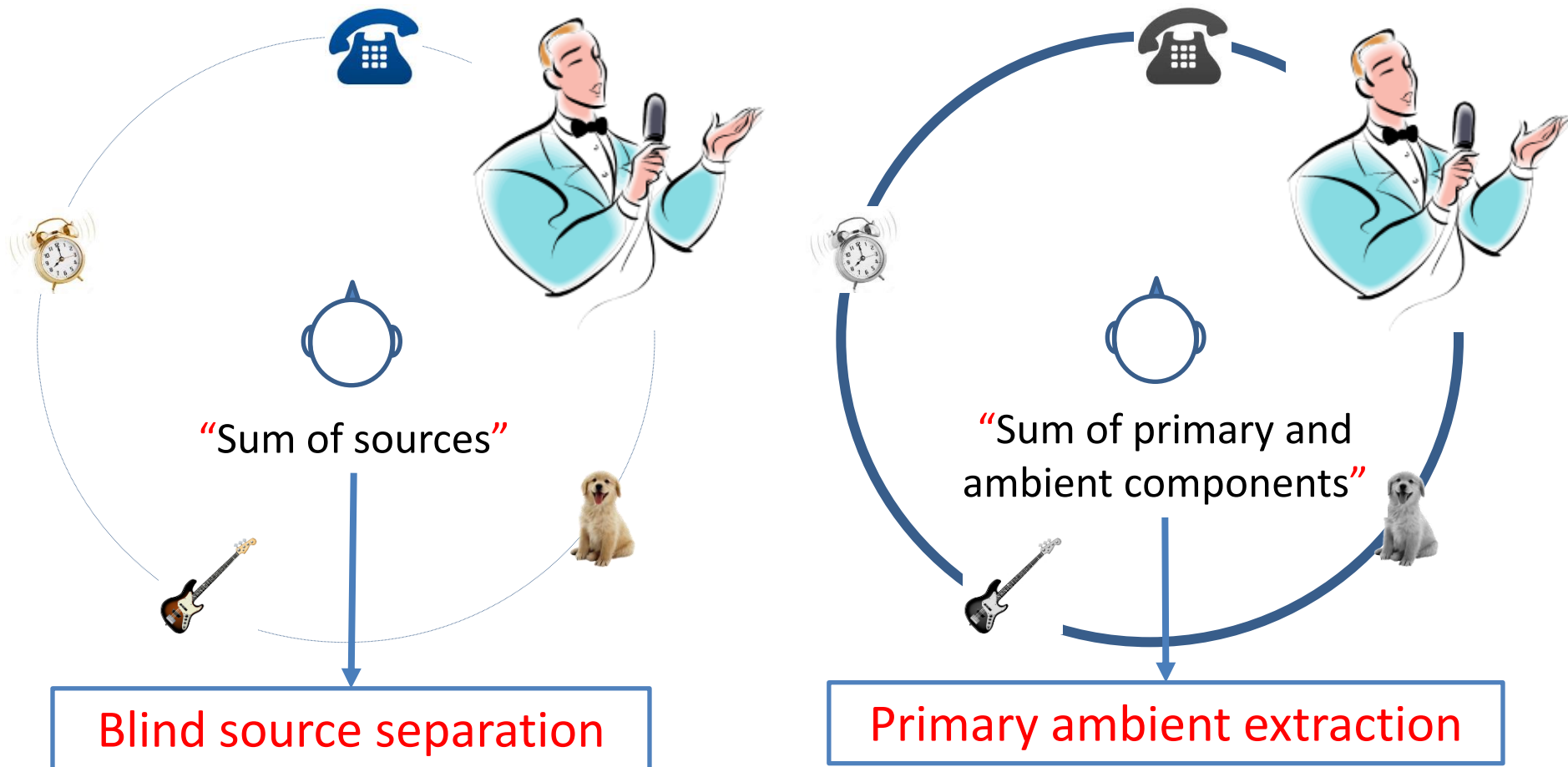
- Externalization of the sound sources, and enhance depth perception;
- Rendering of the sound environment;
- How to select correct amount of reverberation.



Source from  
[http://www.torgny.biz/Recording%20sound\\_2.htm](http://www.torgny.biz/Recording%20sound_2.htm)

# Sound scene decomposition: overview

**Aim:** to obtain useful information about the original sound scene from given mixtures, and facilitate natural sound rendering.



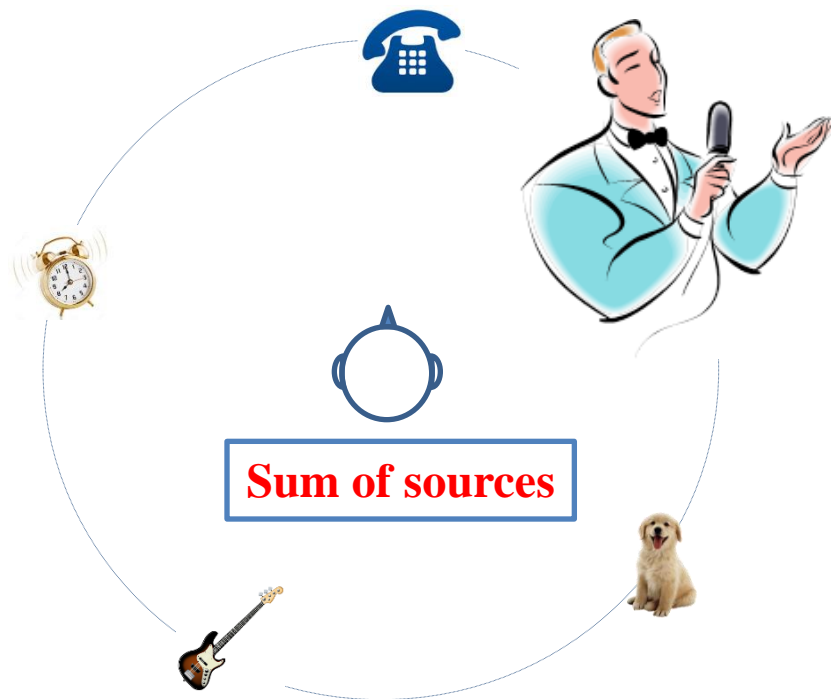
K. Sunder, J. He, E. L. Tan, and W. S. Gan, “Natural sound rendering for headphones,” IEEE Signal Processing Magazine, Mar. 2015.

# Sound scene decomposition: comparison

Techniques	Blind Source Separation	Primary Ambient Extraction
<b>Objective</b>	To obtain useful information about the original sound scene from given mixtures, and facilitate natural sound rendering	
<b>Basic model</b>	<ol style="list-style-type: none"> <li>1. Multiple sources sum together</li> <li>2. Sources are independent</li> </ol>	<ol style="list-style-type: none"> <li>1. Dominant sources + Environmental signal</li> <li>2. Primary components are highly correlated;</li> <li>3. Ambient components are uncorrelated</li> </ol>
<b>Common characteristics</b>	<ol style="list-style-type: none"> <li>1. Usually no prior information, only mixture signals</li> <li>2. Perform extraction/separation based on various signal models</li> <li>3. Require objective as well as subjective evaluation</li> </ol>	
<b>Typical applications</b>	Speech, music	Movie, gaming
<b>Limitations</b>	<ol style="list-style-type: none"> <li>1. Small number of sources</li> <li>2. Sparseness/disjoint</li> <li>3. No/simple environment</li> </ol>	<ol style="list-style-type: none"> <li>1. Small number of sources</li> <li>2. Sparseness/disjoint</li> <li>3. Low ambient power</li> <li>4. Primary ambient uncorrelated</li> </ol>

# Sound scene decomposition: BSS

**Objective:**  
to extract the  $K$   
sources from  $M$   
mixtures



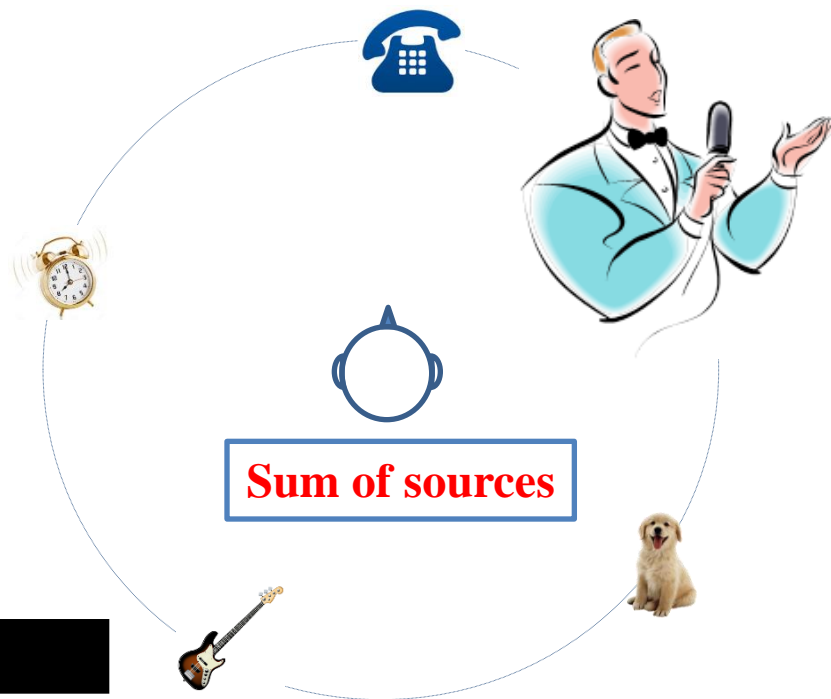
Mixtures = function (gain, source, time difference, model error)

$$x_m(n) = \sum_{k=1}^K g_{mk} s_k(n - \tau_{mk}) + e_m(n), \quad \forall m \in \{1, 2, \dots, M\}$$



# Sound scene decomposition: BSS

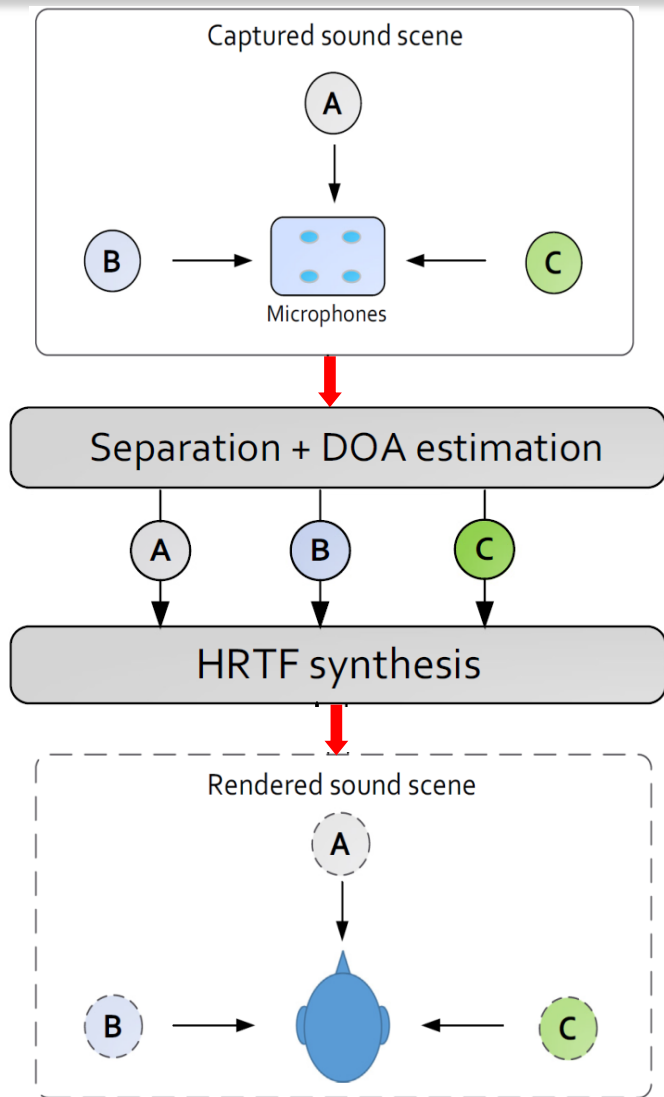
**Objective:**  
to extract the  $K$   
sources from  $M$   
mixtures



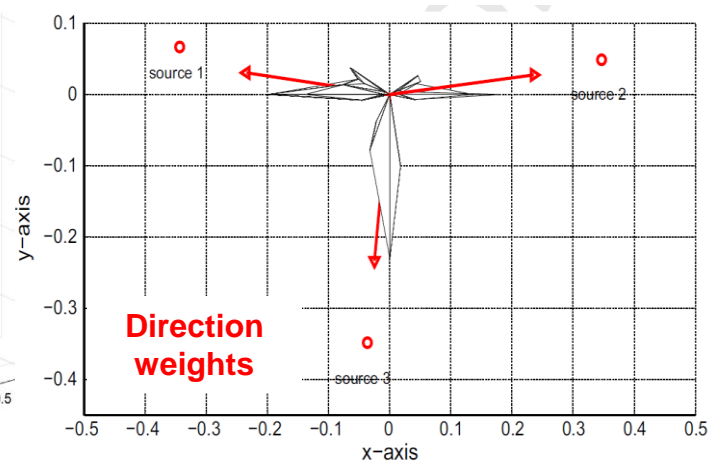
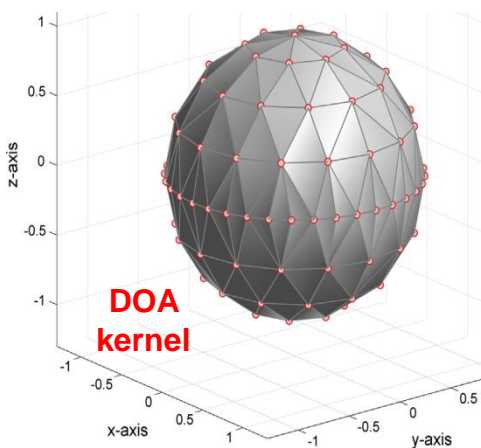
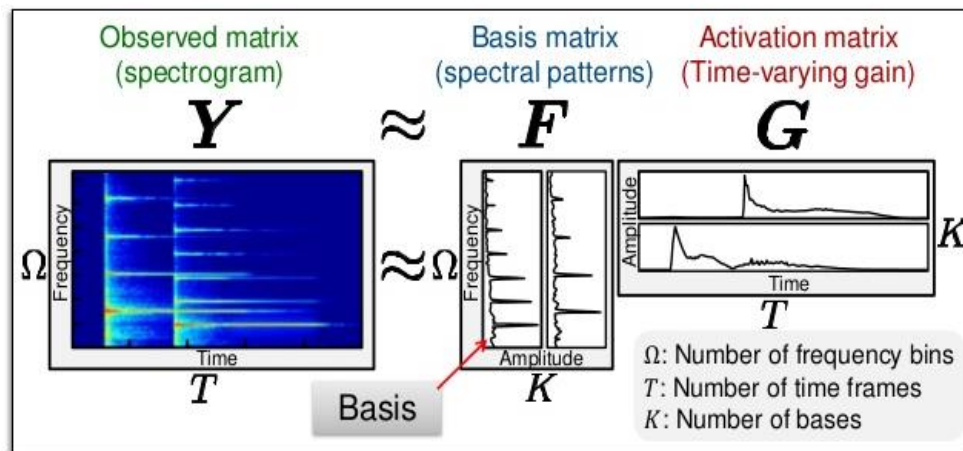
Case		Typical techniques
$M = K$		ICA
$M > K$		ICA with PCA, Least-squares
$M < K$	$M > 2$	ICA with sparse solutions
	$M = 2$	Time-frequency masking
$M = 1$		NMF, CASA

**ICA** : Independent component analysis  
**PCA** : Principal component analysis  
**NMF** : Non-negative matrix factorization;  
**CASA**: Computational auditory scene analysis

# One example using NMF



## NMF

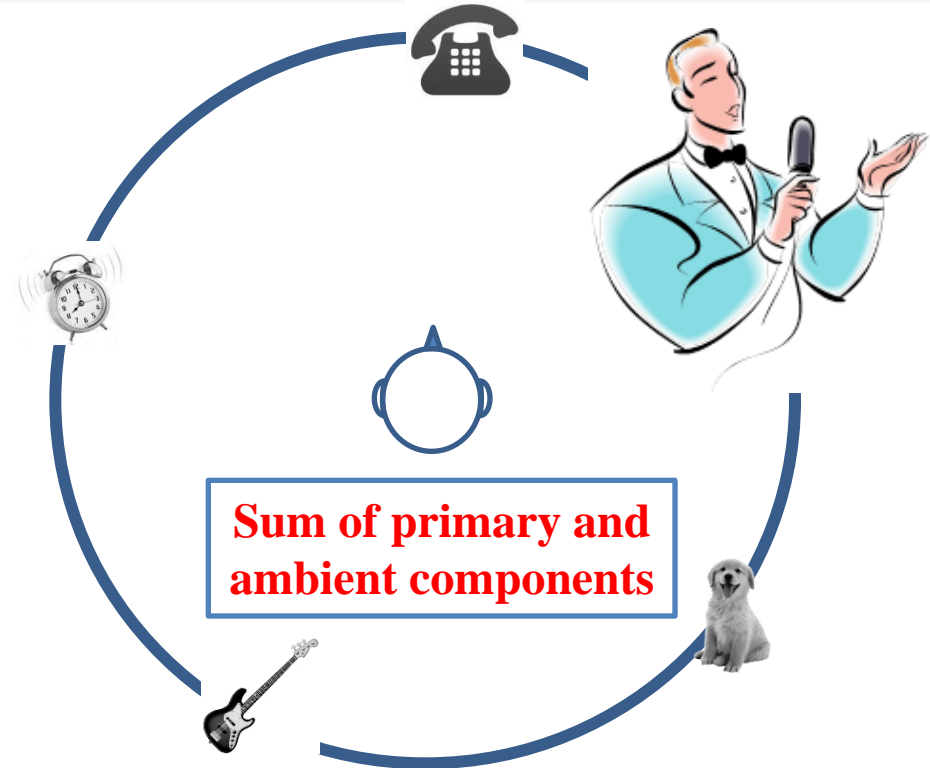


J. NiKunen et al. "Binaural rendering of microphone array captures based on source separation," Speech Communication, 2015.

# Sound scene decomposition: PAE

**Objective:**

to extract the primary and ambient components from  $M$  ( $M = 2$ , stereo) mixtures



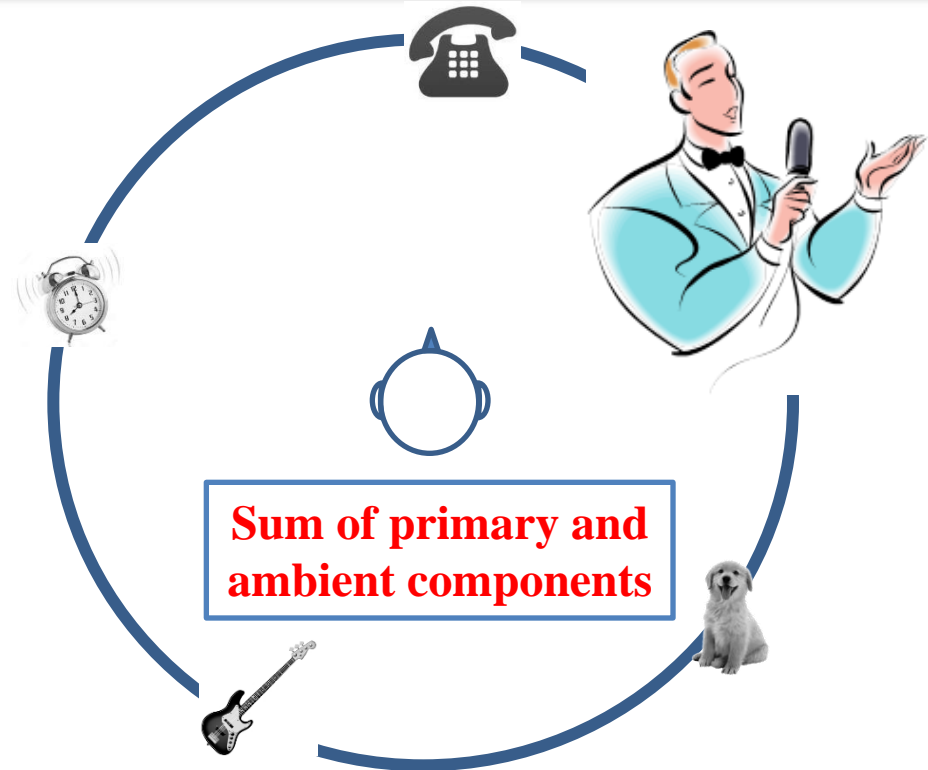
Mixtures = primary component + ambient component

$$x_m(n) = p_m(n) + a_m(n)$$

# Sound scene decomposition: PAE

## Objective:

to extract the primary and ambient components from  $M$  ( $M = 2$ , stereo) mixtures



Case		Typical techniques
Basic model	Channel-wise	Time frequency masking
	Combine channels	Linear estimation, Ambient spectrum estimation
Complex model		Time/phase shifting, Classification, Subband, Pairing up two channels, etc.

# Definitions with Stereo Signal Model

**Signal = Primary + Ambient**

$$\mathbf{x}_0 = \mathbf{p}_0 + \mathbf{a}_0$$

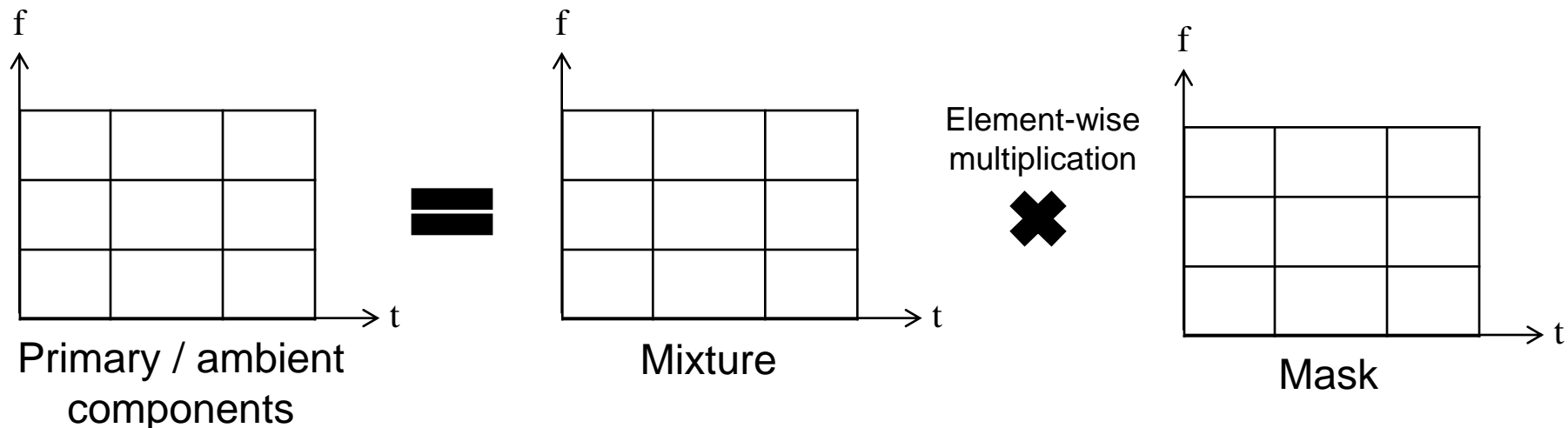
$$\mathbf{x}_1 = \mathbf{p}_1 + \mathbf{a}_1$$

## Assumptions

Primary components highly correlated	$\mathbf{p}_1 = k\mathbf{p}_0$
Ambient components uncorrelated	$\mathbf{a}_0 \perp \mathbf{a}_1$
Primary ambient components uncorrelated	$\mathbf{p}_i \perp \mathbf{a}_j$
Ambient power balanced	$P_{\mathbf{a}_0} = P_{\mathbf{a}_1}$

J. He, E. L. Tan and W. S. Gan, "Linear estimation based primary-ambient extraction for stereo audio signals," *IEEE/ACM Trans. Audio, Speech, Lang. Process.*, vol. 22, no. 2, pp. 505-517, Feb. 2014.

# PAE: time frequency masking

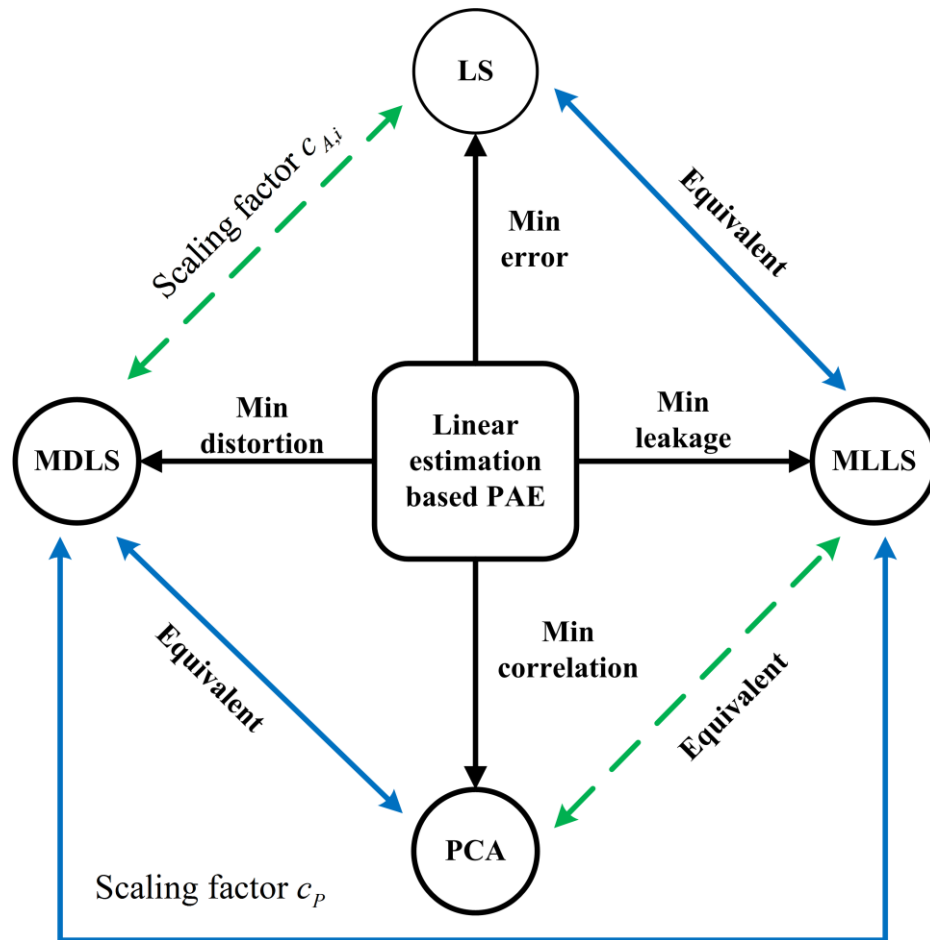


## Mask can be constructed using

- Inter-channel coherence [Avendano and Jot, 2004]
- Pairwise correlation [Thompson et al., 2012]
- Equal level of ambience [Merimaa et al., 2007]
- Diffuseness [Pulkki, 2007]



# PAE: linear estimation



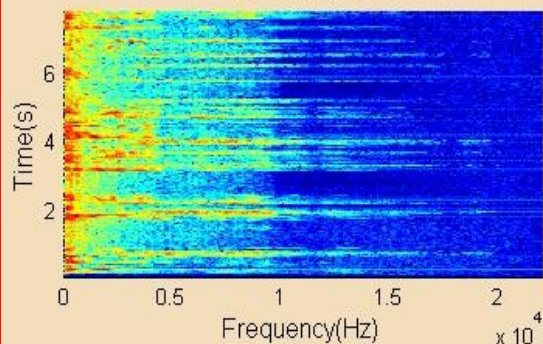
$$\begin{bmatrix} \hat{p}_0(n) \\ \hat{p}_1(n) \\ \hat{a}_0(n) \\ \hat{a}_1(n) \end{bmatrix} = \begin{bmatrix} w_{P0,0} & w_{P0,1} \\ w_{P1,0} & w_{P1,1} \\ w_{A0,0} & w_{A0,1} \\ w_{A1,0} & w_{A1,1} \end{bmatrix} \begin{bmatrix} x_0(n) \\ x_1(n) \end{bmatrix}$$

## Objectives and relationships of four linear estimation based PAE approaches.

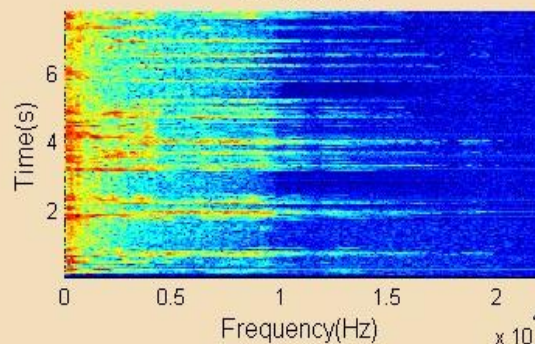
- **Blue** solid lines represent the relationships in the **primary** component;
- **Green** dotted lines represent the relationships in the **ambient** component.
- **MLLS**: minimum leakage LS
- **MDLS**: minimum distortion LS

# PAE: an example from least-squares

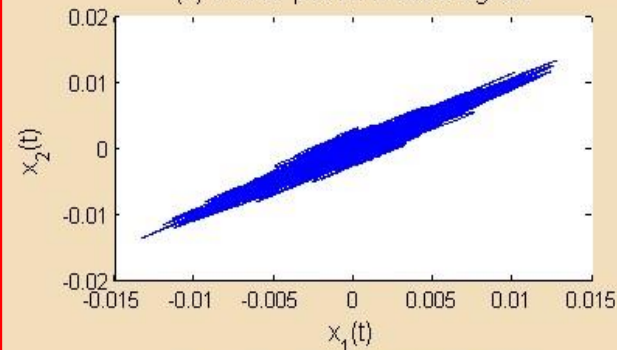
(a) Mixture 1



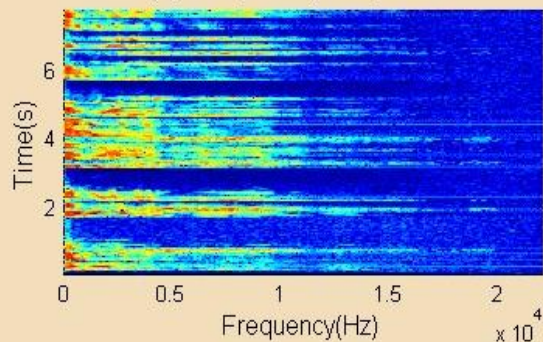
(b) Mixture 2



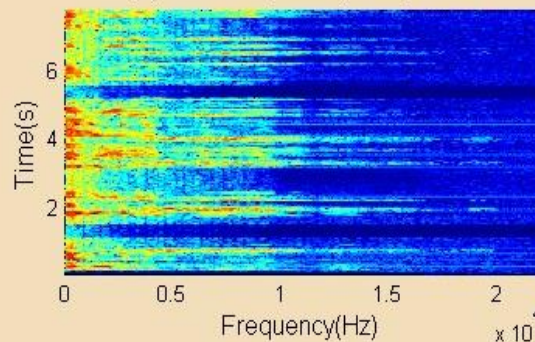
(c) Scatter plot for mixture signals



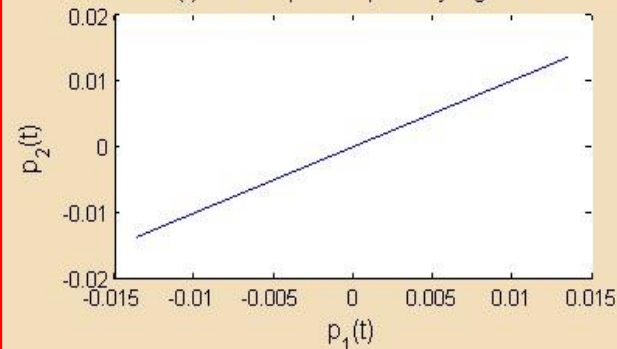
(d) True primary component



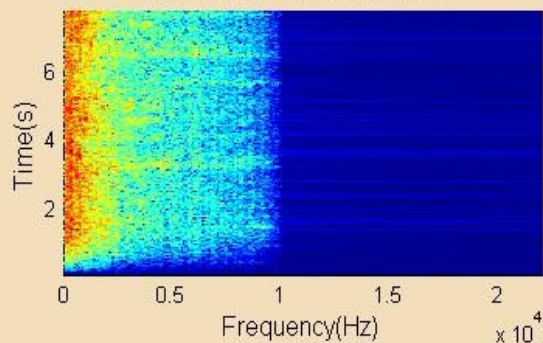
(e) Extracted primary component



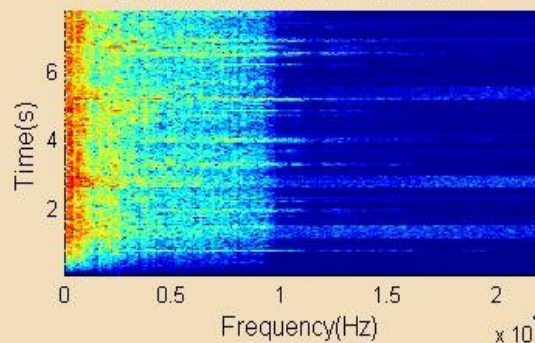
(f) Scatter plot for primary signals



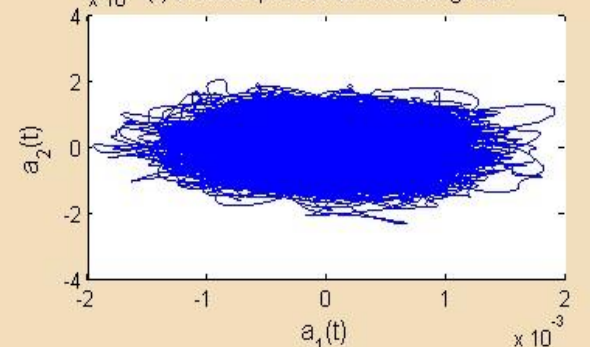
(g) True ambient component



(h) Extracted ambient component



(i) Scatter plot for ambient signals



# PAE: ambient spectrum estimation

Signal model

$$\mathbf{X}_0 = \mathbf{P}_0 + \mathbf{A}_0, \quad \mathbf{X}_1 = \mathbf{P}_1 + \mathbf{A}_1 \quad \mathbf{A}_c = |\mathbf{A}| \square e^{j\theta_c}, \quad \forall c \in \{0,1\},$$

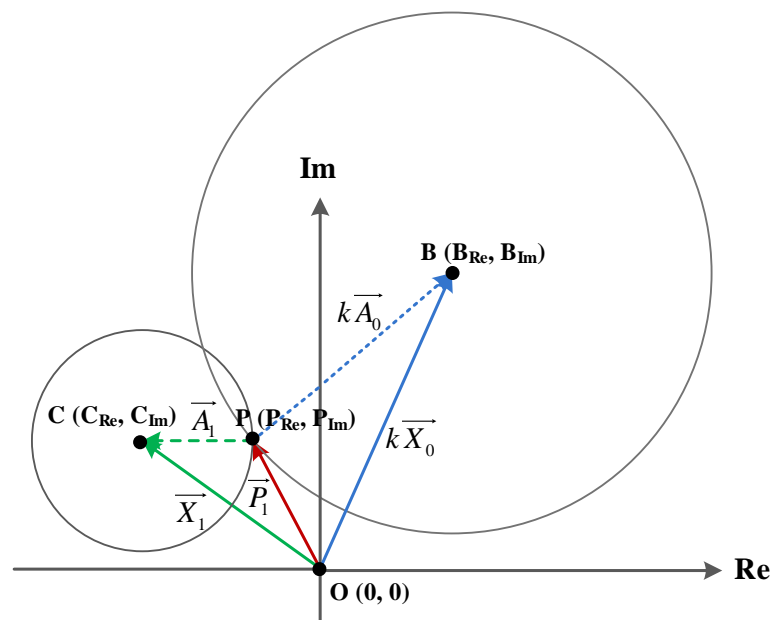
Ambient Phase Estimation (APE)

$$\mathbf{A}_c = (\mathbf{X}_1 - k\mathbf{X}_0) ./ (e^{j\theta_1} - ke^{j\theta_0}) \square e^{j\theta_c},$$

$$\mathbf{P}_c = \mathbf{X}_c - \mathbf{A}_c, \quad \forall c \in \{0,1\}.$$

Find  $\theta_0, \theta_1$

Ambient Magnitude Estimation (AME)



Find  $r = |\mathbf{A}|$

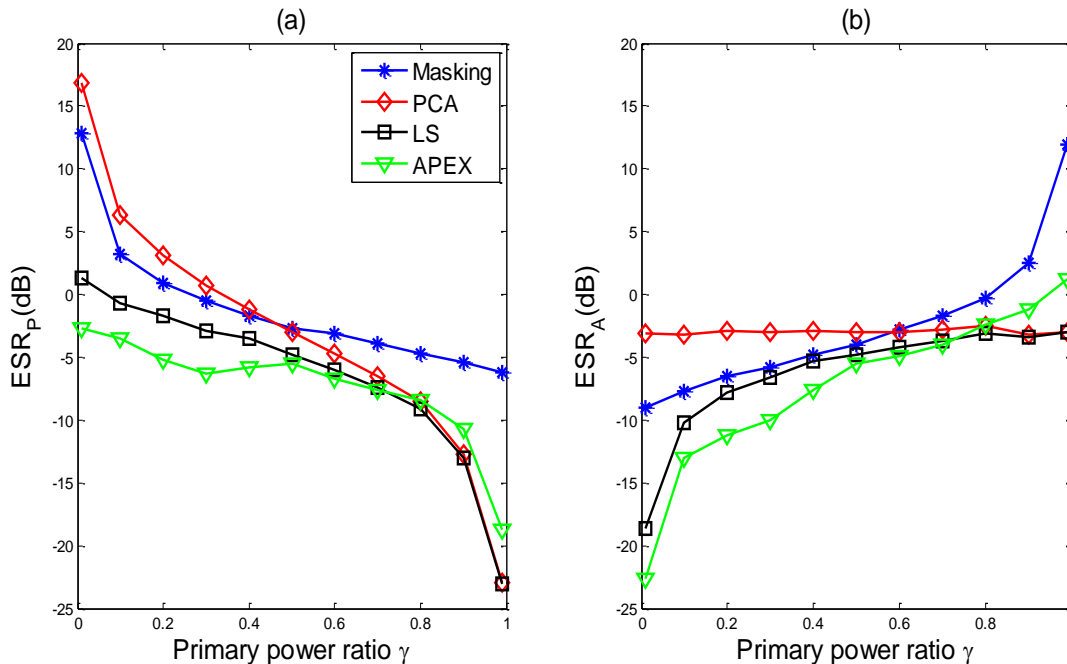
Sparsity constraint

$$\text{APES: } \hat{\theta}_1^* = \arg \min_{\hat{\theta}_1} \|\hat{\mathbf{P}}_1\|_1, \quad \text{or} \quad \text{AMES: } \hat{\mathbf{r}}^* = \arg \min_{\hat{\mathbf{r}}} \|\hat{\mathbf{P}}_1\|_1$$

J. He, E. L. Tan, and W. S. Gan, "Primary-ambient extraction using ambient spectrum estimation for immersive spatial audio reproduction," IEEE/ACM Trans. Audio, Speech, Lang. Process., vol. 23, no. 9, pp. 1431-1444, Sept. 2015.

# PAE: ambient spectrum estimation using sparsity

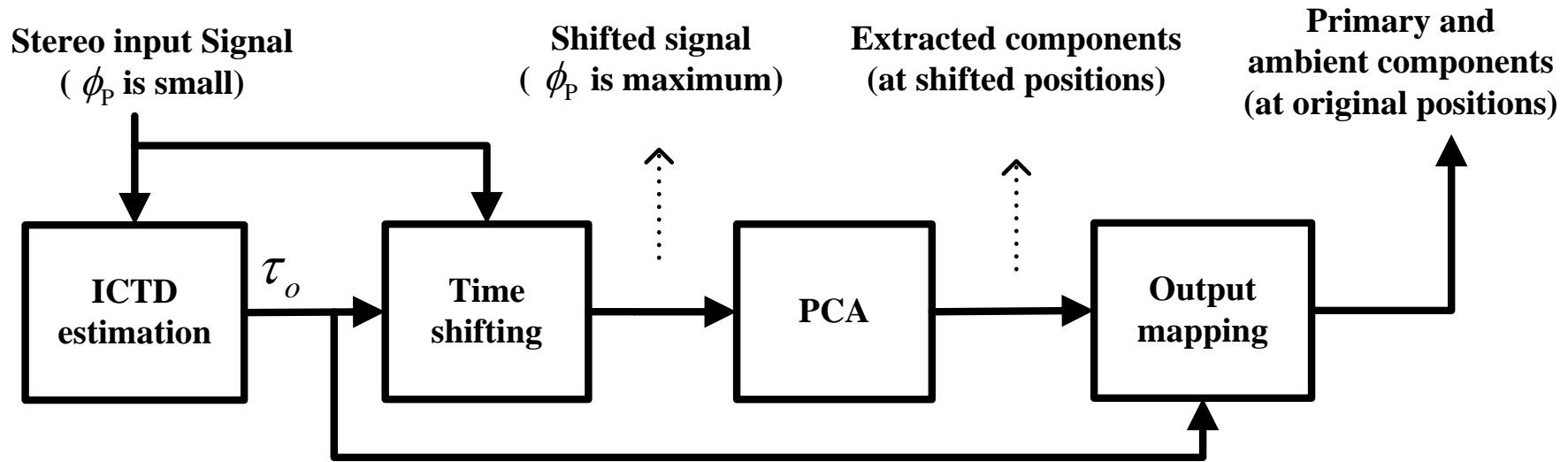
$$\text{Approximate efficient solution APEX: } \hat{\boldsymbol{\theta}}_1^* = \begin{cases} \angle \mathbf{X}_1 & , \forall k > 1 \\ \angle (\mathbf{X}_1 - \mathbf{X}_0) & , \forall k = 1 \end{cases}$$



## Performance of Ambient Spectrum Estimation approaches:

- Lower estimation error (ESR reduction: 3-6 dB average);
- Robust to variation on ambient magnitude difference (up to 10 dB);
- Validated in subjective listening tests.

# PAE: time shifting



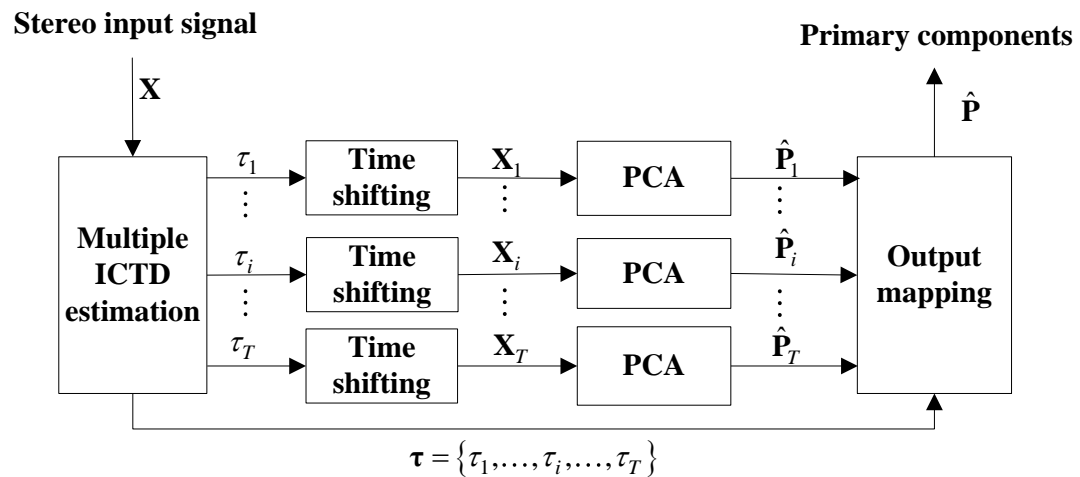
## For mixture signals with partially correlated primary components

- More accurate estimation of model parameter;
- Lower extraction error;
- Closer estimation of the spatial attributes;
- Increase of computational load.

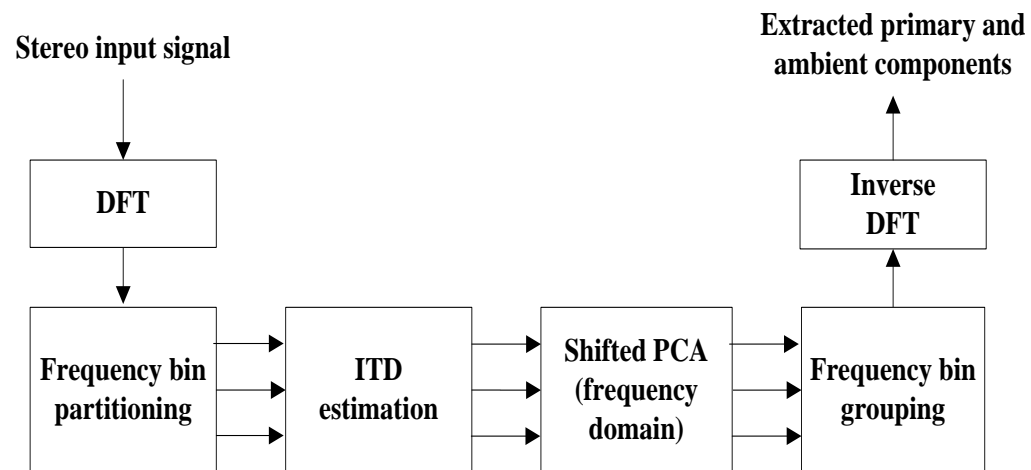
J. He, W. S. Gan, and E. L. Tan, "Time-shifting based primary-ambient extraction for spatial audio reproduction," IEEE/ACM Trans. Audio, Speech, Lang. Process., vol. 23, no. 10, pp. 1576-1588, Oct. 2015.

# PAE: multiple sources

## Multi-shifting PAE with ICC based output weighting



## Subband PAE with frequency bin partitioning

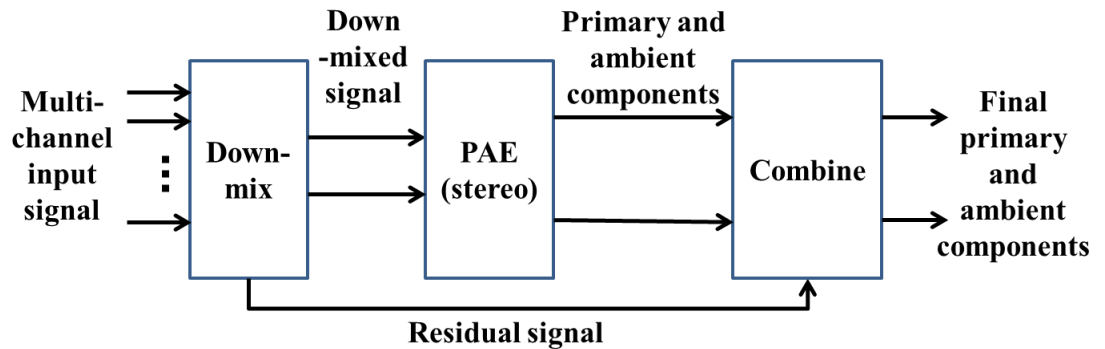


J. He, and W. S. Gan, "Multi-shift principal component analysis based primary component extraction for spatial audio reproduction," in *Proc. ICASSP*, Brisbane, Australia, Apr. 2015, pp. 350-354.

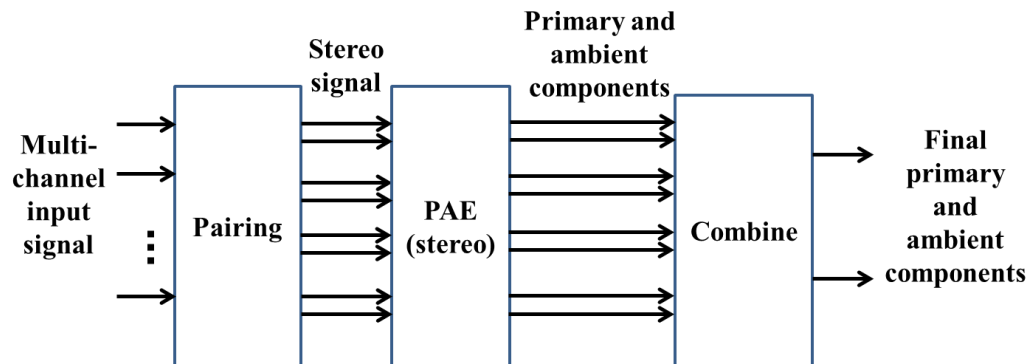
J. He, E. L. Tan, and W. S. Gan, "A study on the frequency-domain primary-ambient extraction for stereo audio signals," in *Proc. ICASSP*, Florence, Italy, 2014, pp. 2892-2896.

# PAE: from stereo to multiple channels

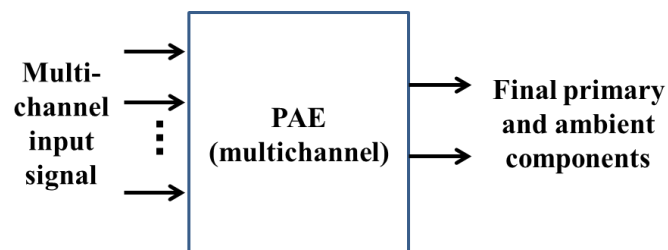
## 1. Using down-mix



## 2. Using pairing

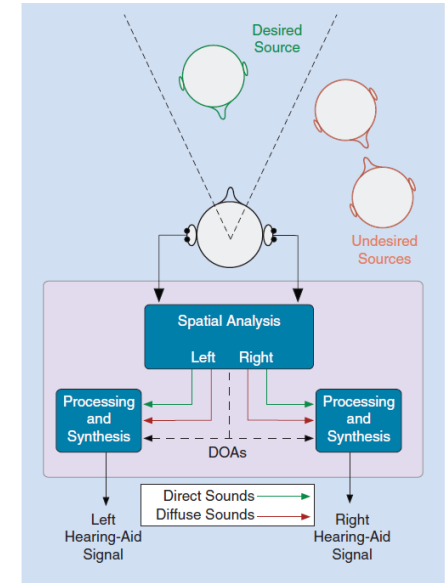
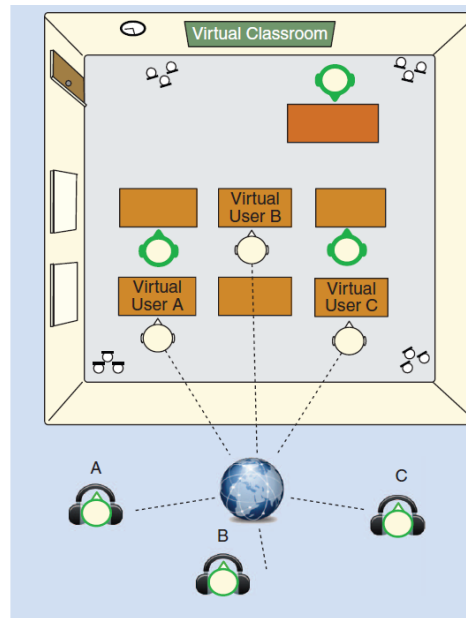
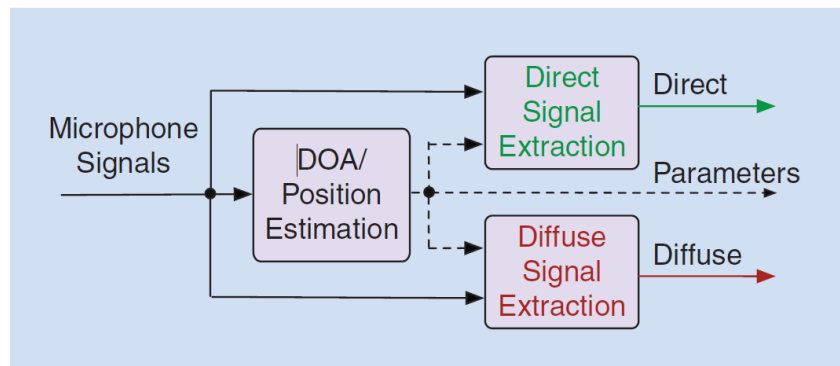
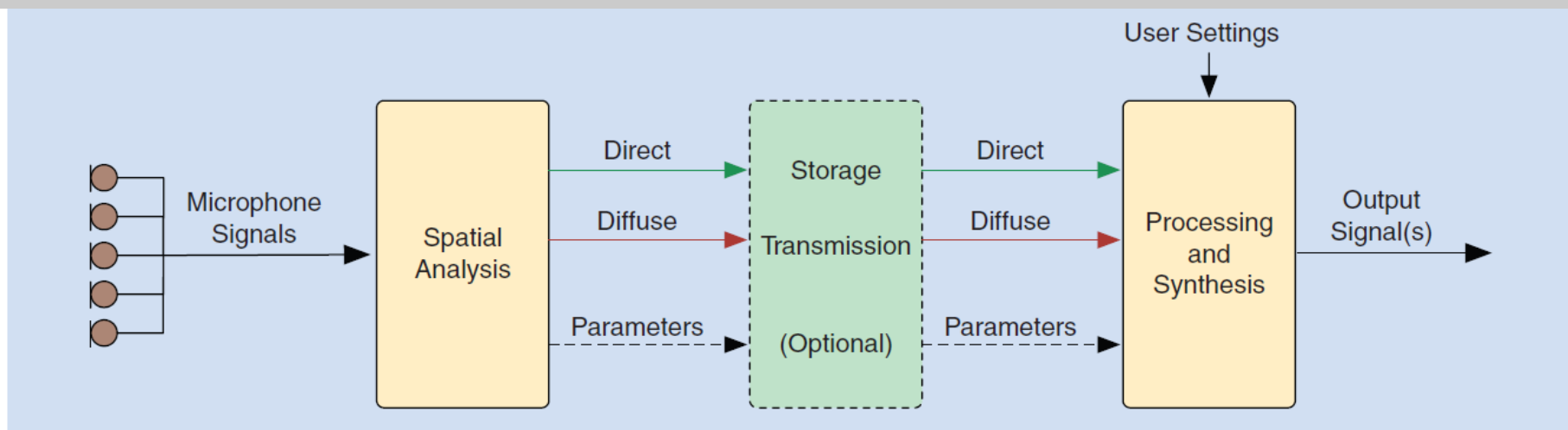


## 3. Direct





# Related: Parametric spatial sound processing



[FIG7] A virtual classroom scenario.

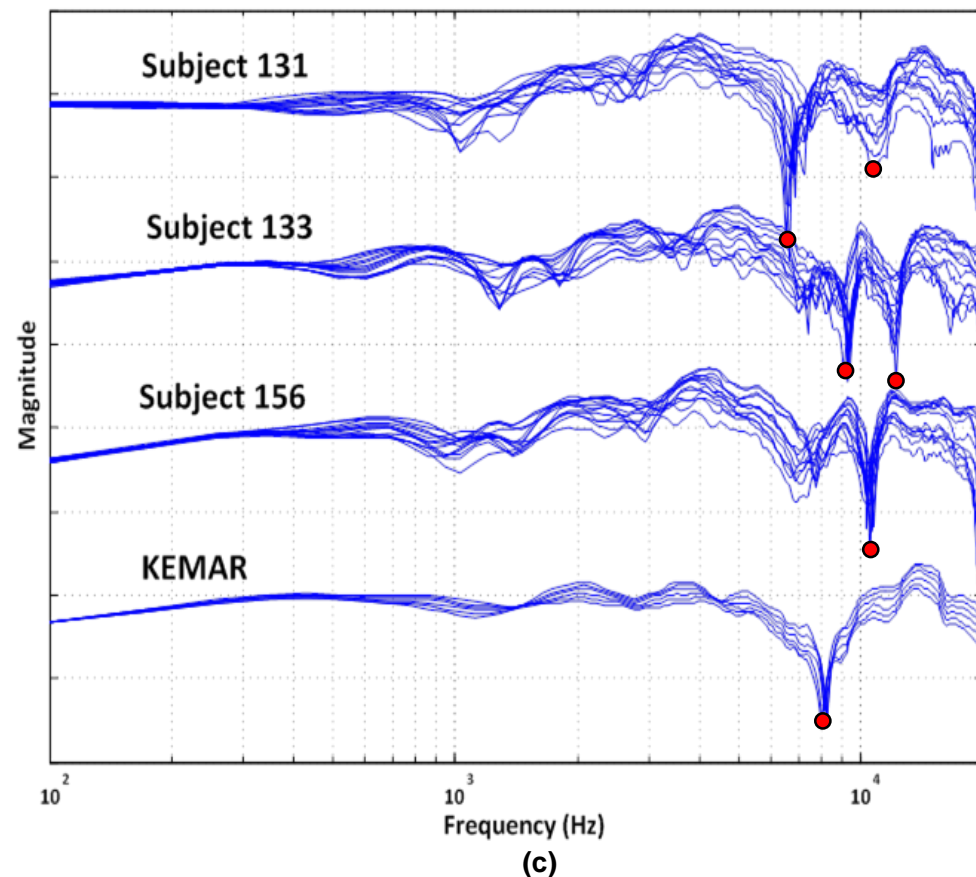
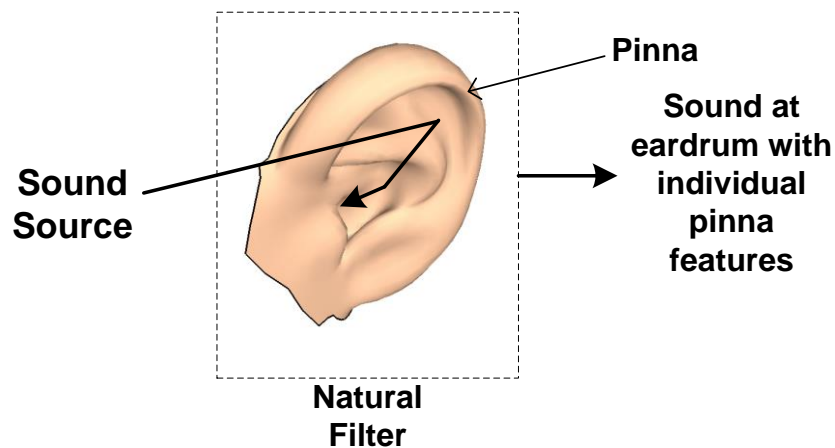
[FIG8] A general parametric spatial sound processing scheme for binaural hearing aids.

## Signal extraction:

1. Single-channel wiener filters;
2. Multiple-channel filters: LCMV;

Konrad Kowalczyk, Oliver Thiergart, Maja Taseska, Giovanni Del Galdo, Ville Pulkki, and Emanuël A.P. Habets, "Parametric Spatial Sound Processing," IEEE Signal Processing Magazine, vol. 32, no. 2, Mar 2015.

# Individualization: the need



## Variation of HRTFs (Idiosyncratic)

S. Xu, Z. Li, and G. Salvendy, "Individualization of head-related transfer function for three-dimensional virtual auditory display: a review," in *Virtual Reality*, ed: Springer, 2007, pp. 397-407.

## To obtain individualized HRTF/perception

- Acoustical measurements
- Anthropometry
- Training/tuning
- Frontal projection

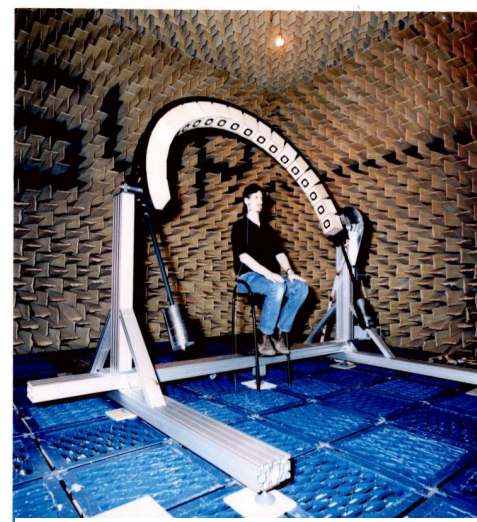
# Individualization: acoustical measurements



Air Force Research Laboratory,  
US



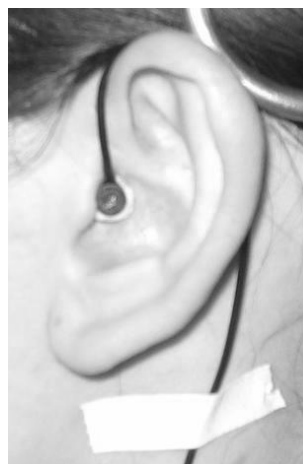
Nagaoka University of  
Technology, Japan



ISVR, University of  
Southampton, UK



South China University of Technology, China



Tohoku University, Japan

# Summary of popular HRTF databases

Databases	(Subjects, Directions)
IRCAM France <a href="http://recherche.ircam.fr/equipes/salles/listen">http://recherche.ircam.fr/equipes/salles/listen</a>	(51, 187)
CIPIIC , UC Davis <a href="http://interface.cipic.ucdavis.edu/sound/hrtf.html">http://interface.cipic.ucdavis.edu/sound/hrtf.html</a>	(45,1250)
University of Maryland <a href="http://www.isr.umd.edu/Labs/NSL/Software.htm">http://www.isr.umd.edu/Labs/NSL/Software.htm</a>	(7,2093)
Tohoku University, Japan <a href="http://www.ais.riec.tohoku.ac.jp/lab/db-hrtf">http://www.ais.riec.tohoku.ac.jp/lab/db-hrtf</a>	(3,454)
Nagoya University, Japan <a href="http://www.sp.m.is.nagoya-u.ac.jp/HRTF/database.html">http://www.sp.m.is.nagoya-u.ac.jp/HRTF/database.html</a>	(96,72)
Austrian Academy of Sciences <a href="http://www.kfs.oeaw.ac.at/index.php?option=com_content&amp;view=article&amp;id=608:ari-hrtf-database&amp;catid=158:resources-items&amp;Itemid=606&amp;lang=en">http://www.kfs.oeaw.ac.at/index.php?option=com_content&amp;view=article&amp;id=608:ari-hrtf-database&amp;catid=158:resources-items&amp;Itemid=606&amp;lang=en</a>	(70,1550)
TU Berlin (3m,2m,1m,0.5m) <a href="http://audio.qu.tu-berlin.de/?p=641">http://audio.qu.tu-berlin.de/?p=641</a>	(KEMAR,360)
MIT Lab <a href="http://sound.media.mit.edu/resources/KEMAR.html">http://sound.media.mit.edu/resources/KEMAR.html</a>	(KEMAR,710)
Oldenburg University (0.8m,3m) <a href="http://medi.uni-oldenburg.de/hrir/html/documentation.html">http://medi.uni-oldenburg.de/hrir/html/documentation.html</a>	(HATS,365)
SDAC, KAIST (0.2,0.6,1m) <a href="http://sdac.kaist.ac.kr/research/index.php?mode=area&amp;act=DownHRTFDatabase">http://sdac.kaist.ac.kr/research/index.php?mode=area&amp;act=DownHRTFDatabase</a>	(HATS, 100)
Nagoaka University (1.5 m) <a href="http://www.nagaoka-ct.ac.jp/ee/lab_syano/index_e.html">http://www.nagaoka-ct.ac.jp/ee/lab_syano/index_e.html</a>	(SAMRAI dummy head + 3 subjects, 72 azim, 8 elev)
DSP Lab @ NTU (0.35,0.45,0.50,0.60,0.75,0.8,1,1.4m) <a href="http://eeweba.ntu.edu.sg/DSPLab/dsplabhrtf/">http://eeweba.ntu.edu.sg/DSPLab/dsplabhrtf/</a>	(HATS + 3 subjects, 72)



# Individualization: anthropometry

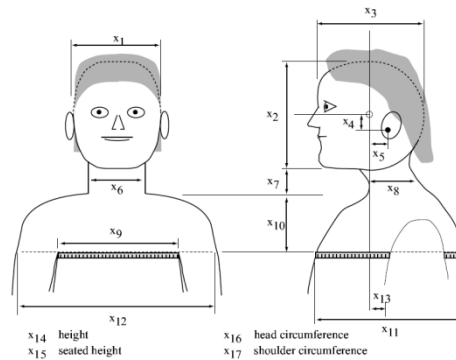
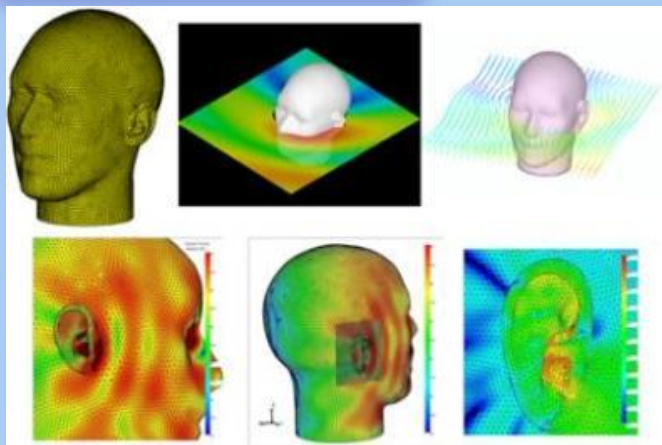
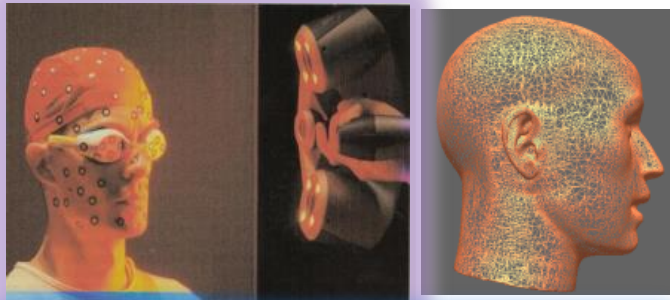


Figure 2: Head and torso measurements

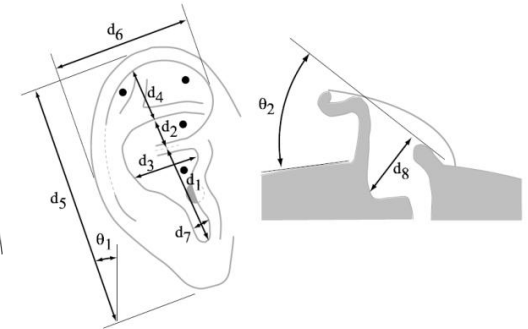
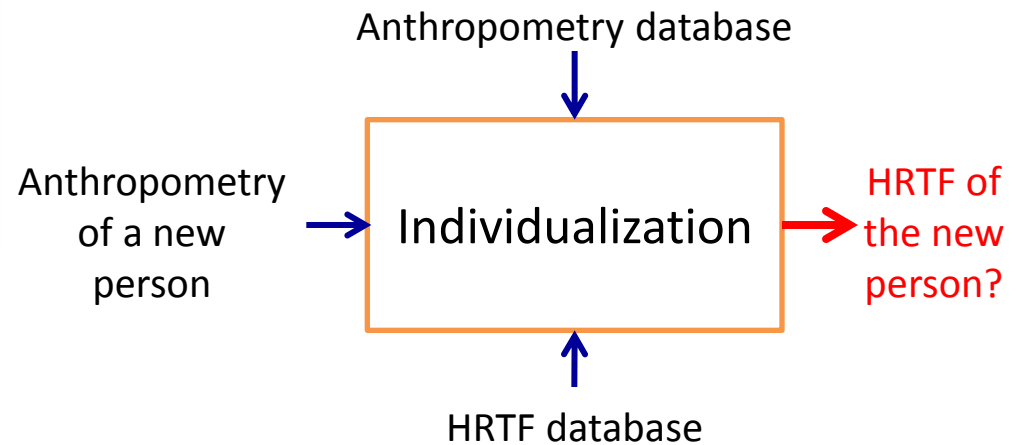


Figure 3: Pinna measurements

Obtain HRTFs numerically by:

1. Solving of acoustic equation
2. Numerical methods: Finite element method (FEM), Boundary element method (BEM)

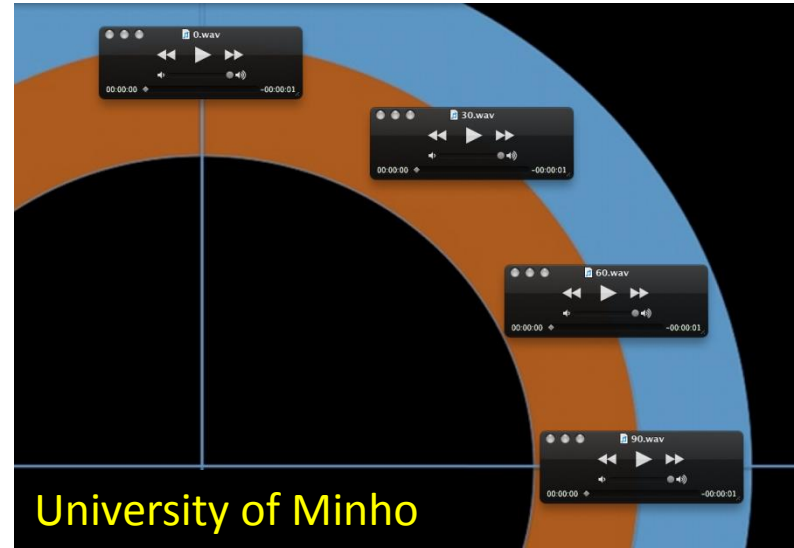
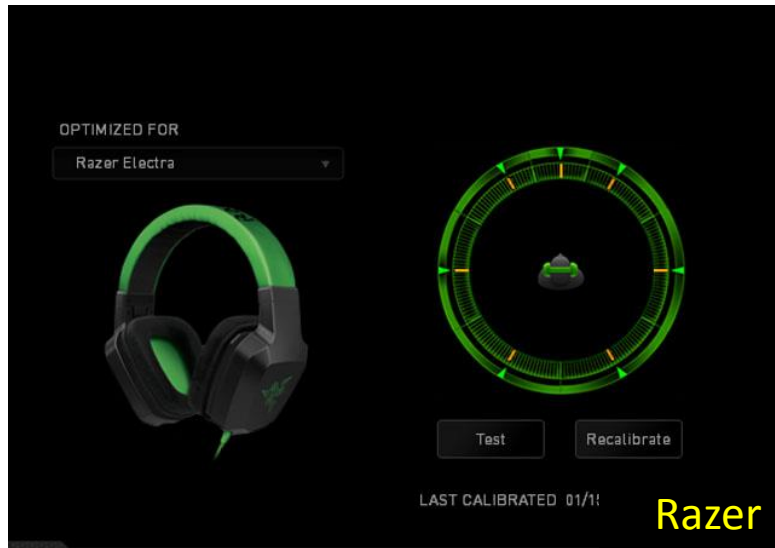


# Individualization: anthropometry





# Individualization: training/tuning

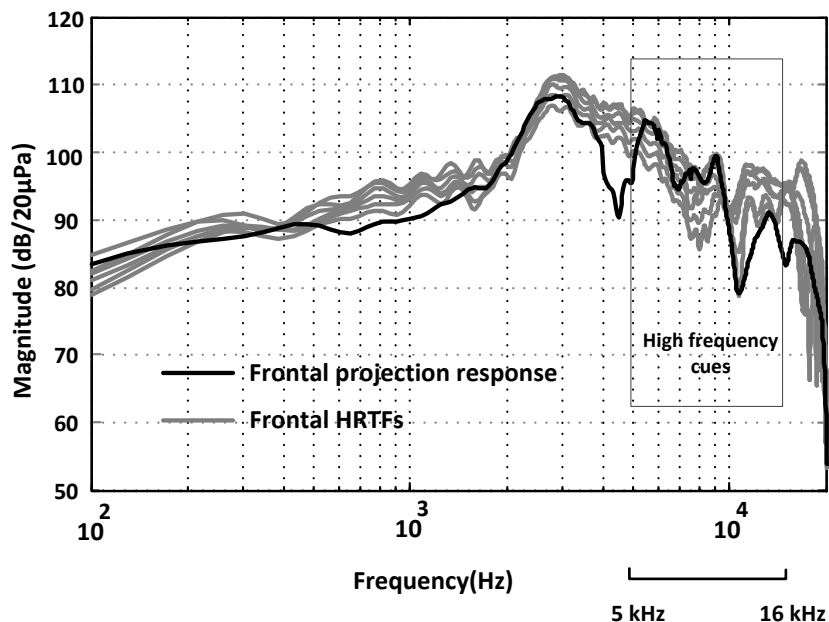
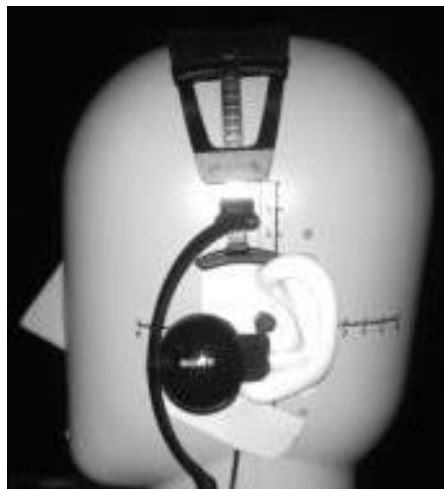
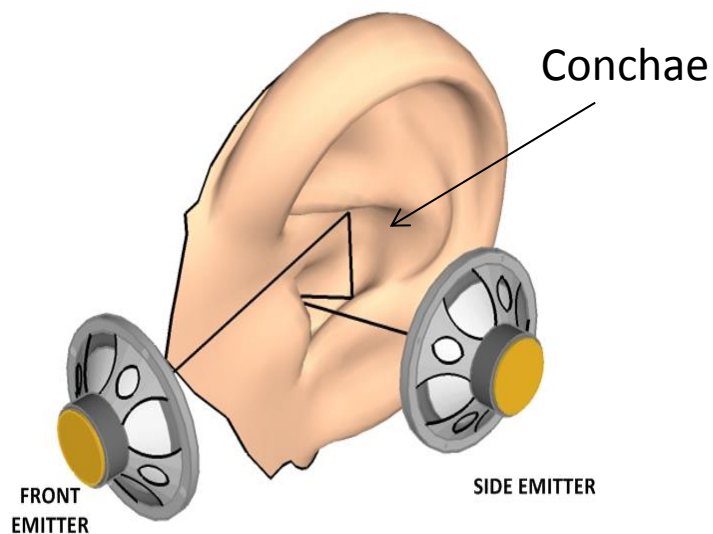


# Individualization: training/tuning

|

Source from <https://www.youtube.com/watch?v=pOtN-KMWTeM>

# Individualization: frontal projection



- No additional measurements and listening experiments required
- Reduce front-back confusion by > 50%;
- Zero user effort, plug and play (automatic during playback)

K. Sunder, E. L. Tan, and W. S. Gan, "Individualization of binaural synthesis using frontal projection headphones," *J. Audio Eng. Soc.*, vol. 61, no. 12, pp. 989-1000, Dec. 2013.

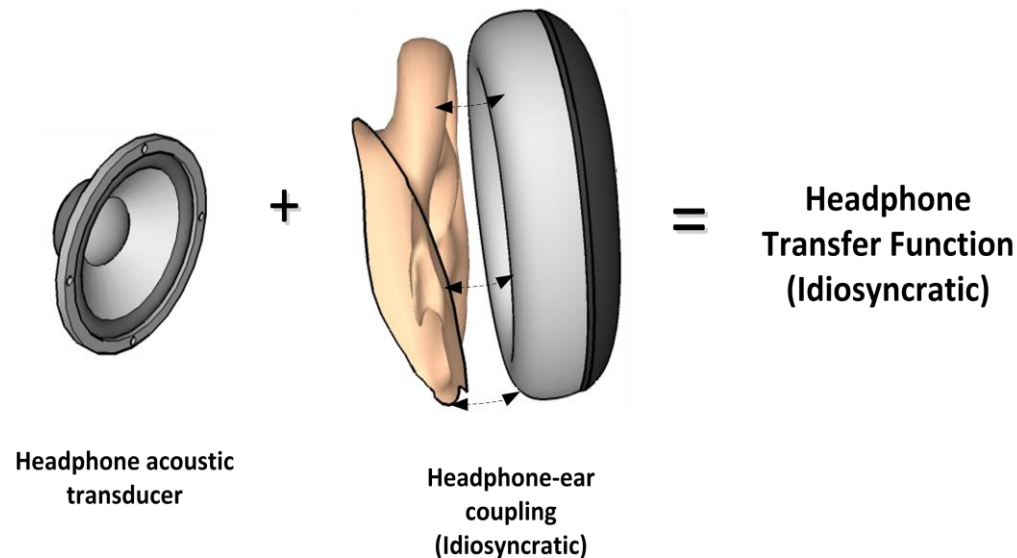
# Individualization: summary

Obtain Individual Features	Techniques	Pros and Cons	Performance
<b>Acoustical measurements</b>	Individual measurements, IRCAM France, CIPIC, Tohuko Univ., etc.	Ideal, accurate Tedious, requires high precision	Reference for individualization techniques
<b>Anthropometry</b>	3D mesh, pictures; Numerical Solutions: PCA, FEM, BEM, ANN	Need a large database; Requires high resolution imaging; Expensive	Uses the correlation between individual HRTF and anthropometric data
<b>Training/tuning</b>	PCA weight tuning, Tune magnitude Spectrum, Selection from HRTF database	Directly relates to perception; requires regular training;	Obtains the best HRTFs perceptually
<b>Frontal projection</b>	Frontal Projection Headphones	No additional measurement, Type-2 EQ	Automatic customization, reduced front-back confusions
<b>Non-individualized HRTF</b>	Generalized HRTF	Easy to implement, Poor localization	Not an individualization technique

# Equalization

## Headphone is not acoustically transparent:

- Headphone colors the input sound spectrum;
- Affects the free-field characteristics of the sound pressure at the ear



Breakdown of headphone transfer function (HPTF)

# Decoupled equalization for binaural/stereo

## Aim: Emulate the reproduction in a reference field

### ➤ Free-field:

- Target: free-field front loudspeaker response

### ➤ Diffuse-field and other reference curves:

- Target: response of diffuse-field, or a reference room
- Lesser inter-individual variability



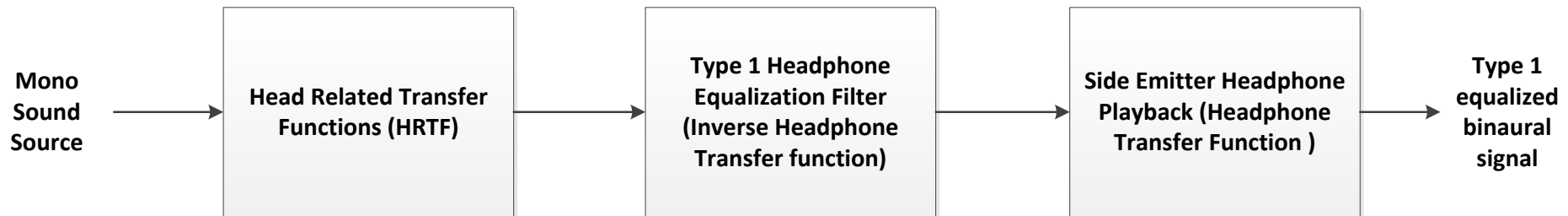
## **Aim: Spectrum at eardrum is the individual HRTF features**

- For conventional headphone
- For front projection headphone



# Conventional equalization (Type 1 EQ)

Headphone is not acoustically transparent, therefore the effect of the headphone must be removed.



Equalization process : Removing the headphone transfer function

$$Y(\omega) = S(\omega) \cdot HRTF(\omega) \cdot \frac{1}{HPTF(\omega)} \cdot HPTF(\omega)$$

Where,  $Y(\omega)$  = Equalized Binaural Signal  
 $S(\omega)$  = Source Signal Spectrum  
 $HRTF(\omega)$  = Head Related Transfer Function (Left/Right)  
 $HPTF(\omega)$  = Headphone Transfer Function (Left/Right)

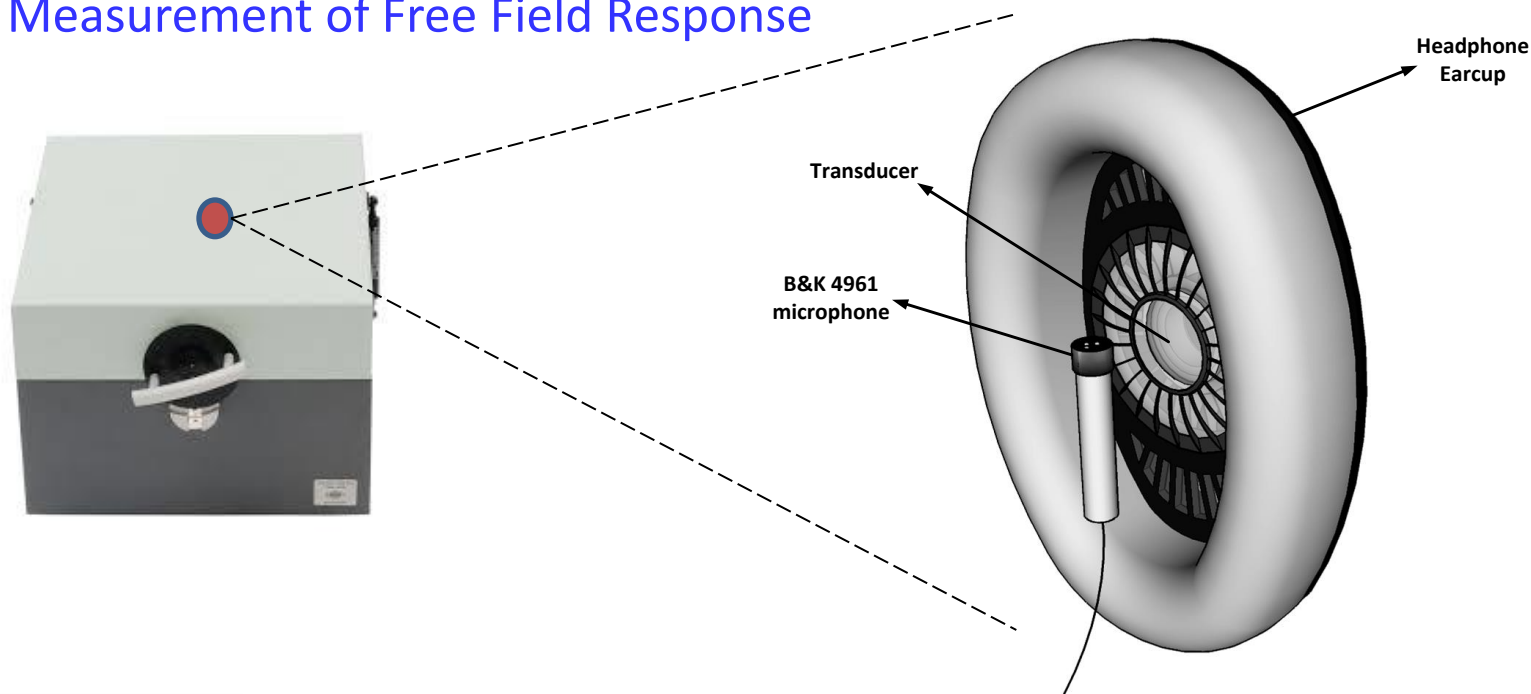
And,  $\frac{1}{HPTF(\omega)}$  = Equalization Filter

**Dependent on individual pinna feature**

# Type 2 EQ (for frontal emitters)

- Reflections/diffractions created by the interactions with the pinna due to the frontal projection are important and should be retained.
- Does not include headphone-ear coupling.
- Equalizing to the free field response of the headphone with the ear-cup.

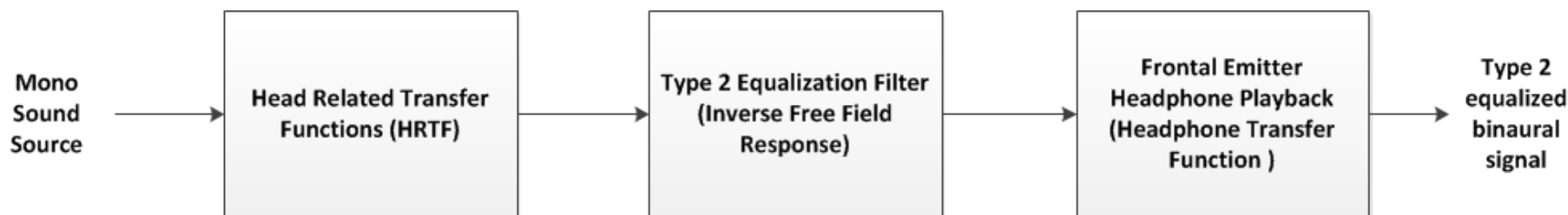
## Measurement of Free Field Response



Sunder, Kaushik, Ee-Leng Tan, and Woon-Seng Gan. "Individualization of Binaural Synthesis Using Frontal Projection Headphones." *Journal of the Audio Engineering Society* 61.12 (2013): 989-1000.

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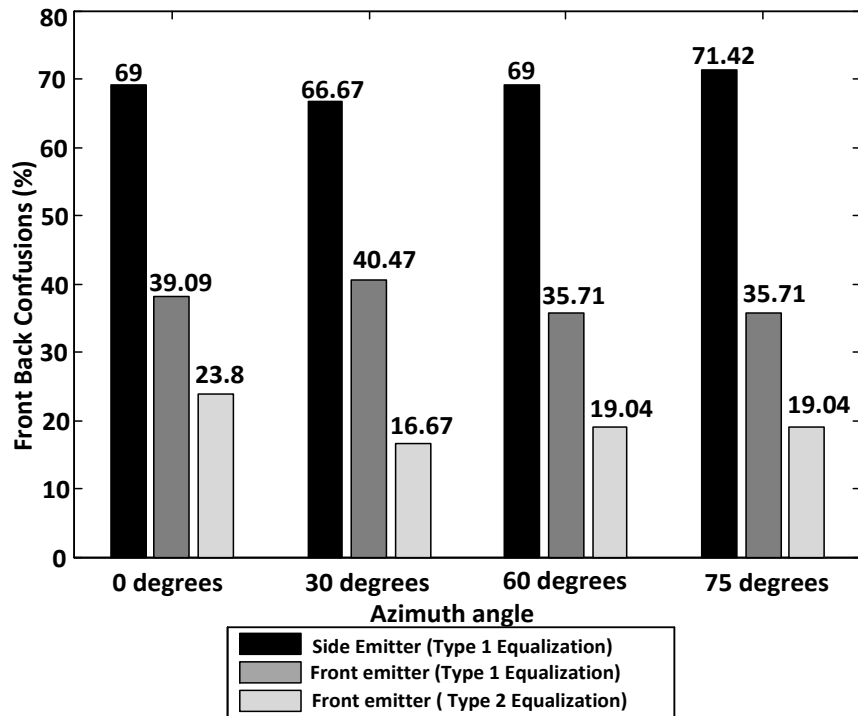
$$Y(\omega) = S(\omega) \cdot HRTF(\omega) \cdot \frac{1}{FFR(\omega)} \cdot HPTF(\omega)$$

$$HPTF(\omega) = FFR(\omega) \cdot PC(\omega)$$

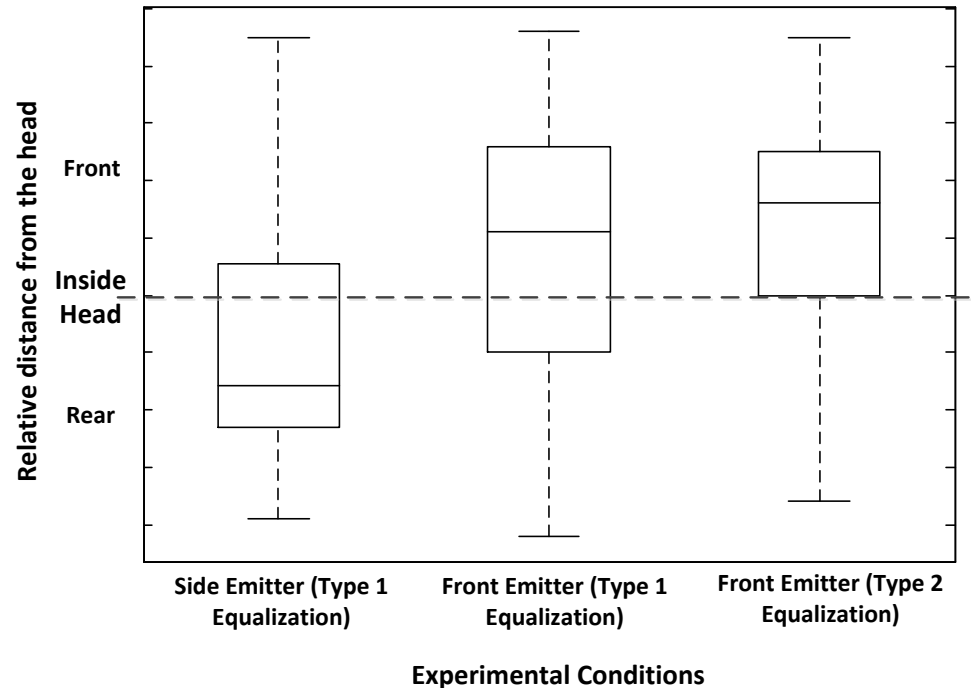
- Where,  $Y(\omega)$  = Equalized Binaural Signal  
 $S(\omega)$  = Source Signal Spectrum  
 $HRTF(\omega)$  = Head Related Transfer Function (Left/Right)  
 $HPTF(\omega)$  = Headphone Transfer Function (Left/Right)  
 $FFR(\omega)$  = Free Field Response of the Frontal Emitter  
 $PC(\omega)$  = Personalized Pinna Cues generated by frontal projection

# Subjective validation of equalization

## Front-back confusions (%)

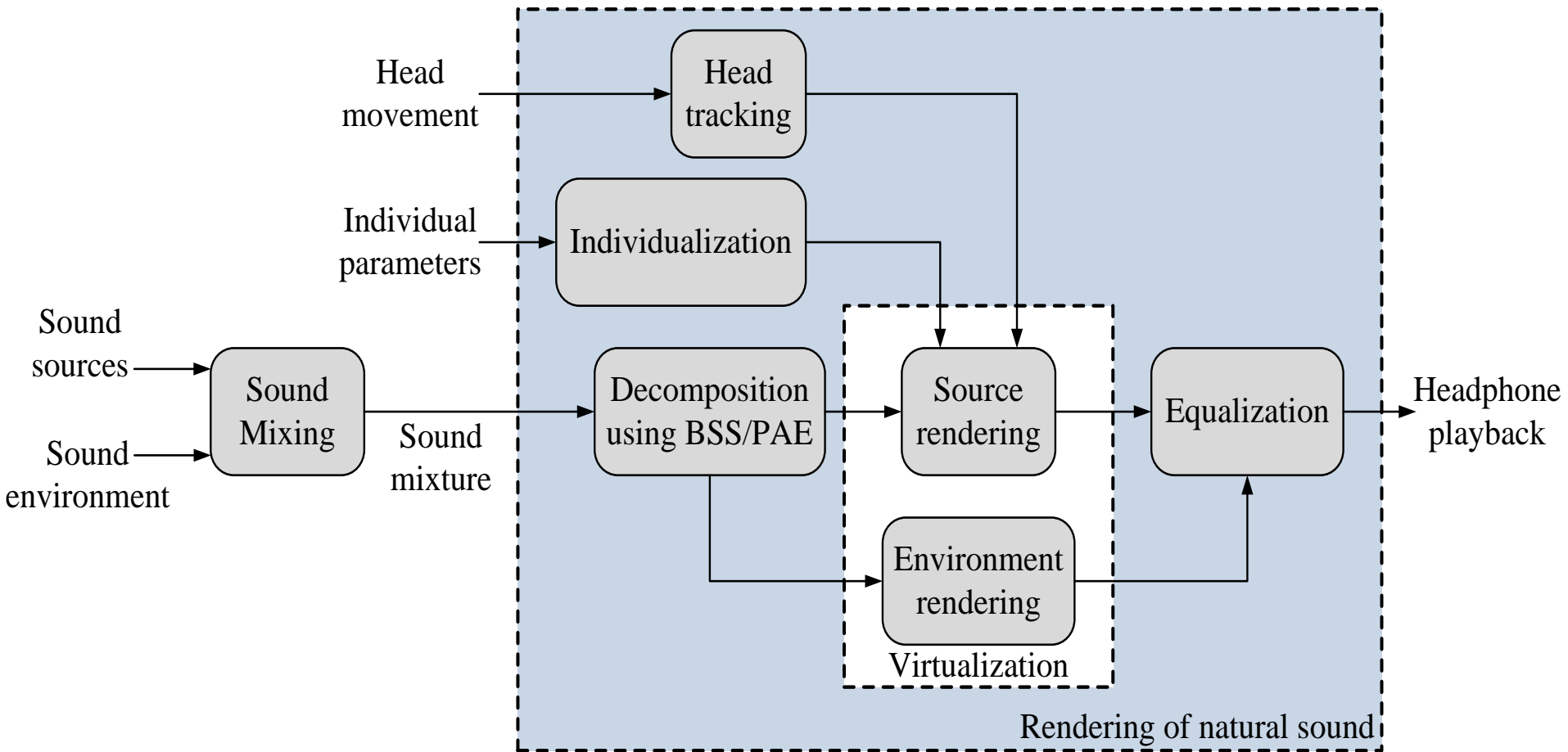


## Relative distance from the head



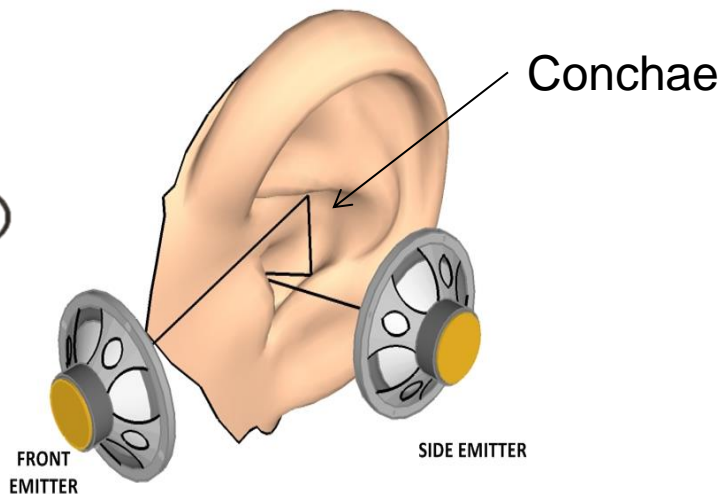
- Type-2 EQ works best for the frontal emitter playback
- ANOVA results show
  - Type of emitter has a significant effect on rate of reversal
  - Type-2 EQ has a significant effect in reducing F/B reversal

# Integration



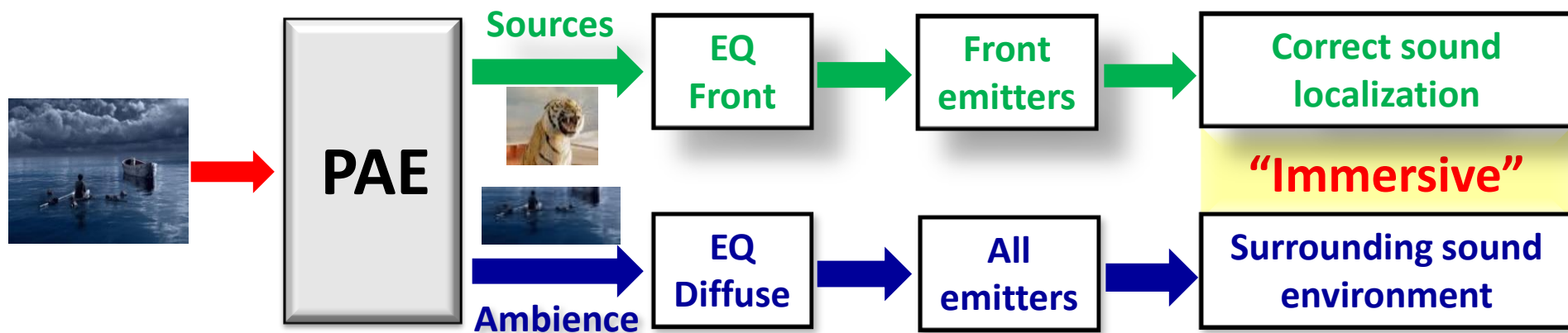
K. Sunder, J. He, E. L. Tan, and W. S. Gan, "Natural sound rendering for headphones," IEEE Signal Processing Magazine, Mar. 2015.

# 3D Audio Headphone: an example



## Key features

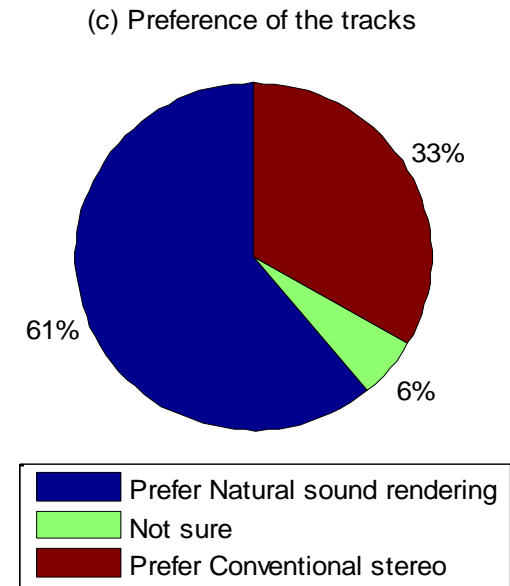
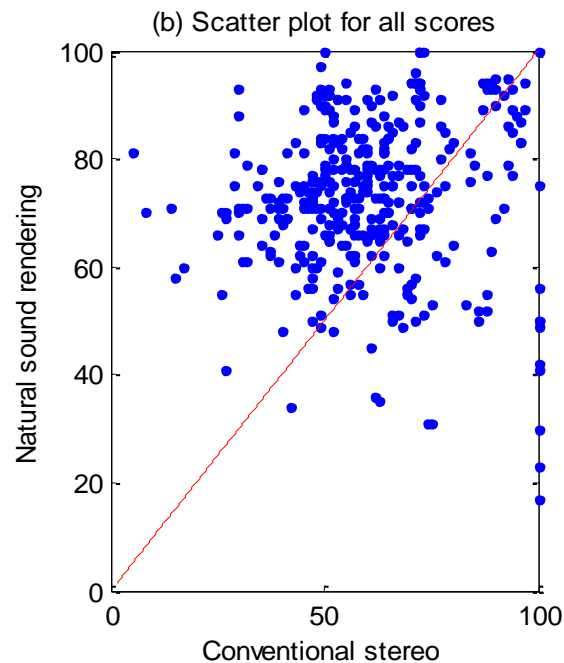
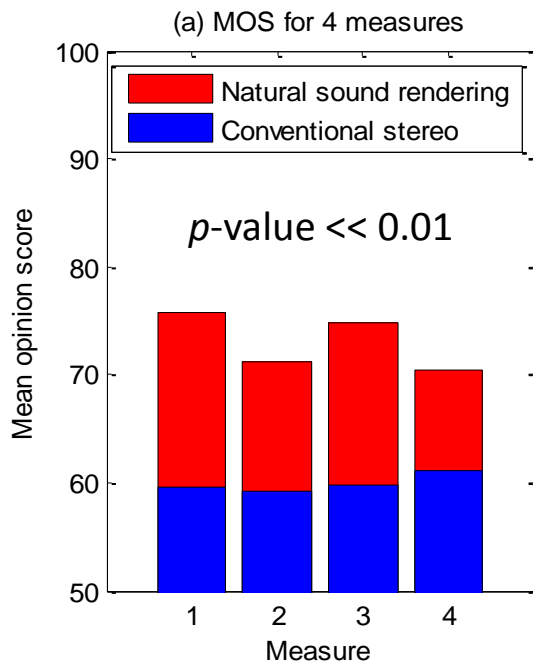
- ✓ Patented structure with strategic-positioned emitters;
- ✓ Individualization via frontal projection; no measurements or training required;
- ✓ Recreate an immersive perception of sound objects with surrounding ambience;
- ✓ Compatible with all existing sound formats.



W. S. Gan and E. L. Tan, "Listening device and accompanying signal processing method," US Patent 2014/0153765 A1, 2014.

# Subjective evaluation

- Conventional stereo system: stereo headphone
- Natural sound rendering system: 3D headphone
- **Stimuli:** binaural, movie and gaming tracks;
- **4 measures:** Sense of direction, externalization, ambience, and timbral quality;
- 18 subjects, score of 0-100, and overall preference.





# Conclusions

- Advent of high speed, low power, and low cost **embedded processors** fueling a strong growth in portable and wearable applications.
- Many opportunities for **new innovations** in spatial audio rendering for assistive technologies; being-there communications; immersive AR/VR gaming; and interactive entertainments.
- **Seamless integration** of real and virtual sound objects to achieve natural listening.

“ ... future headphones are becoming more **content-aware**, **location-aware**, **listener-aware**, and hence become more intelligent and assistive.”

IEEE Signal Processing Magazine, March '15

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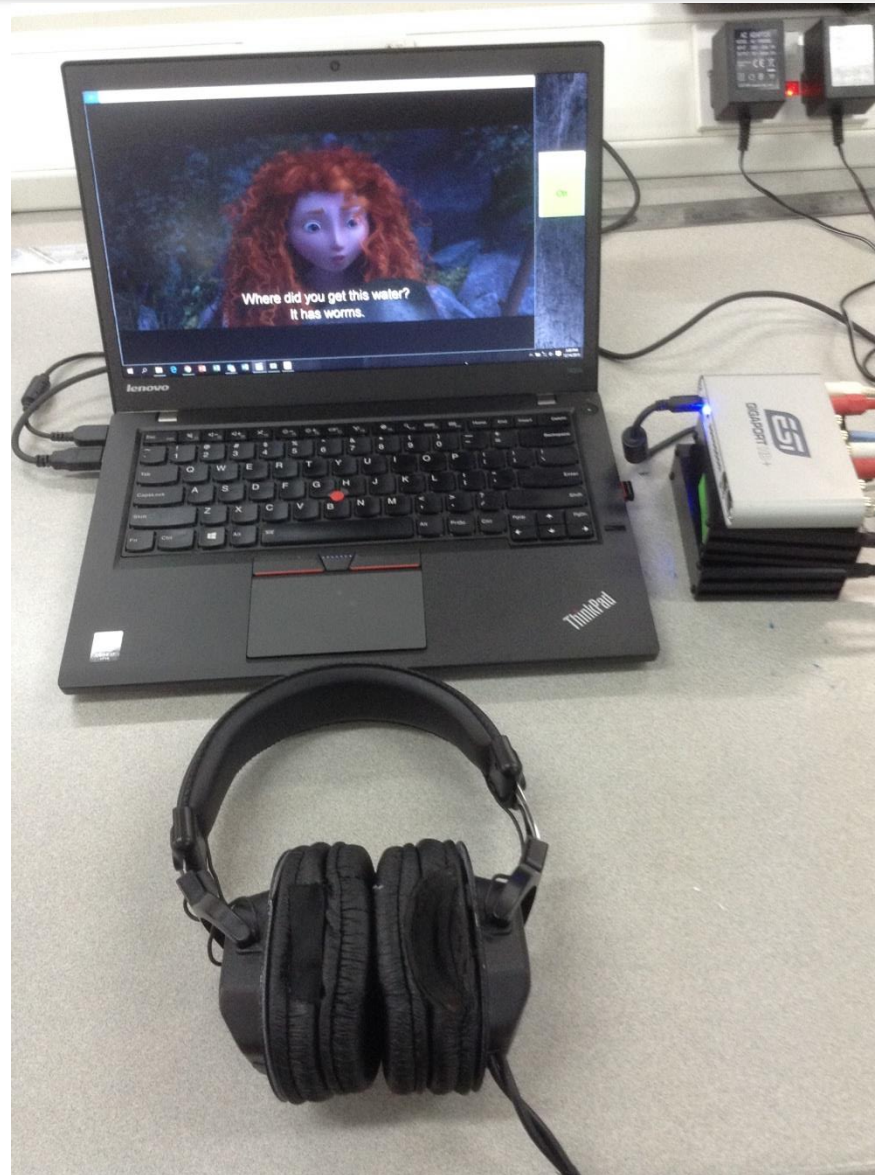
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# Demo of 3D audio headphones

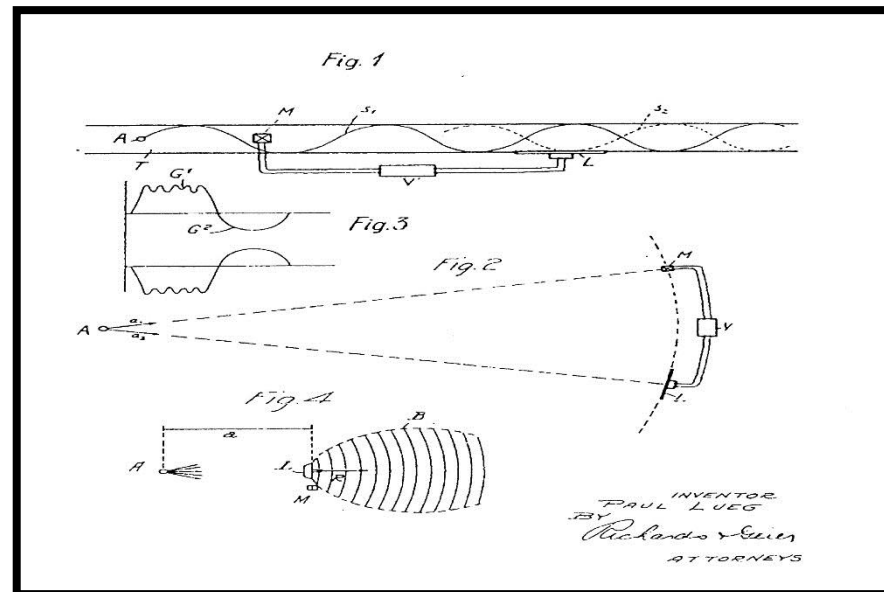
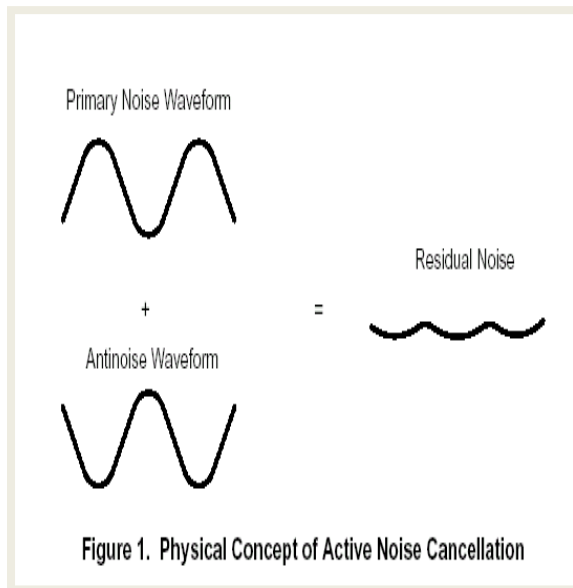


# Module III

## ANC Headphones

# Active Noise Control

- Active noise control (ANC) uses **additional secondary sources** to produce anti-noise that cancel the undesired noise.
- Principle:
  1. Mathematics:  $x + (-x) = 0$
  2. Physics (Superposition): Anti-noise of **equal amplitude and opposite phase** is combined with the primary noise, resulting in the cancellation of both noises



Leug,  
1933

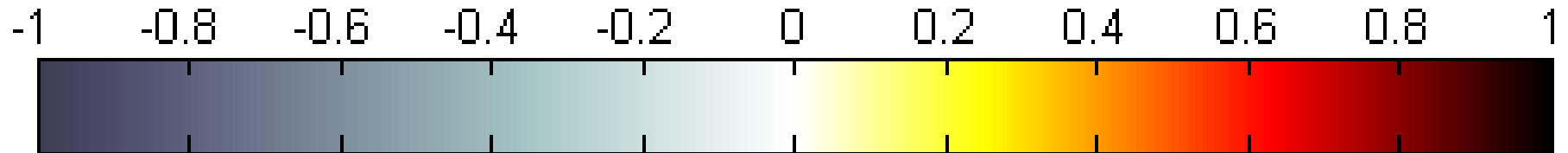


# Sound Field Interaction of 2 Point Sources

Destructive

Constructive

Normalised sound pressure

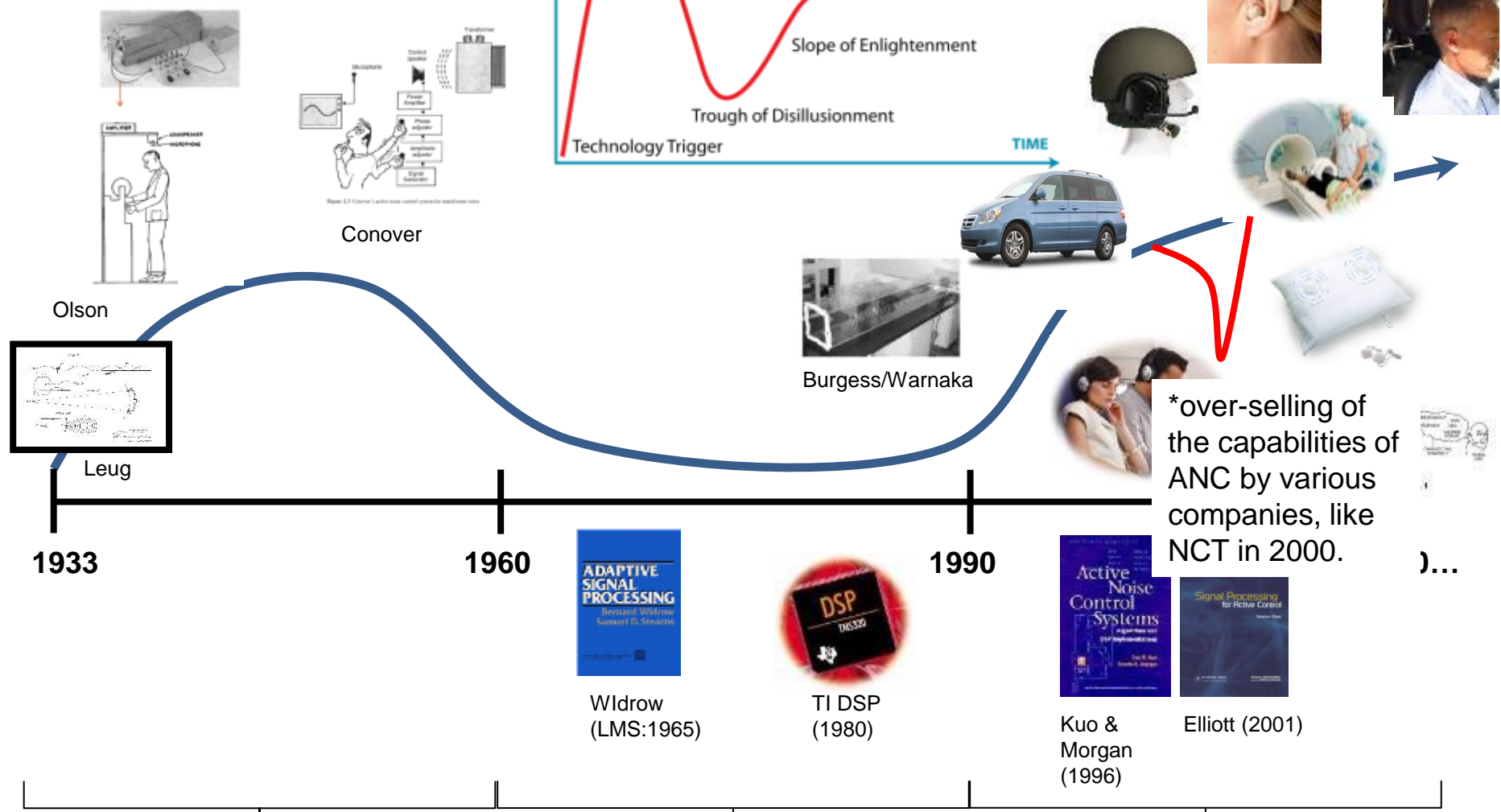
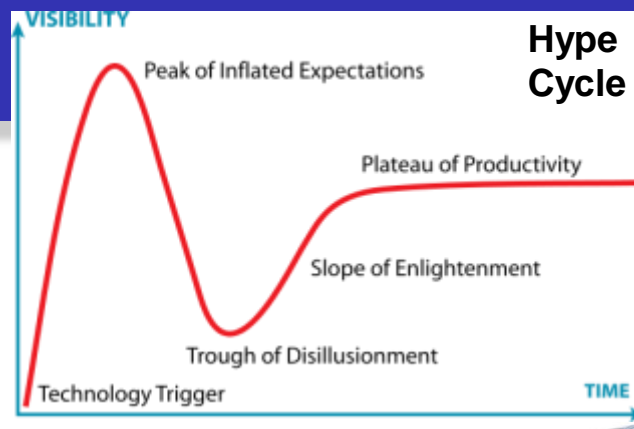


# ANC dated back to Chinese Martial Art !

## 金庸小说“倚天屠龙记”

- 张无忌一惊，不及趋避，足尖使劲，拔身急起，斜飞而上，只听得飕飕两声轻响，跟着“啊”的一下长声呼叫。他在半空中转过头来，只见何太冲和班淑娴的两柄长剑并排插在鲜于通胸口。原来何氏夫妇纵横半生，却当众败在一个后辈手底，无论如何咽不下这口气去，两人拾起长剑，眼见张无忌正俯身在点鲜于通的穴道，对望一眼，心意相通，点了点头，突然使出一招“无声无色”，同时疾向他背后刺去。这招“无声无色”是昆仑派剑学中的绝招，**必须两人同使，两人功力相若，内劲相同，当剑招之出，劲力恰恰相反，于是两柄长剑上所生的荡激之力、破空之声，一齐相互抵消。**这路剑招本是用于夜战，黑暗中令对方难以听声辨器，事先绝无半分朕兆，白刃已然加身，但若白日用之背后偷袭，也令人无法防备。

# ANC is 80+ old!



Start of ANC; lots of activities & patents

Dormant for 30 years

Developing ANC theory / New Apps

\*) communication with Prof. Elliott

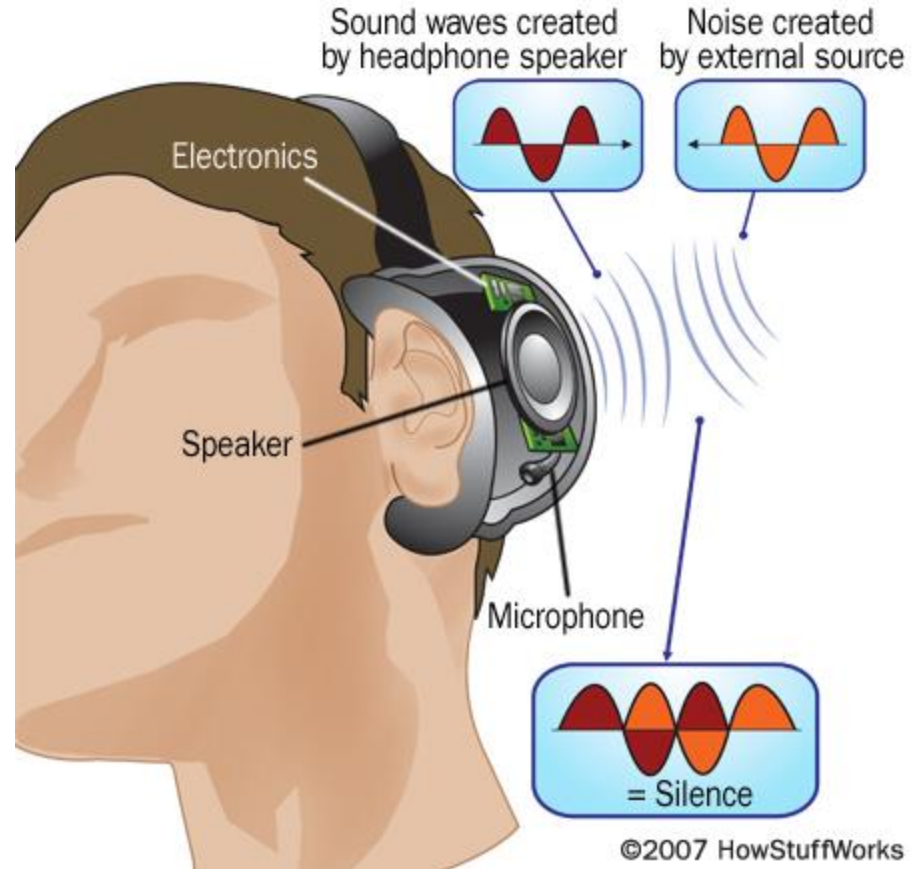
# Applications

## Active Noise Control Headset



© 2007 CNET Networks, Inc.

### Inside noise-canceling headphones



# Commercial ANC Headphones

- **Bose Quiet Comfort 15** Acoustic Noise Canceling Headphones (US\$365)
- **Sony MDR-NC100D** Digital Noise Canceling Earbuds (US\$131)
- **Creative HN-900** Noise Canceling Headphones (US\$159)
- **Sennheiser MM 550** Bluetooth Wireless Headphones (US\$475)
- **Digital Silence** Ambient Noise Canceling Earbud (US\$62)
- **Blackbox i10** Active Noise Rejection Earbud-powered by iPod battery (US\$127)



# ANC Headphones

- With many promising applications, we are witnessing the Golden Age of ANC
  - Low cost and accurate sensors and actuators
  - High speed, low cost and low power consumption embedded processors
- For successful consumer applications:
  - ANC needs to be **combined or integrate** with other functions
  - Allow **sharing hardware** resources (e.g. amplifier, loudspeakers, microphones etc.)
  - **Reuse software** code or tap on existing audio functions in digital implementation.
  - Many opportunities to **innovate**
- **Outline some of the work carried out by the research community to integrate ANC with other functions**

# 1<sup>st</sup> Paper to look into Integration of ANC with Audio System (from Kuo et al.)

- **Appears in the 1993 IEEE Transaction on Consumer Electronics**
- **Won the 1<sup>st</sup> place in the IEEE Consumer Electronics Society Chester Sall Awards in 1993.**

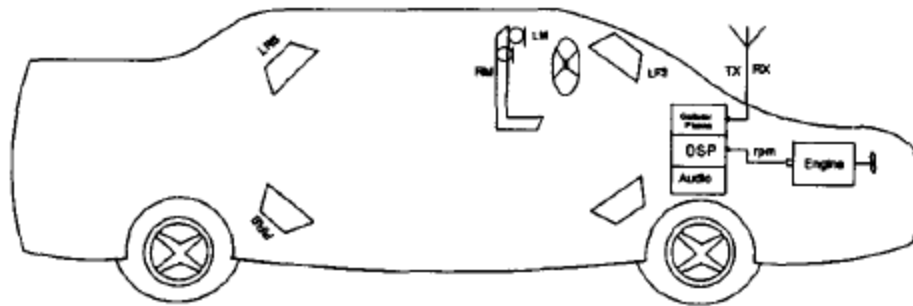


Figure 1. Integrated Hands-Free Cellular Phone, Active Noise Control and Audio System

Using multiple adaptive filters to integrate:

- (i) Active noise control
- (ii) Acoustic echo cancellation
- (iii) Adaptive noise cancellation
- (iv) Adaptive musical interference suppression

***This paper lays the foundation of many integrated ANC + Audio systems/papers***

(picture from)

Kuo, S.M.; Chuang, H.; Mallela, P.P.; "Integrated automotive signal processing and audio system", IEEE Trans Consumer Electronics, Volume 39, Issue 3, August 1993, pp. 522-532



# Basic Definitions in ANC: A Simple Duct Application

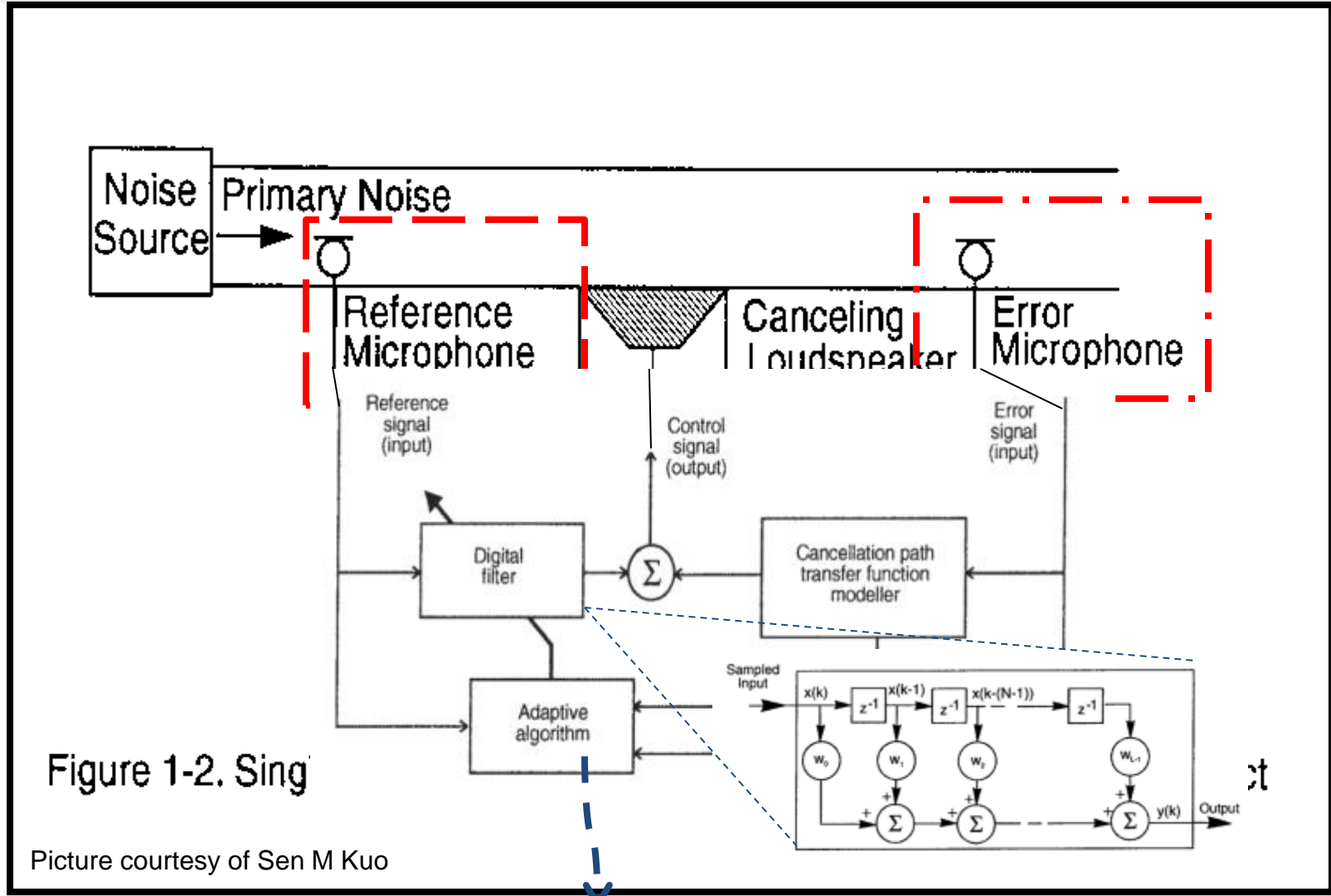
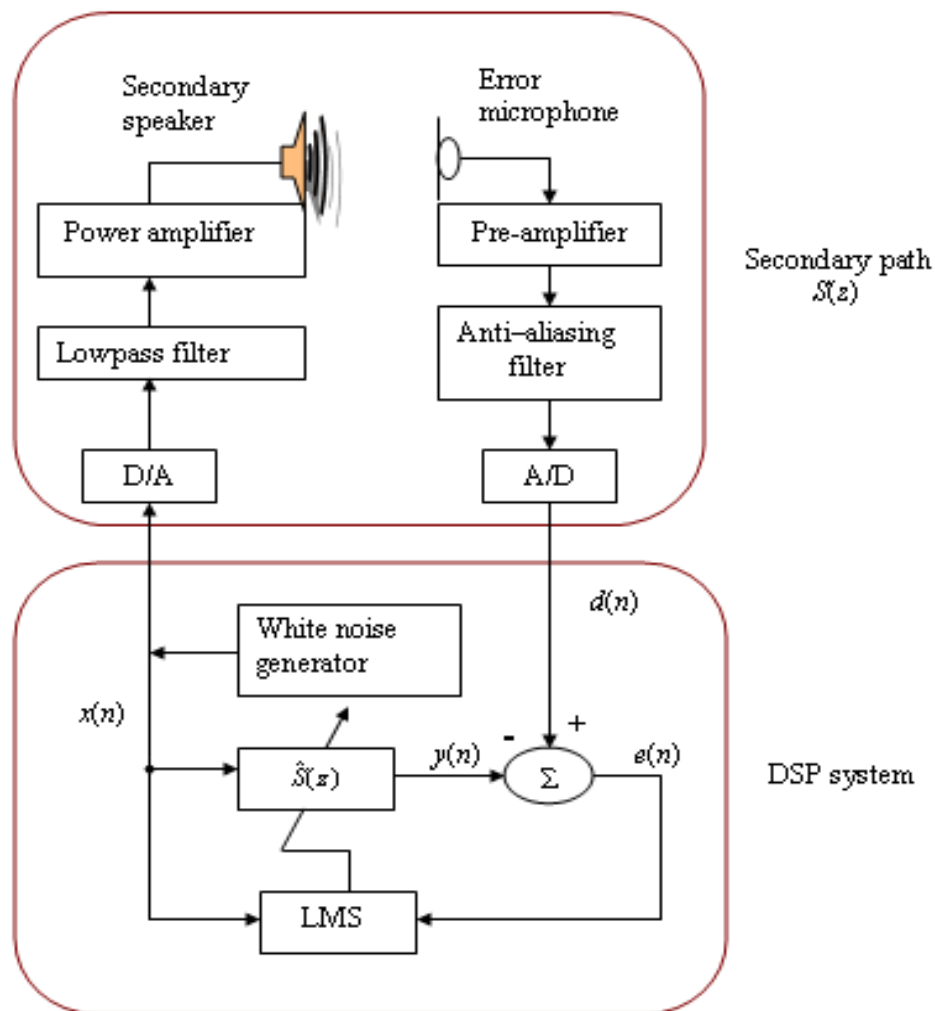


Figure 1-2. Sing

Picture courtesy of Sen M Kuo

$$\text{FXLMS Algorithm: } \mathbf{w}(n+1) = \mathbf{w}(n) + \mu \mathbf{x}'(n)e(n)$$

# Offline Secondary Path Modeling



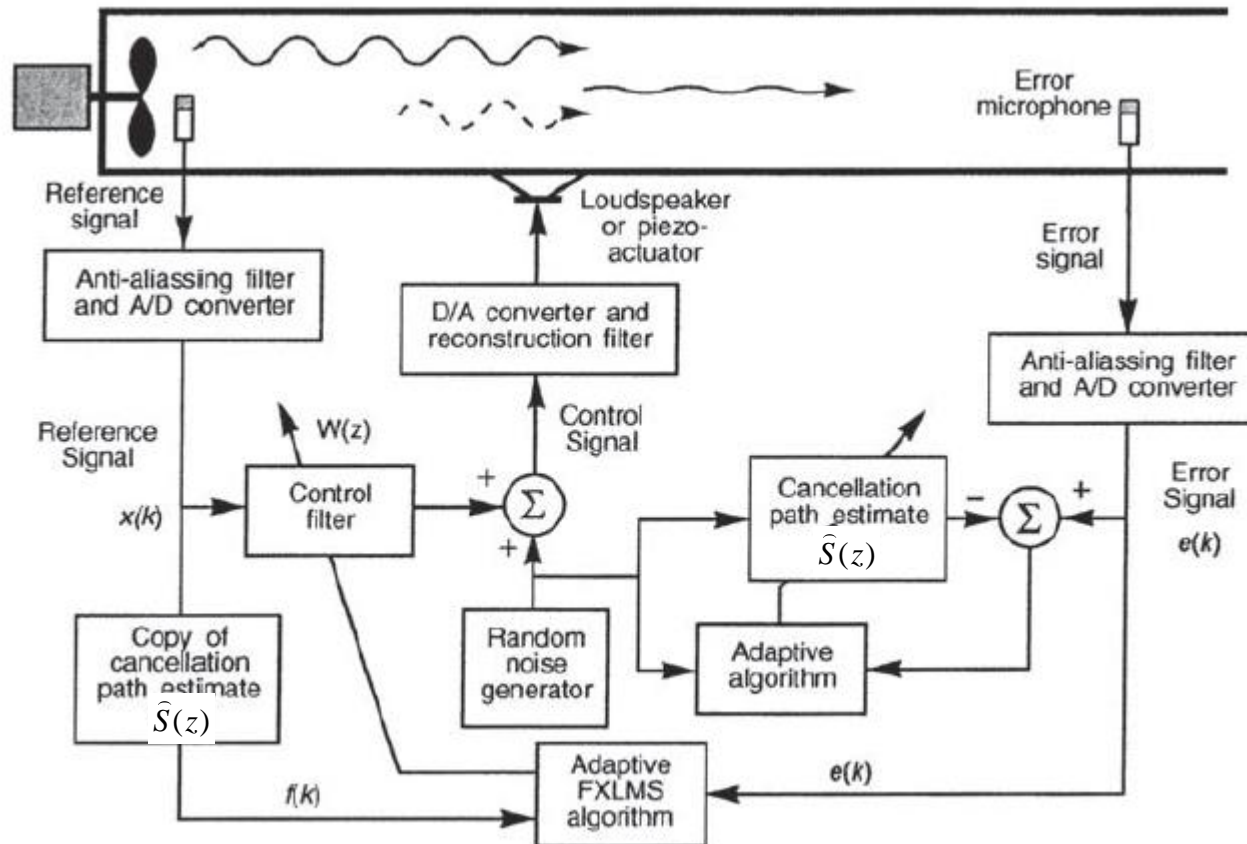
## Note:

- Offline secondary path modeling performed before the actual operation of ANC.
- The estimated model is used in actual operation.
- $S_{est}(z)$  can be fixed or adaptively update.
- Within the limit of slow adaptation, the algorithm will converge with nearly of **90° phase error** between  $S_{est}(z)$  and  $S(z)$ .

Experimental setup for off-line modeling of secondary-path  $S(z)$ .

Picture courtesy of Sen M Kuo

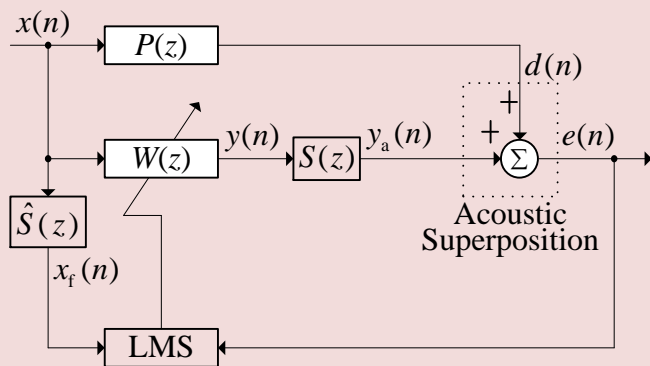
# ANC System Layout with On-line Modeling



Picture courtesy of Colin Hansen

# Configurations of Adaptive ANC System

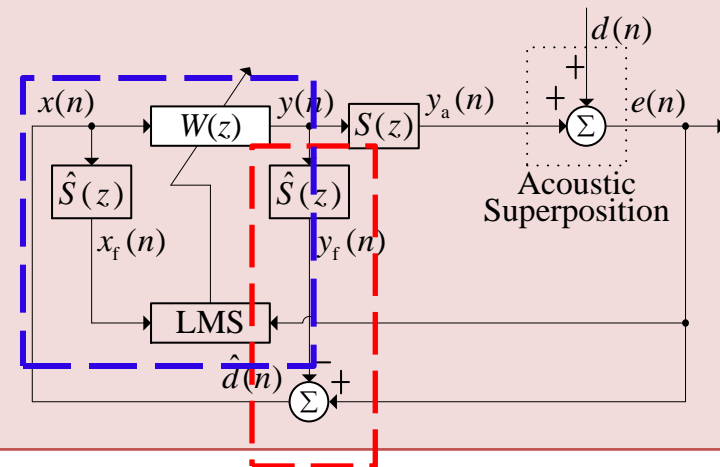
## Feedforward ANC



- Broadband and narrowband FFANC
- 2 sensors and 1 actuator (1-dim case)

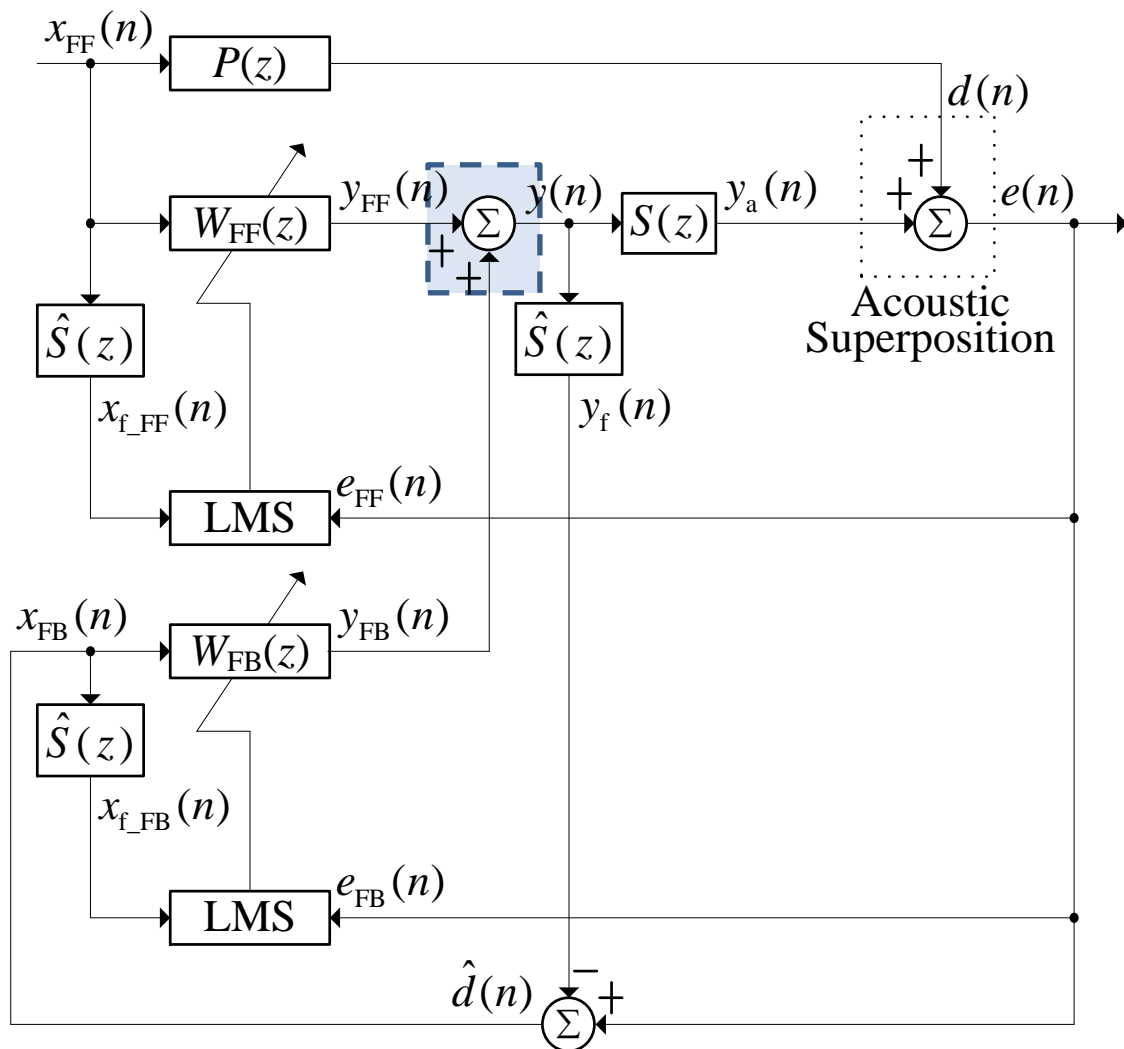
$$W^o(z) = \frac{P(z)}{S(z)}$$

## Feedback ANC



- Also known as internal model control (IMC) feedback ANC.
- 1 sensor and 1 actuator (1-dim case)
- Estimate the primary noise based on error signal and adaptive filter output.
- Adaptive filter loop & Synthesis loop
- Generally good in cancelling out predictable tonal noise.

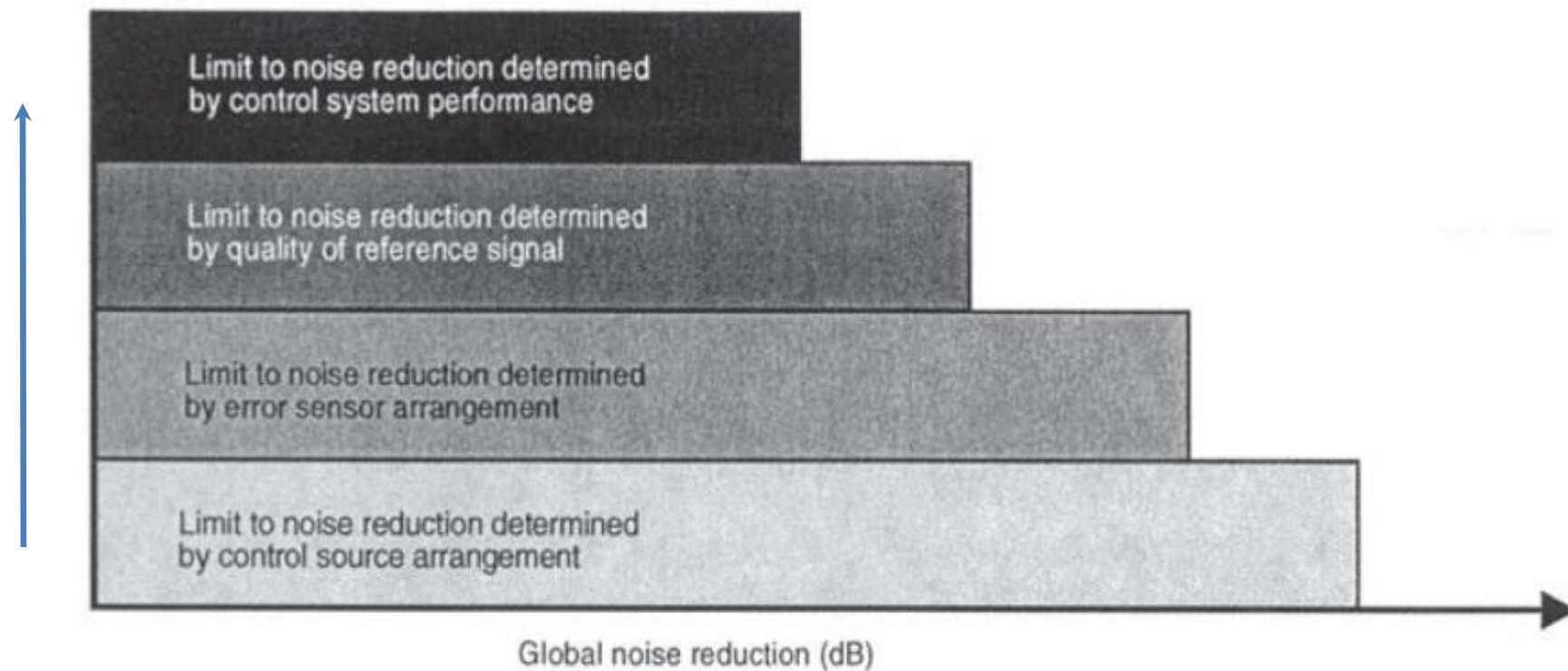
# Hybrid FF and FB ANC



- Dual role in canceling primary noise from reference sensor & residual noise pickup by error sensor.
- Good performance for both narrow and broadband noise and offer flexibility in ANC design.
- May come with extra computational cost.

**More info in Prof. Kuo's classic textbook on Active Noise Control**

# Performance Hierarchy of ANC



**Figure 2.5** Performance hierarchy of an active noise control system.

Picture extracted from Hansen's book

# Integrated ANC with other functions

## A. Wearable Devices

- Headsets
- Motorcycle Helmet
- Hearing Aids

## B. Other Applications:

- Automotive
- Snoring cancellation
- Incubator
- MRI

● Use carefully fitted, and if possible, noise-cancelling earphones/headphones. If suited to the individual user, earphones and headphones allow music to be heard clearly at lower levels of volume. Noise-cancelling earphones and headphones cut down background noise, so that you can hear sounds at lower volumes than otherwise needed.

● Use carefully fitted, and if possible, noise-cancelling earphones/headphones. If suited to the individual user, earphones and headphones allow music to be heard clearly at lower levels of volume. Noise-cancelling earphones and headphones cut down background noise, so that you can hear sounds at lower volumes than otherwise needed.

### Limit time spent engaged in noisy activities

The duration of the exposure to noise is one of the key factors contributing to overall sound energy levels. There are ways to minimize the duration. It is advisable to:

- Have short listening breaks. When going to nightclubs, discotheques, bars, pubs, sporting events and other noisy places, take short listening breaks to help reduce the overall duration of noise exposure.

risk for noise-induced hearing loss from your personal audio device. Applications or "apps" accessible through the smartphones can help by displaying noise intensity levels in decibels and indicating whether exposure to a particular level of sound is risky. Know your product, its safety features and its safe listening level.

### Heed the warning signs of hearing loss

Seek help from a hearing health care professional in case of tinnitus or difficulty in hearing high-pitched sounds such as doorbells, telephones or alarm clocks; understanding speech, especially over the telephone; or following conversations in noisy environments, such as in restaurants or venues for other social gatherings.

### Get regular hearing check-ups

Take advantage of the services offered by schools, workplaces and communities for periodic hearing check-ups, as such screening can help to identify the onset of hearing loss at an early stage.

International Ear Car Day  
on 3<sup>rd</sup> March '15



Make Listening Safe

Make Listening Safe. Once you lose your hearing,  
it won't come back!

Department for Management of NCDs, Disability,  
Violence and Injury Prevention (NMV)

World Health Organization  
20 Avenue Appia  
CH-1211 Geneva 27  
Switzerland  
Tel: +41-22-791-2064  
www.who.int/ipbd/activities/mls

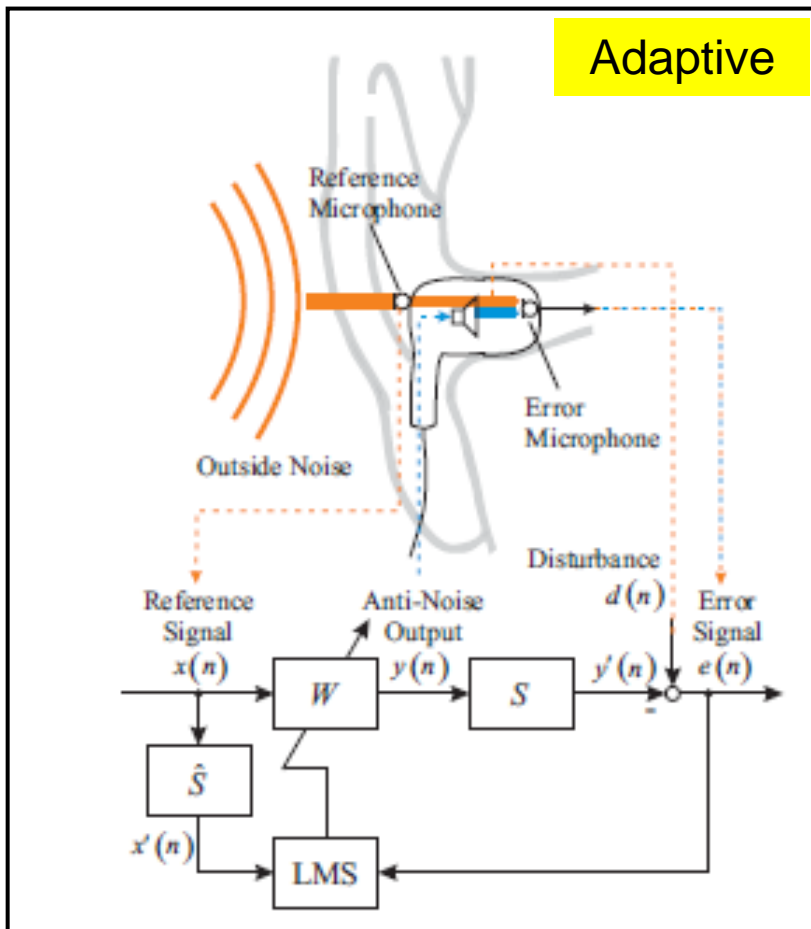




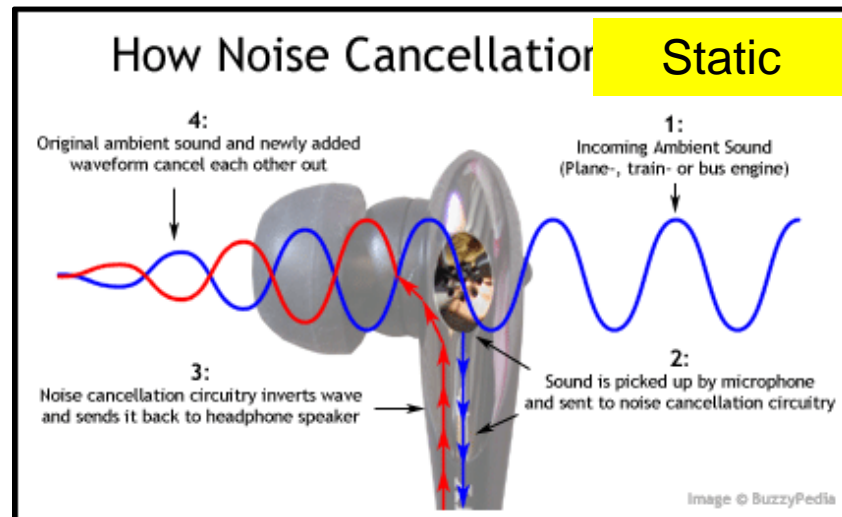
# Applying ANC to Headphones/Earphones

- Music playback or received speech signal
- Communication
- Perceptual Compensation
- Noise Reduction
- Augmented Reality Headsets

# Earphones: Adaptive vs Static ANC



Picture from Priese



Picture from internet

In general, the adaptive filter always achieves about 20 dB attenuation in the frequency range between 100 Hz and 1000 Hz; but static ANC results in only 10 dB reduction.

S Priese et al, "Adaptive feedforward control for active noise cancellation in-ear headphones," 164th Meeting of the Acoustical Society of America, Kansas City, Missouri, 22 - 26 October 2012

# Commercial ANC Headphones with digital adaptation

- Some of the commercial ANC headphones are still based on analog controller
- Recent years have seen more digital adaptive ANC in commercial products and patents:

Brand	Model Number/Name	Weblink
<b>Sennheiser</b>	Sennheiser S1 Digital Aviation Headset	<a href="http://en-us.sennheiser.com/aviation-headset-pilot-headset-active-noise-cancelling-s1-digital">http://en-us.sennheiser.com/aviation-headset-pilot-headset-active-noise-cancelling-s1-digital</a>
<b>Sony</b>	MDR-10R Noise Cancelling Headphones	<a href="http://www.sony.com.sg/product/mdr-10rnc">http://www.sony.com.sg/product/mdr-10rnc</a>
<b>Sony</b>	MDR-ZX750BN/B Bluetooth & Digital Noise Cancelling Headphones (Black)	<a href="http://www.sony.com.sg/product/mdr-zx750bn">http://www.sony.com.sg/product/mdr-zx750bn</a>
<b>Samsung</b>	Samsung Level Over	<a href="http://www.amazon.com/Samsung-Cancelling-Wireless-Headphones-Smartphones/dp/B00KGGK738">http://www.amazon.com/Samsung-Cancelling-Wireless-Headphones-Smartphones/dp/B00KGGK738</a>
<b>Beats</b>	Beats Noise Cancelling Headphones	<a href="http://sg.beatsbydre.com/headphones/">http://sg.beatsbydre.com/headphones/</a>

# ANC Headset with audio playback

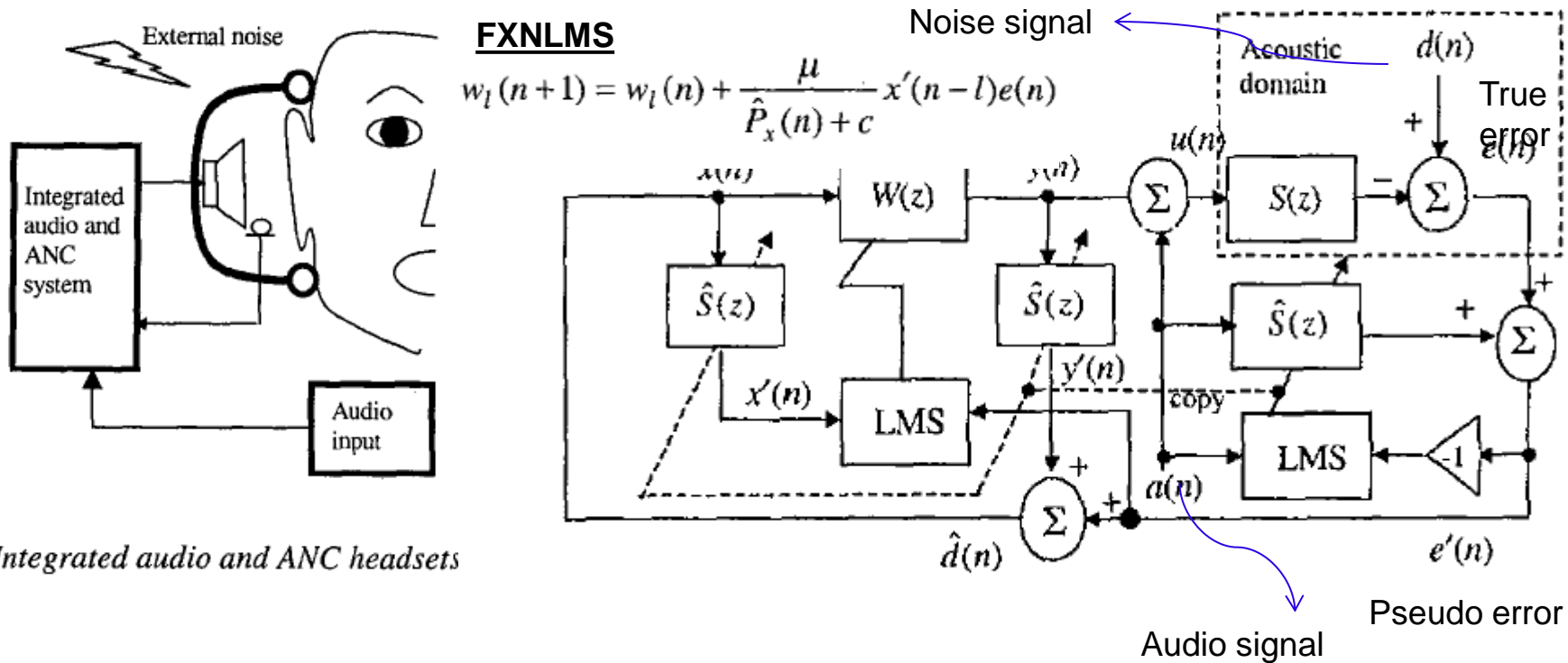


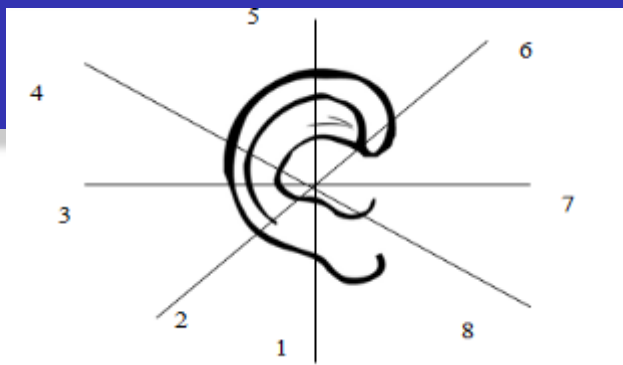
Fig. 1 Integrated audio and ANC headsets

$$E'(z) = D(z) - A(z)S(z) - Y(z)S(z) + \hat{S}(z)A(z).$$

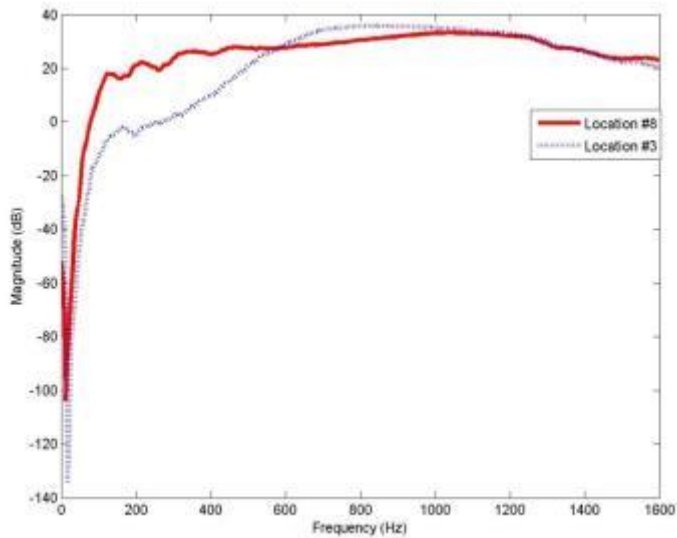
Assuming  $\hat{S}(z) = S(z)$

$$E'(z) = D(z) - Y(z)S(z)$$

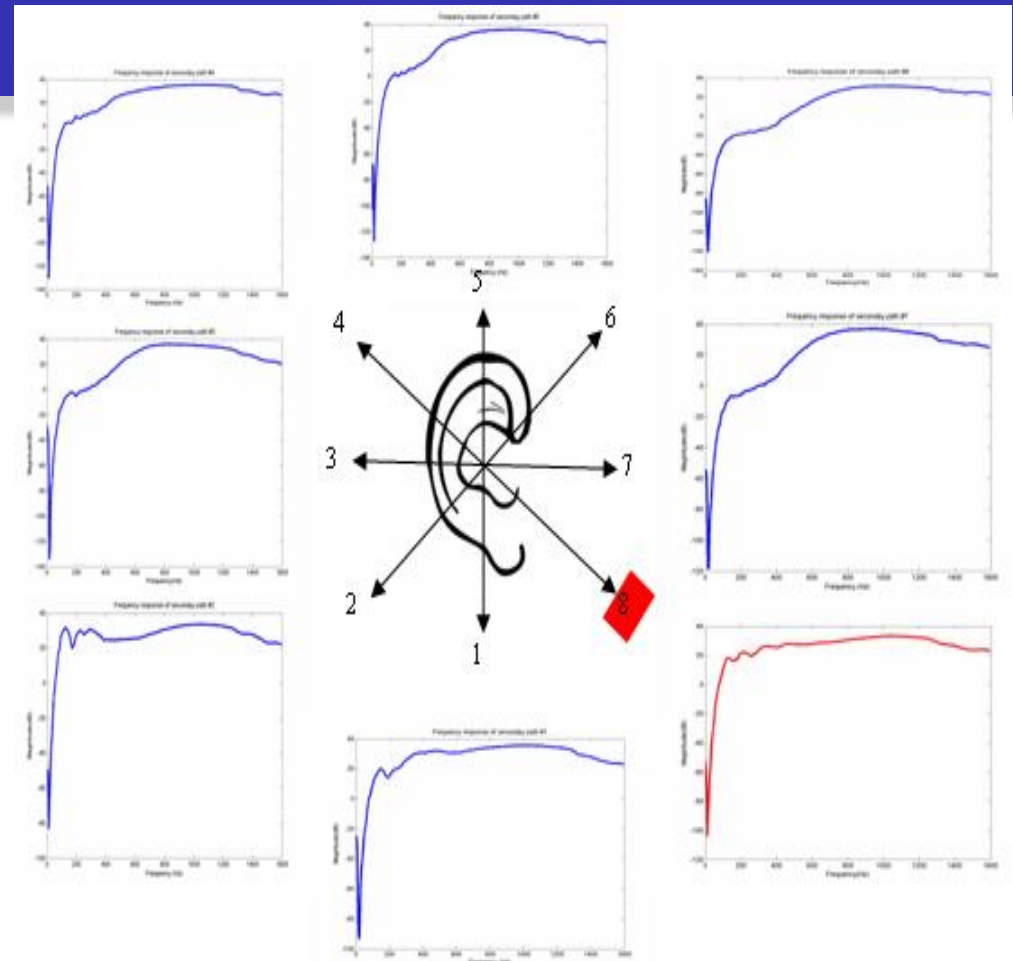
Pseudo error does not contain any audio signal



Possible error microphone locations corresponding to ear surface



Magnitude responses of secondary path  $S(z)$  at microphone locations #3 and #8



Frequency responses of secondary-path  $S(z)$  at microphone locations #1 to #8

**Optimum location #8 for the error microphone**

# Results

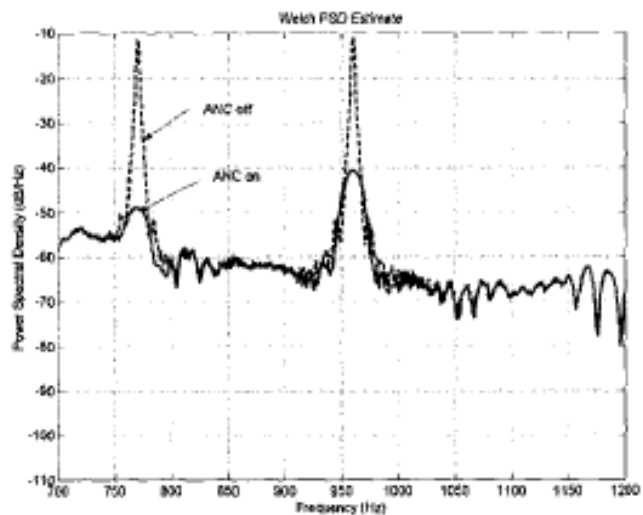


Fig. 4. Noise spectrum for the error signal with (dotted line) and without (solid line) using IFBANC under repeating siren disturbance

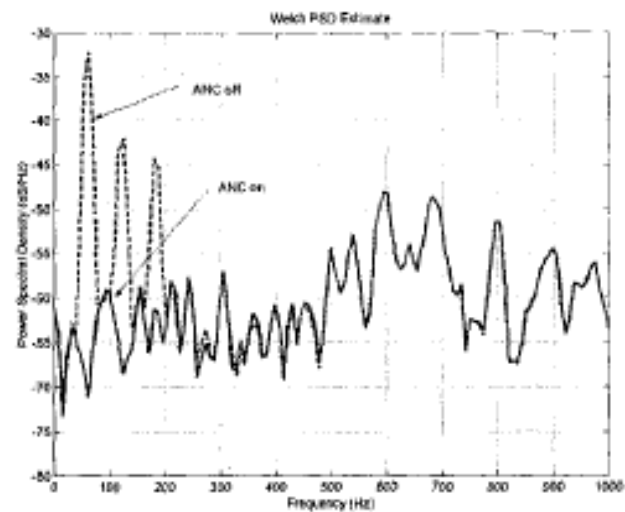


Fig. 5. Noise spectral for the error signals with (dotted line) and without (solid line) using IFBANC under an engine disturbance.

# Advantage of the integrated approach

- Good estimation of the true **residual noise  $e'(n)$**  without interfering with the audio signal  $a(n)$ ;
- **Large step size** can be used in adapting the cancellation filter  $W(z)$ ;
- The adaptive feedback ANC technique provides a more **accurate noise cancellation** since the microphone is placed inside the ear-cup of the headset;
- The system uses **single microphone per ear cup**, thus produces a compact, lower power consumption and a cheaper solution;
- The audio signal can be neatly used to drive both **on-line and offline modelling** of the secondary path transfer function.



# Extension to the Integrated ANC+Audio Playback

- Include communication features in integrated ANC + Audio playback.
- Our paper also compare the noise cancellation performance with commercial-off-the-shelve ANC headphones
- Our adaptive ANC integrated headphones outperformed the commercial one by 15-20 dB at low frequency below 183 Hz.
- More objective and subjective evaluation can be conducted to test out other parameters of the ANC headphones.

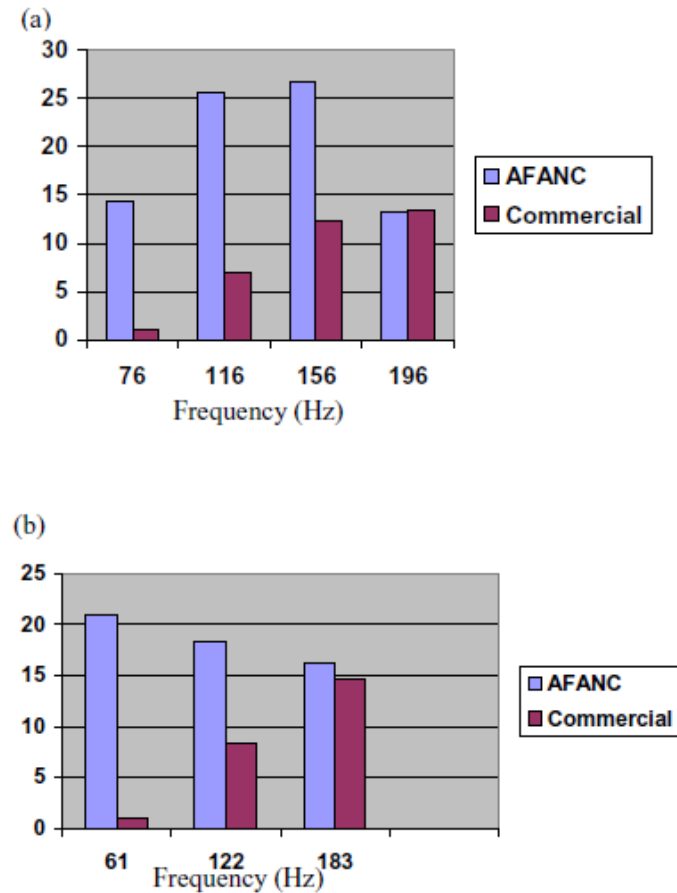
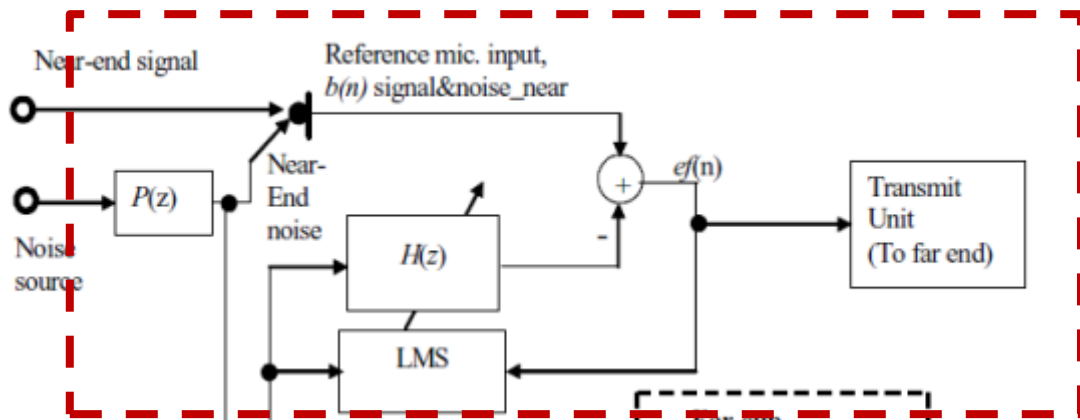


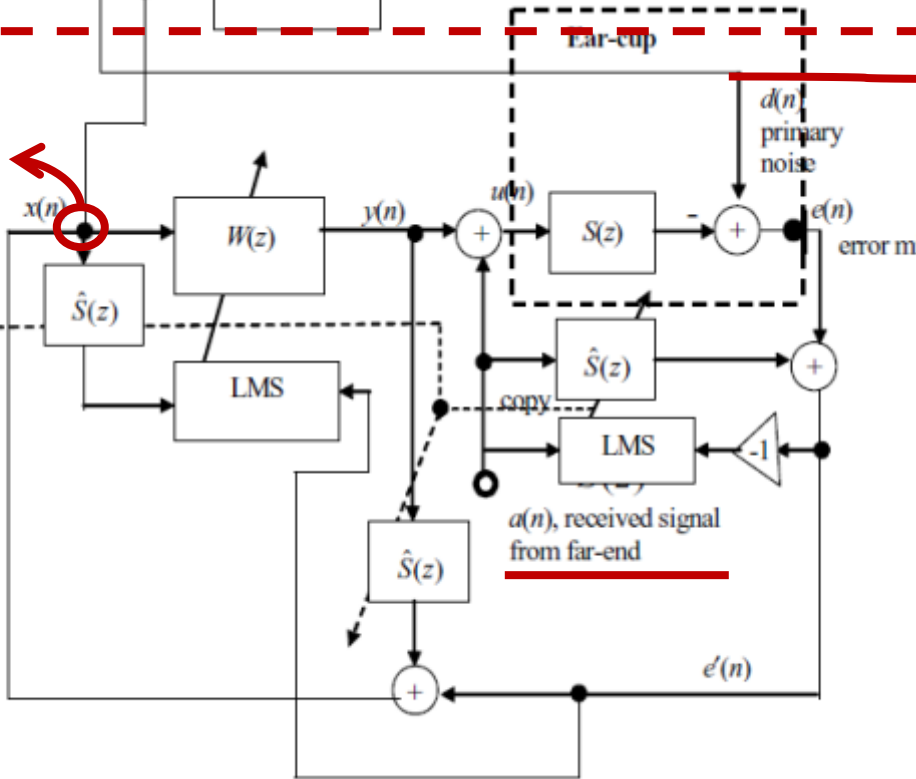
Figure 8. Net noise cancellation comparison for the proposed AFANC and a commercial noise canceling headset for engine noise at (a) 2,200 rpm and (b) 3,700 rpm

# ANC Headset with audio playback and speech communication



To remove near-end noise before transmission. Use an adaptive noise canceller.  
**Note: a purely electrical cancellation; not acoustic.**

A correlated noise input used to train Noise canceller



Acoustic path

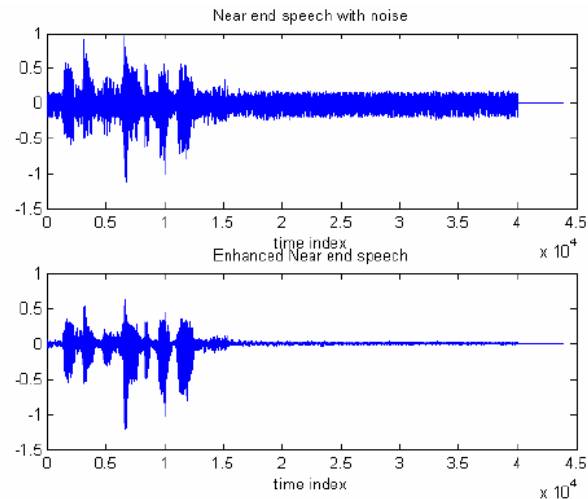
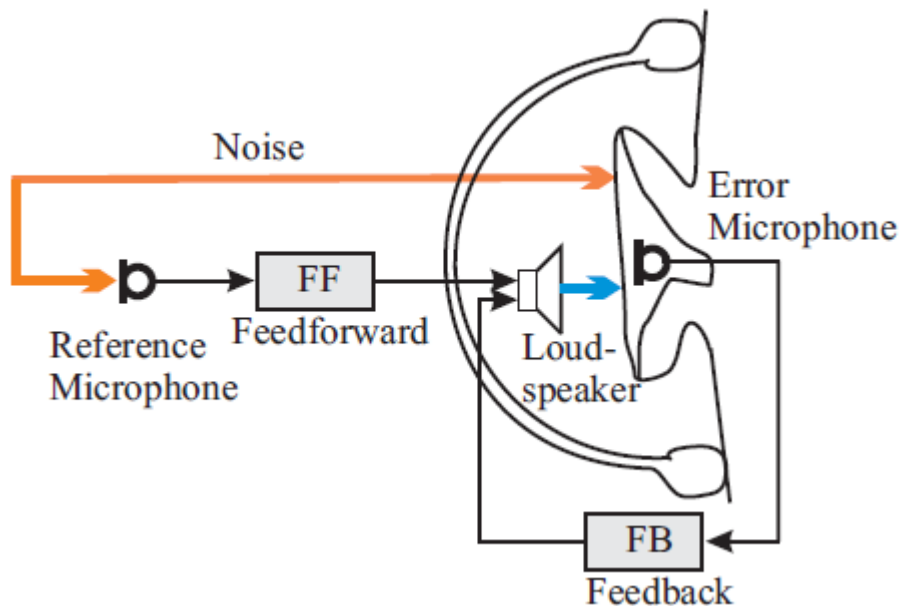


Figure 13. The top and bottom plots show the original signal corrupted by engine noise and enhanced near-end speech respectively

# Extension to a Hybrid ANC system



- Combined both feedforward and feedback ANC techniques to further improved on its broadband noise cancellation performance.
- Also perform better than the feedback ANC for narrow frequency separation engine noise. (~ 6 to 10 dB better)

# Broadband Feedback ANC

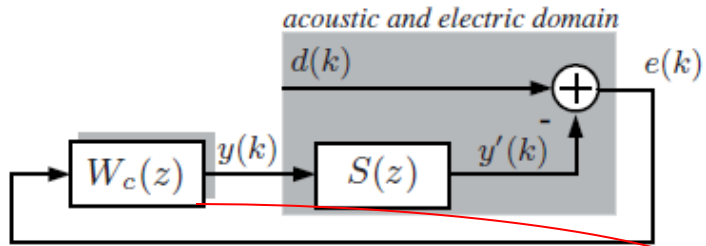
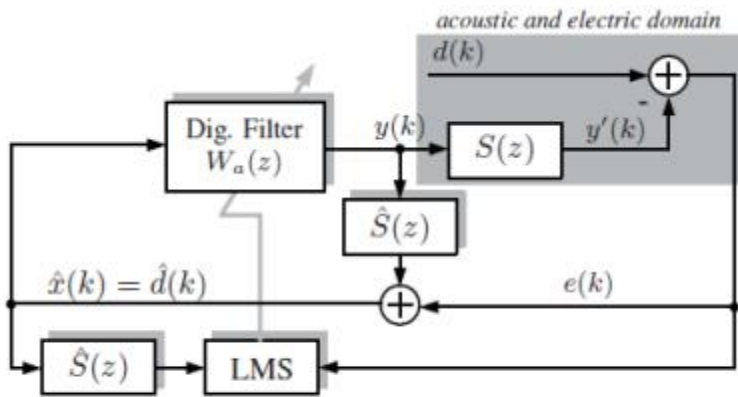


Fig. 2. Model for a classical feedback ANC system.

Analog



Digital

Fig. 3. Model for an adaptive feedback ANC system involving the FxLMS approach.

## Combined Analog-Digital

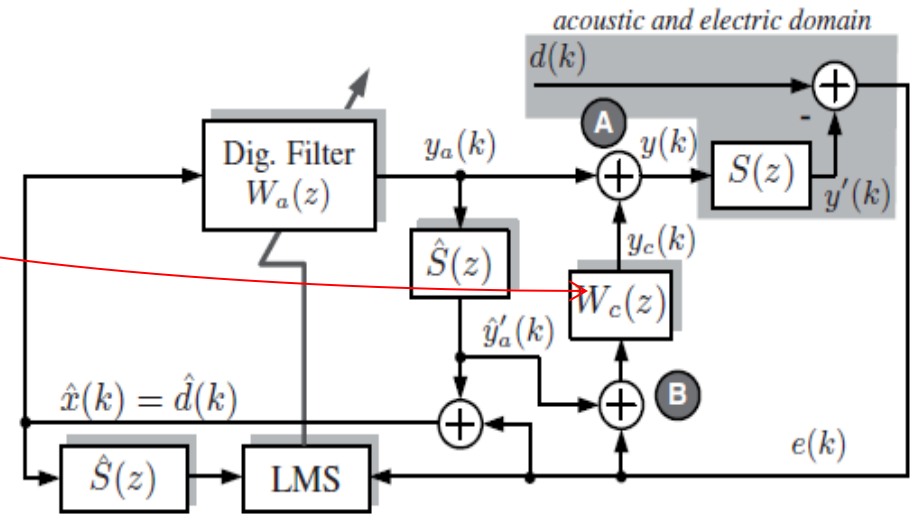


Fig. 4. The novel hybrid feedback ANC system based on the FxLMS approach.

In general, attenuation of noise in lower frequency areas as well as periodic noise components in all frequency areas

Picture from

# Apple Patent Application on ANC for iPhone (US20130259250 A1)

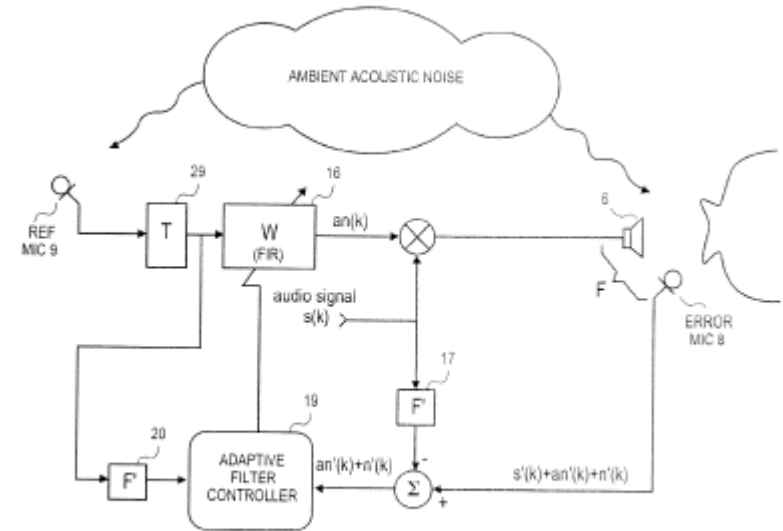
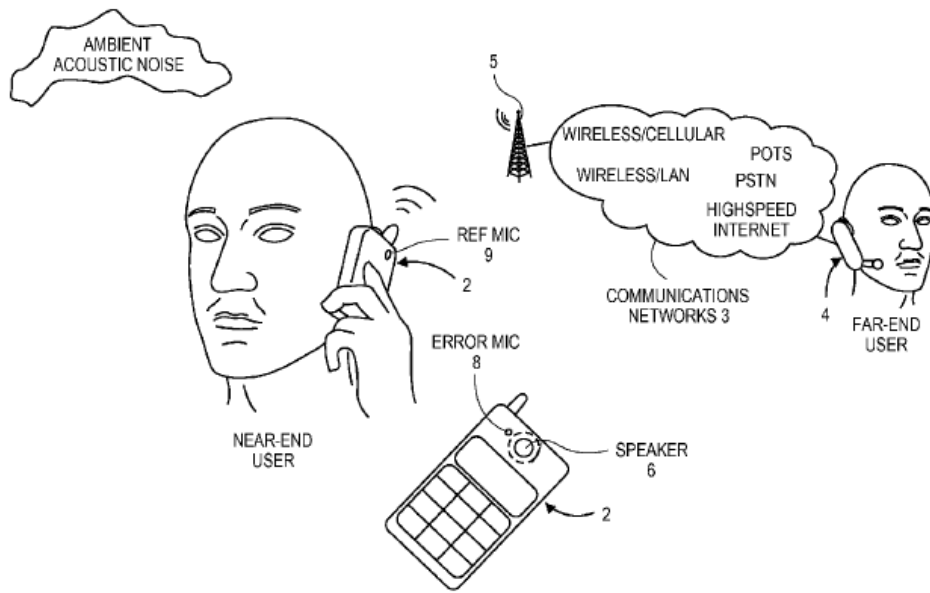
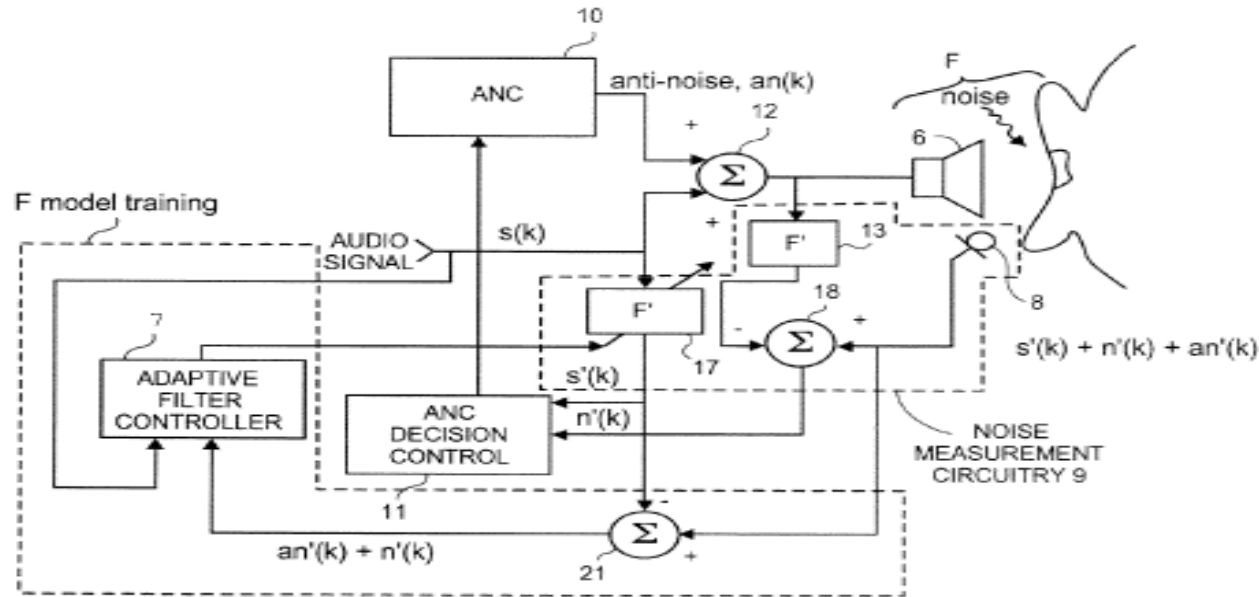


FIG. 2

- Patent application on a Feedforward ANC system for use in a portable audio device using an adaptive digital filter and a reference/error microphones.
- Novelty is adding a **non-adaptive pre-shaping filter (minimum phase)** and has  $> 2\text{dB}$  more gain over low frequency.
- Integrating speech/audio signal into the FF ANC system.

# Another Apple Patent on ANC Decision in a Portable Audio Device (US8515089)



**FIG. 2**

- To control the ambient acoustic noise outside the device that may be heard by a user of the device.
- Depending on the signal strength of the sound emitted from the earpiece speaker and the ambient acoustic noise to **activate/deactivate ANC**.

# ANC Patent Application from Fraunhofer (US2015/0003625 A1)

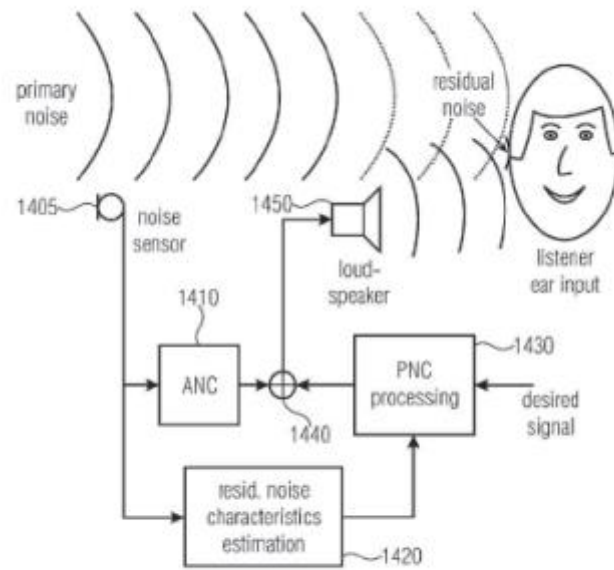


FIGURE 14

- **Objective:** Restore the original audio loudness and timbre
- Improve perceived quality of sound reproduction of an audio
- Include a perceptual noise compensator to generate noise-compensated signal based on **audio signal** and **residual noise characteristic**.



# Conclusions on ANC Headphones

- Fundamental theories, algorithms, and experiments of ANC have been well established over the last few decades. ***Focus now on how we can deploy and integrate ANC in existing and other new applications.***
- Witnessing an increased activities from the industry applying ANC techniques into their products (especially in **ANC headsets**, **hearing aids** and **automotive** applications)
- Issues with maintainability and costs become lesser concern with ***better, reliable, small form factor, and low cost*** sensors → suited for wearable consumer devices.

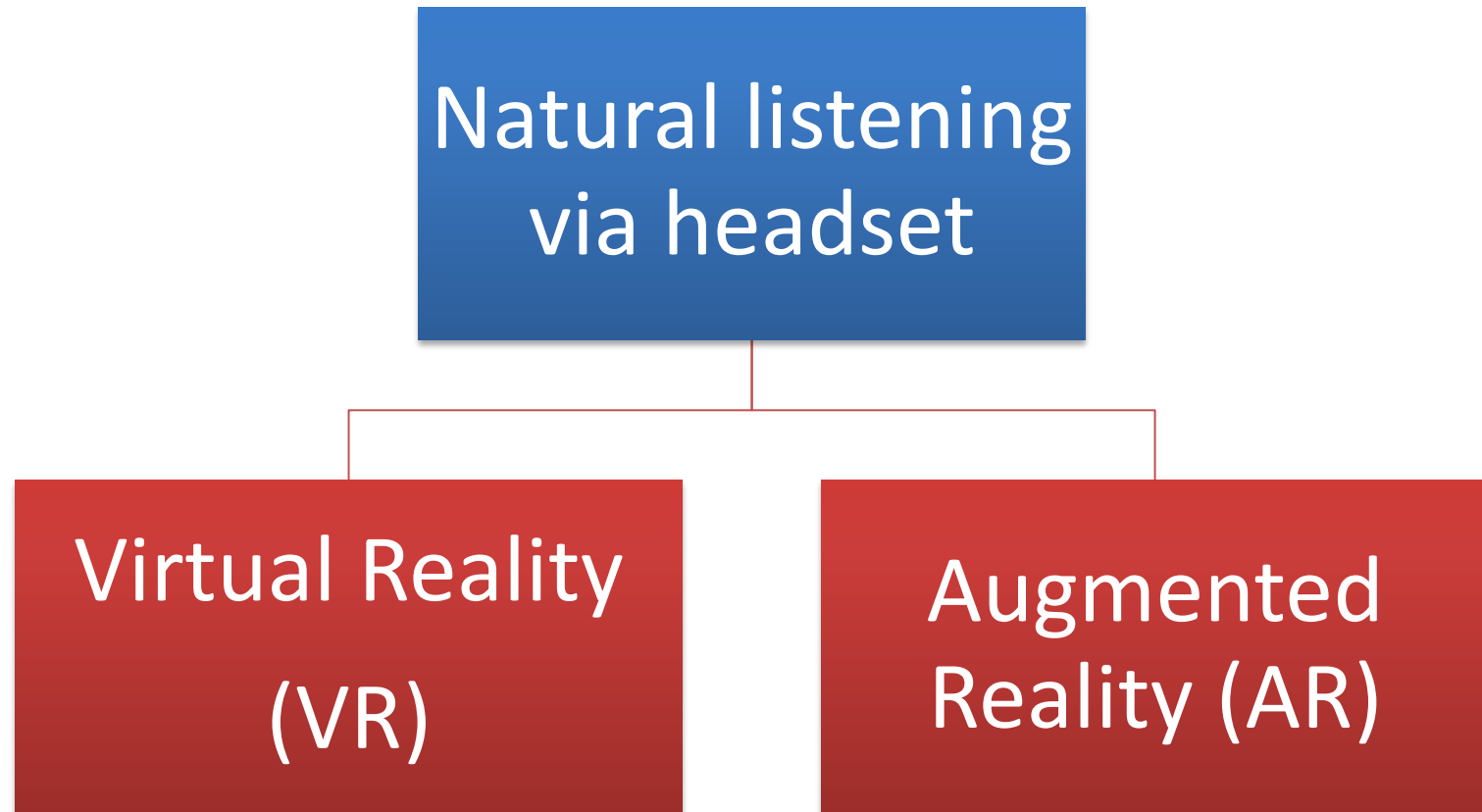
# Key References on ANC Headphones

- [1] P. Leug, "Process of silencing sound oscillations," U.S. Patent 2043416, 1936.
- [2] E. D. Simshauser and M. E. Hawley, "The noise canceling headset -an active ear defender," Journal of the Acoustical Society of America, vol. 27, pp. 207–217, 1955.
- [3] S. M. Kuo and D. R. Morgan, Active noise control systems: algorithms and DSP implementations. New York, NY, USA: Wiley Interscience, 1996.
- [4] S. J. Elliott, Signal Processing for Active Control. San Diego, CA.: Academic Press, 2001.
- [5] Y. Kajikawa, W. S. Gan and S. M. Kuo, "Recent advances on active noise control: open issues and innovative applications," *APSIPA Trans. Sign. Inf. Process.*, vol. 1, pp. 1–21, Aug. 2012.
- [6] Kuo, S.M.; Chuang, H.; Mallela, P.P.; "Integrated automotive signal processing and audio system", *IEEE Trans Consumer Electronics*, Volume 39, Issue 3, August 1993, pp. 522-532.
- [7] S Priese et al, "Adaptive feedforward control for active noise cancellation in-ear headphones," 164th Meeting of the Acoustical Society of America, Kansas City, Missouri, 22 - 26 October 2012
- [8] Woon S. Gan and Sen M. Kuo, "AN INTEGRATED AUDIO AND ACTIVE NOISE CONTROL HEADSETS", *IEEE Transactions on Consumer Electronics*, Vol. 48, No. 2, MAY 2002
- [9] Thomas Schumacher, et al, " ACTIVE NOISE CONTROL IN HEADSETS: A NEW APPROACH FOR BROADBAND FEEDBACK ANC," *ICASSP 2011*
- [10] Yong-Kim Chong, Liang Wang, See-Chiat Ting and Woon-Seng Gan," INTEGRATED HEADSETS USING THE ADAPTIVE HYBRID ACTIVE NOISE CONTROL SYSTEM", *ICICS 2005*
- [11] Woon S. Gan, Sohini Mitra and Sen M. Kuo, "Adaptive Feedback Active Noise Control Headset: Implementation, Evaluation and Its Extensions", *IEEE Transactions on Consumer 976 Electronics*, Vol. 51, No. 3, AUGUST 2005

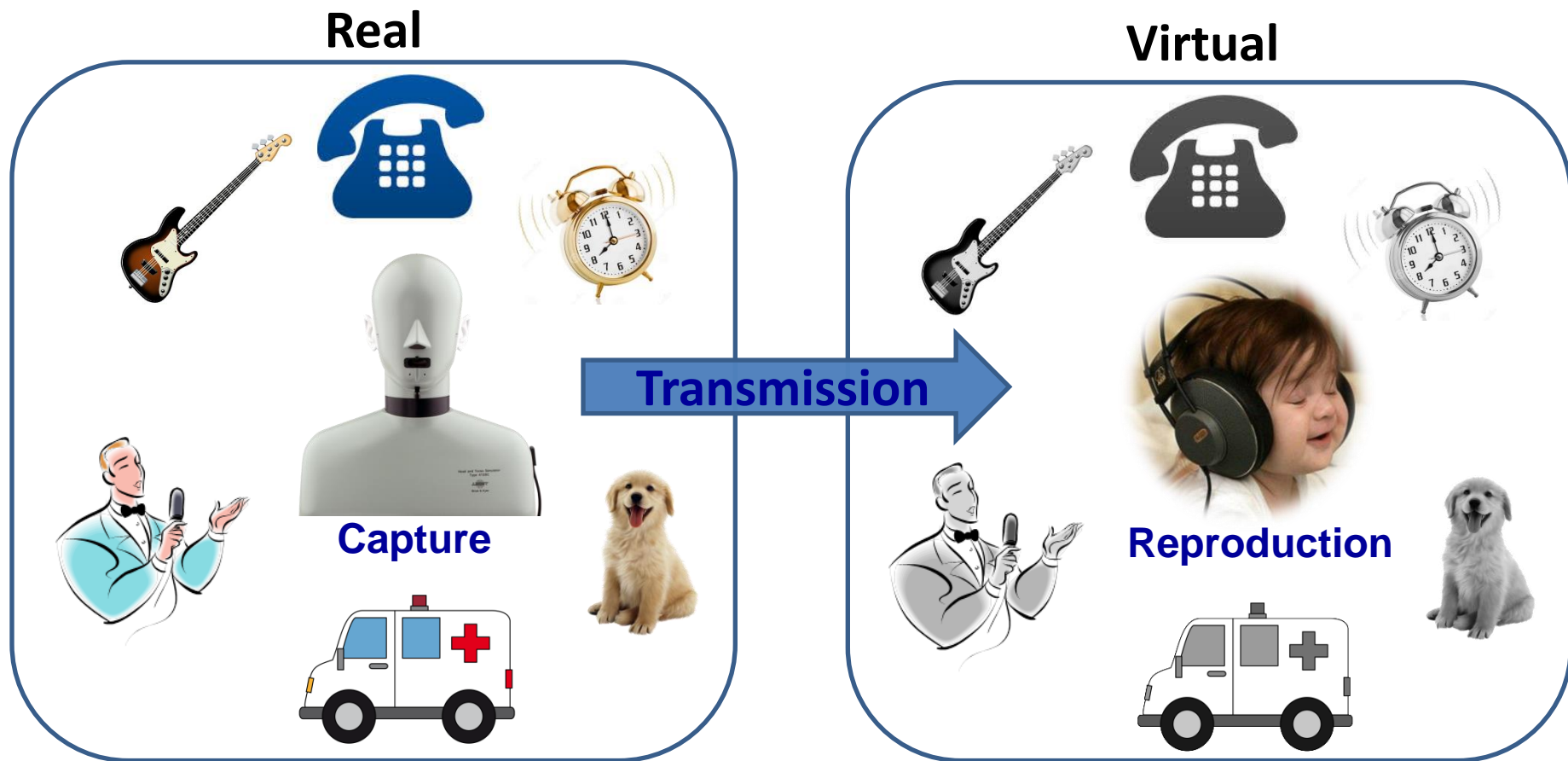
# Module IV

## Natural Augmented Reality Headsets

# Natural Listening for VR and AR



# Natural Listening in Virtual Reality



Sony Project Morpheus



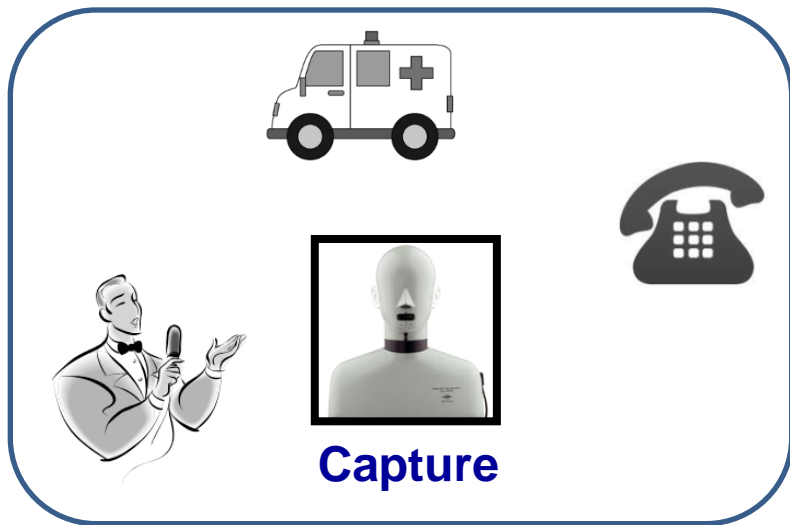
Oculus rift

# Natural Listening in Augmented reality

**Real**



**Virtual**



**Augmented Reality (AR)**



Microsoft HoloLens

# Augmented Reality

Augmented reality is changing the way we live in real world

Wearable VR/AR devices:



Google-glass



Oculus rift



Microsoft HoloLens

VR/AR applications:



Navigation



VR and AR world



Gaming



# Related Works

1. *Augmented reality audio (ARA) headset* using in-ear headphones and **external binaural microphones** to assist the listener with pseudo-acoustic scenes[1].

**Problem addressed:** Blockage of natural sounds coming from outside. Using binaural microphones to capture, process and playback so as to make ARA headset acoustically transparent.

2. Surround sound reproduction over headphones with **binaural microphones positioned inside** ear cup near ear opening [2].

**Problem addressed:** Large localization errors due to non-individualized equalization of headphones. Using ANC technique to calibrate the system for every individual to achieve sound reproduction same as a multichannel setup.

[1] A. Härmä, J. Jakka, M. Tikander, M. Karjalainen, T. Lokki, J. Hiipakka, *et al.*, "Augmented reality audio for mobile and wearable appliances," *Journal of the Audio Engineering Society*, vol. 52, pp. 618-639, 2004

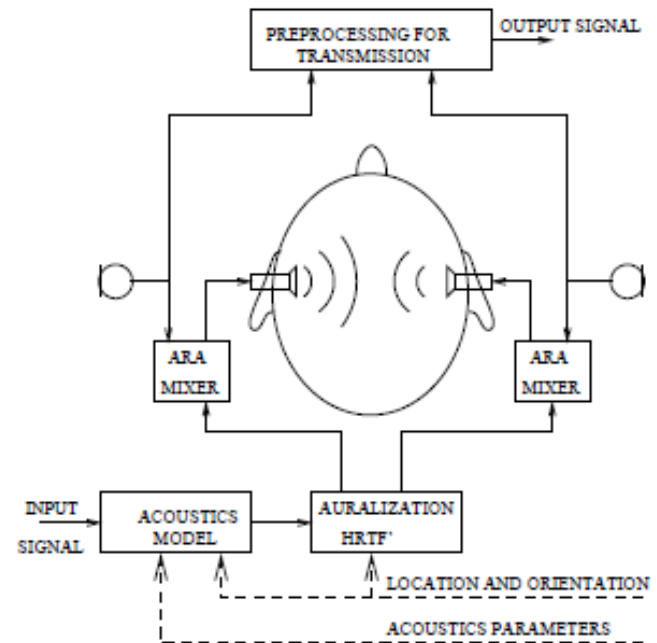
[2] D. W. Schobben and R. M. Aarts, "Personalized multi-channel headphone sound reproduction based on active noise cancellation," *Acta acustica united with acustica*, vol. 91, pp. 440-450, 2005.

# ARA Headset - Overview

- Use of closed in-earphones to capture the external sound, mix with the virtual sounds and playback
- Basic idea is to relay the external sounds unaltered with minimum latency (<1ms)



Left and middle: ARA headset (Philips SHN2500)  
Right: Prototype ARA mixer [2]



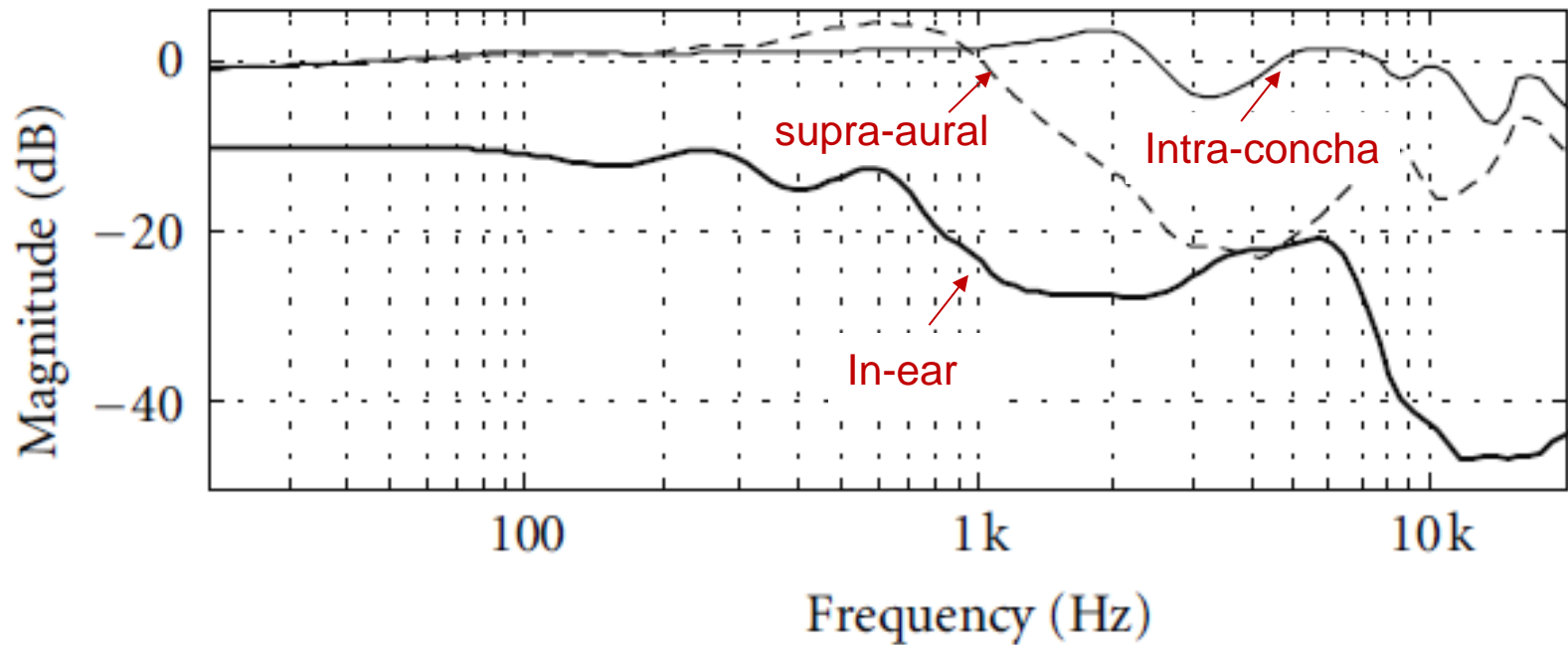
ARA headset system diagram [1]

[1] A. Härmä, J. Jakka, M. Tikander, M. Karjalainen, T. Lokki, J. Hiipakka, *et al.*, "Augmented reality audio for mobile and wearable appliances," *Journal of the Audio Engineering Society*, vol. 52, pp. 618-639, 2004

[2] M. Tikander, M. Karjalainen, & V. Riikonen, "An augmented reality audio headset". In *Proc. of the 11th Int. Conf. on Digital Audio Effects (DAFx-08)*, Espoo, Finland, (2008, September).

# ARA Headset (challenges)

- Blockage of external sounds by ARA headset

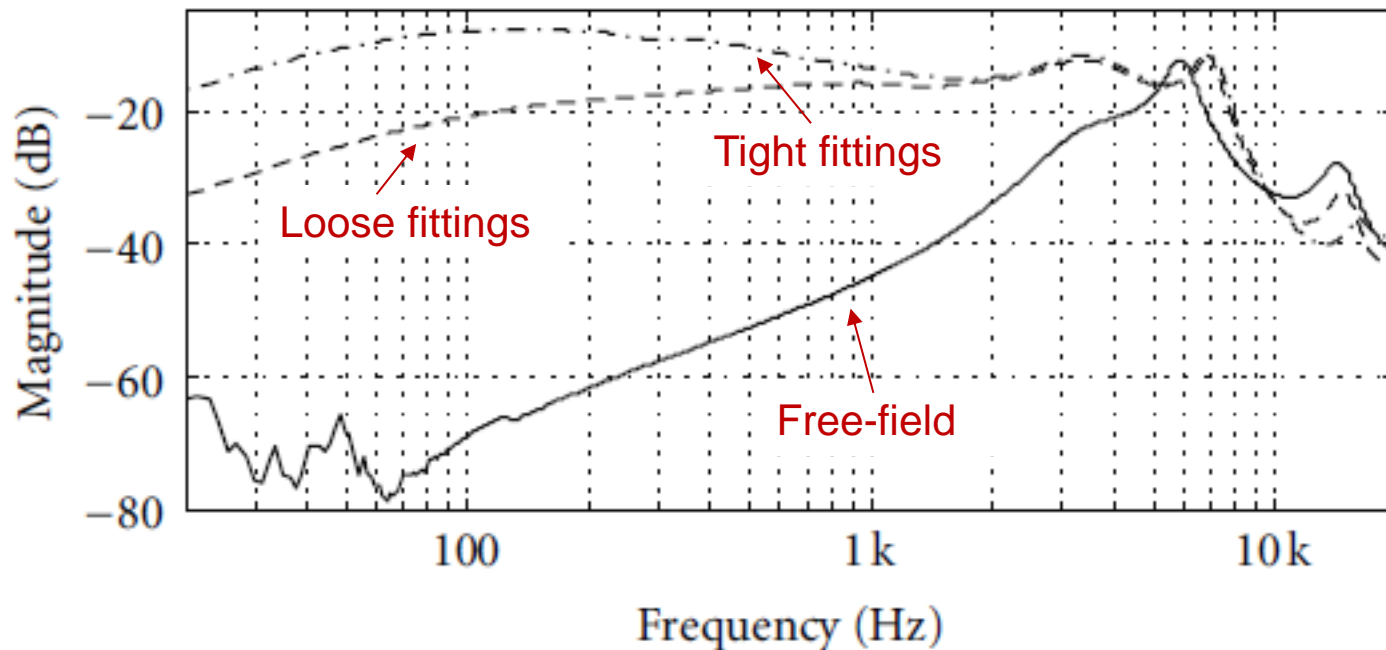


- Closed in-ear headphones with **good fittings** is necessary for good performance of ARA headset
- Loose fittings can dramatically change the attenuation

[1] J. Rämö, & V. Välimäki, (2012). Digital Augmented Reality Audio Headset. *Journal of Electrical and Computer Engineering*, 2012.

# ARA Headset (challenges)

- Closed in-ear phones modify the ear canal resonance (**pressure chamber principle**)

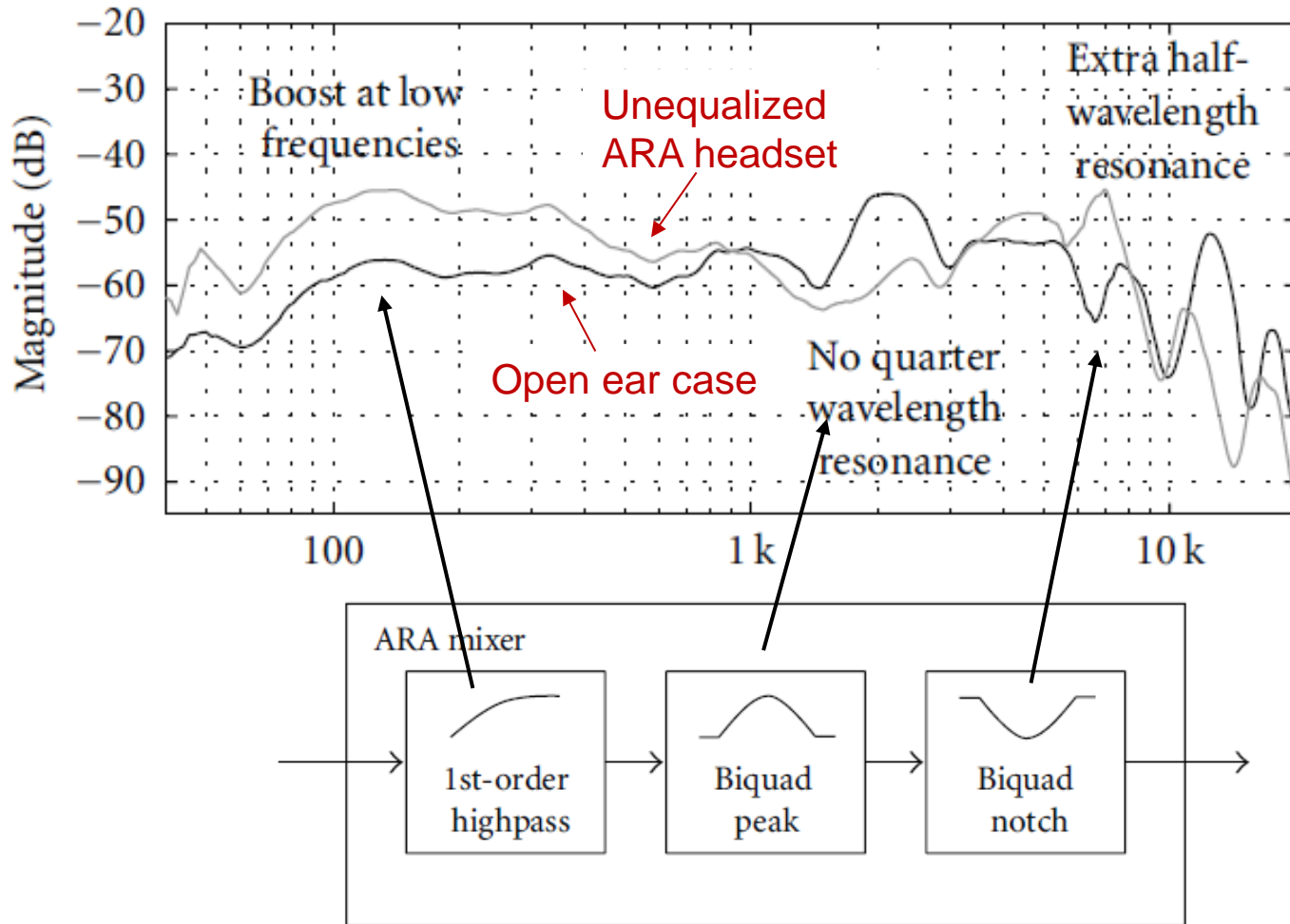


- Difficult to predict the headphone response if loosely fitted

[1] J. Rämö, & V. Välimäki, (2012). Digital Augmented Reality Audio Headset. *Journal of Electrical and Computer Engineering*, 2012.

# ARA Headset (Design of ARA mixer)

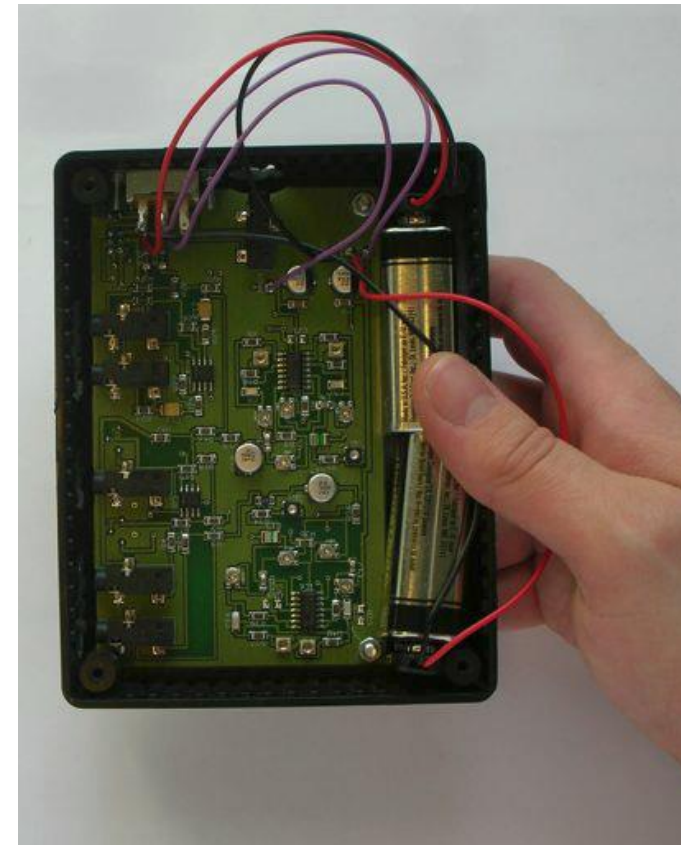
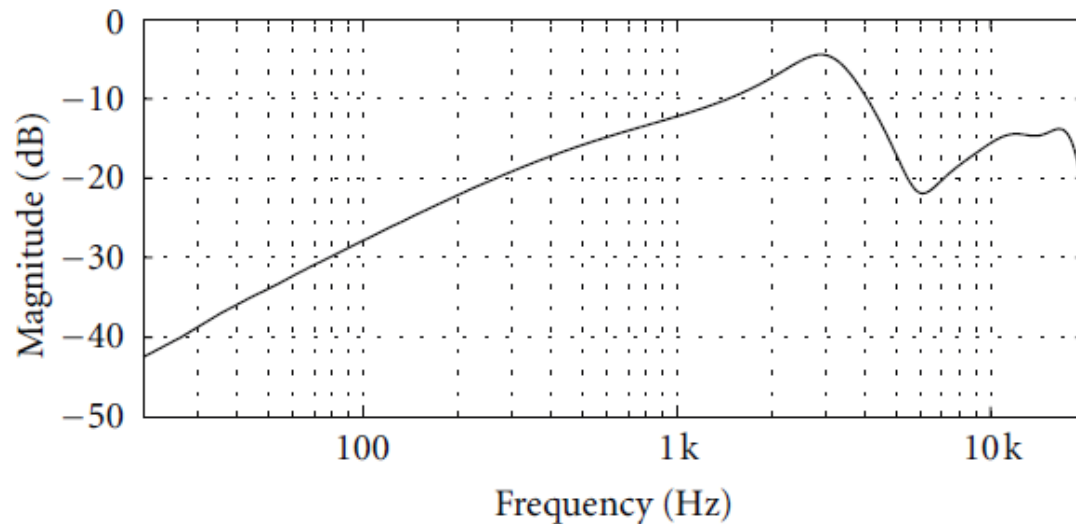
- ARA headset equalization



[1] J. Rämö, & V. Välimäki, (2012). Digital Augmented Reality Audio Headset. *Journal of Electrical and Computer Engineering*, 2012.

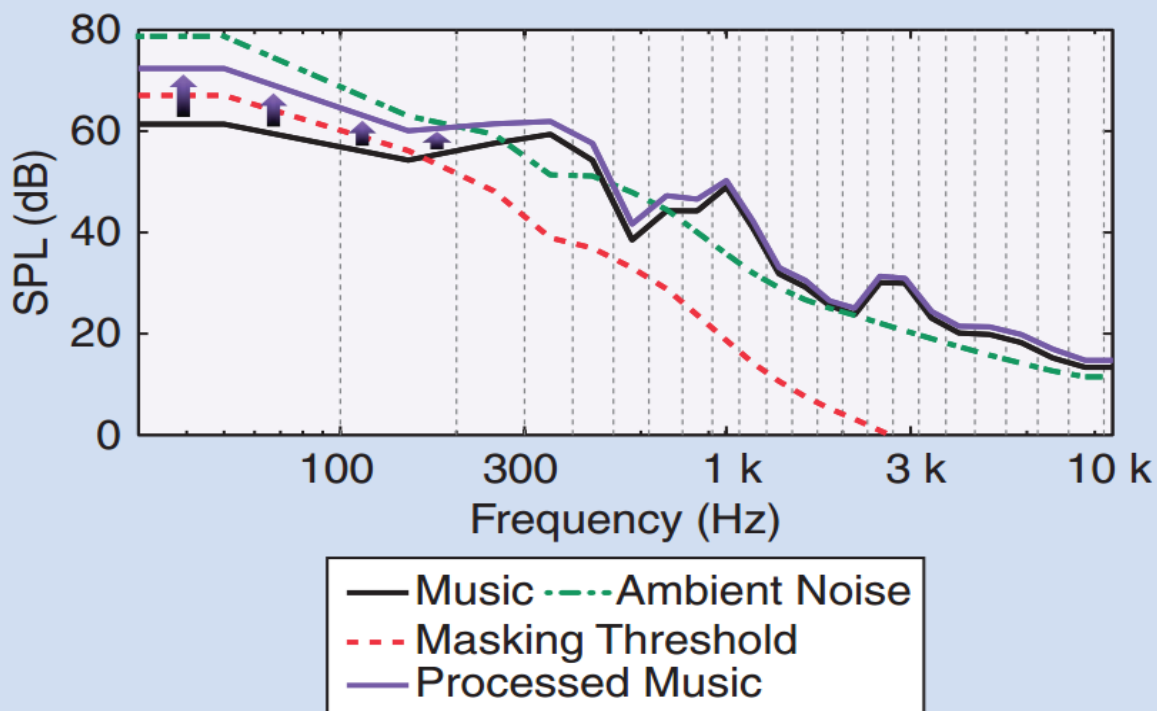
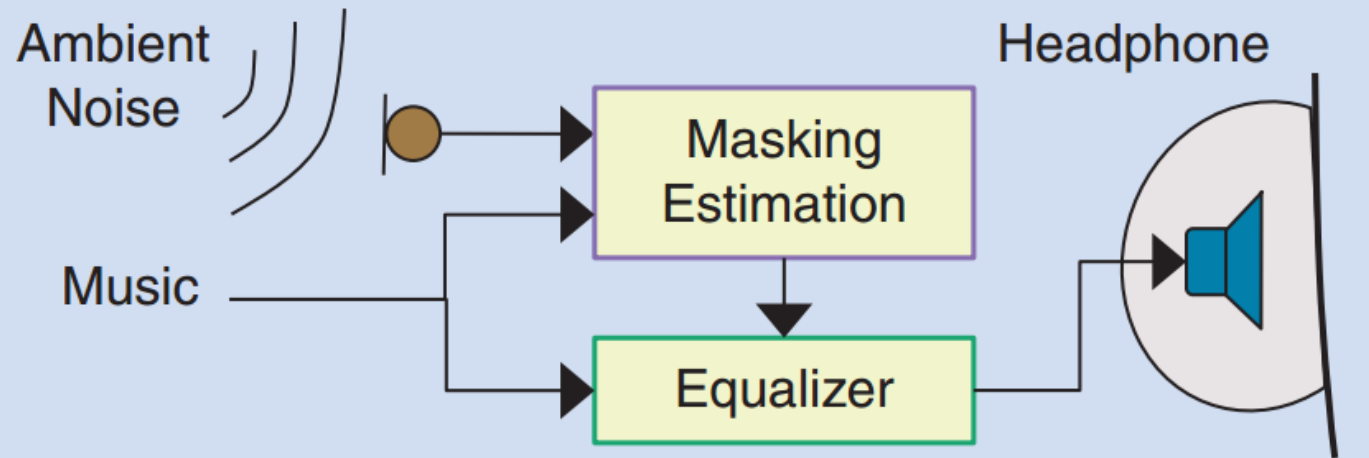
# ARA Headset

- Generic ARA equalization based on 4 individual measurements



[1] J. Rämö, & V. Välimäki, (2012). Digital Augmented Reality Audio Headset. *Journal of Electrical and Computer Engineering*, 2012.

# Masking in ARA Headset

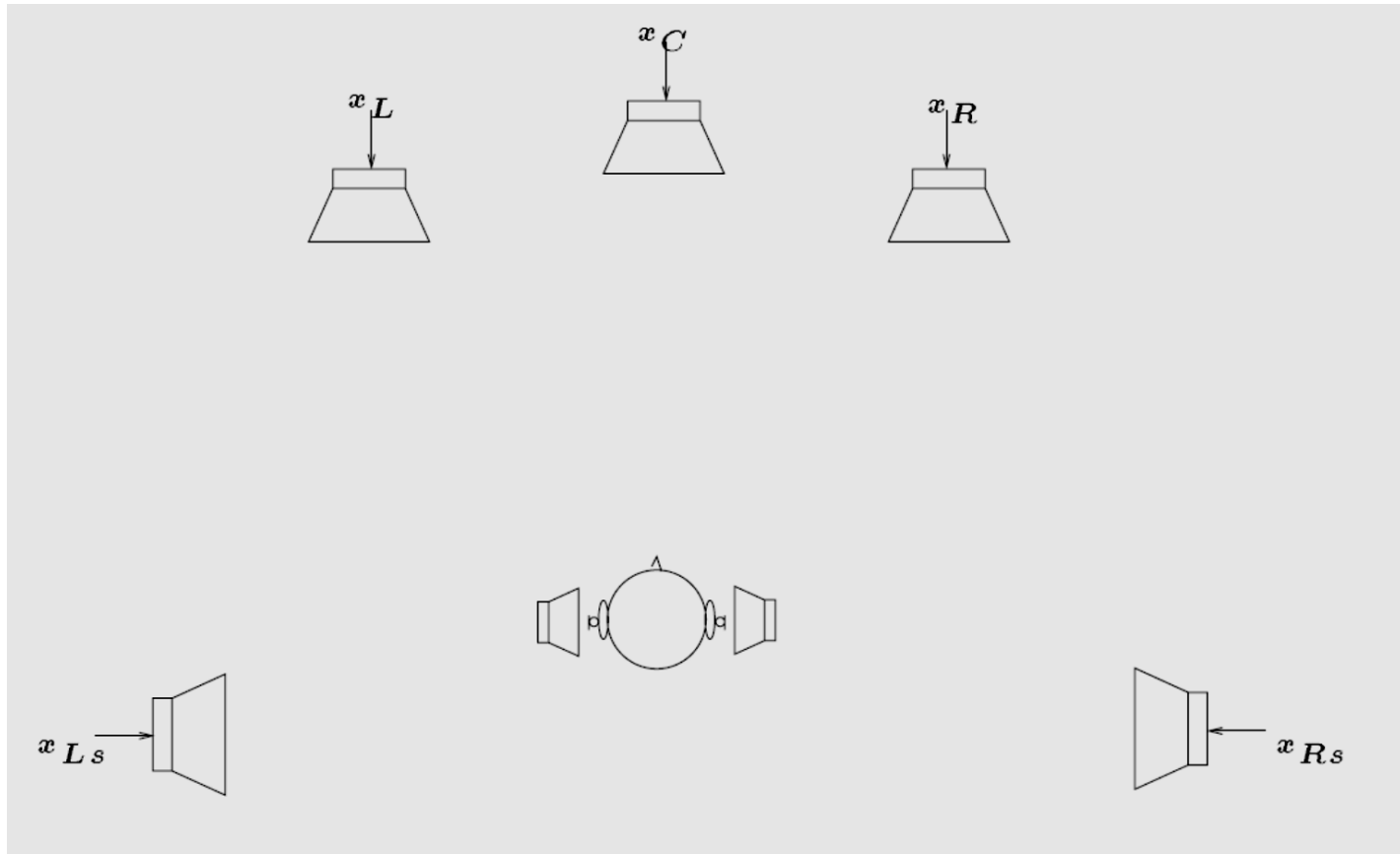


[20] V. Välimäki, A. Franck, J. Rämö, H. Gamper, and L. Savioja, "Assisted Listening Using a Headset," IEEE Signal Processing Magazine, vo. 32, no. 2, pp. 92-99, Mar. 2015.



# 3D headphone sound reproduction using ANC

- Emulating a 5 channel sound reproduction setup through headset with two microphones



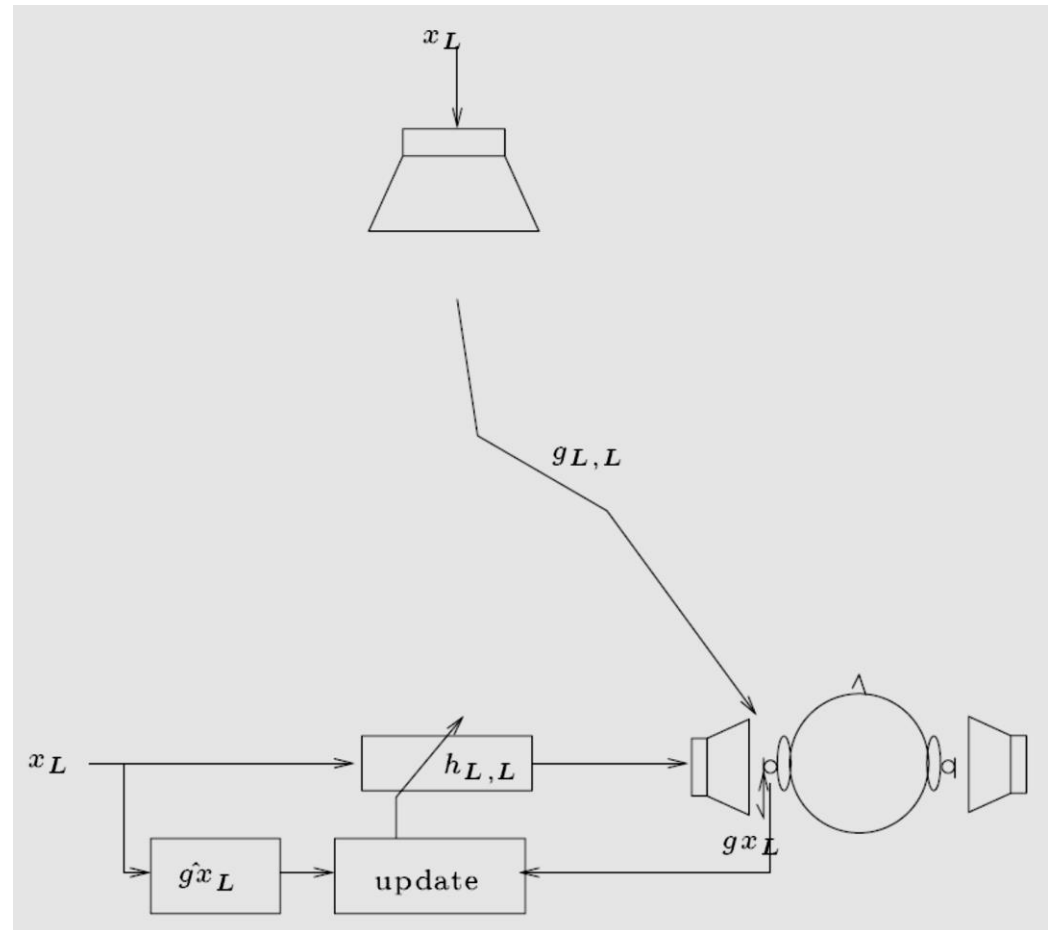
[2] D. W. Schobben and R. M. Aarts, "Personalized multi-channel headphone sound reproduction based on active noise cancellation," *Acta acustica united with acustica*, vol. 91, pp. 440-450, 2005.

# 3D headphone sound reproduction using ANC

- Offline calibration process using adaptive process FxLMS

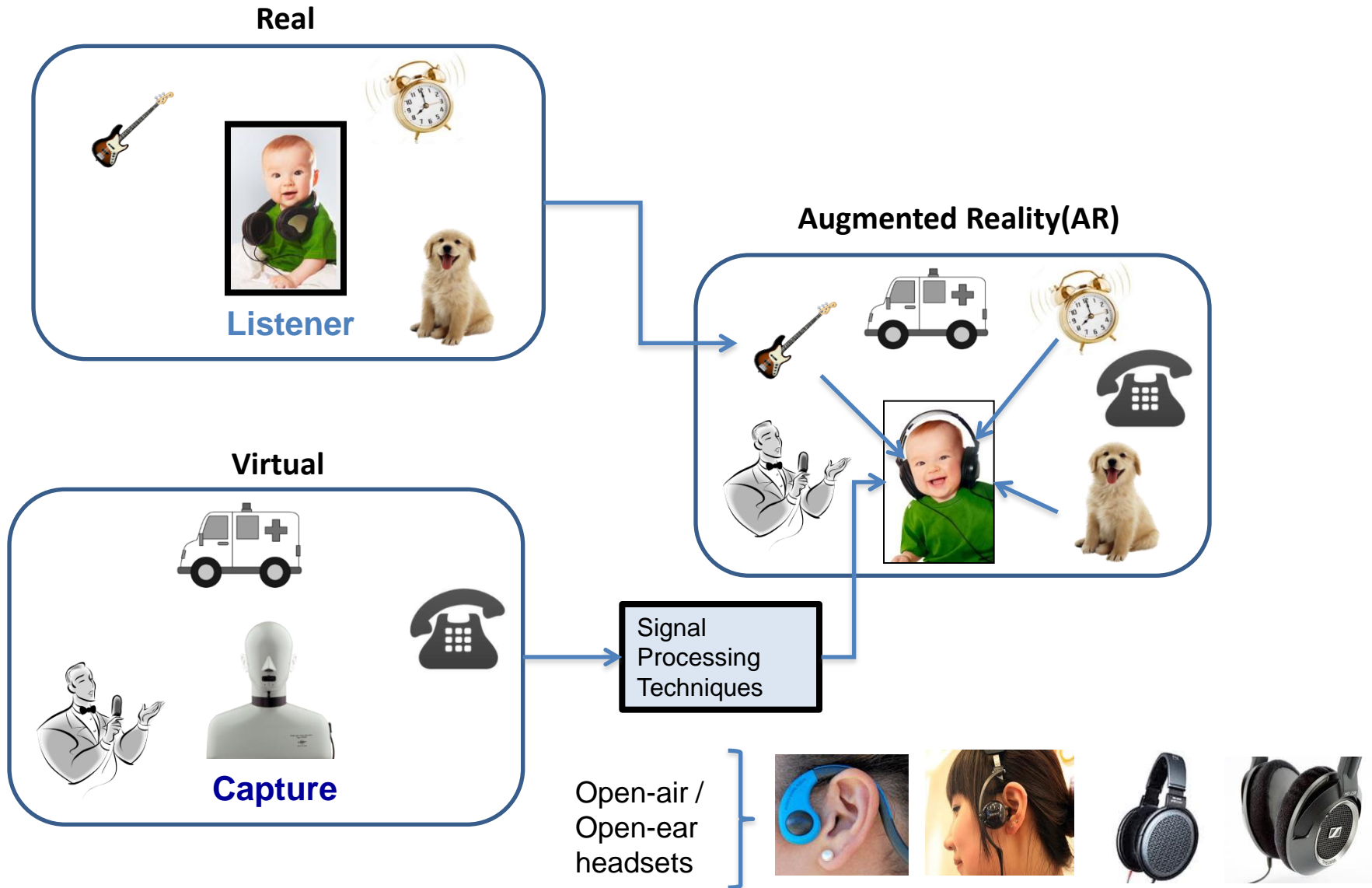


Headphone with integrated microphones



[2] D. W. Schobben and R. M. Aarts, "Personalized multi-channel headphone sound reproduction based on active noise cancellation," *Acta acustica united with acustica*, vol. 91, pp. 440-450, 2005.

# Acoustic-Hear-Through AR (open-air headphones)



# NAR headset potential applications:

## Augmented Audio tour





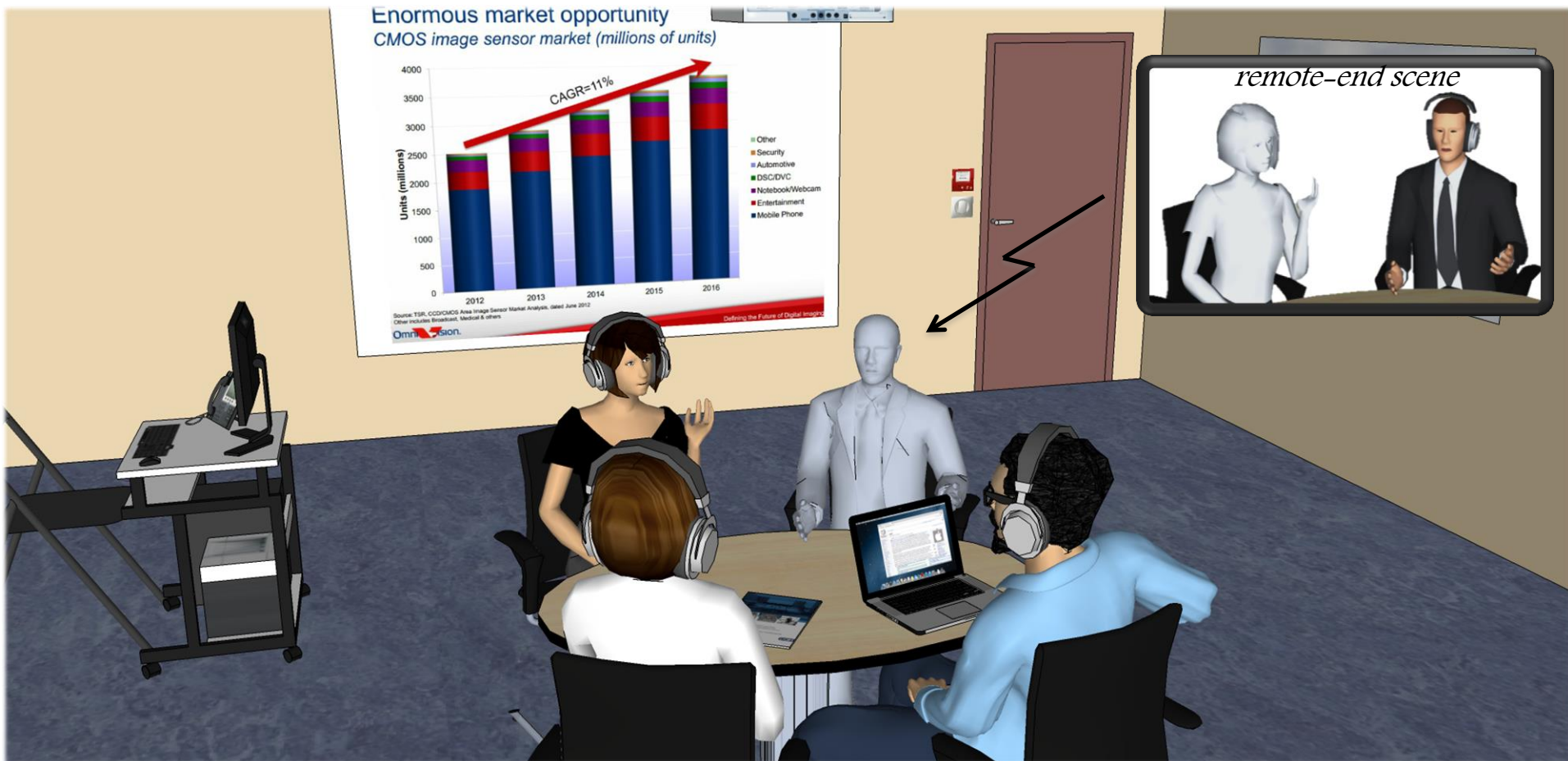
# NAR headset potential applications:

## Augmented Assistive listening for Visually Impaired



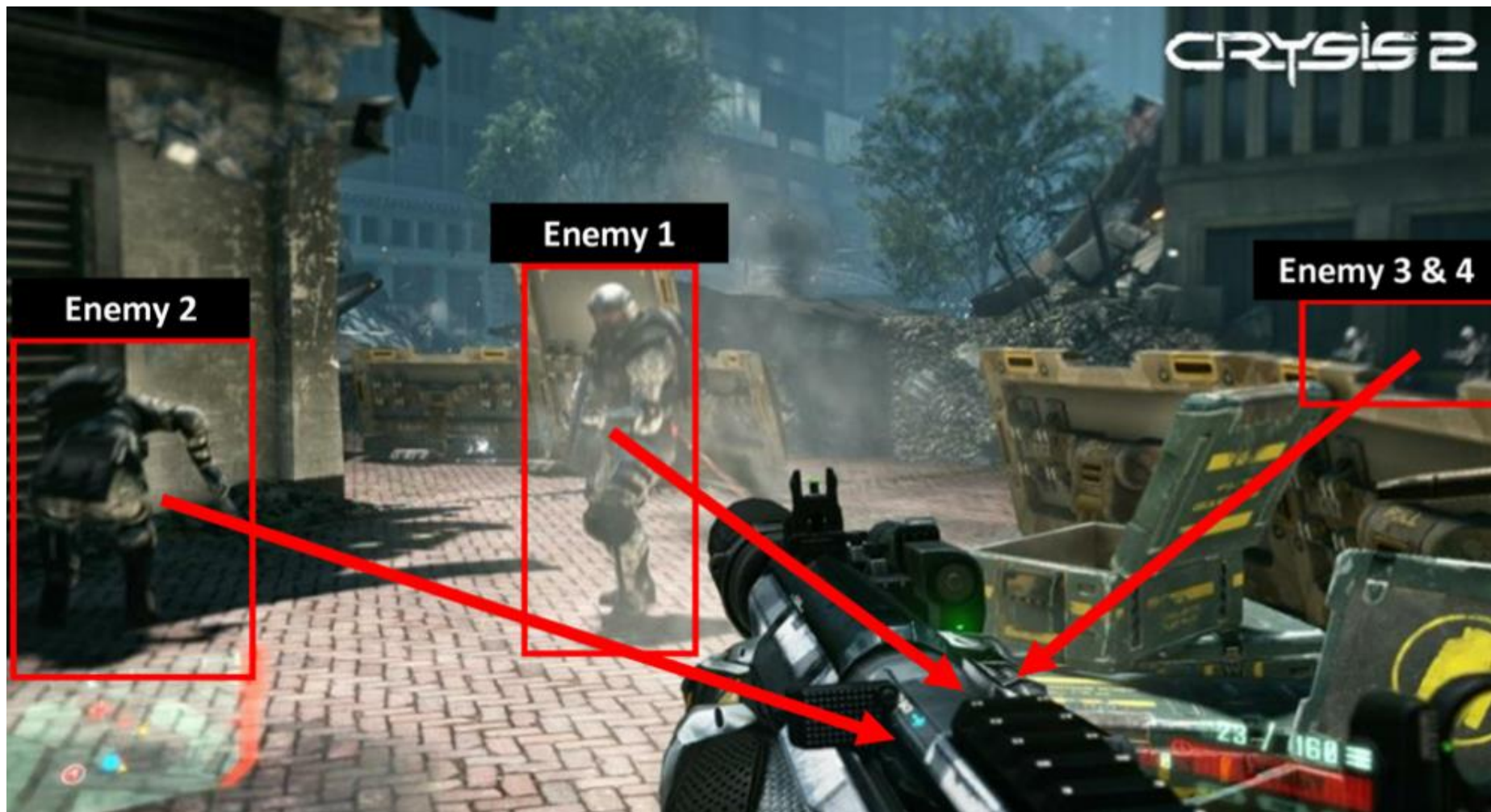
# NAR headset potential applications:

## Augmented Multi-party Conferencing





# Using HRTF in Gaming to Create Audio Depth



Enemy **1** & **2** sound closer compared to enemy **3** & **4**

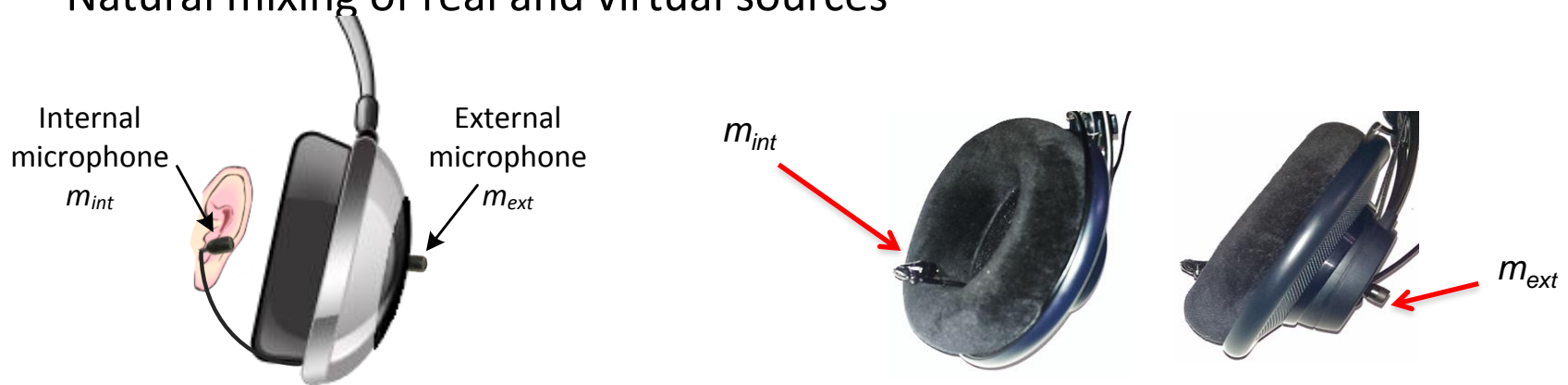
Image from:  
CRYSIS 2  
game



# Proposed Natural Augmented Reality (NAR) Headset

Proposed a headset structure with two pairs (*int/ext*) binaural microphones attached to the earcups.

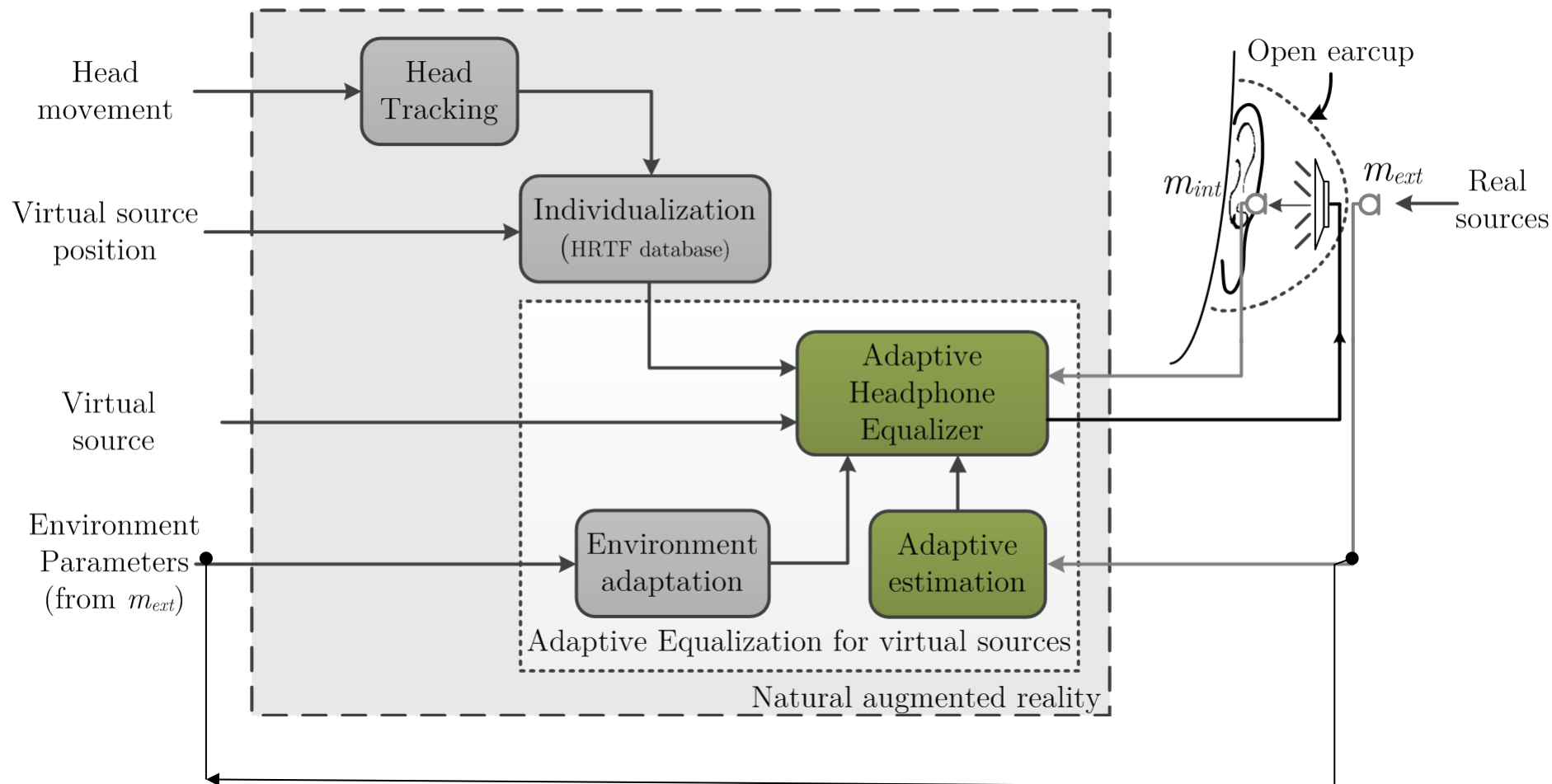
- NAR headset with **2 pairs** of binaural microphones
- Adaptive equalization methods to compensate for sonic difference between real and headphone playback virtual signals
- Natural mixing of real and virtual sources



## NAR headset prototype

- **Internal microphone** used as **error microphone** to adapt the virtual sound at ear canal to natural sound
- **External microphone** used as **reference microphone** to capture the sound event from the surroundings (real sounds)

# NAR Headset Overview Block Diagram



# Headphones Isolation Characteristics

- NAR headsets should not block the **direct sounds** coming from physical sound sources
- We analyze the headphone isolation characteristics of different type of headphones

The performance of the measured HRTFs can be evaluated by a spectral distortion (SD) score in dB given by

$$SD = \sqrt{\frac{1}{K} \sum_{k=1}^K \left( 20 \log \frac{|H(f_k)|}{|\hat{H}(f_k)|} \right)^2}$$

$K$ : Number of frequency bins

where

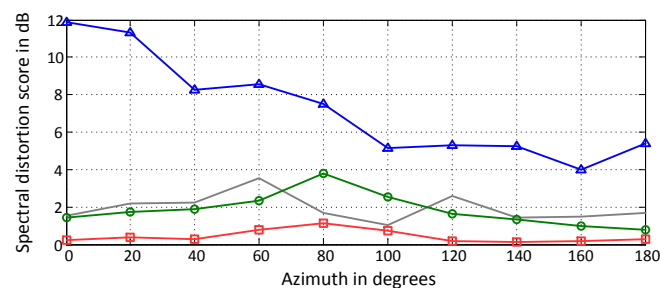
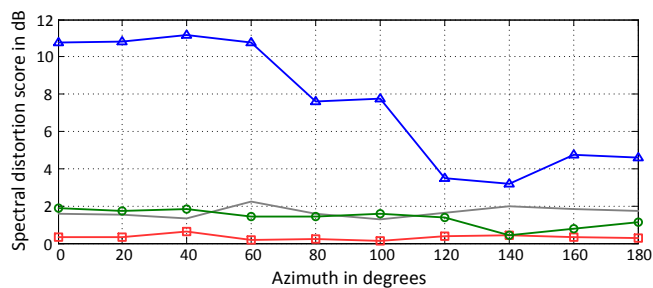
$|H(f_k)|$  is the magnitude response of reference HRTF i.e., measured without headphones from loudspeakers

$|\hat{H}(f_k)|$  is the magnitude response of HRTFs measured with the headphones from loudspeakers

*Smaller  $Sd$  score indicates a closer magnitude response to the reference*

# Headphones Isolation Characteristics

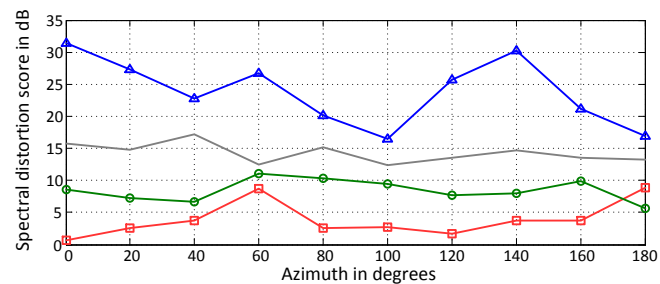
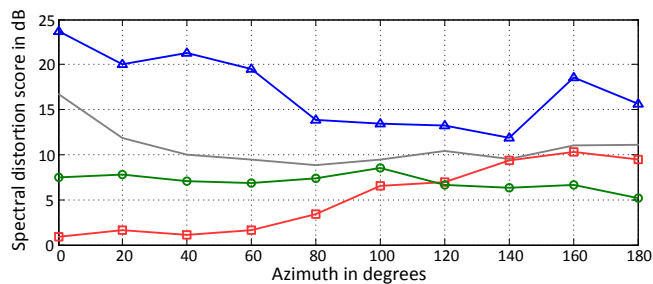
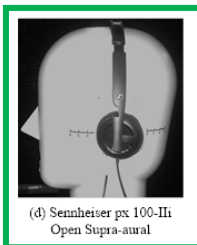
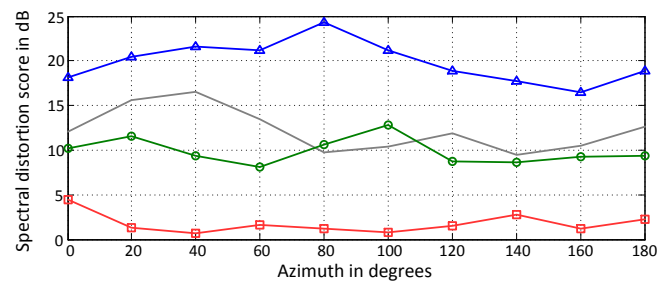
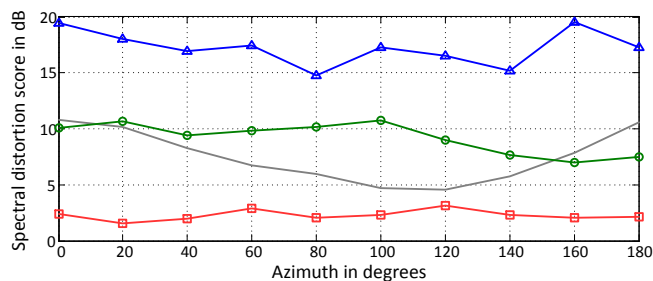
- Spectral distortion scores for 4 different type of headphones



[0.1-1.5 kHz]

[1.5-7.0 kHz]

[7.0-16.0 kHz]

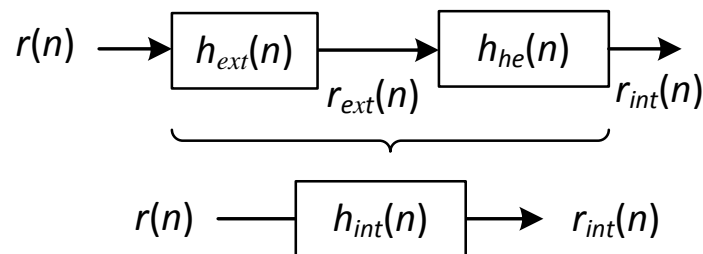
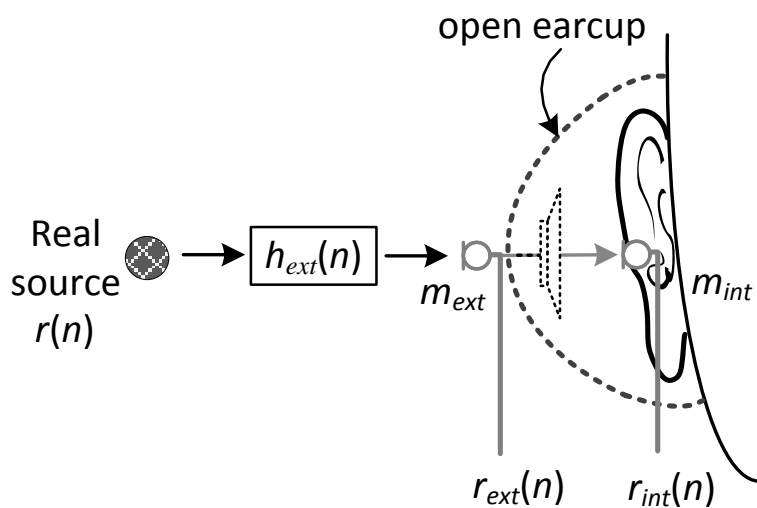


Contralateral (left) and ipsilateral (right) ears

# NAR headset: (A) Normal Mode

**Normal mode** – Only external sound source present (No additional processing in NAR headset)

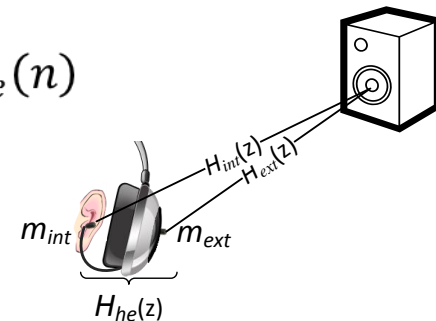
We choose **open headphones** so as to allow direct sound without much attenuation



$$h_{int}(n) = h_{ext}(n) * h_{he}(n)$$

$\Downarrow \mathcal{Z}$

$$H_{he}(z) = \frac{H_{int}(z)}{H_{ext}(z)}$$

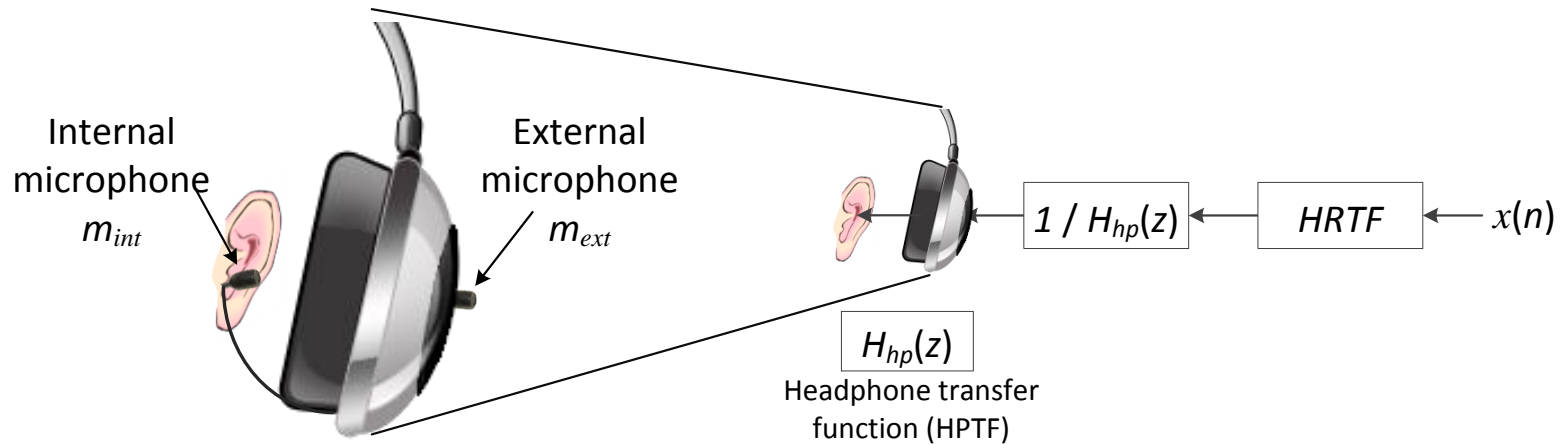


Account for the ear cup plus pinnae

Natural sounds pass through the open ear cup of the AR headset and reach the ear opening.

# NAR headset : (B) Binaural Synthesis Mode

## Binaural Synthesis using headphones playback



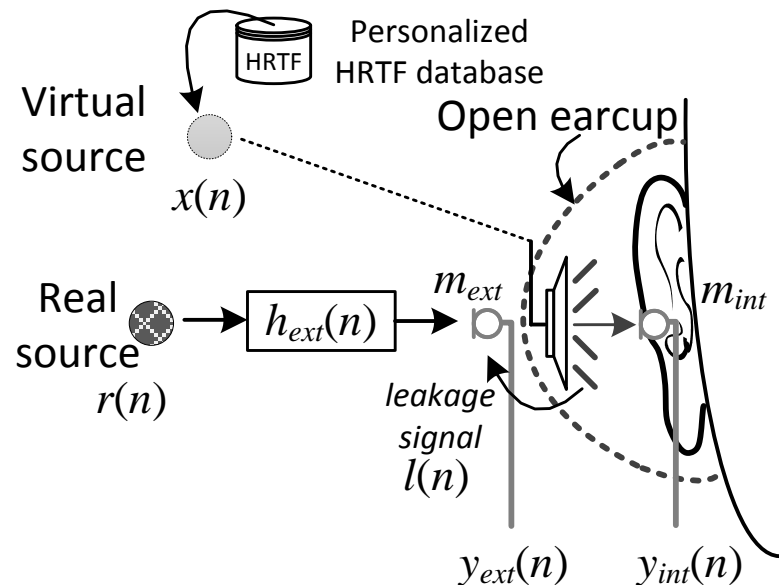
- Virtual monaural signal convolves with HRTF of the virtual object.
- Individual HPTF effect must be removed using compensation filter
- Compensation can be done by:
  - Direct inversion of HPTF, which may not be available[1]
  - Using an adaptive algorithm like FxLMS, which is more effective [2]

[1] M. Bouchard, S. G. Norcross, and G. A. Soulodre, "Inverse filtering design using a minimal-phase target function from regularization," in *AES Convention 121*, 2006.

[2] S. M. Kuo and D. Morgan, *Active noise control systems: algorithms and DSP implementations*: John Wiley & Sons, Inc., 1995.

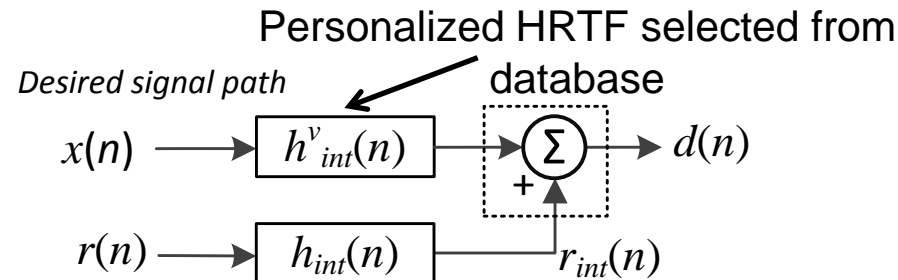
# NAR headset: (C) Augmented Reality Mode

Augmented reality mode – **virtual sound reproduction** in the **presence of external signals**

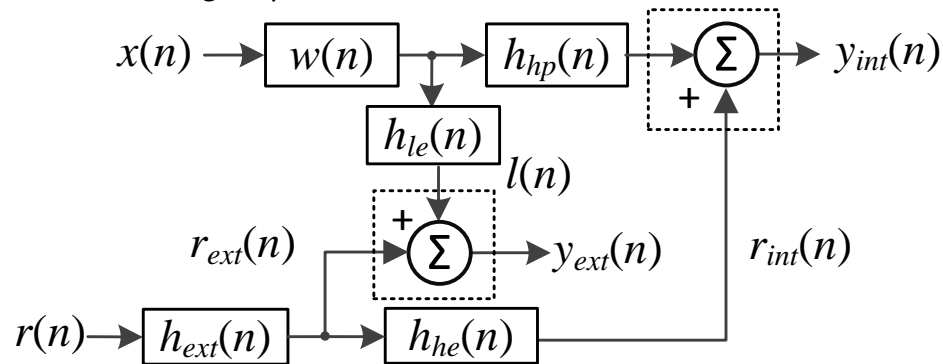


$l(n)$ : Leakage from headphone to external microphone,  $m_{ext}$

**Aim:** To reproduce virtual sources as if they sound similar to physical sources, without being affected by external sounds



Actual signal path



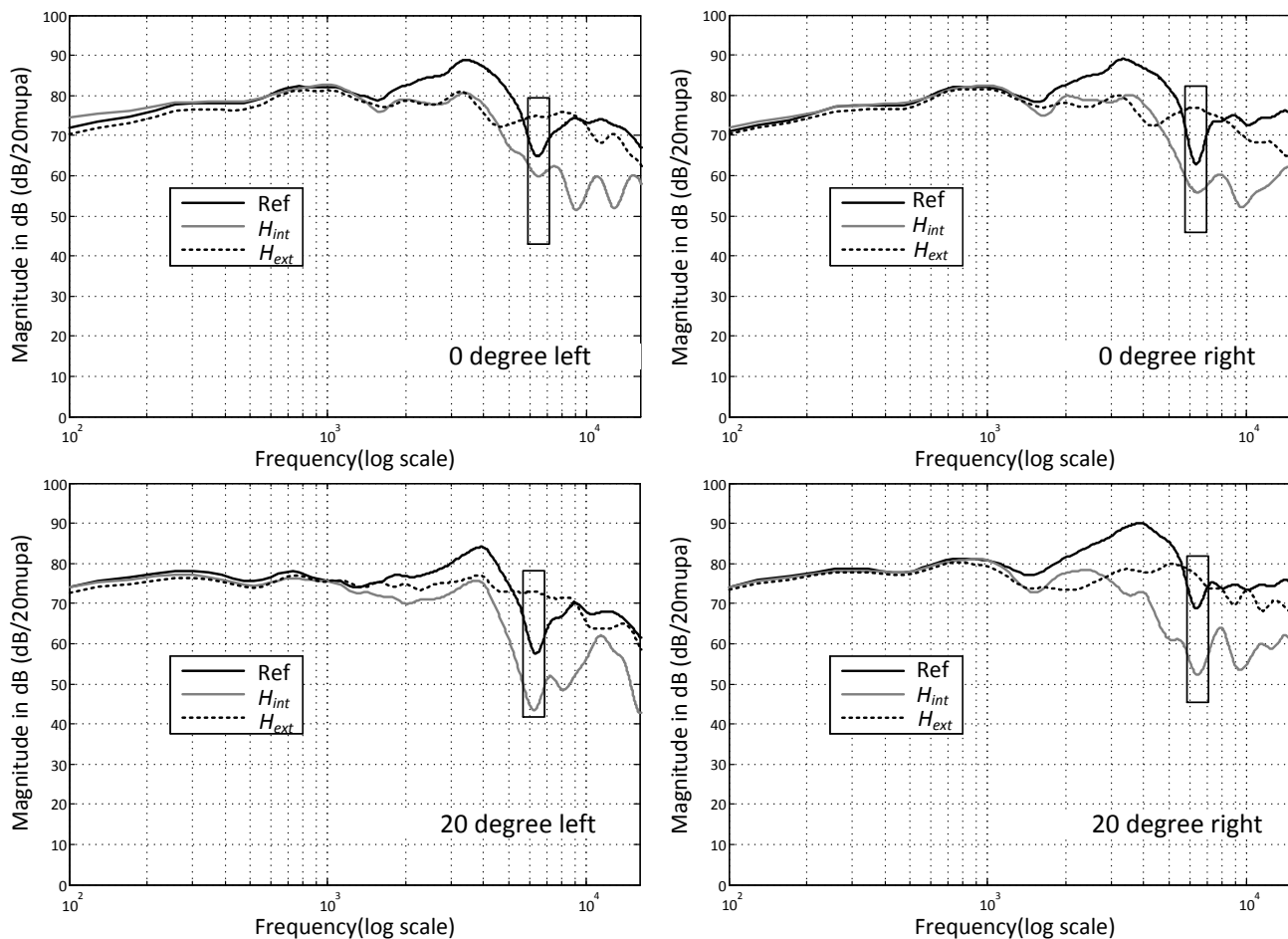
$$x_{int}(n) = w(n) * h_{hp}(n) * x(n)$$

$$W(z) = \frac{H_{int}^v(z)}{H_{hp}(z)}$$



# NAR headset : *int* and *ext* Transfer Functions

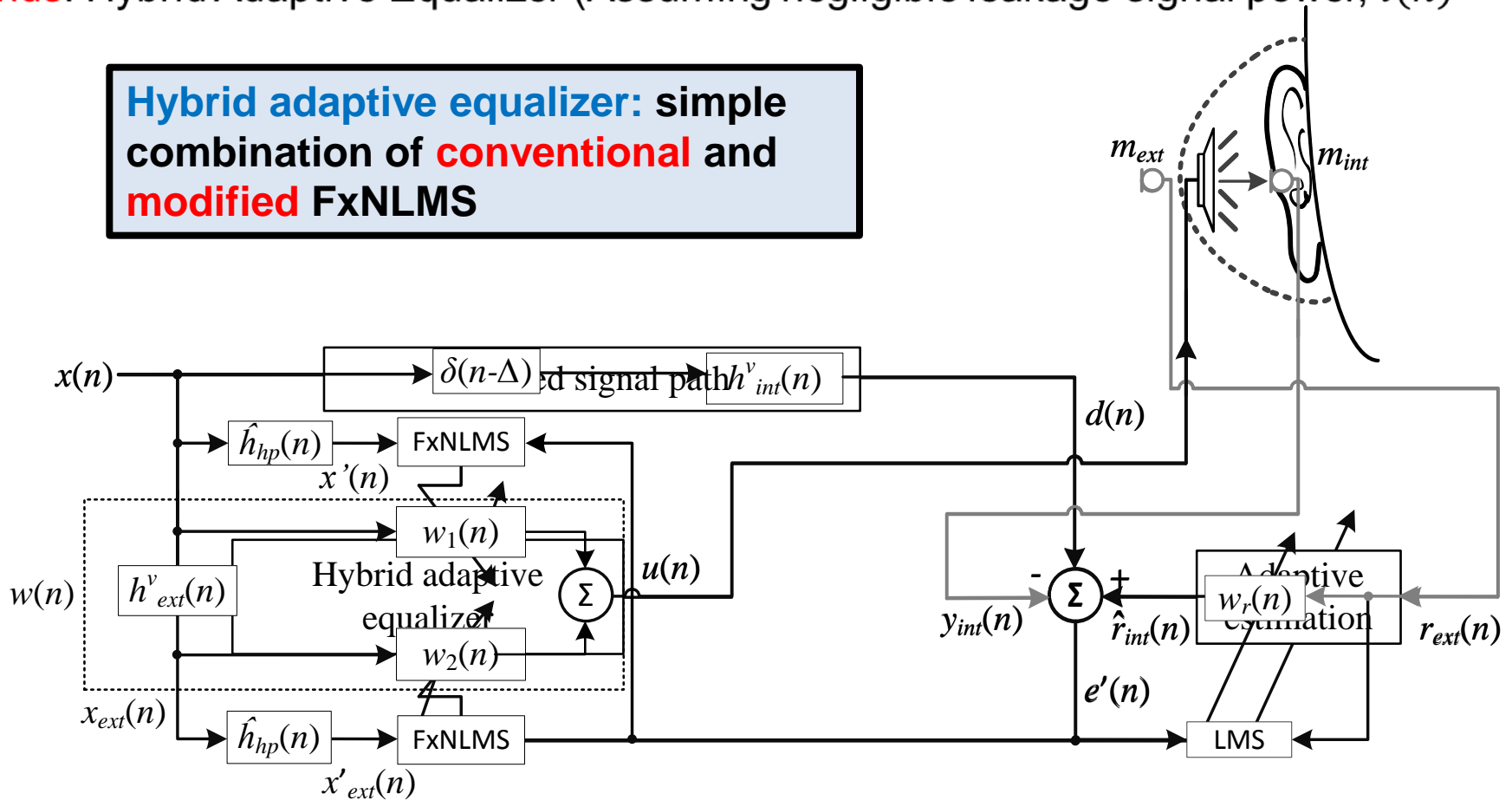
- $H_{int}(z)$  is an approximate HRTF with additional headphone effects
- $H_{ext}(z)$  contains all individual related characteristics and environment minus the pinnae specific notch and headphone shell reflections



# NAR headset: Mixing virtual augmented signal with real ext source

**Augmented reality mode** – virtual sound reproduction in the presence of external sounds: Hybrid Adaptive Equalizer (Assuming negligible leakage signal power,  $l(n) = 0$ )

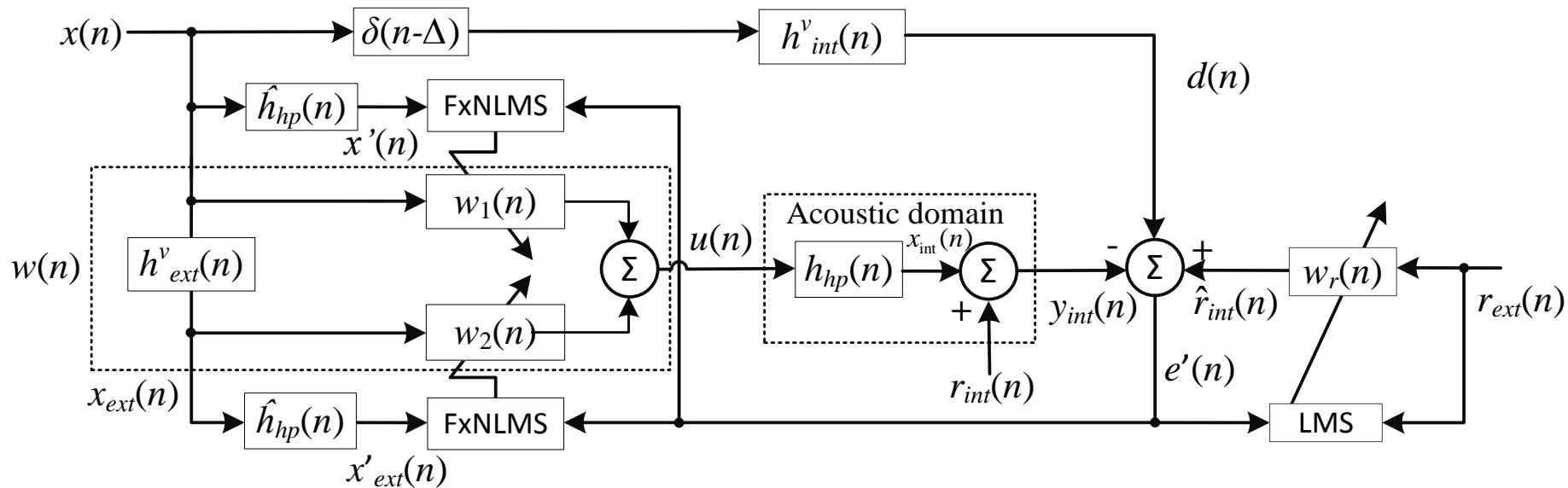
**Hybrid adaptive equalizer: simple combination of conventional and modified FxNLMS**



R Ranjan, Woon-Seng Gan, "Natural Listening over Headphones in Augmented Reality using Adaptive Filtering Techniques," *IEEE/ACM Transactions of Audio, speech and Language Processing*, Vol. 23 no. 11, 1998-2002 (2015).

# NAR headset: Mixing virtual augmented signal with real ext source

**Augmented reality mode** – virtual sound reproduction in the presence of external sounds: Hybrid Adaptive Equalizer (Assuming negligible leakage signal power,  $l(n) = 0$ )



$w_1(n)$ :

Adaptive filter corresponding to *conventional FxNLMS*

- Slower convergence rate due to presence of secondary path transfer function

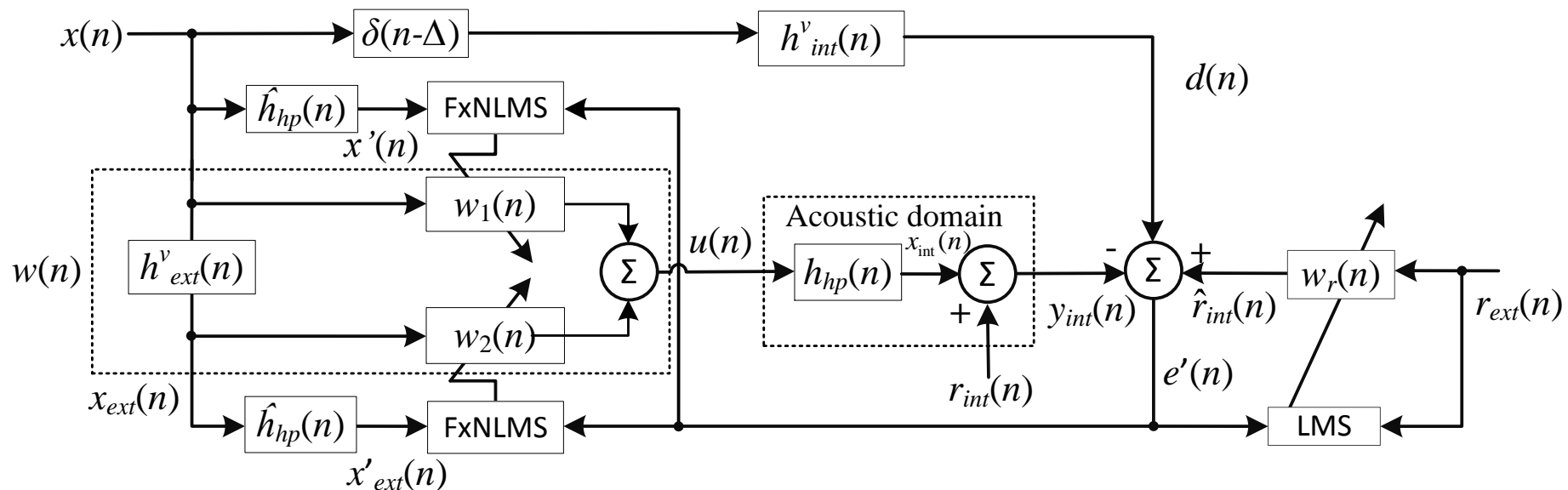
$w_2(n)$ :

Adaptive filter corresponding to *Modified FxNLMS*

- Faster convergence rate by introducing spatial filter,  $h^v_{ext}(n)$  in the secondary path but slightly higher steady state error (shorter filter taps)

# NAR headset: Mixing virtual augmented signal with real ext source

**Augmented reality mode** – virtual sound reproduction in the presence of external sounds: Hybrid Adaptive Equalizer (Assuming negligible leakage signal power,  $l(n) = 0$ )



Hybrid adaptive filters :

$$w(n) = w_1(n) + h^v_{ext}(n) * w_2(n)$$

↓ z

$$W(z) = W_1(z) + H^v_{ext}(z) W_2(z):$$

- Spatial information retained in  $h^v_{ext}(n)$  results in faster convergences and smaller MSE using hybrid adaptive filters.

# NAR headset: Mixing virtual augmented signal with real ext source

**Augmented reality mode** – virtual sound reproduction in the presence of external sounds

**Weight update equations:**

$$\mathbf{w}_1(n+1) = \mathbf{w}_1(n) + \mu \frac{\mathbf{x}'(n)}{\|\mathbf{x}'(n)\|^2} e'(n)$$

$$\mathbf{w}_2(n+1) = \mathbf{w}_2(n) + \mu \frac{\mathbf{x}'_{ext}(n)}{\|\mathbf{x}'_{ext}(n)\|^2} e'(n)$$

$$\mathbf{w}_r(n+1) = \mathbf{w}_r(n) - \mu \mathbf{r}_{ext}(n) e'(n)$$

**Optimal solution for hybrid adaptive filter:**

**Optimal solution for adaptive estimation filter:**

$$W^o(z) = \alpha W_1^o(z) + \beta H_{ext}^v(z) W_2^o(z),$$

where,

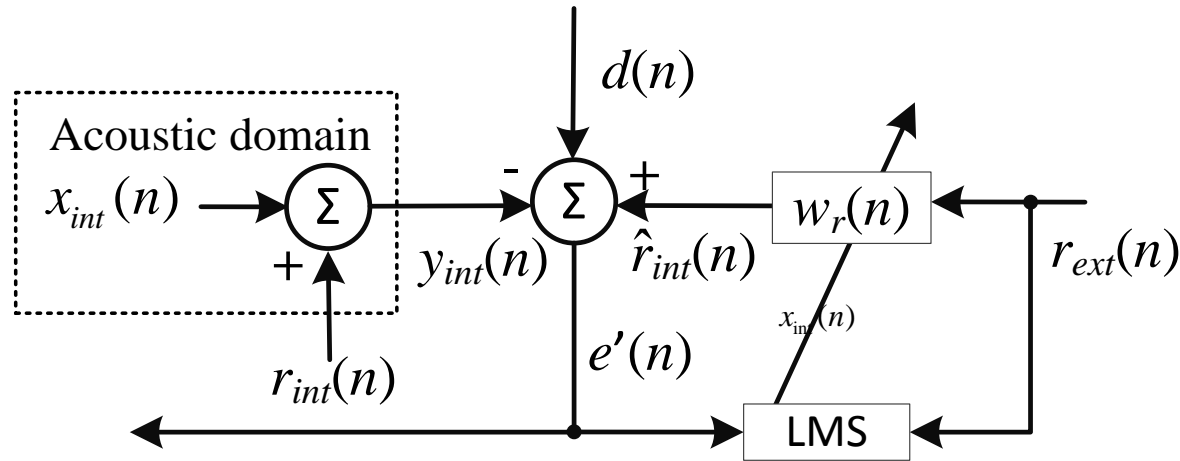
$$W^o(z) = W_1^o(z) = \frac{W_r^o(z) \frac{R_{int}(z)}{H_{int}(z) z^{-\Delta}}}{H_{hp}(z)} = \left. \begin{array}{l} \frac{H_{int}(z)}{H_{ext}(z)} = H_{he}(z) \\ \forall \alpha + \beta = 1; \quad 0 \leq \alpha, \beta \leq 1 \end{array} \right\}$$

and  
The optimal solution for adaptive estimation filter is the **headphone effect transfer function** between the two microphone positions

$$W_2^o(z) = \frac{H_{int}^v(z) z^{-\Delta}}{H_{ext}^v(z) H_{hp}(z)}$$

Optimal solution for hybrid adaptive filter can be expressed as **linear combination** of optimal solutions for two FxNLMS adaptive filters

# NAR headset: Adaptive estimation of external source signal



**Augmented superimposed signal:**

$$y_{int}(n) = x_{int}(n) + r_{int}(n),$$

where,

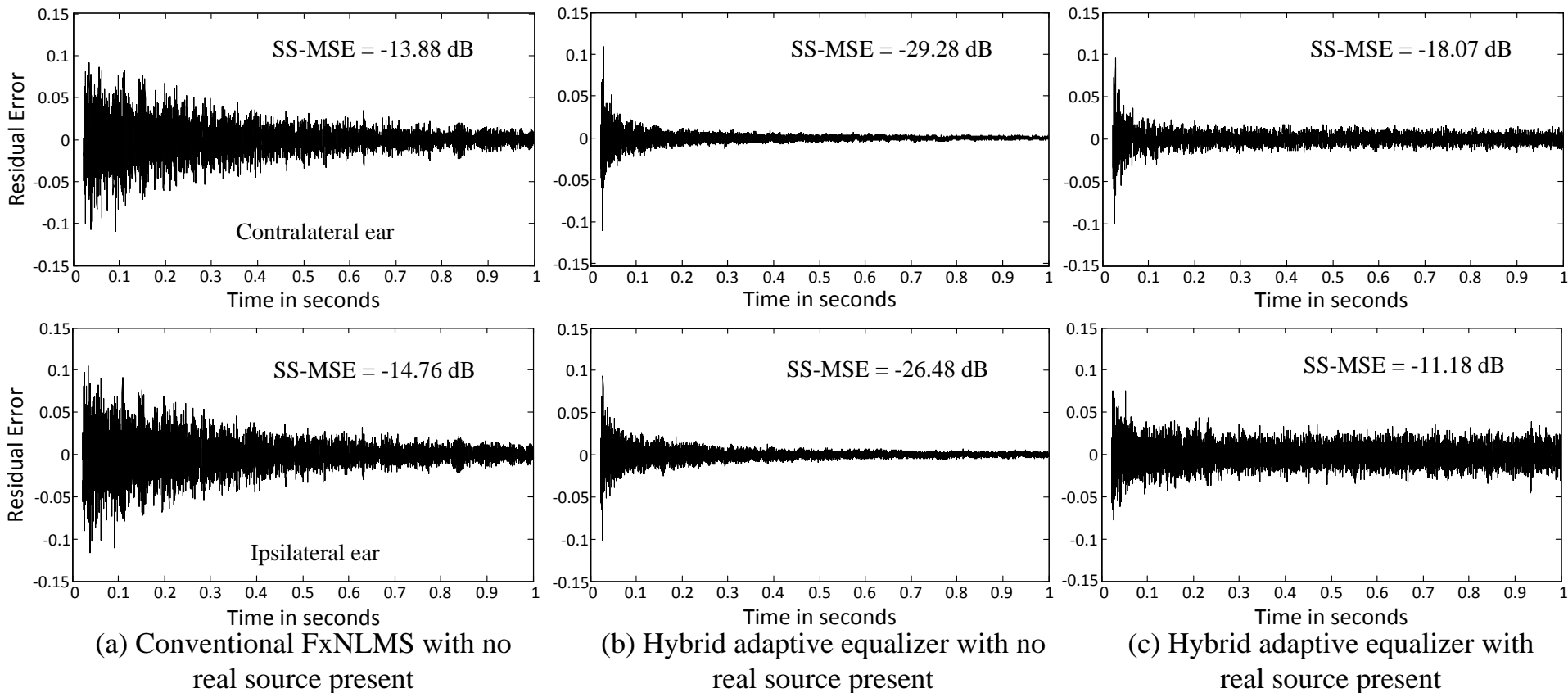
$$x_{int}(n) = h_{hp}(n) * u(n)$$

**Error signal:**

$$\begin{aligned} e'(n) &= \{d(n) + \hat{r}_{int}(n)\} - y_{int}(n) \\ &= \{d(n) - x_{int}(n)\} + \{-r_{int}(n) - \hat{r}_{int}(n)\} \\ &= e_v(n) + e_r(n) \end{aligned}$$

# Results: Augmented Reality mode

Hybrid FxNLMS performance comparison with or without external sound source present but **no adaptive estimation process** i.e.,  $w_r(n) = 0$

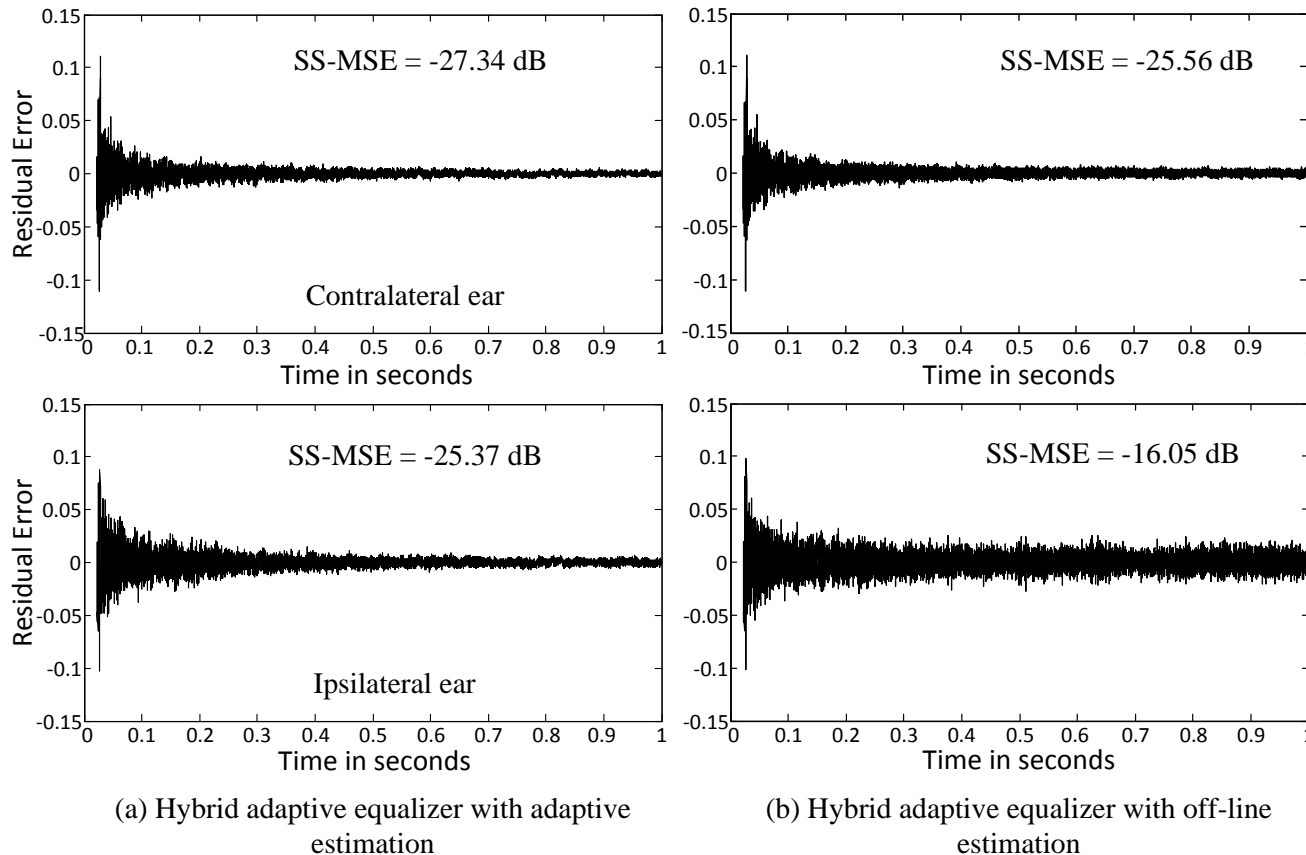


Clearly, presence of external sound sources **affect the adaptive process** and results in larger steady state error



# Results: Augmented Reality mode

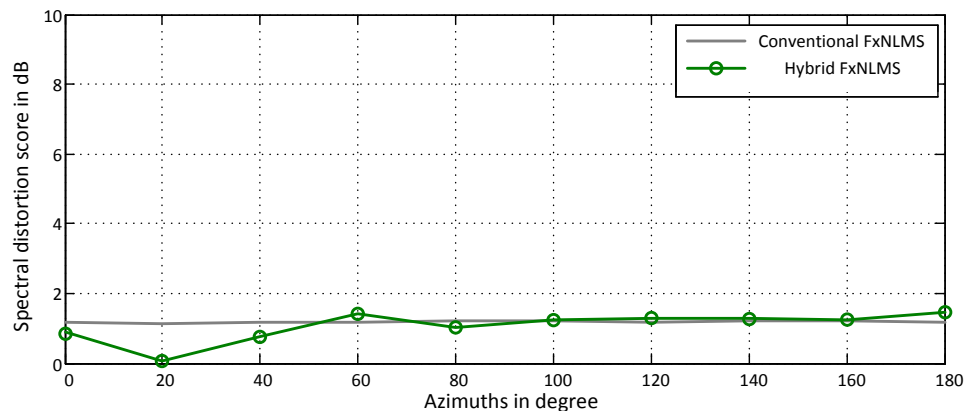
Hybrid FxNLMS performance with adaptive estimation i.e., **adaptive  $w_r(n)$**  Vs off-line estimation i.e, **fixed  $w_r(n)$**  as average  $h_{he}(n)$  filter to estimate the real signals



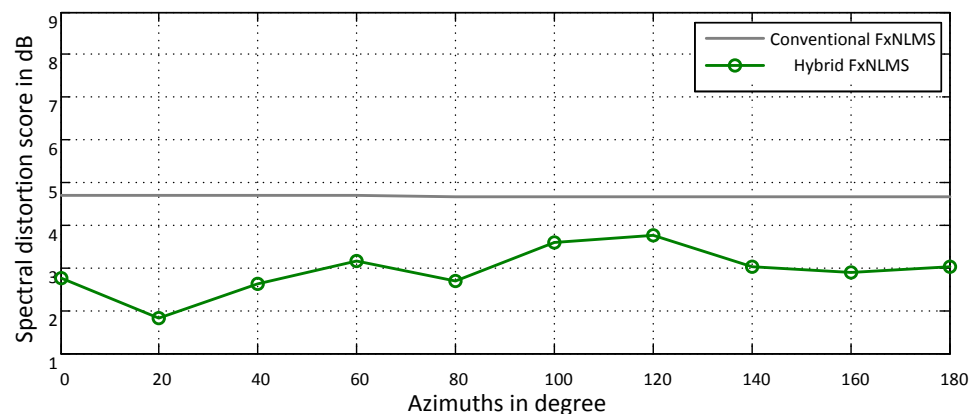
Hybrid FxNLMS with adaptive estimation works **equally well even in the presence of real sounds reproducing** the virtual sources as close as possible to real sources.

# Results: Augmented Reality mode

## Spectral distortion score comparison for Hybrid Adaptive Equalizer (100-16000 Hz)



(Ipsilateral ear)



Clearly, Hybrid FxNLMS is optimal for all the azimuths as compared to conventional and modified FxNLMS

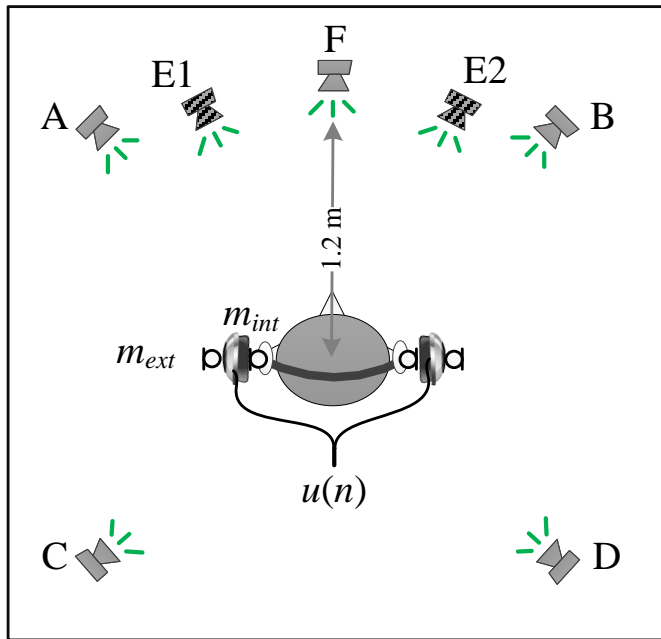
# Listening Test

## Three main objectives:

- **Naturalness:** Does virtual playback sound source feels natural to you?
- **Sound similarity:** Does virtual sounds similar to real speaker sound?
- **Source position similarity:** Does virtual sound source coming from position in 3D space as real source?

# Experiment setup

- 7 loudspeakers: 5 in horizontal plane and 2 in median plane



Listening Test Set up (▨ : Elevated speaker; ▩ : Azimuth speaker)

# Listening test overview

Conducted in two phases:

1. Listeners' BRIRs (**automated**) measurements
  - Head Tracker to ensure “look ahead” and still head position
2. Listening test based on individual's BRIRs measurements
  - Fixed listening position
  - No head movements allowed (future: headtracker)

# Listening test sets

Three different listening sets are carried out as follows:

- **SET 1:** Perceptual similarity test of a male speech signal (5 sec)
- **SET 2:** Perceptual similarity test for playback of two male speech signals from two different directions (3.5 sec each)
- **SET 3:** Perceptual similarity test for superposition of a speech signal with ambient sound (6 sec)

# Listening SETs

## SET 1:

- Total seven speaker positions
- Two hidden anchors (coming from the same physical speakers)

## SET 2:

- Total 6 speaker pairs
- Two hidden anchors

## SET 3:

- Total 4 pairs
- speech from F and ambience from surroundings (2ch and 4ch)
- Two methods: Adaptive eq. with and without adaptive estimation
- One hidden anchor

Set 2

Pair 1/9

Play A Play B

1. Which of the two sounds are real?

A  B  Both

2. Rate the similarity of the two sounds:

Completely different Barely similar Similar Very Similar Highly Similar Same

3. Rate the relative difference between the position of sounds in the two pairs?

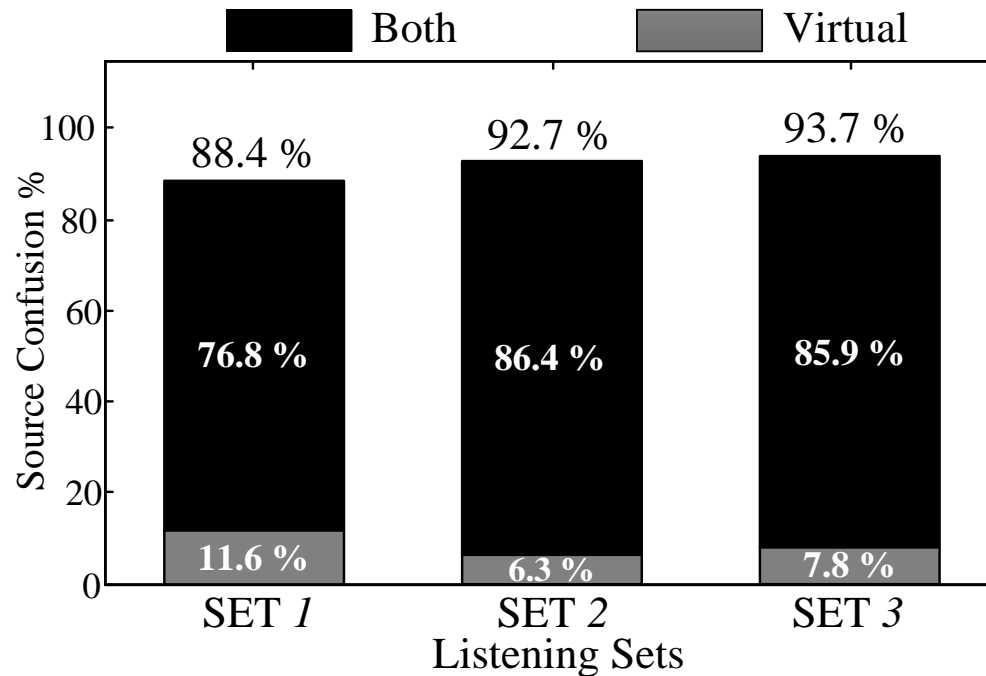
Very different Different close Very close Same

Next



# Listening Test Results : Source Confusion

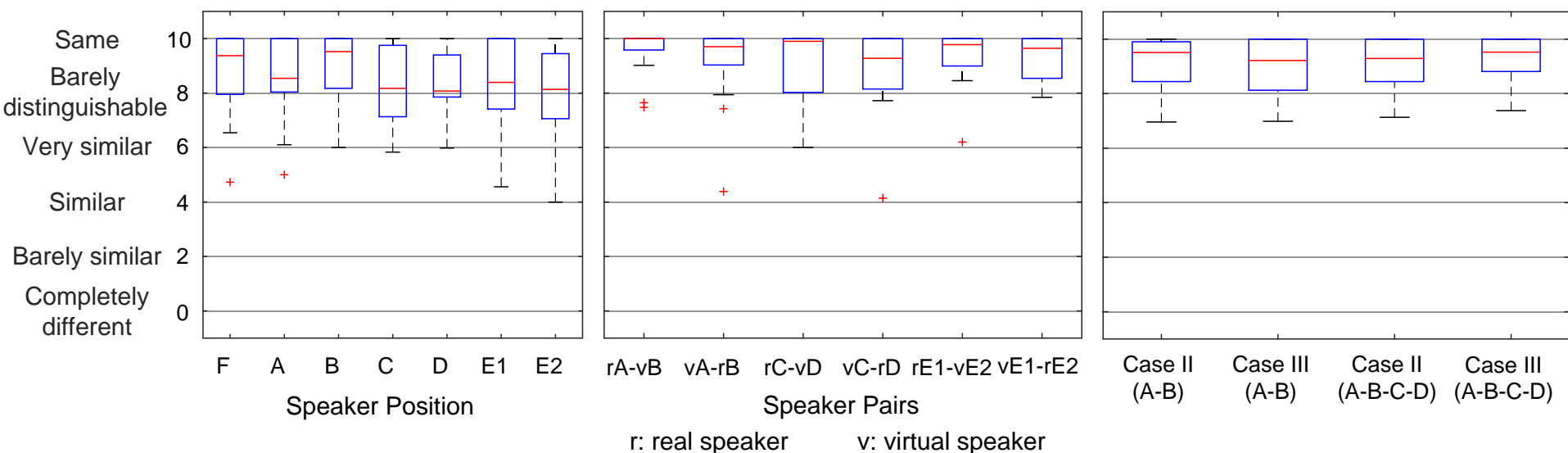
Source confusion measure in percentage as responses for subjects who marked either virtual sound as real or both sounds as real



- Clearly, more than **75% source confusion** for the case where subjects marked both sounds as real implying virtual source perceived natural by most of the listener
- Source confusion further increases when more source present.

# Listening Test Results : Sound Similarity

Sound similarity: Subjective score of 0 to 10



**SET 1**

**SET 2**

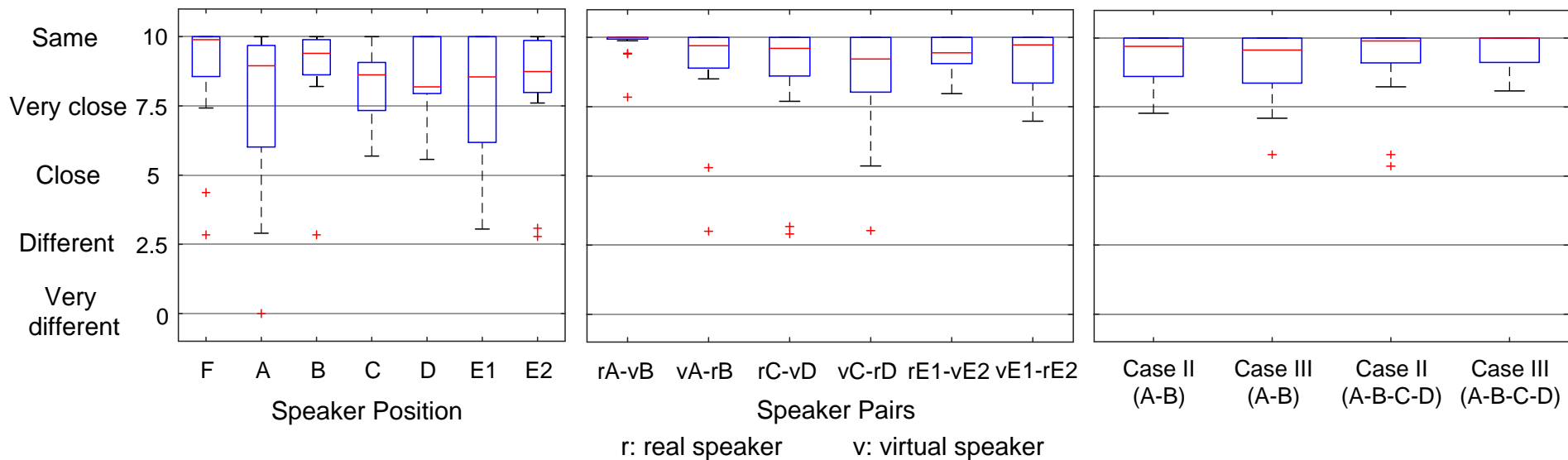
**SET 3**

Mean subjective score with 99% confidence interval for sound similarity

SET 1	SET 2	SET 3
8.44 (7.89 - 9.02)	9.19 (8.59 - 9.79)	9.13 (8.61 - 9.65)

# Listening Test Results : Source position Similarity

Source position similarity: Subjective score of 0 to 10



**SET 1**

**SET 2**

**SET 3**

Mean subjective score with 99% confidence interval for Source position similarity

SET 1	SET 2	SET 3
8.26 (7.33 - 9.19)	9.09 (8.32 - 9.87)	9.28 (8.77 - 9.78)

# NAR Headset Extension

S. No.	Extensions based on current limitations of the NAR headset
E1	NAR headset should adapt to the change in external environment ( $H_{int}$ and $H_{ext}$ )
E2	Individualized HRIR acquisition using NAR headset
E3	Adaptive equalization for any type of virtual signals (speech, music, etc.)
E4	Detection and Fast Estimation of Headphone Transfer Function in NAR Headset
E5	Adaptive Equalization for Non-stationary Virtual signals with Adaptive Estimation of External signals
E6	ANC mode for the NAR headset in presence of unwanted/unpleasant ambient sound
E7	NAR headset with head tracking to include dynamic motion cues

# Conclusions on NAR Headsets

- Adaptive filtering techniques presented to enable natural listening in augmented reality environments using NAR headset with two pairs of binaural microphones.
- Hybrid adaptive equalizer based on FxNLMS for virtual sound reproduction to equalize the NAR headset for an individual
- Faster convergence and smaller steady state residual error.
- Proposed hybrid adaptive equalizer able to attenuate the error signal by more than 25 dB, and implying virtual signals are perceptually reproduced very close to the real signals.
- Listening test result shows very high source confusion % for virtual sources
- Very high sound similarity and source position similarity were observed between real and augmented sounds

# Key References on NAR Headsets

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- [2] T. Nilsen, S. Linton, and J. Looser, “Motivations for augmented reality gaming,” in *Proc. FUSE*, 2004, vol. 4, pp. 86–93.
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# **Module V**

## **Assisted Listening in Hearing Aids**

# Outline for Assisted Listening in Hearing Aids

1. Hearing loss and hearing aids
2. Noise reduction and speech enhancement
  - Acquisition
  - Localization
  - Enhancement
  - Presentation
3. Integration of ANC in hearing aids

# Global Prevalence of Hearing Loss

- “Over 5% of the world’s population – 360 million people – has disabling hearing loss.”
- “Approximately 1/3 of persons over 65 years are affected by disabling hearing loss.”

- WHO, Mar 2015

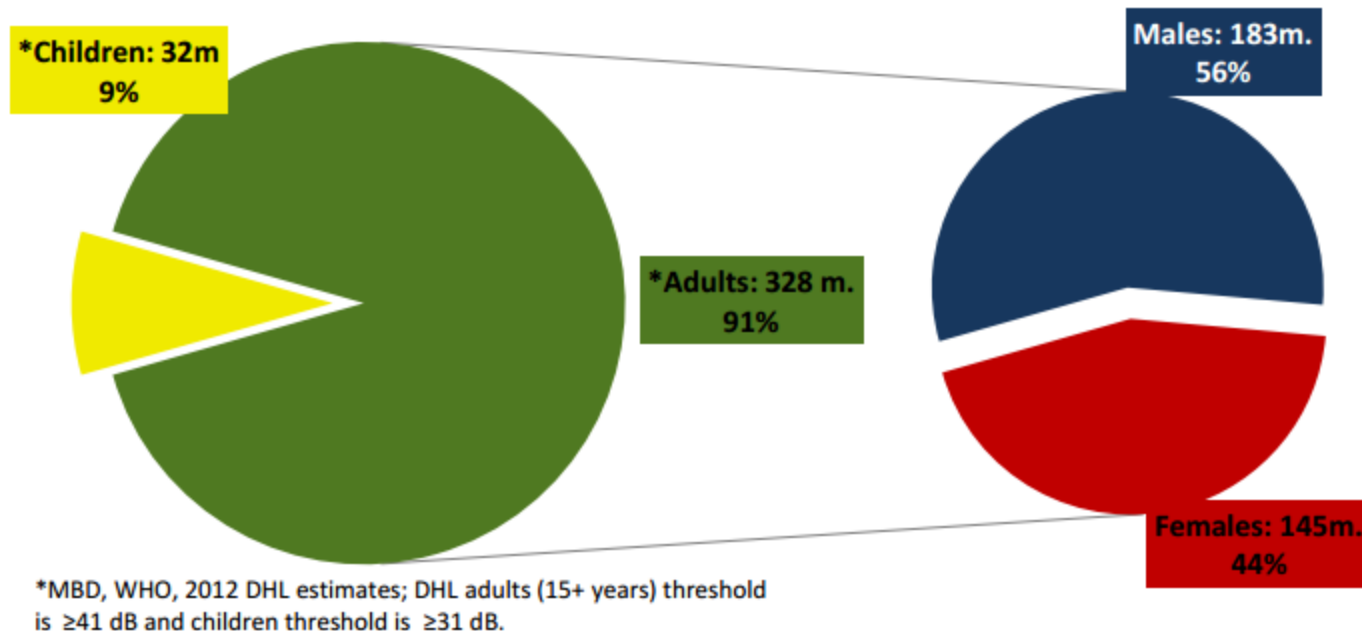
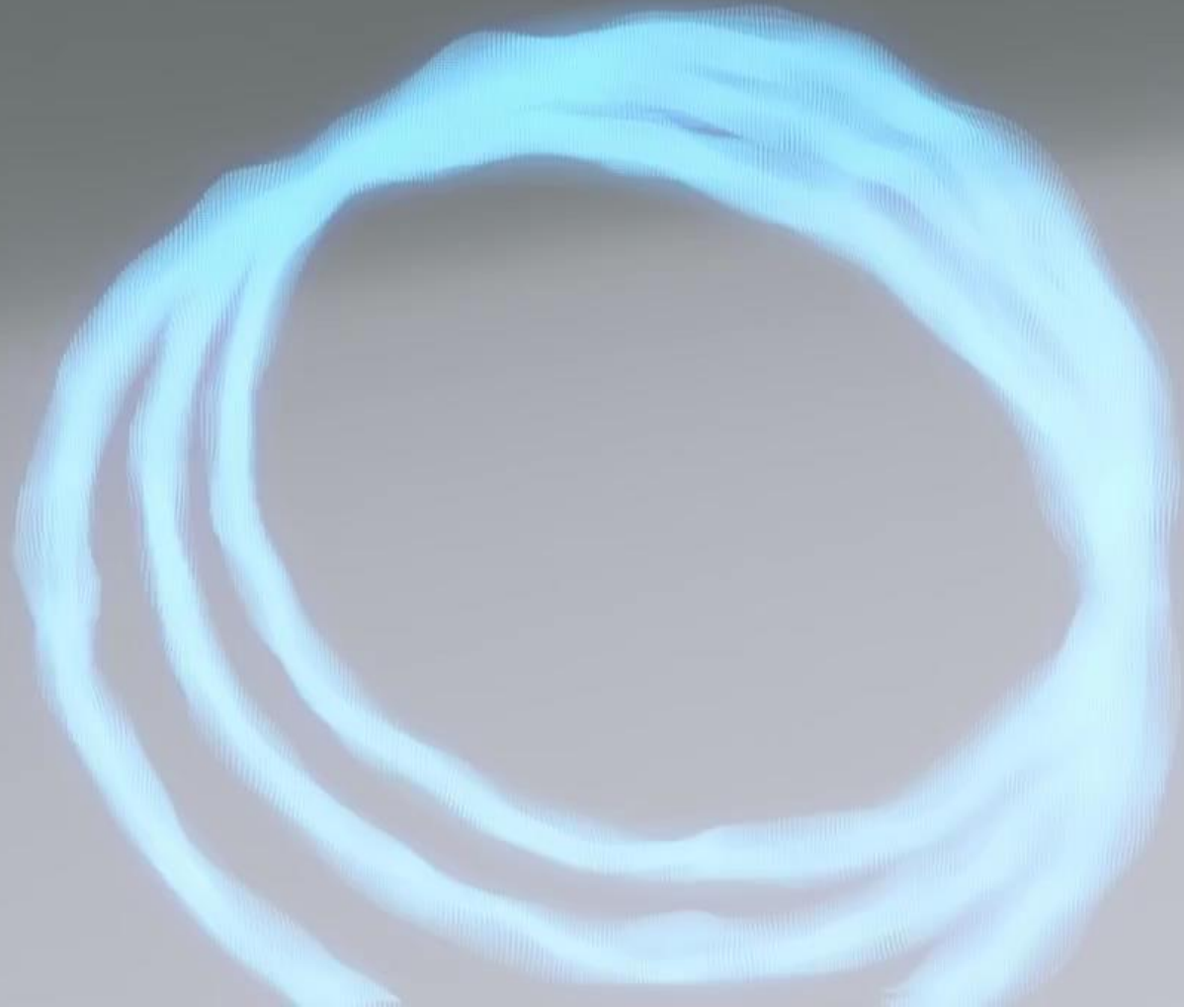


Figure from [http://www.who.int/pbd/deafness/WHO\\_GE\\_HL.pdf?ua=1](http://www.who.int/pbd/deafness/WHO_GE_HL.pdf?ua=1)

# Hearing aids: for everyone to hear well



# Hearing loss



<https://www.youtube.com/watch?v=2rhRo73F324>

# What it sounds like with hearing loss



Audiograms



Patient



Normal

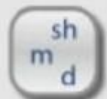


Preset

Overlays



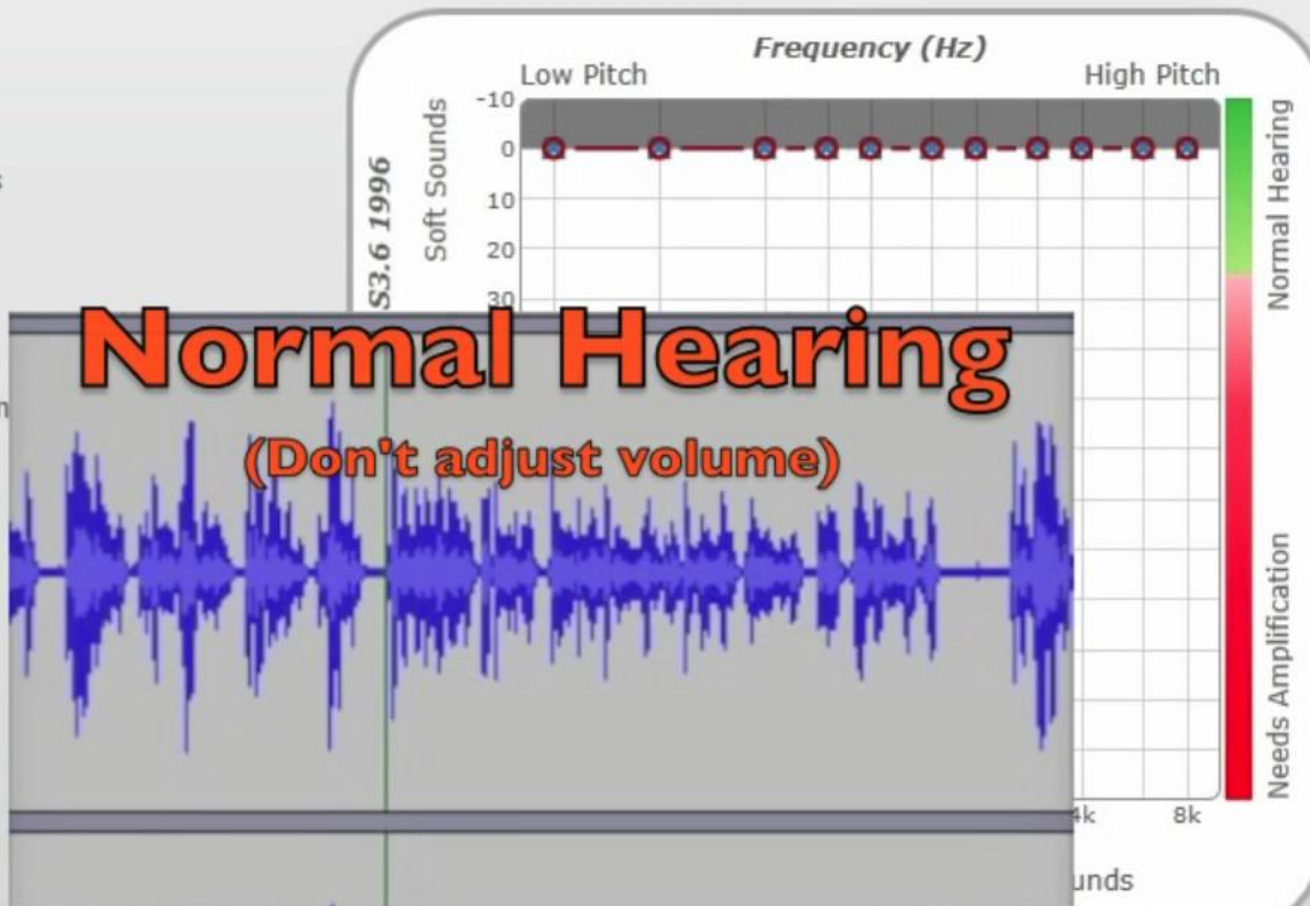
Common Sounds



Speech Sounds

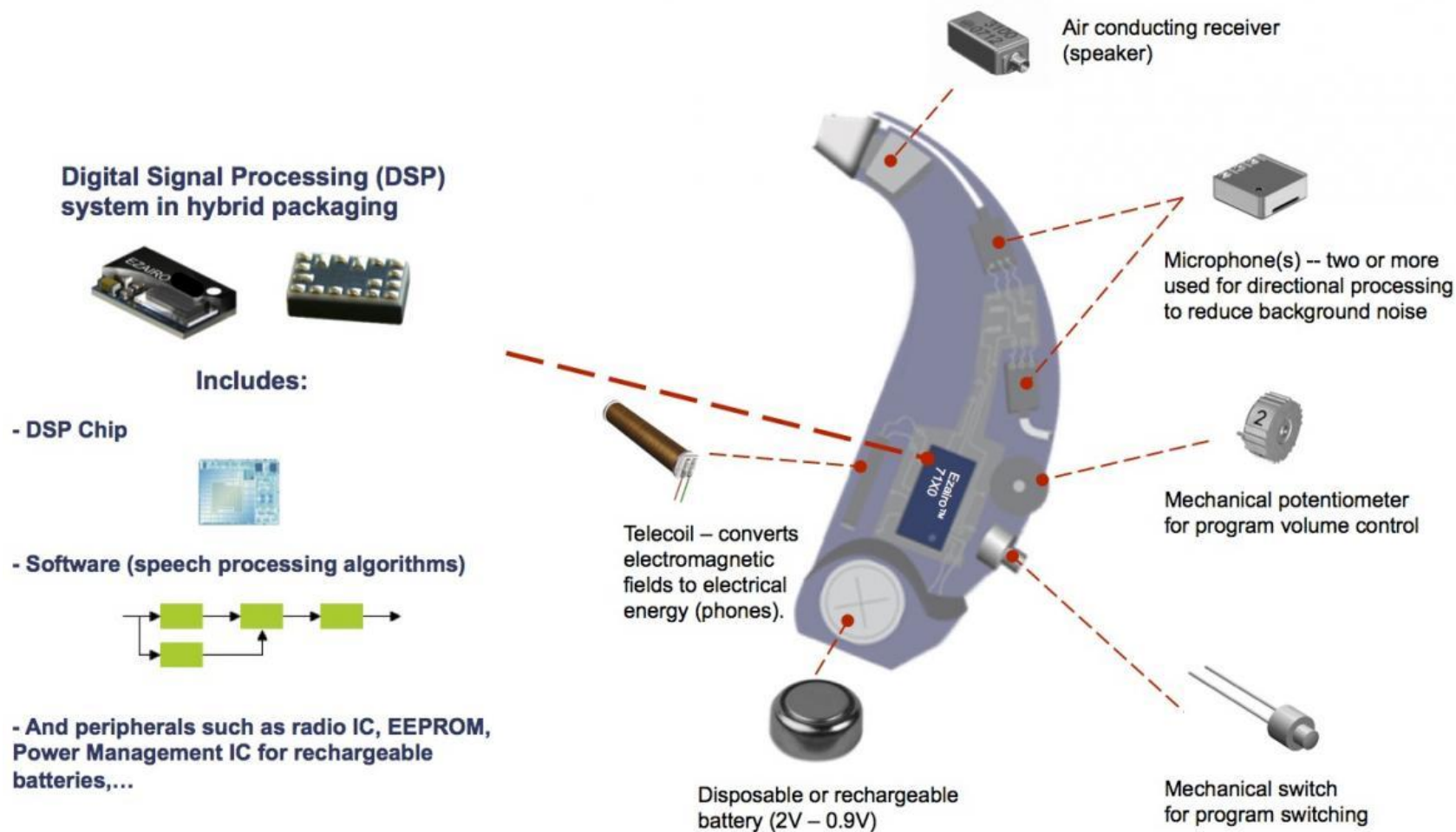


Speech Spectrum



<https://www.youtube.com/watch?v=Bcz7AeBMLSc>

# Inside a hearing aids








Source from  
<http://www.bdti.com/InsideDSP/2014/10/16/ONsemi>



# How hearing aids work

1. Sound goes in the Microphone.
2. Sound gets amplified.
3. Sound comes out the Speaker into your Ear.

# Style

DC (deep-canal)	CIC (completely-in-canal)	ITC (in-the-canal)	RIE (receiver in ear)	Open (open ear)	BTE (behind-the-ear)	Power (high powered)
						
						
<p>Mild to moderate hearing losses Fits deep inside the ear canal, making it <b>invisible</b> Less occlusion Not suitable for people with narrow ear canals Size prevents the use of directional microphones</p>	<p>Mild to moderate hearing losses Very small case Fits inside the ear canal, making it practically invisible Size prevents the use of directional microphones</p>	<p>Mild to moderately-severe hearing losses Small, one piece case Fits inside the ear canal Directional microphones are possible with this model</p>	<p>Mild to moderately-severe hearing losses Ear canal open for a natural sound quality Smallest external hearing aid, as the receiver is located in the end of the tube inside the ear Very small case that sits behind the ear, making it practically invisible</p>	<p>Mild to moderately-severe hearing losses Ear canal is open for a natural sound quality Very small case that sits behind the ear, making it practically invisible Many colour options</p>	<p>Mild to severe losses Fully featured hearing aids Larger case can be easier for wearers with dexterity considerations Case contains all features and sits behind the ear Many colour options</p>	<p>Profound hearing losses More powerful solutions that provide the greatest levels of amplification Larger case worn behind the ear</p>

- ❖ **Analog:** Settings and Sound are both processed via analog technology.
- ❖ **Digital Programmable:** Settings are processed digitally, Sound is processed via analog technology.
- ❖ **Full Digital:** Both Settings and Sound are processed digitally.

# Possible features

- Volume Control
- Telecoil
- Multiple Microphone Directionality
- Compression
- Clipping
- Direct Audio Input
- FM
- Bluetooth
- Programmability
- Speech Enhancement/Noise Reduction
- Frequency Shifting
- Earmold/Vent
- Remote Control

## Hearing aid features

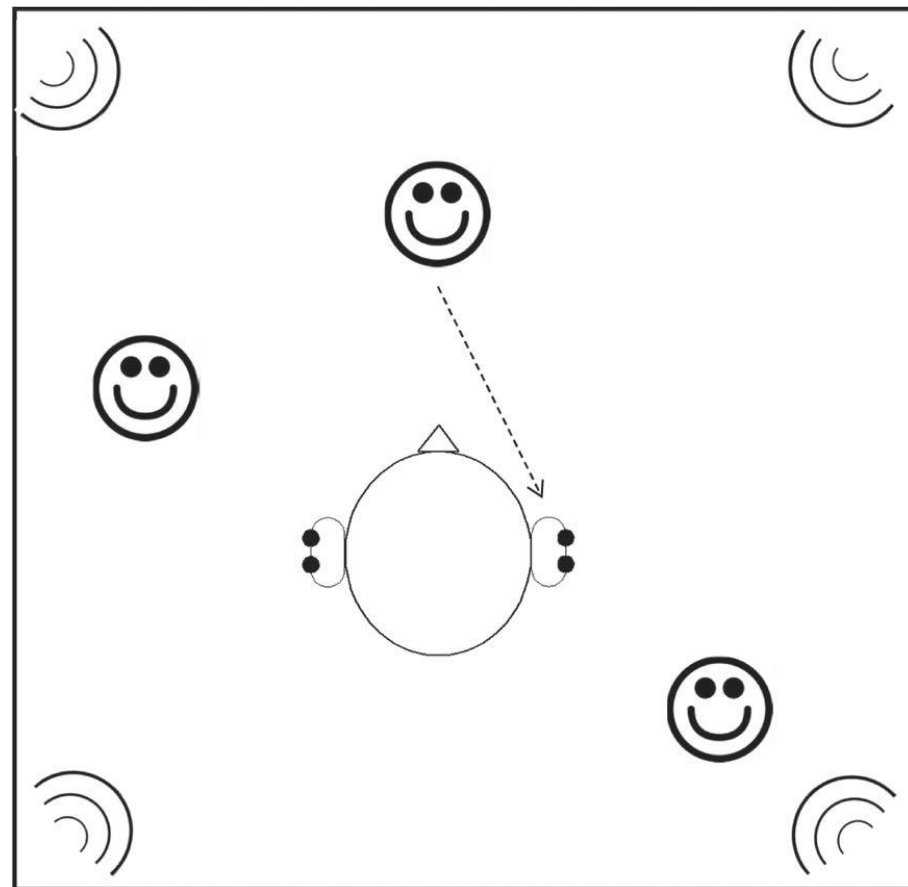
Feature	What does it do?	How does it help?
Channels	Separates the sound signal into discrete sections for processing.	Allows the hearing aid prescription to be customized across all pitches. The more channels in the hearing aid, the greater the ability to customize the frequency response.
Directional microphone systems	Gives preference to sounds coming from the front of the wearer and reduces sounds coming from other directions.	Improves speech understanding in background noise. Satisfaction is higher for hearing aids with directional microphone systems than for hearing aids without them.
Digital noise reduction	Determines if the signal contains unwanted noise and reduces the level of noise if present.	Makes the background noise less annoying and increases listening comfort. Digital noise reduction has been shown to be effective and preferred by hearing aid wearers.
Impulse noise reduction	Smooths quick impulse noises such as car keys rattling, typing on a keyboard and dishes rattling.	Improves listening comfort.
Feedback management	Reduces or eliminates whistling that can sometimes occur.	Improves listening comfort. Basic feedback management systems may reduce the overall amplification in order to remove the whistling. Advanced feedback management systems reduce or eliminate whistling without affecting overall amplification of the hearing aid.
Telecoil	Picks up signal from a compatible telephone or other electromagnetically looped system.	Improves signal to noise ratio and eliminates feedback because the signal bypasses the microphone and directly enters the processor. Commonly available in public places, like theatres and places of worship.
FM compatibility	Enables hearing aids to wirelessly connect with FM systems, sometimes via a special attachment called a boot.	Improves signal to noise ratio because the signal bypasses the microphone and directly enters the processor. Commonly used with children in educational settings.
Bluetooth compatibility	Enables hearing aids to wirelessly connect to mobile phones, MP3 players and other Bluetooth devices.	Improves signal to noise ratio and eliminates feedback or interference because the signal bypasses the microphone and directly enters the processor.
Wind noise reduction	Reduces the whooshing noise of wind blowing across the hearing aid microphone(s).	Improves listening comfort for people who spend time outdoors—such as golfers, boaters and walkers.
Data logging	Stores data about listening environments and user preferences.	Data can be viewed by hearing healthcare professional to improve fitting at follow-up.
Learning features	Logs settings that are set by the wearer for certain environments and then begins to make these changes automatically.	Gradually, the wearer will find that they need to adjust the volume or program less frequently, as the hearing aids become able to make these changes based on the sound environment.
Binaural processing	The two hearing aids communicate with each other.	This can be used to keep the hearing aids operating synchronously or to stream auditory signals from one aid to the other.

www.healthyn hearing.com

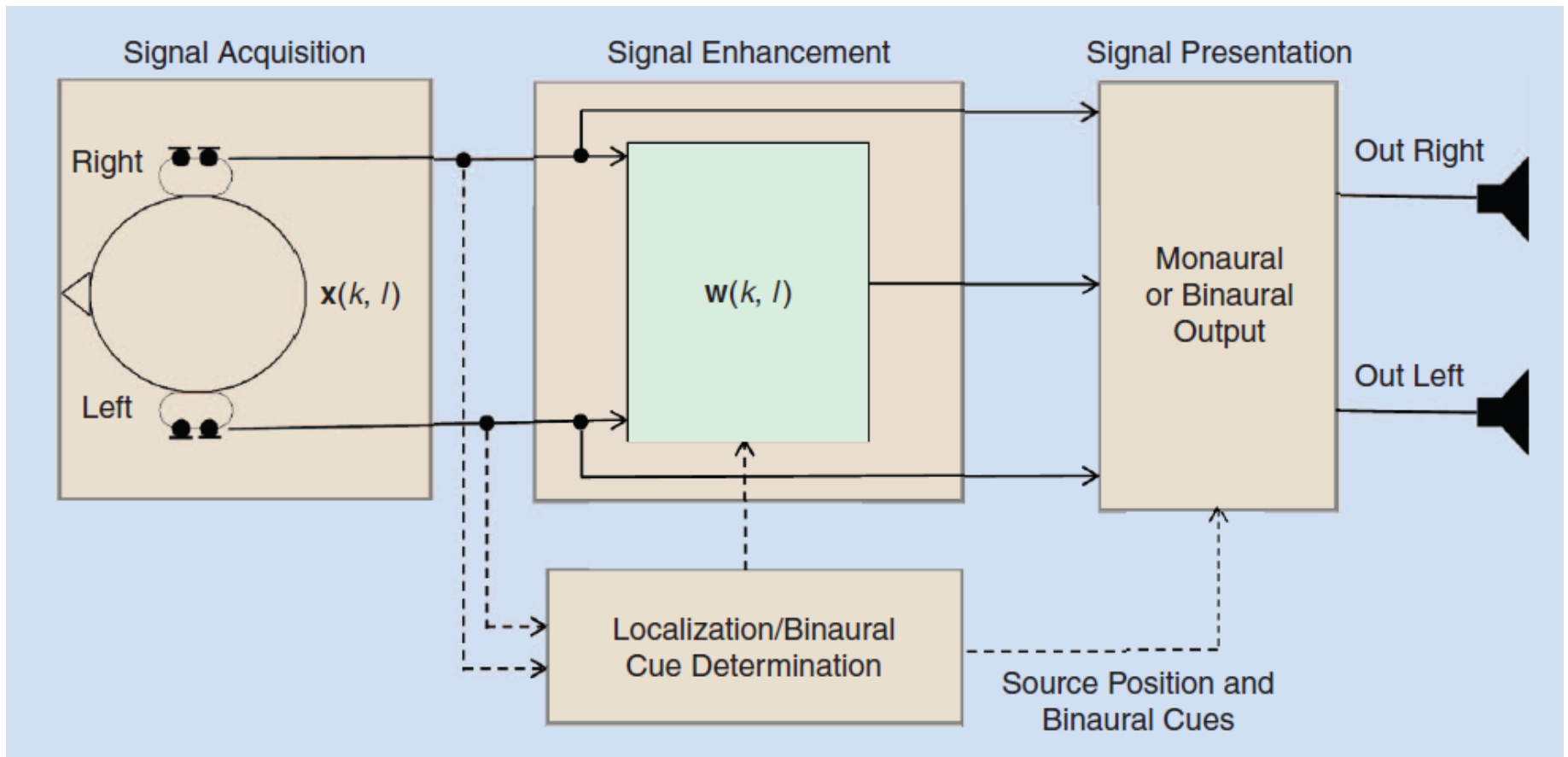
<http://www.healthyn hearing.com/help/hearing-aids/technology>

# Noise reduction + binaural processing

- Single microphone
  - Adaptive analog filters
  - Spectral subtraction
  - Spectral enhancement
- Multi-microphone
  - Directional microphone elements
  - Two-microphone adaptive noise cancellation
  - Array with time-invariant weights
  - Two-microphone adaptive arrays



# A general framework

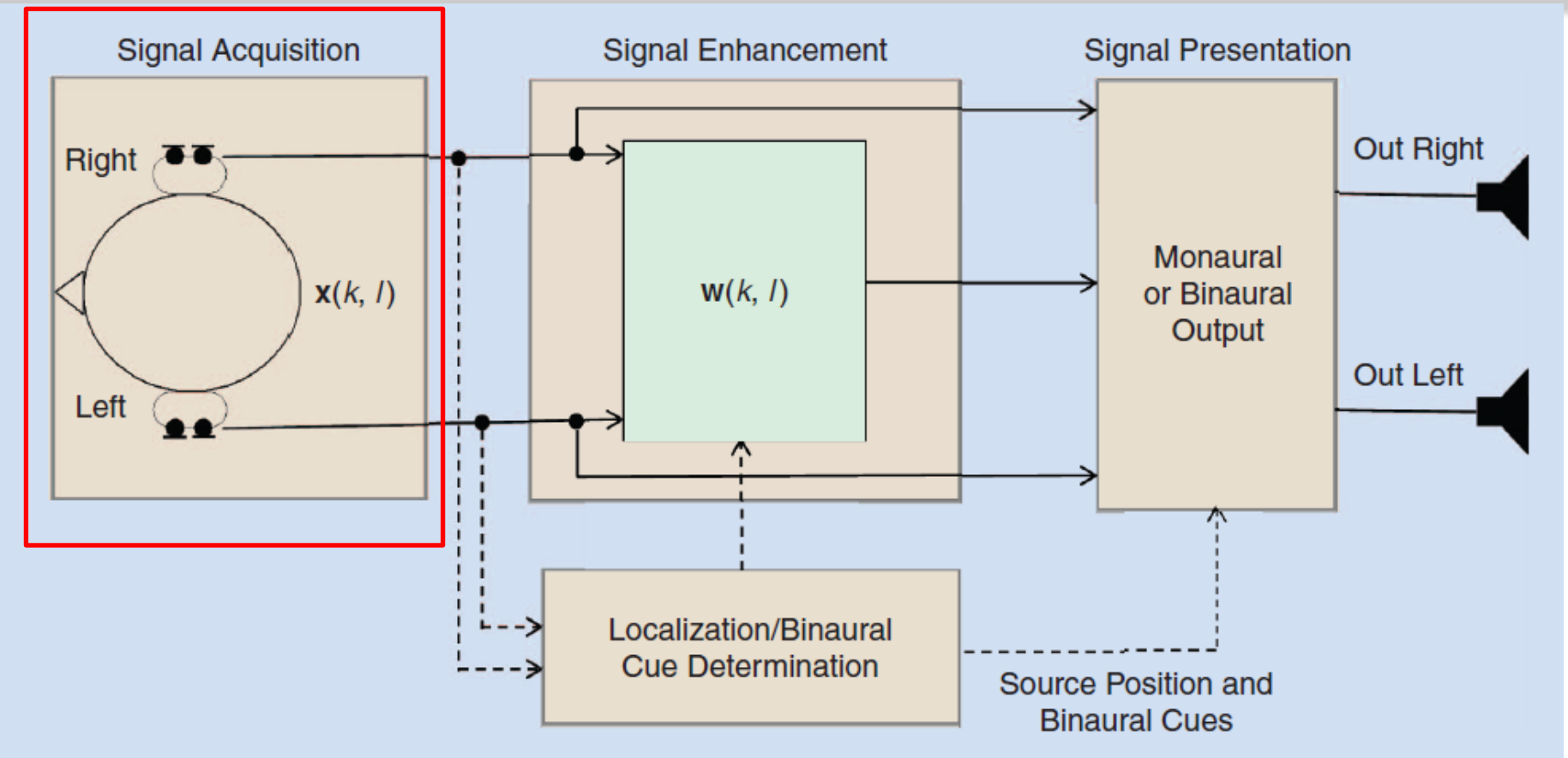


Picture from

S. Doclo, W. Kellermann, S. Makino, and S. Nordholm, "Multichannel signal enhancement algorithms for assisted listening devices," IEEE Signal Processing Magazine, vol. 32, no. 2, pp. 18-30, Mar. 2015.

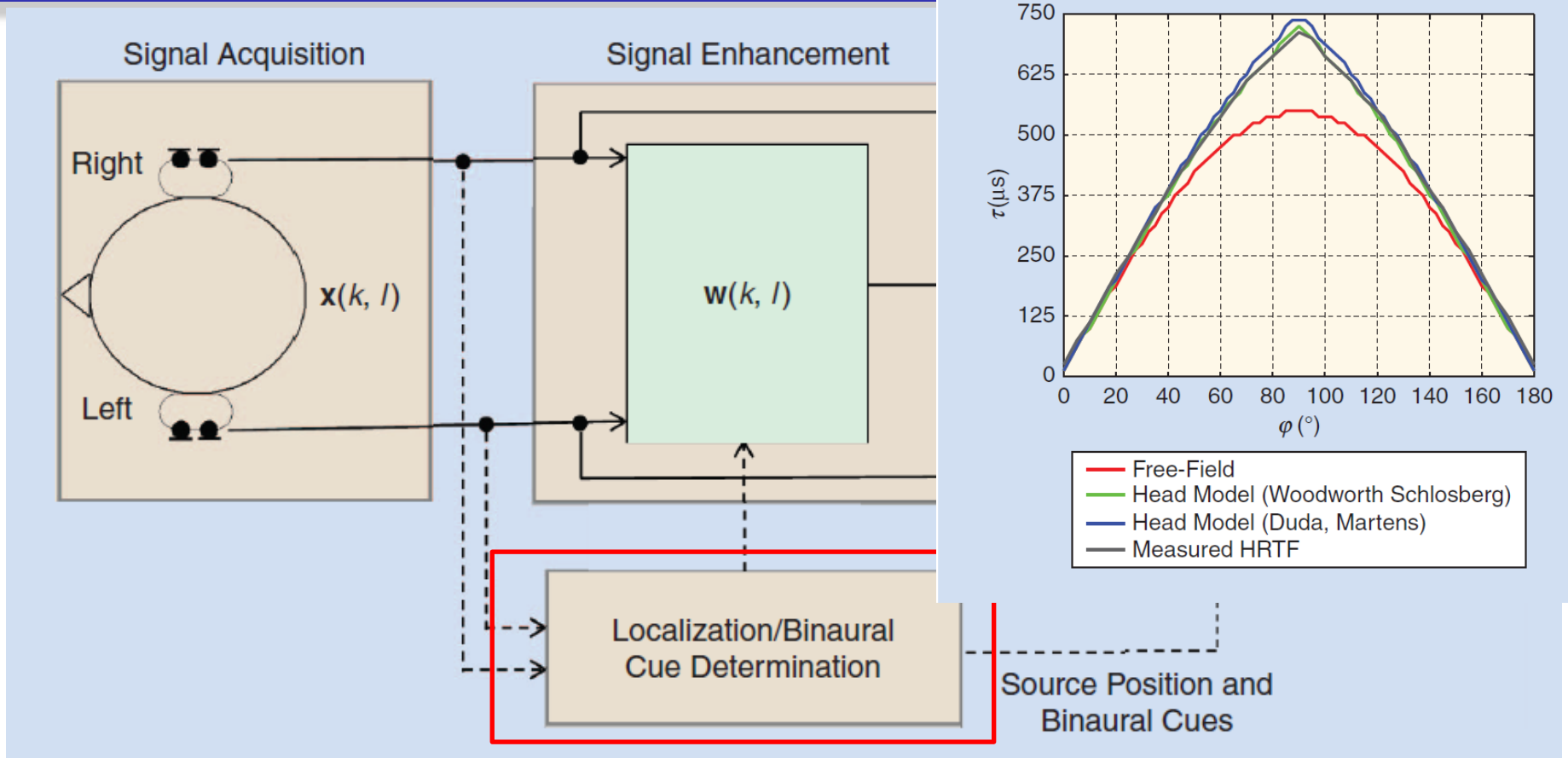


# A general framework: acquisition



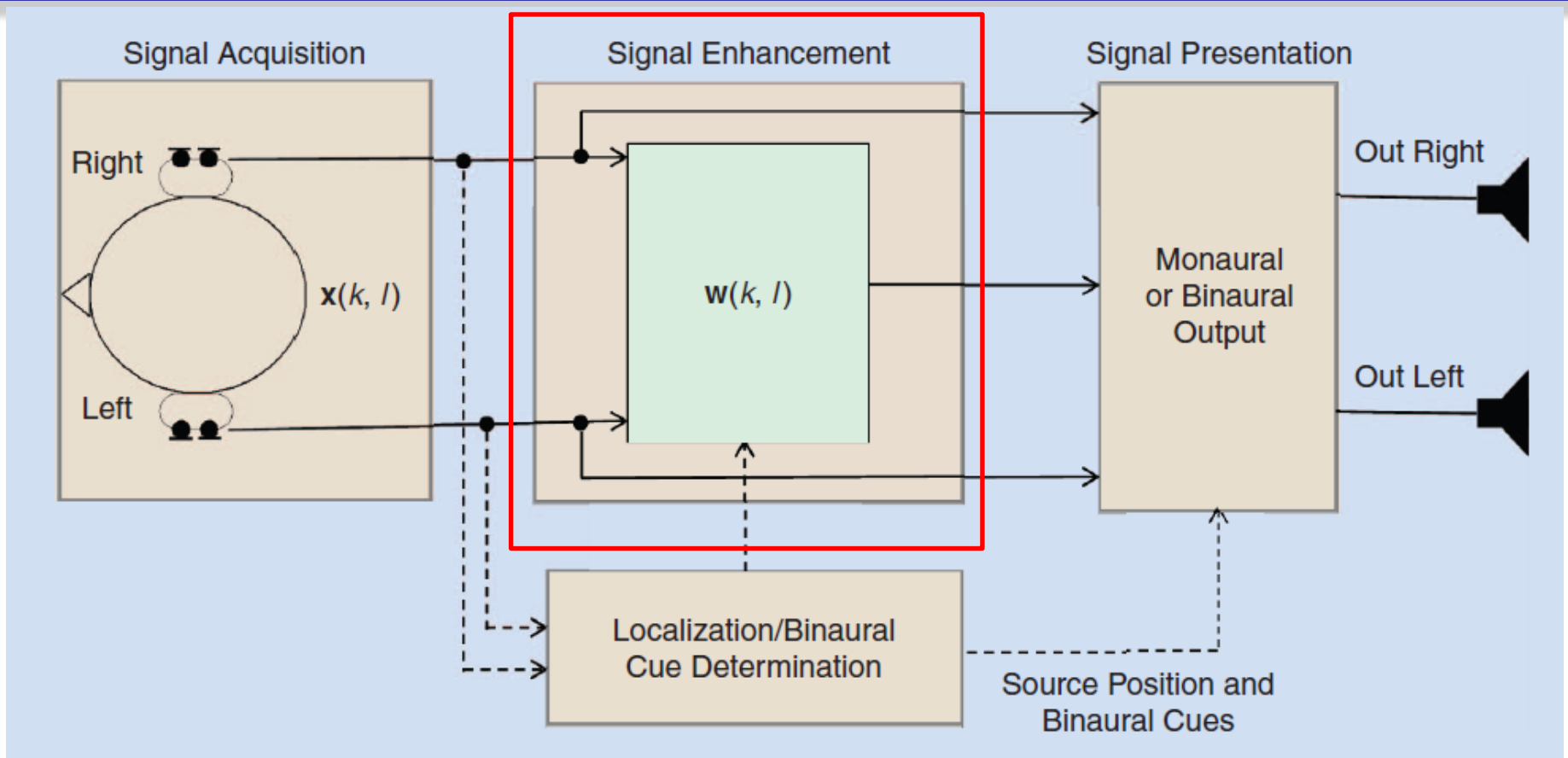
$$\mathbf{x} = \mathbf{h}_0 s_0 + \sum_{p=1}^{P-1} \mathbf{h}_p s_p + \mathbf{n} = \mathbf{h}_0 s_0 + \mathbf{v},$$

# A general framework: localization



- Steered-response power (SRP);
- MUSIC;
- TDOA;
- GCC-PHAT; SRP-PHAT;
- BSS-based

# A general framework: enhancement



## Data-independent beamformer

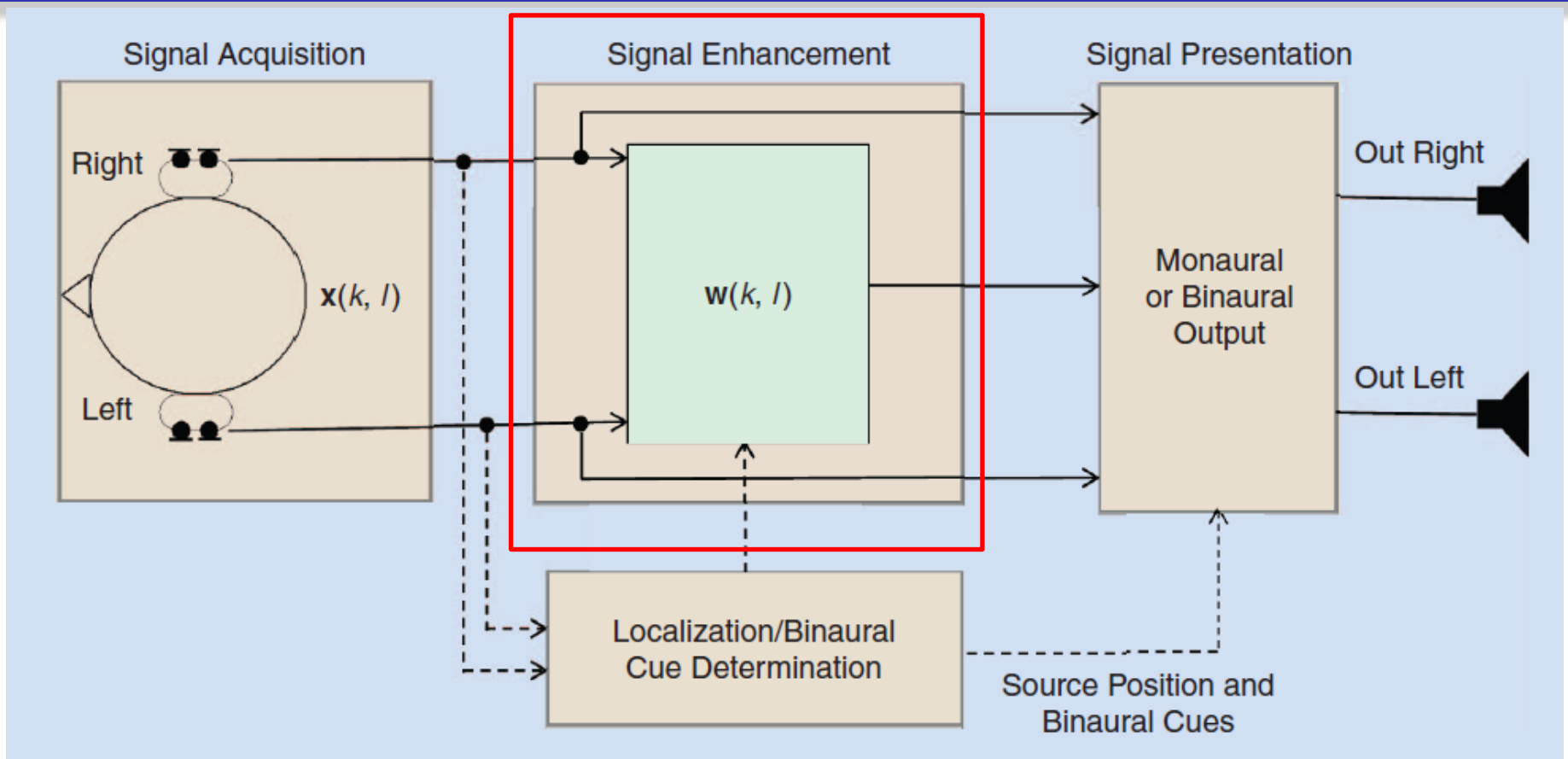
- Delay-and-sum
- Superdirective
- Differential

## Need to know

- Target DOA
- Complete microphone topology

More suitable for monaural devices

# A general framework: enhancement

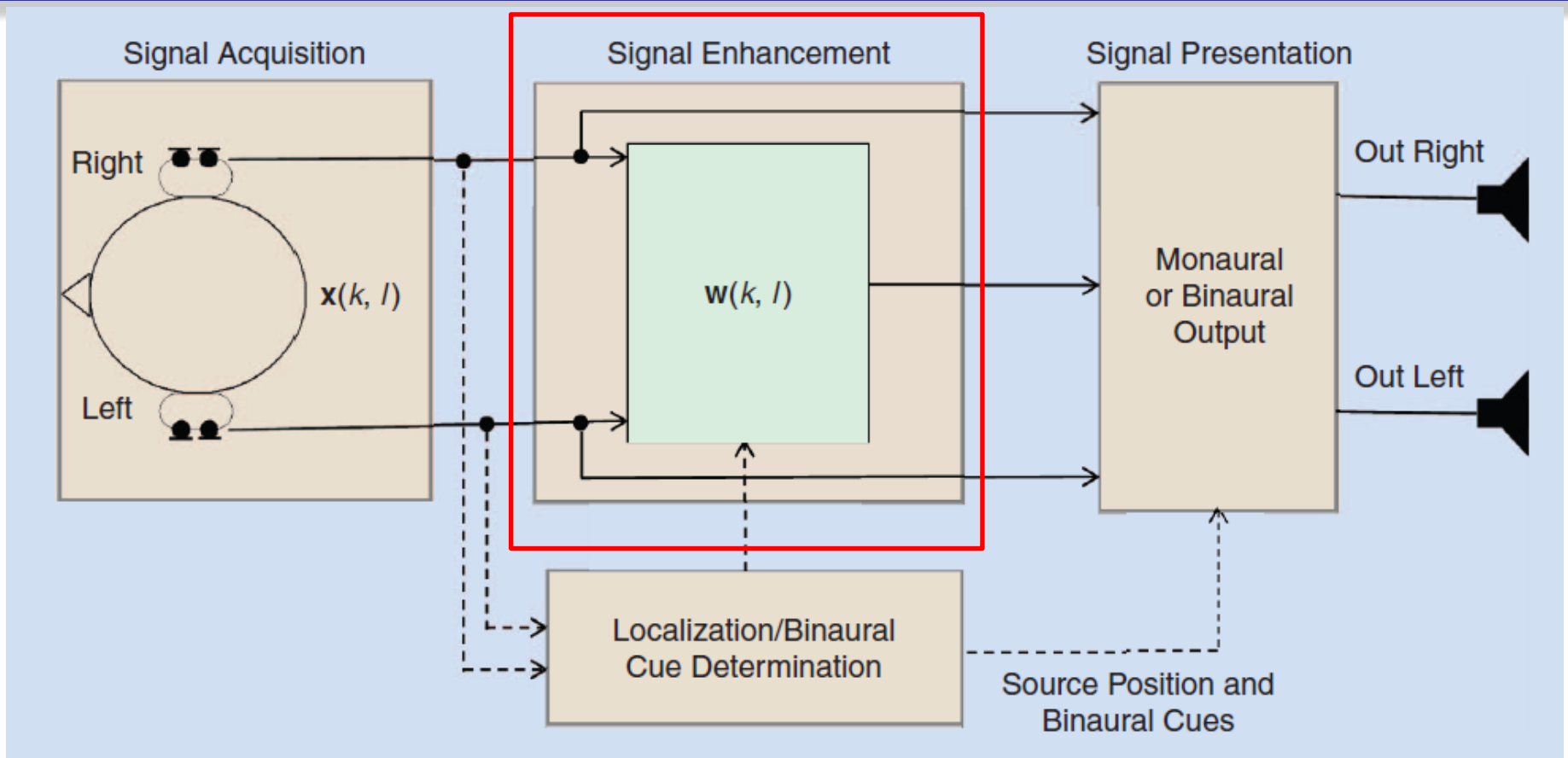


Data-dependent beamformer: statistically optimum

- Minimum Variance Distortionless Response (MVDR)
- Multichannel Wiener Filtering (MWF)
- Blind Source Separation (BSS)

Need to estimate the interference and noise statistics

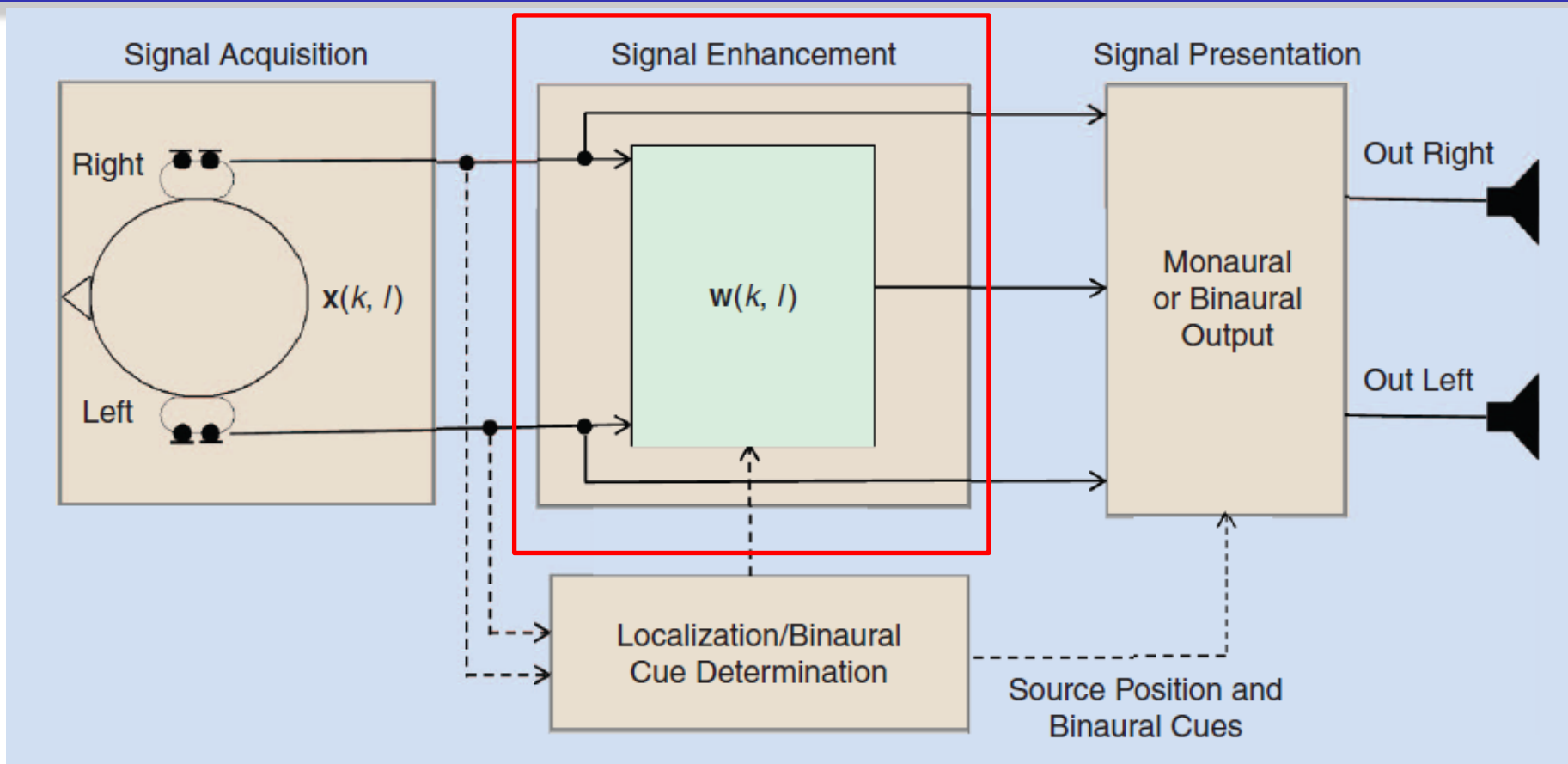
# A general framework: enhancement



MVDR beamformer

$$\min_{\mathbf{w}} \mathbf{w}^H \mathbf{\Phi}_{xx} \mathbf{w}, \quad \text{s.t. } \mathbf{w}^H \mathbf{h}_0 = 1 \longrightarrow \mathbf{w}_{\text{MVDR}} = \frac{\mathbf{\Phi}_{vv}^{-1} \mathbf{h}_0}{\mathbf{h}_0^H \mathbf{\Phi}_{vv}^{-1} \mathbf{h}_0}$$

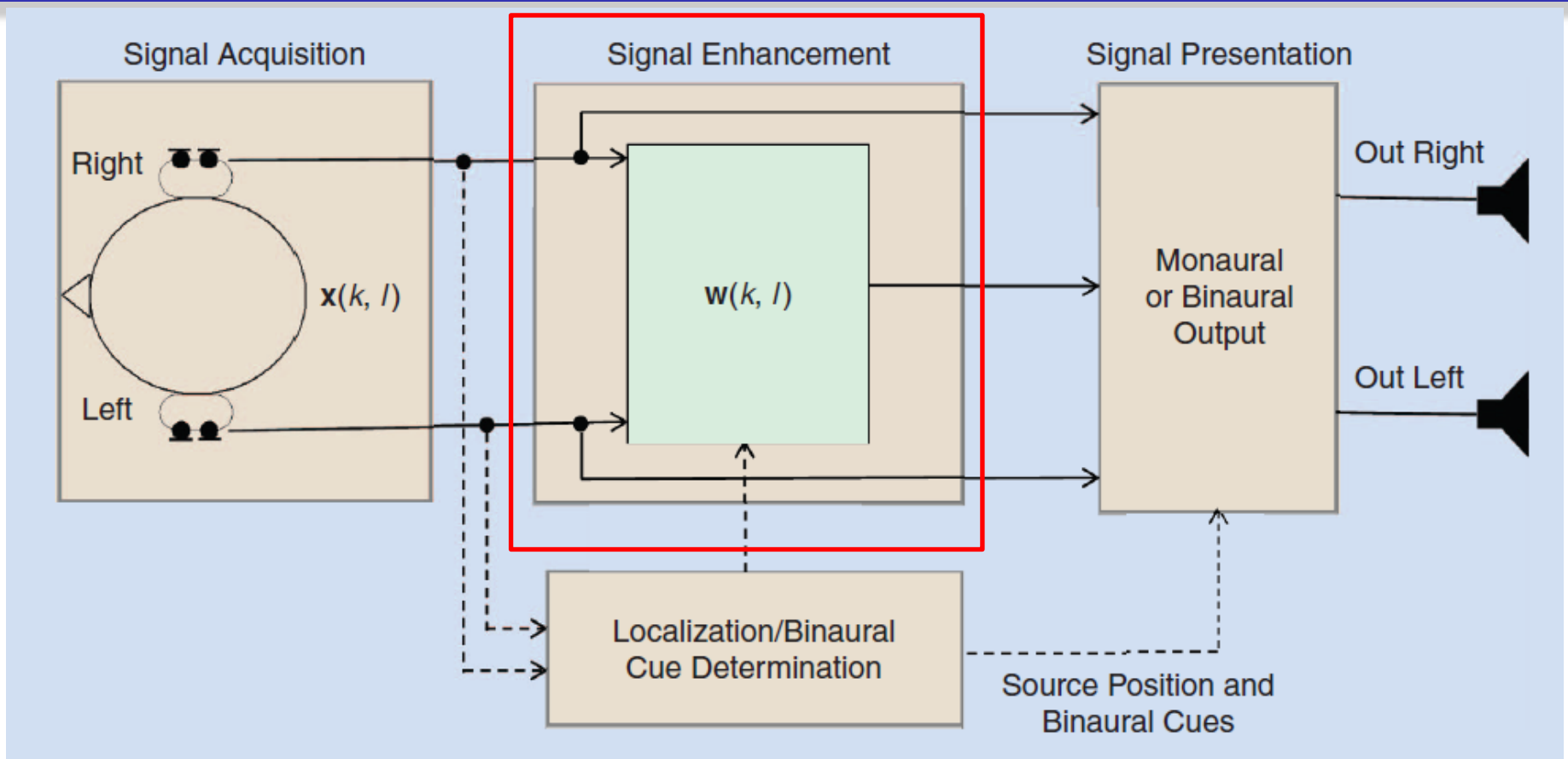
# A general framework: enhancement



MVDR beamformer with relative transfer function

$$\min_{\mathbf{w}} \mathbf{w}^H \mathbf{\Phi}_{xx} \mathbf{w}, \quad \text{s.t. } \mathbf{w}^H \mathbf{h}_0 = h_{0,r} \longrightarrow \tilde{\mathbf{w}}_{\text{MVDR}} = \frac{\mathbf{\Phi}_{vv}^{-1} \tilde{\mathbf{h}}_0}{\tilde{\mathbf{h}}_0^H \mathbf{\Phi}_{vv}^{-1} \tilde{\mathbf{h}}_0} \quad \tilde{\mathbf{h}}_0 = \frac{\mathbf{h}_0}{h_{0,r}}$$

# A general framework: enhancement



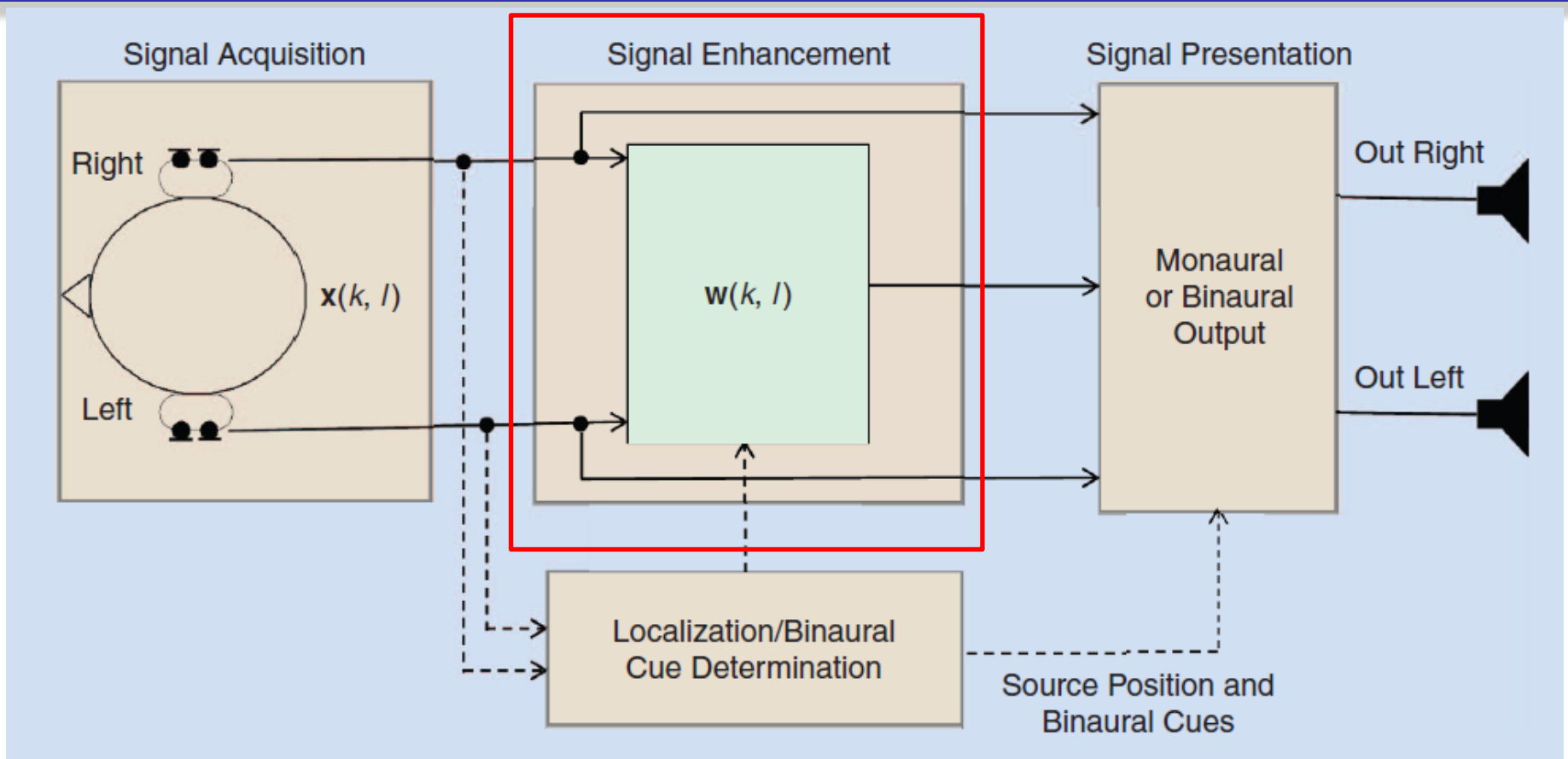
Multichannel Wiener Filter (MWF)

$$\min_{\mathbf{w}} E \left\{ \left| s_0 - \mathbf{w}^H \mathbf{x} \right|^2 \right\} \rightarrow \mathbf{w}_{\text{MWF}} = \mathbf{\Phi}_{\text{xx}}^{-1} \mathbf{h}_0 \phi_{s_0 s_0}$$

PSD of  $s_0$



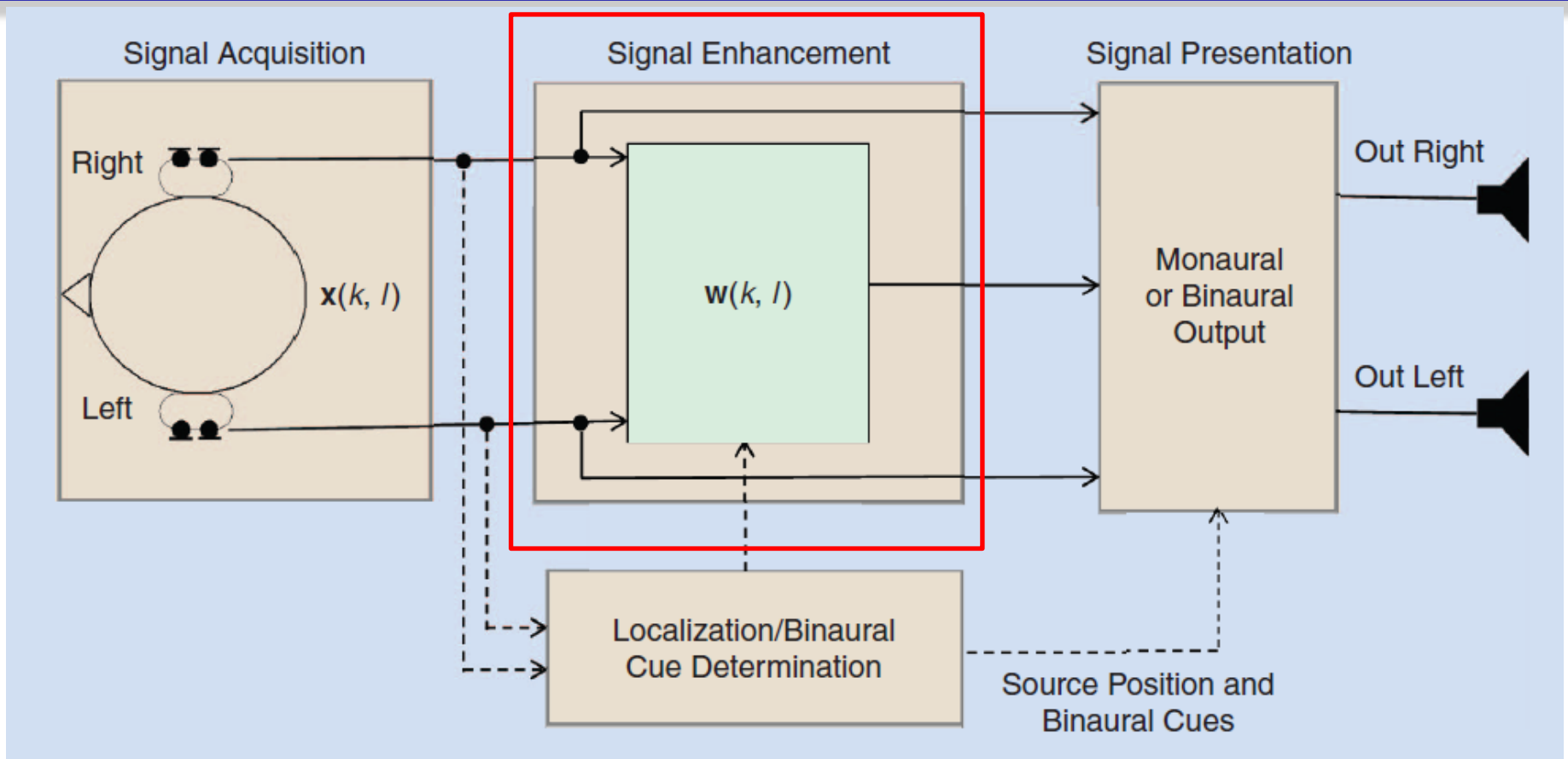
# A general framework: enhancement



MWF with relative transfer function

$$\min_{\mathbf{w}} E \left\{ \left| h_{0,r} s_0 - \mathbf{w}^H \mathbf{x} \right|^2 \right\} \rightarrow \tilde{\mathbf{w}}_{\text{MWF}} = \left( \phi_{s_0 s_0} \mathbf{h}_0 \mathbf{h}_0^H + \mathbf{\Phi}_{\text{vv}}^{-1} \right) \phi_{s_0 s_0} \mathbf{h}_0 h_{0,r}^*$$

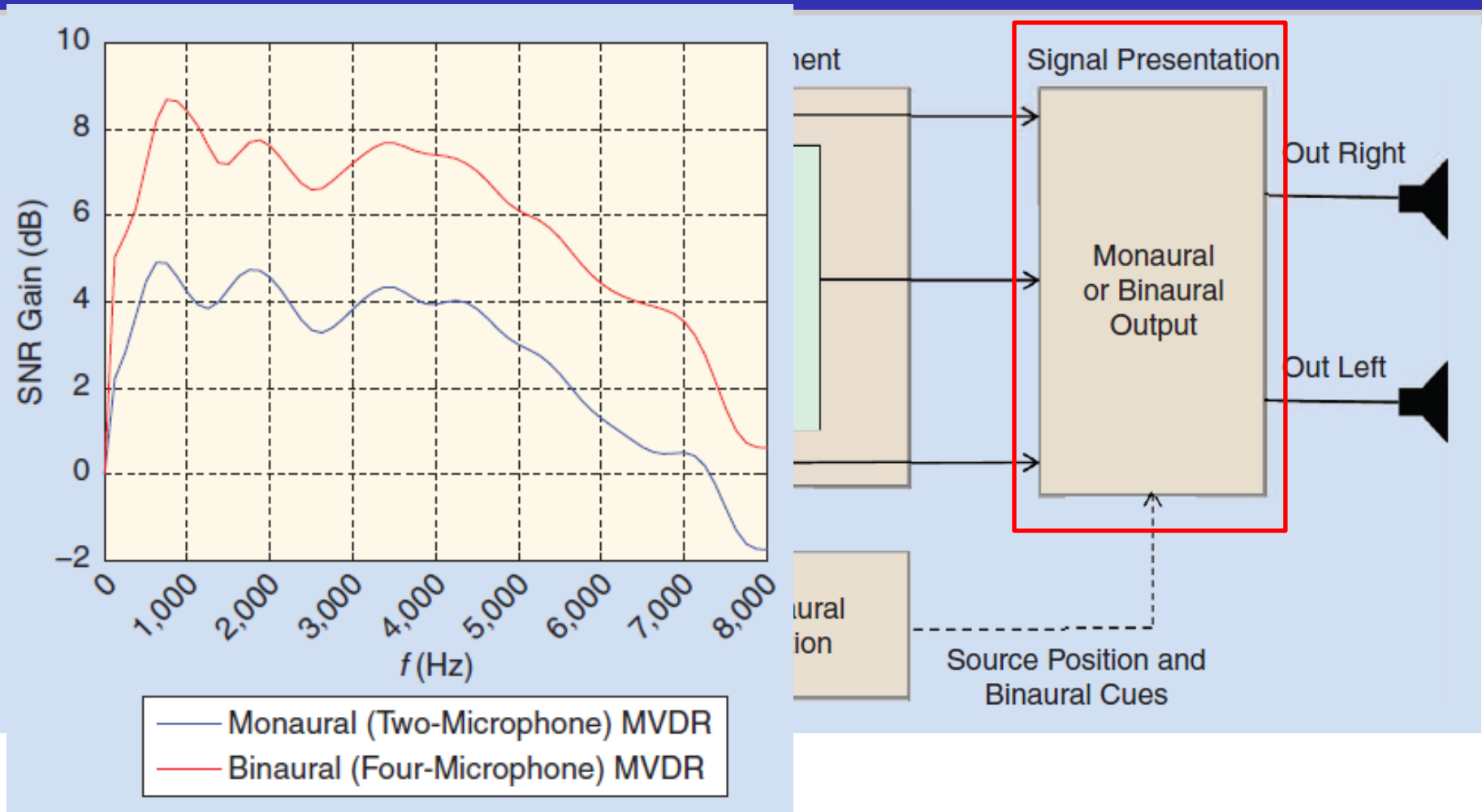
# A general framework: enhancement



MWF with speech-distortion-weighted

$$\min_{\mathbf{w}} E \left\{ \left| h_{0,r} s_0 - \mathbf{w}^H \mathbf{h}_0 s_0 \right|^2 \right\} + \mu E \left\{ \left| \mathbf{w}^H \mathbf{v} \right|^2 \right\} \longrightarrow \tilde{\mathbf{w}}_{\text{MWF}} = \left( \phi_{s_0 s_0} \mathbf{h}_0 \mathbf{h}_0^H + \mu \Phi_{\mathbf{v}\mathbf{v}}^{-1} \right) \phi_{s_0 s_0} \mathbf{h}_0 h_{0,r}^*$$

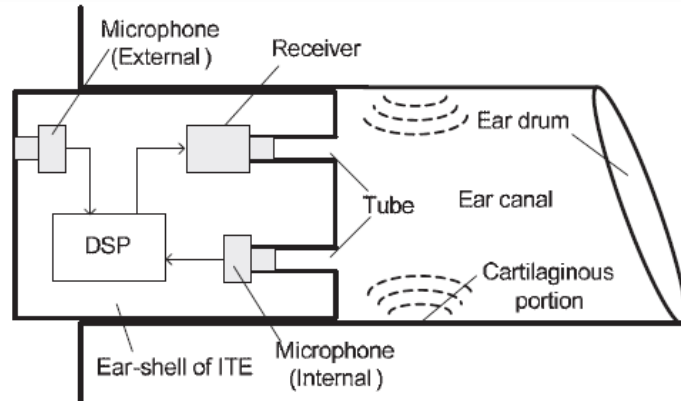
# A general framework: presentation



Binaural extraction:

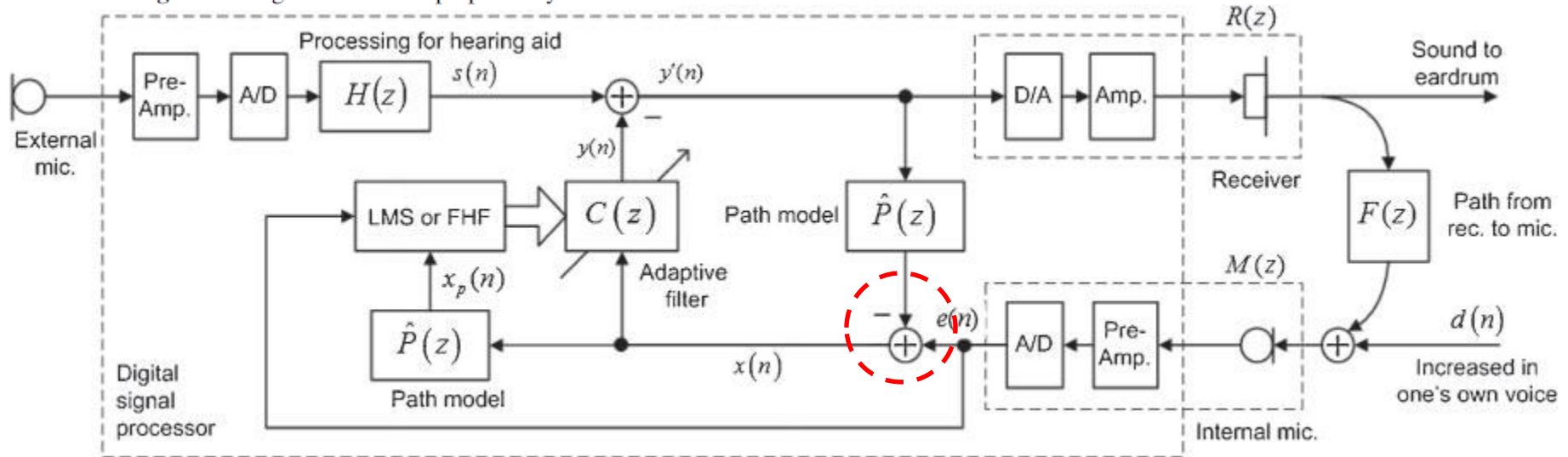
Also important to preserve the binaural cues of the residue noise to allow binaural unmasking

# ANC in hearing aids with occlusion reduction (ITC hearing aids)



- Increased SPL at low frequencies when ear canal is blocked ( $\sim 15$  dB from 100-300 Hz)
- Occlusion reduction using FBANC.
- Need a fast adaptive algorithm to achieve good occlusion reduction.

Fig. 2 Configuration of the proposed system.

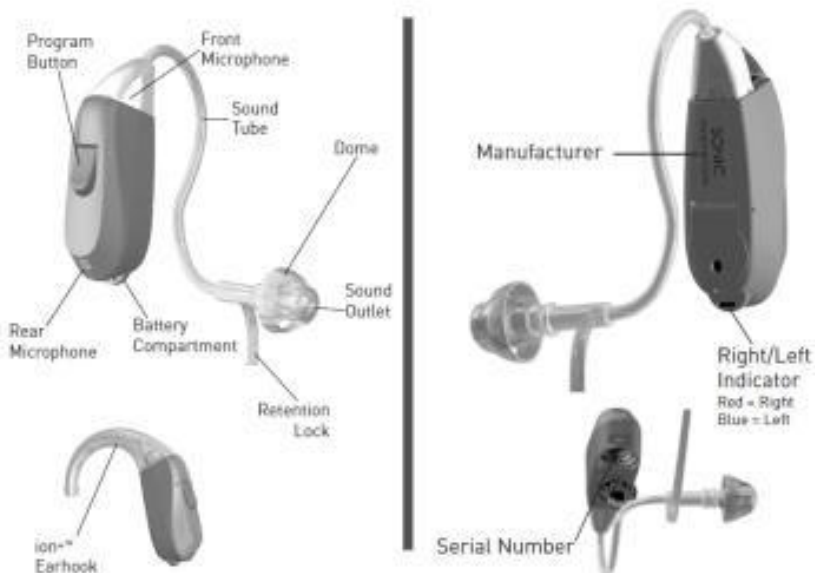


Picture from

M. Sunohara, K Watanuki and M. Tateno, "Occlusion reduction system for hearing aids using active noise control technique", *Acoust. Sci. & Tech.* 35, 6 (2014)

# Integrating ANC in Hearing Aids

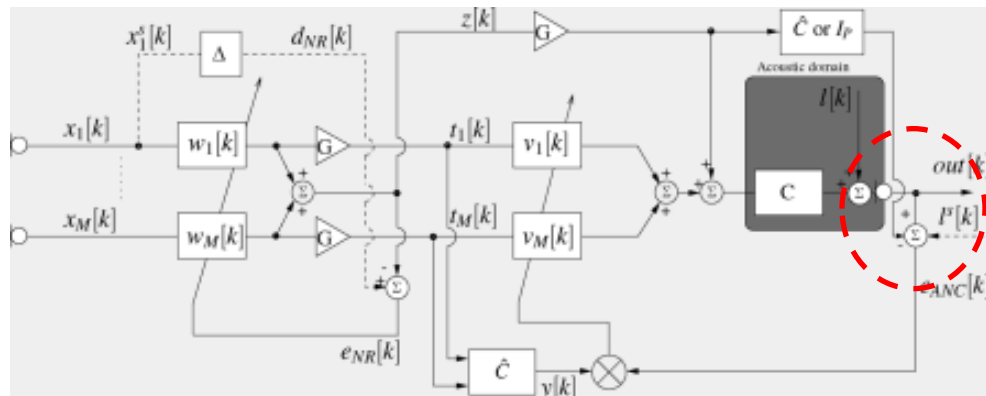
Your Open-fit hearing aid



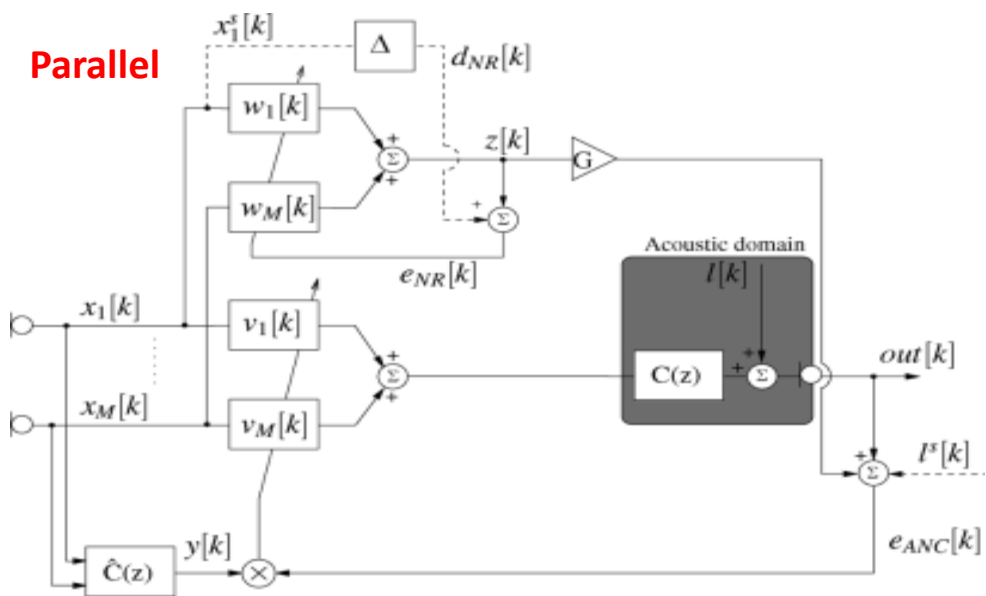
- No earmold to prevent ambient sound from leakage into the ear canal → Lower SNR
- Attenuate leakage noise signal using ANC.
- Need an ear canal microphone

Picture from

Cascade



Parallel



R. Serizel, M. Moonen, J. Wouters, and S. H. Jensen, "Integrated Active Noise Control and Noise Reduction in Hearing Aids", IEEE TRANSACTIONS ON AUDIO, SPEECH, AND LANGUAGE PROCESSING, VOL. 18, NO. 6, AUG. 2010

# Summary on hearing aids

- Various signal processing approaches have been applied in noise reduction for hearing aids.
- Incorporating **multiple microphones** and **binaural processing** have been shown to be beneficial and will be the key research topics in hearing aids.
- **Personalization** embedded with intuitive interface (in phones): hearing loss profile, or listening preference.

# Read more

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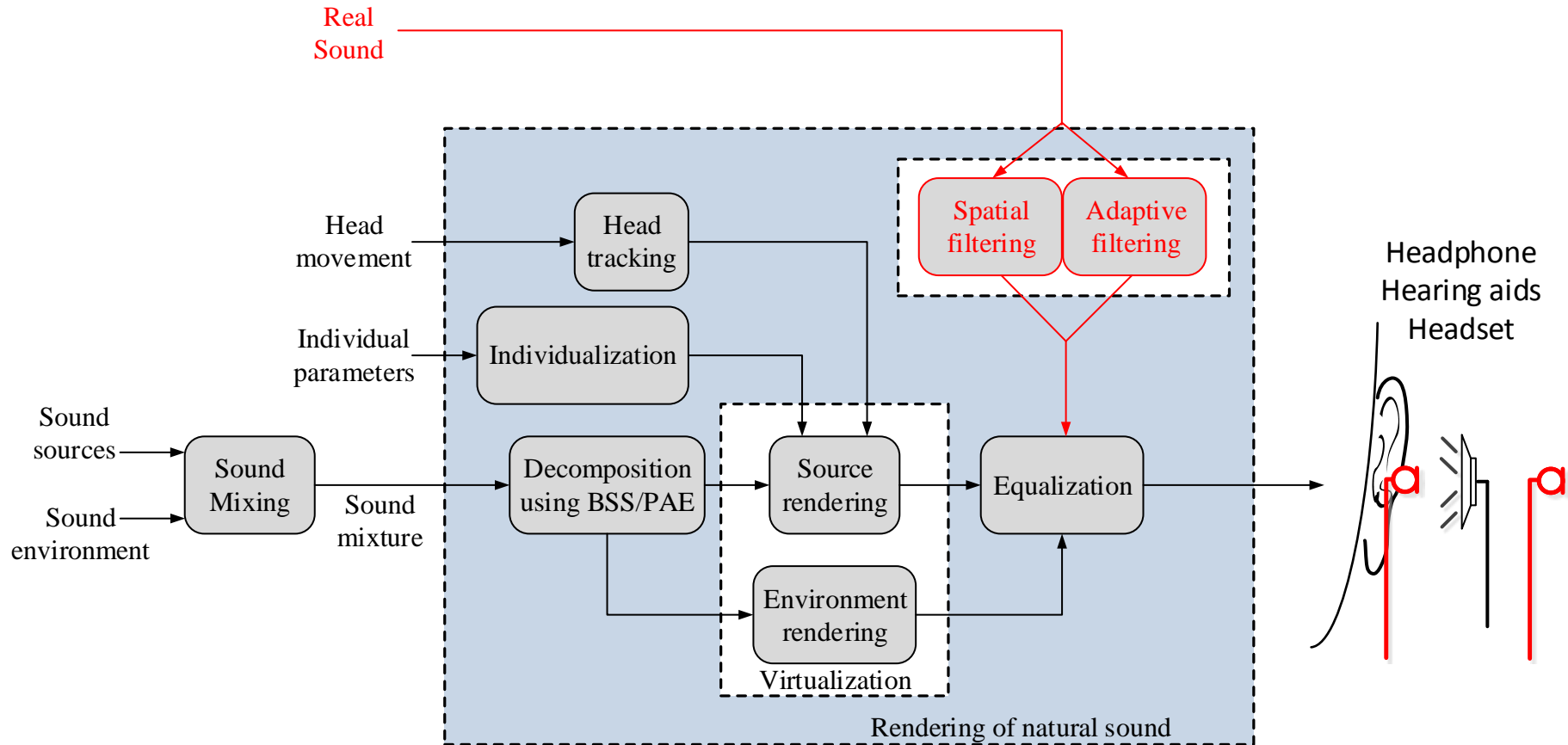
# Module VI

## Summary

# Aims of Assisted Listening



# Summary on Assisted Listening



# The Future of Assisted Listening

Action/ Technique	You hear		Outcome
	Virtual sound	Real sound	
Put on headphone	Normal	Modified by headphone	Normal listening
Masking	Enhanced	Modified by headphone	Better listening of virtual sound in noisy environment
ANC	Normal	Reduced	
Hear-through	Normal	Recovered	Listening to virtual sound and natural real sound
Acoustic SP	Normal	Personally modified	Listening to virtual sound and enhanced real sound
Acoustic & Audio SP	Natural	Blocked	Virtual Reality
Acoustic & Audio SP	Natural	Recovered	Augmented Reality
Acoustic & Audio SP	Natural	Selective	“Super Reality”



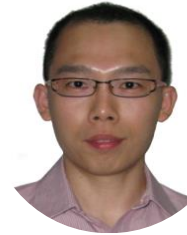
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And all researchers  
working in assisted  
listening, 3D audio,  
active noise control,  
virtual reality,  
augmented reality!

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