

Autonomous Navigation of UAV in Large-scale Unknown Complex Environment with Deep Reinforcement Learning

Chao Wang, Jian Wang, Xudong Zhang, and Xiao Zhang

Department of Electronic Engineering
Tsinghua University



UAV navigation: creating a smarter city

- Autonomous navigation in large-scale unknown complex environment
 - **Drone delivery**: delivering goods in cities, emergency treatment
 - **Anti-terrorism**: remote investigation, military strike



Challenges of large-scale unknown complex environment

Large-scale

Environment covers several square kilometers

Unknown

Environment is totally stochastic

Complex

Obstacles are dense

Hard-coded path planning is intractable
SLAM-based navigation is intractable
Sensing-and-avoidance-based navigation is inefficient

More intelligent algorithms need to be developed to cope with more complex environment

SLAM, simultaneously Localization and Mapping, is generally used to navigate and localize in indoor environment
Sensing-and-avoidance is already used by Amazon to deliver goods in countryside

Modeling UAV navigation as a reinforcement learning problem

UAV navigation: a sequential decision making problem



Observing environment

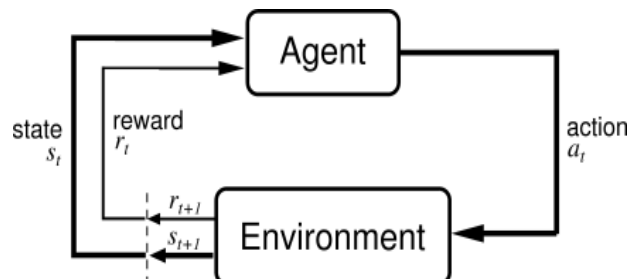
Taking proper action

Taking proper action

Observing environment

.....

Reinforcement Learning: learning to solve sequential decision making



Markov Decision Process

State: s_t

Action: a_t

Dynamic: $p(s_{t+1}|s_t, a_t)$

Reward: $p(r_t|s_t, a_t)$

sensory output

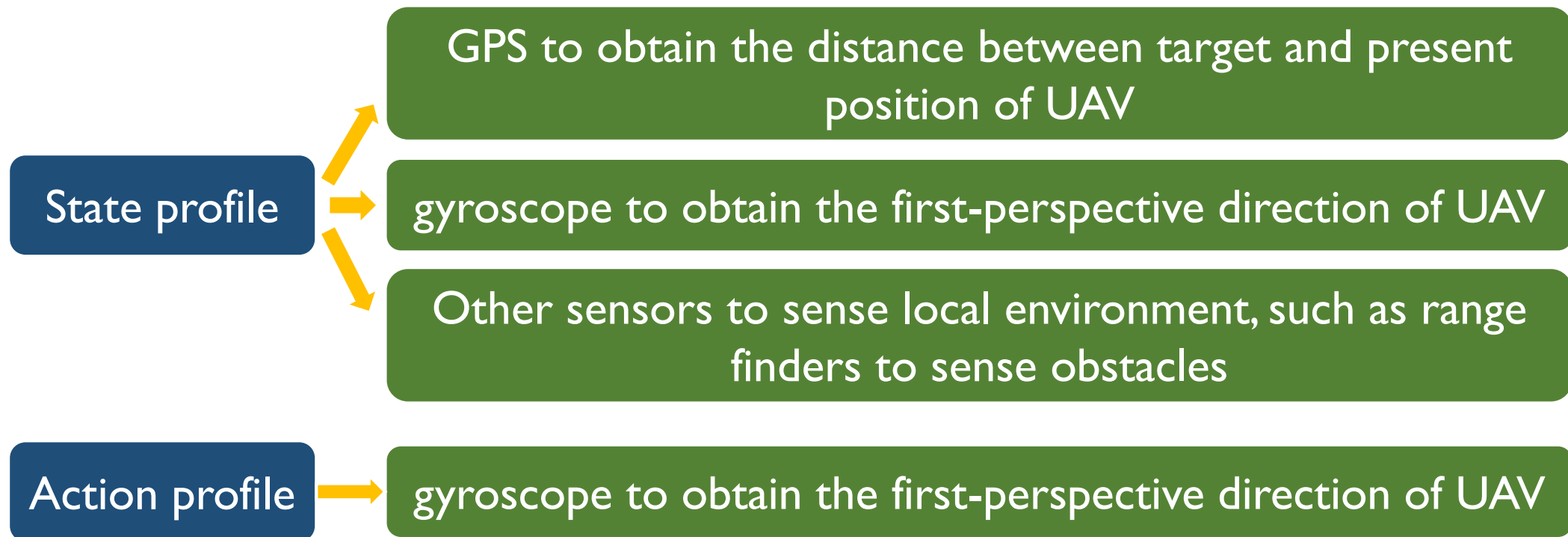
control profile

unknown but stationary

need to be designed

State profile and action profile

- Deep reinforcement directly takes high-dimensional sensory outputs as states^[1]



Reward

- Sparse reward
 - Agent would be rewarded only if it arrives at the target position
- Non-sparse reward
 - Agent would be rewarded whenever and wherever

Target reward



Rewarded if UAV approaches the target

Obstacle penalty



Penalized if UAV approaches any obstacles

Free space reward



Rewarded if UAV's first perspective points to free space

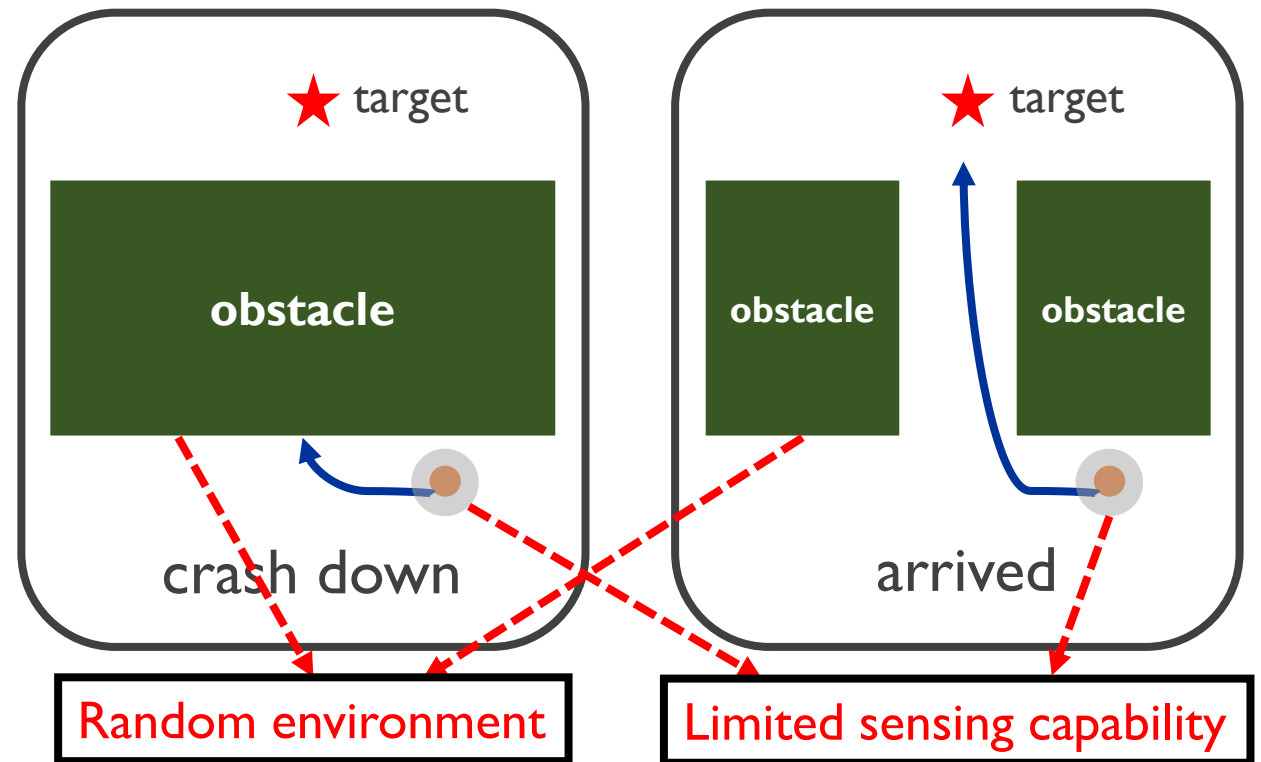
Transition penalty



Penalized as long as UAV moves forward

Partial observability of states

- Random environment
- Limited sensing capability
- Memoryless learning agent



Agent's action should be determined by its history observation and action trajectories

Attacking partial observability

- Policy function: projecting history trajectories to actions

$$a_t \sim \pi_\theta(a_t | h_t) \quad \text{where } h_t = [o_0, a_0, \dots, a_{t-1}, o_t]$$

- Define value function and action-value function as

$$V^{\pi_\theta}(h_t) = E_{\tau_1} \left[\sum_{k=0}^{\infty} \gamma^k r_{t+k} | h_t \right] \quad Q^{\pi_\theta}(h_t, a_t) = E_{\tau_2} \left[\sum_{k=0}^{\infty} \gamma^k r_{t+k} | h_t, a_t \right]$$

$$\tau_2 \sim p(h_{t+1} | h_t, a_t) \pi(a_{t+1} | h_{t+1}) p(h_{t+2} | h_{t+1}, a_{t+1}) \pi(a_{t+2} | h_{t+2}) \dots$$

$$\tau_1 \sim \pi(a_t | h_t) p(h_{t+1} | h_t, a_t) \pi(a_{t+1} | h_{t+1}) p(h_{t+2} | h_{t+1}, a_{t+1}) \pi(a_{t+2} | h_{t+2}) \dots$$

Attacking partial observability

- Define target function as

$$J(\theta) = \sum_{h_0} V^{\pi_\theta}(h_0) \leftarrow \boxed{\text{Policy gradient}}$$

- Gradient of the target function

$$\frac{\partial J(\theta)}{\partial \theta} = \sum_{h_0} \sum_h \rho_{h_0}^{\pi_\theta}(h) \sum_a \frac{\partial \pi_\theta(h, a)}{\partial \theta} Q^{\pi_\theta}(h, a)$$

- Deterministic policy

$$a = \mu_\theta(h)$$



$$\pi_\theta(a|h) = \delta(a - \mu_\theta(h))$$



$$\frac{\partial J(\theta)}{\partial \theta} = \sum_{h_0} \sum_h \rho_{h_0}^{\mu_\theta}(h) \boxed{\frac{\partial Q^\theta(h_t, \mu^\theta(h_t))}{\partial a} \frac{\partial \mu^\theta(h_t)}{\partial \theta}}$$

Partially observable VS fully observable

- Gradient of the target function of fully observable MDP

$$\frac{\partial J(\theta)}{\partial \theta} = \sum_{s_0} \sum_s \rho_{s_0}^{\pi_\theta}(s) \sum_a \frac{\partial \pi_\theta(s, a)}{\partial \theta} Q^{\pi_\theta}(s, a) \quad \Bigg| \quad \frac{\partial J(\theta)}{\partial \theta} = \sum_{s_0} \sum_s \rho_{s_0}^{\mu_\theta}(s) \frac{\partial Q^\theta(s_t, \mu^\theta(s_t))}{\partial a} \frac{\partial \mu^\theta(s_t)}{\partial \theta}$$

- Gradient of the target function of partially observable MDP

$$\frac{\partial J(\theta)}{\partial \theta} = \sum_{h_0} \sum_h \rho_{h_0}^{\pi_\theta}(h) \sum_a \frac{\partial \pi_\theta(h, a)}{\partial \theta} Q^{\pi_\theta}(h, a) \quad \Bigg| \quad \frac{\partial J(\theta)}{\partial \theta} = \sum_{h_0} \sum_h \rho_{h_0}^{\mu_\theta}(h) \frac{\partial Q^\theta(h_t, \mu^\theta(h_t))}{\partial a} \frac{\partial \mu^\theta(h_t)}{\partial \theta}$$

POMDPs can be regarded as MDPs nominally

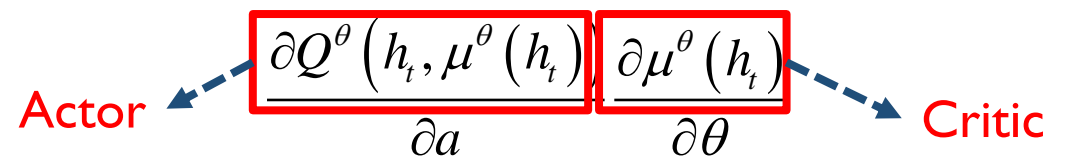
Algorithm design: Fast-RDPG

- Fast-RDPG: Fast-Recurrent Deterministic Policy Gradient
 - Is based on existing algorithm named RDPG
 - Use **Actor-Critic** policy gradient architecture
 - Use two LSTMs to approximate $Q(h,a)$ and $\mu(h)$
- RDPG VS Fast-RDPG
 - RDPG lacks of theoretical guarantee
 - Fast-RDPG breaks the temporal correlation of samples

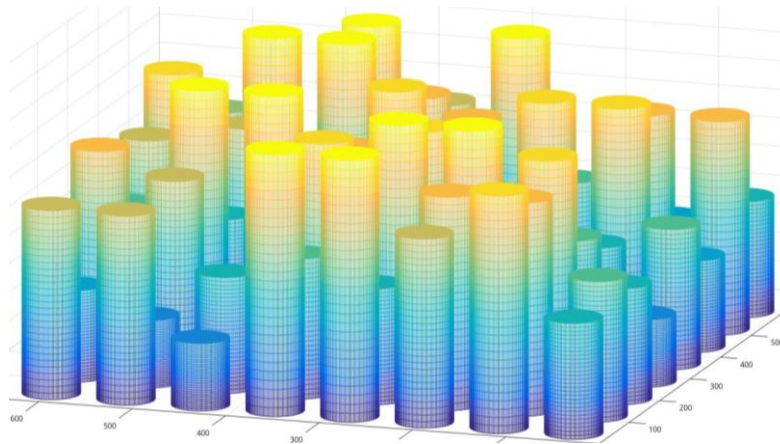
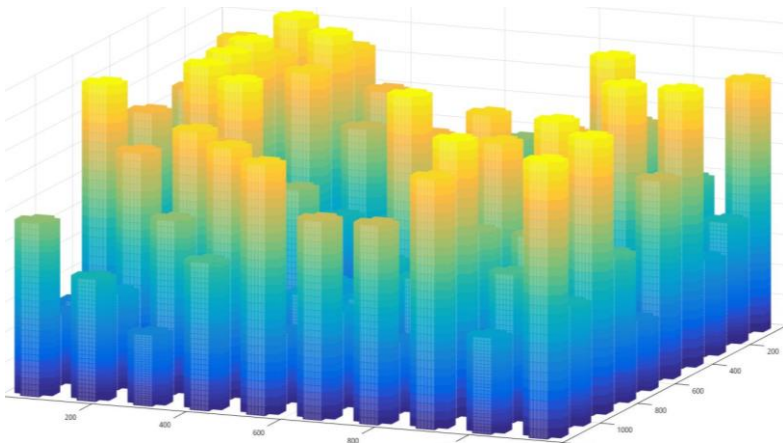
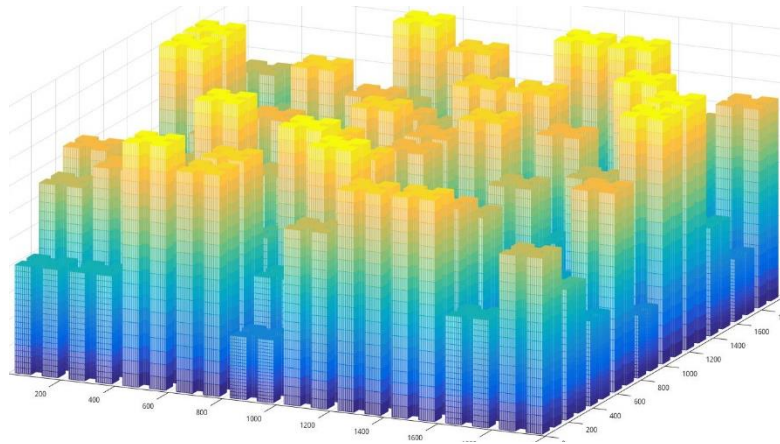
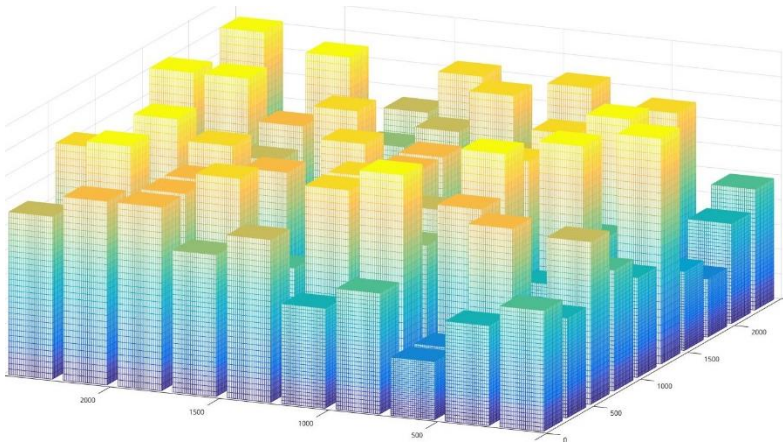
Stochastic gradient of RDPG

$$\sum_{t=1}^T \gamma^{t-1} \frac{\partial Q^\mu(h_t, a)}{\partial a} \Big|_{a=\mu^\theta(h_t)} \frac{\partial \mu^\theta(h_t)}{\partial \theta}$$

Stochastic gradient of Fast-RDPG



Generating stochastic environment

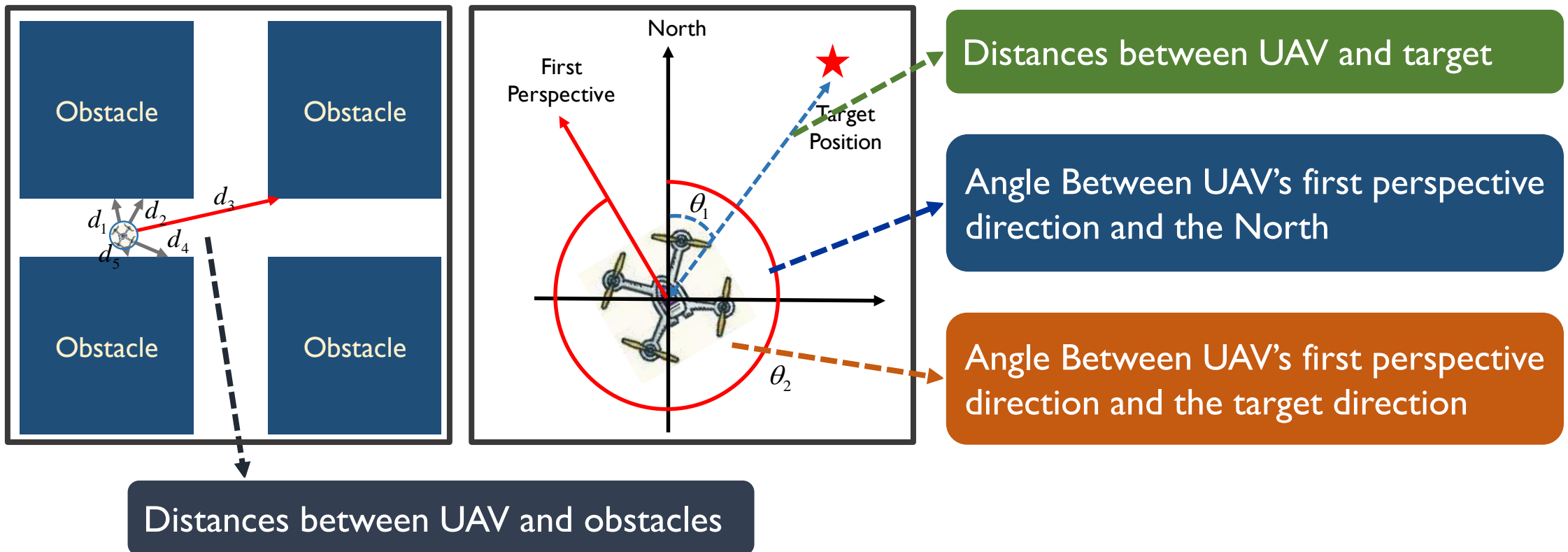


Every time the UAV completes a navigation task, the environment is **re-generated randomly**

In each environment, the height of the building is random

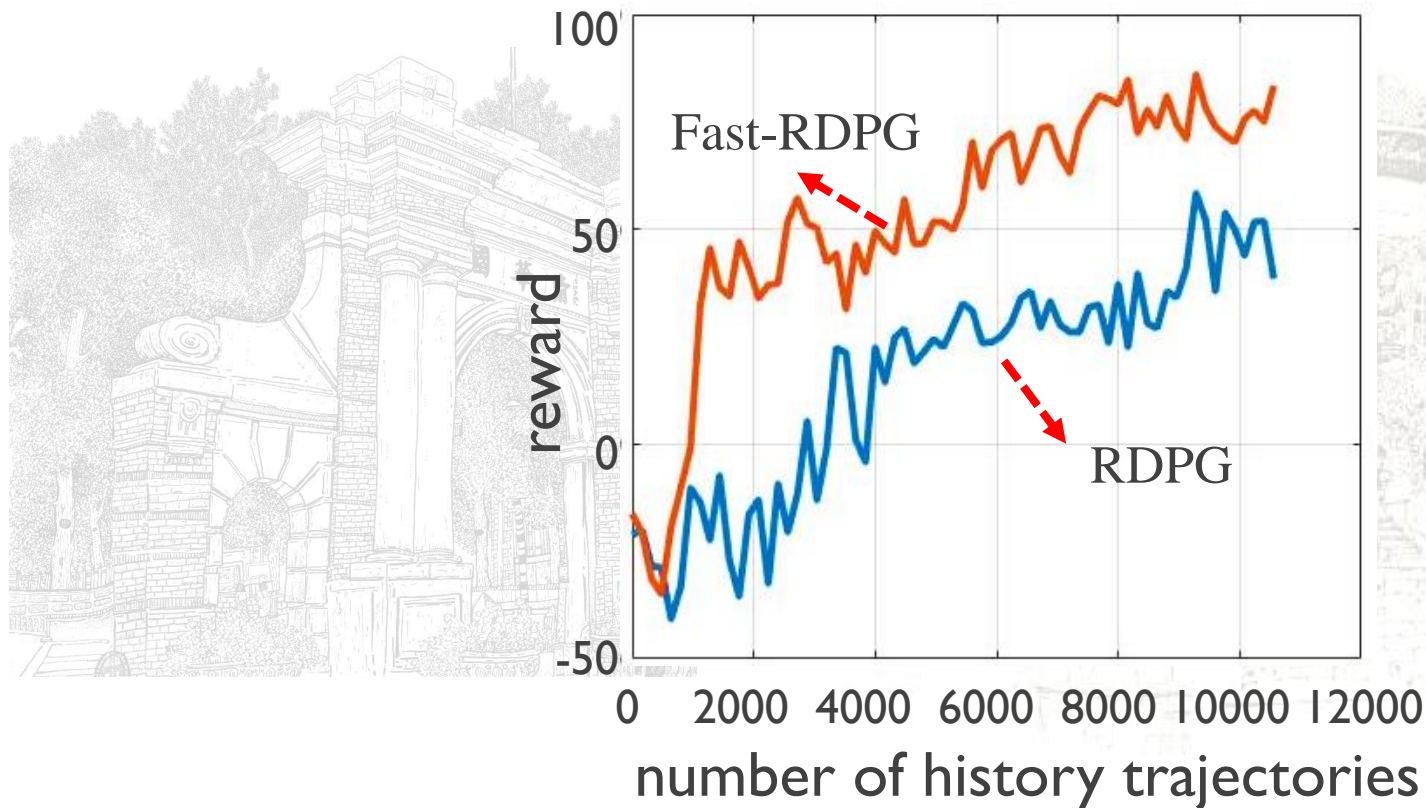
Sensors deployment

- UAV flies at fixed level and at constant speed
- Observations are composed of four parts



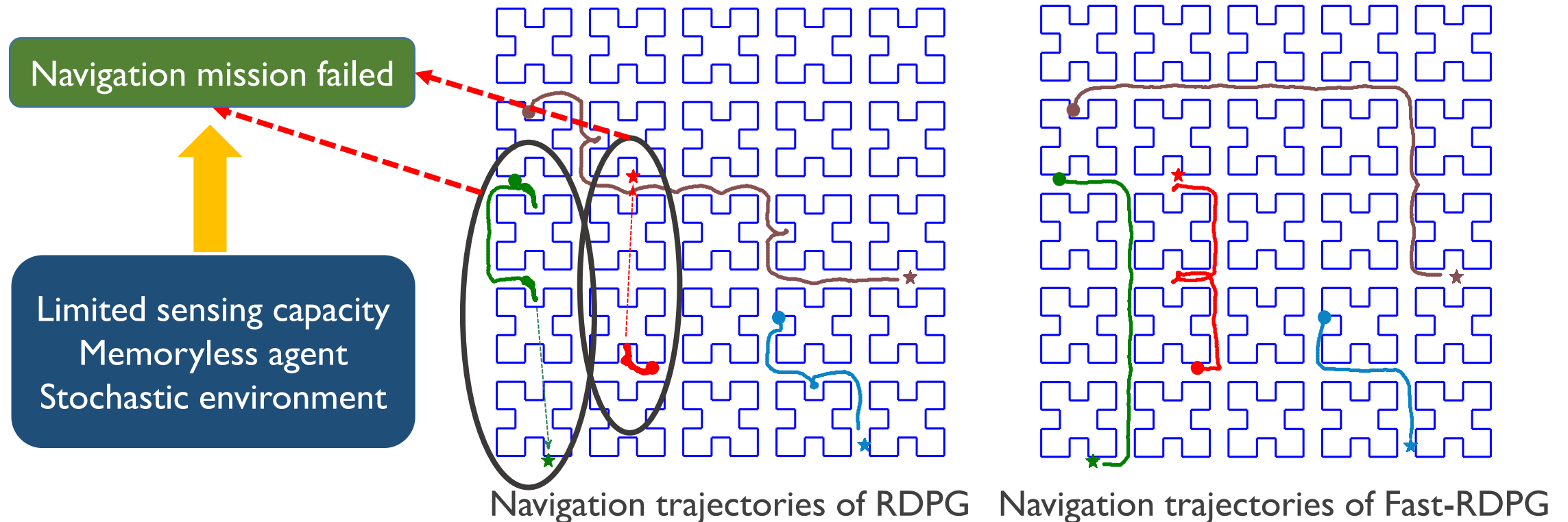
Simulation result: RDPG VS Fast-RDPG

- Compared with RDPG, Fast-RDPG breaks the temporal correlation of samples and therefore converges very fast



Simulation results: DDPG VS Fast-RDPG

Randomly generate four pairs of starting points and ending points



Conclusion and future work

Large-scale unknown complex environment brings challenges to UAV navigation

- ◆ Highly complex environment disables traditional navigation methods
- ◆ Navigation agents need to **learn to cope with complex environment**

Proposed autonomous navigation of UAV with deep reinforcement learning

- ◆ Model UAV navigation as a sequential decision making problem
- ◆ Use deep reinforcement learning to solve the decision making problem
- ◆ Design Fast-RDPG algorithm to attack Partially observable MDP

Future work

- ◆ Test the proposed navigation algorithm in more real environment
- ◆ Directly cope with sparse reward

References

1. Mohammed, F., Idries, A., Mohamed, N., Al-Jaroodi, J., & Jawhar, I. (2014, May). UAVs for smart cities: Opportunities and challenges. In Unmanned Aircraft Systems (ICUAS), 2014 International Conference on (pp. 267-273). IEEE.
2. Cui, J. Q., Lai, S., Dong, X., & Chen, B. M. (2016). Autonomous navigation of UAV in foliage environment. *Journal of Intelligent & Robotic Systems*, 84(1-4), 259-276.
3. Bachrach, A., Prentice, S., He, R., & Roy, N. (2011). RANGE-Robust autonomous navigation in GPS-denied environments. *Journal of Field Robotics*, 28(5), 644-666.
4. Dissanayake, M. G., Newman, P., Clark, S., Durrant-Whyte, H. F., & Csorba, M. (2001). A solution to the simultaneous localization and map building (SLAM) problem. *IEEE Transactions on robotics and automation*, 17(3), 229-241.
5. Israelsen, J., Beall, M., Bareiss, D., Stuart, D., Keeney, E., & van den Berg, J. (2014, May). Automatic collision avoidance for manually tele-operated unmanned aerial vehicles. In *Robotics and Automation (ICRA), 2014 IEEE International Conference on* (pp. 6638-6643). IEEE.
6. Zhang, A. M., & Kleeman, L. (2009). Robust appearance based visual route following for navigation in large-scale outdoor environments. *The International Journal of Robotics Research*, 28(3), 331-356.

References

7. Mnih, V., Kavukcuoglu, K., Silver, D., Rusu, A. A., Veness, J., Bellemare, M. G., ... & Petersen, S. (2015). Human-level control through deep reinforcement learning. *Nature*, 518(7540), 529-533.
8. Lillicrap, T. P., Hunt, J. J., Pritzel, A., Heess, N., Erez, T., Tassa, Y., ... & Wierstra, D. (2015). Continuous control with deep reinforcement learning. arXiv preprint arXiv:1509.02971.
9. Konda, V. R., & Tsitsiklis, J. N. (2000). Actor-critic algorithms. In *Advances in neural information processing systems* (pp. 1008-1014).
10. Silver, D., Lever, G., Heess, N., Degris, T., Wierstra, D., & Riedmiller, M. (2014). Deterministic policy gradient algorithms. *International Conference on Machine Learning*, 2014:387-395.
11. Heess, N., Hunt, J. J., Lillicrap, T. P., & Silver, D. (2015). Memory-based control with recurrent neural networks. arXiv preprint arXiv:1512.04455.
12. Sutton, R. S., McAllester, D. A., Singh, S. P., & Mansour, Y. (2000). Policy gradient methods for reinforcement learning with function approximation. In *Advances in neural information processing systems* (pp. 1057-1063).
13. Kingma, D., & Ba, J. (2014). Adam: A method for stochastic optimization. arXiv preprint arXiv:1412.6980.

Q&A

- Thank you very much!

