

Optimal algorithms and CRB for reciprocity calibration in Massive MIMO

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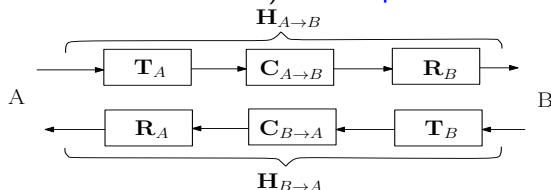
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- 3 Optimal estimation methods and performance limits
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TDD Massive MIMO and Channel Reciprocity

- A Massive number of Transmit (Tx) Antennas at the base station (BS) talking to a small number of user equipment (UE) in Time Division Duplexing (TDD) mode.
- Channel observed in the digital domain from BS to UE (in general, from antennas A to antennas B) **not reciprocal**.



- $\mathbf{C}_{A \rightarrow B}$ and $\mathbf{C}_{B \rightarrow A}$ model the reciprocal **propagation channels**.
- **Diagonal Matrices** \mathbf{T}_A , \mathbf{R}_A , \mathbf{T}_B , \mathbf{R}_B model the response of the transmit and receive RF front-ends

TDD Massive MIMO and Channel Reciprocity (Cont'd)

- $\mathbf{H}_{A \rightarrow B} = \mathbf{R}_B \mathbf{C}_{A \rightarrow B} \mathbf{T}_A$, $\mathbf{H}_{B \rightarrow A} = \mathbf{R}_A \mathbf{C}_{B \rightarrow A} \mathbf{T}_B$,
- DL (Downlink) channel is derived from the UL (Uplink) channel for Tx beamforming.
- As $\mathbf{C}_{A \rightarrow B} = \mathbf{C}_{B \rightarrow A}^T$,

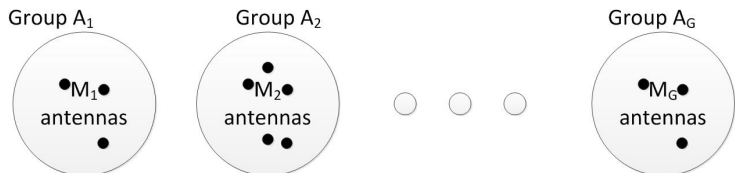
$$\mathbf{H}_{A \rightarrow B} = \underbrace{\mathbf{R}_B \mathbf{T}_B^{-T}}_{\mathbf{F}_B^{-T}} \mathbf{H}_{B \rightarrow A}^T \underbrace{\mathbf{R}_A^{-T} \mathbf{T}_A}_{\mathbf{F}_A} = \mathbf{F}_B^{-T} \mathbf{H}_{B \rightarrow A}^T \mathbf{F}_A. \quad (1)$$

Relative calibration factor.

- It has been shown that calibration at the BS is more crucial for Multi-user MIMO performance.
- Hence, in what follows, **calibration** will be done **internally at the BS** without involving the UE.

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General Framework and antenna grouping at BS



- Partition the M antennas into G groups with M_i antennas each.
- Proposed in Jiang et al., “A Framework for Over-the-air Reciprocity Calibration for TDD Massive MIMO Systems”.
- Each group A_i transmits pilots \mathbf{P}_i for L_i channel uses.

$$\text{Bidirectional Tx} \begin{cases} \mathbf{Y}_{i \rightarrow j} = \mathbf{R}_j \mathbf{C}_{i \rightarrow j} \mathbf{T}_i \mathbf{P}_i + \mathbf{N}_{i \rightarrow j}, \\ \mathbf{Y}_{j \rightarrow i} = \mathbf{R}_i \mathbf{C}_{j \rightarrow i} \mathbf{T}_j \mathbf{P}_j + \mathbf{N}_{j \rightarrow i}, \end{cases} \quad (2)$$

General Framework

- Eliminating the propagation channel \mathbf{C} and after some matrix manipulations, we get

$$\mathcal{Y}(\mathbf{P})\mathbf{f} = \tilde{\mathbf{n}}, \quad \mathbf{F} = \text{diag}\{\mathbf{f}\} = \mathbf{R}^{-T}\mathbf{T}.$$

$$\mathcal{Y}(\mathbf{P}) = \underbrace{\begin{bmatrix} (\mathbf{Y}_{2 \rightarrow 1}^T * \mathbf{P}_1^T) & -(\mathbf{P}_2^T * \mathbf{Y}_{1 \rightarrow 2}^T) & 0 & \dots \\ (\mathbf{Y}_{3 \rightarrow 1}^T * \mathbf{P}_1^T) & 0 & -(\mathbf{P}_3^T * \mathbf{Y}_{1 \rightarrow 3}^T) & \dots \\ 0 & (\mathbf{Y}_{3 \rightarrow 2}^T * \mathbf{P}_2^T) & -(\mathbf{P}_3^T * \mathbf{Y}_{2 \rightarrow 3}^T) & \dots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}}_{(\sum_{j=2}^G \sum_{i=1}^{j-1} L_i L_j) \times M}.$$

where $*$ denotes the Khatri–Rao product (or column-wise Kronecker product¹). $\tilde{\mathbf{n}}$ is colored noise.

¹ $\mathbf{A} = [\mathbf{a}_1 \ \mathbf{a}_2 \ \dots \ \mathbf{a}_M]$ and $\mathbf{B} = [\mathbf{b}_1 \ \mathbf{b}_2 \ \dots \ \mathbf{b}_M]$ where \mathbf{a}_i and \mathbf{b}_i are column vectors for $i \in 1 \dots M$, then, $\mathbf{A} * \mathbf{B} = [\mathbf{a}_1 \otimes \mathbf{b}_1 \ \mathbf{a}_2 \otimes \mathbf{b}_2 \ \dots \ \mathbf{a}_M \otimes \mathbf{b}_M]$

General Framework (Cont'd)

$$\mathcal{Y}(\mathbf{P})\mathbf{f} = \tilde{\mathbf{n}}$$

- Least squares (LS) estimate of \mathbf{f}

$$\begin{aligned} \hat{\mathbf{f}} &= \arg \min_{\mathbf{f}} \|\mathcal{Y}(\mathbf{P}) \mathbf{f}\|^2 \\ &= \arg \min_{\mathbf{f}} \sum_{i < j} \|(\mathbf{Y}_{j \rightarrow i}^T * \mathbf{P}_i^T)\mathbf{f}_i - (\mathbf{P}_j^T * \mathbf{Y}_{i \rightarrow j}^T)\mathbf{f}_j\|^2, \end{aligned} \quad (3)$$

- To exclude the trivial solution, $\hat{\mathbf{f}} = \mathbf{0}$, this needs to be augmented with a constraint

$$\mathcal{C}(\hat{\mathbf{f}}, \mathbf{f}) = 0.$$

General Framework (Cont'd)

- Typical choices for the constraint are

1) Norm plus phase constraint (NPC):

$$\text{norm: } \operatorname{Re}\{\mathcal{C}(\hat{\mathbf{f}}, \mathbf{f})\} = \|\hat{\mathbf{f}}\|^2 - c, \quad c = \|\mathbf{f}\|^2, \quad (4)$$

$$\text{phase: } \operatorname{Im}\{\mathcal{C}(\hat{\mathbf{f}}, \mathbf{f})\} = \operatorname{Im}\{\hat{\mathbf{f}}^H \mathbf{f}\} = 0. \quad (5)$$

2) Linear constraint:

$$\mathcal{C}(\hat{\mathbf{f}}, \mathbf{f}) = \hat{\mathbf{f}}^H \mathbf{g} - c = 0. \quad (6)$$

The most popular linear constraint is the **First Coefficient Constraint (FCC)**, which is (6) with $\mathbf{g} = \mathbf{e}_1$, $c = 1$.

- We now proceed to investigate some optimal estimation methods and performance limits.

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Cramer Rao Bound (CRB) - Defining an auxiliary channel

- Re-parameterize in terms of the relative calibration factors and an auxiliary internal channel.
- As $\mathbf{F}_i = \mathbf{R}_i^{-T} \mathbf{T}_i$,

$$\mathbf{Y}_{i \rightarrow j} = \mathbf{R}_j \mathbf{C}_{i \rightarrow j} \mathbf{T}_i \mathbf{P}_i + \mathbf{N}_{i \rightarrow j} = \underbrace{\mathbf{R}_j \mathbf{C}_{i \rightarrow j} \mathbf{R}_i^T}_{\mathcal{H}_{i \rightarrow j}} \mathbf{F}_i \mathbf{P}_i + \mathbf{N}_{i \rightarrow j}. \quad (7)$$

Auxiliary internal channel

- $\mathcal{H}_{i \rightarrow j}$ is a **nuisance parameter** and does not correspond to any physically measurable quantity.
- $\mathcal{H}_{i \rightarrow j}$ is reciprocal: $\mathcal{H}_{i \rightarrow j} = \mathcal{H}_{j \rightarrow i}^T$.

Cramer Rao Bound

- Stacking these observations into a vector

$$\begin{aligned} \mathbf{y} &= [\text{vec}(\mathbf{Y}_{1 \rightarrow 2})^T \text{vec}(\mathbf{Y}_{2 \rightarrow 1}^T)^T \text{vec}(\mathbf{Y}_{1 \rightarrow 3})^T \dots]^T \\ &= \mathcal{H}(\mathbf{h}, \mathbf{P})\mathbf{f} + \mathbf{n} = \mathcal{F}(\mathbf{f}, \mathbf{P})\mathbf{h} + \mathbf{n}, \end{aligned} \quad (8)$$

where $\mathbf{h} = [\text{vec}(\mathcal{H}_{1 \rightarrow 2})^T \text{vec}(\mathcal{H}_{1 \rightarrow 3})^T \text{vec}(\mathcal{H}_{2 \rightarrow 3})^T \dots]^T$,
 $\mathbf{f} = [\mathbf{f}_1, \mathbf{f}_2, \dots, \mathbf{f}_M]$ (Relative calibration factors for M antennas) and
 \mathbf{n} is the corresponding noise vector.

Cramer Rao Bound (Cont'd)

- The composite matrices \mathcal{H} and \mathcal{F} are given by,

$$\mathcal{H}(\mathbf{h}, \mathbf{P}) = \begin{bmatrix} \mathbf{P}_1^T * \mathcal{H}_{1 \rightarrow 2} & 0 & 0 & \dots \\ 0 & \mathcal{H}_{1 \rightarrow 2}^T * \mathbf{P}_2^T & 0 & \dots \\ \mathbf{P}_1^T * \mathcal{H}_{1 \rightarrow 3} & 0 & 0 & \dots \\ 0 & 0 & \mathcal{H}_{1 \rightarrow 3}^T * \mathbf{P}_3^T & \dots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$

$$\mathcal{F}(\mathbf{f}, \mathbf{P}) = \begin{bmatrix} \mathbf{P}_1^T \mathbf{F}_1 \otimes \mathbf{I} & 0 & 0 & 0 & \dots \\ \mathbf{I} \otimes \mathbf{P}_2^T \mathbf{F}_2 & 0 & 0 & 0 & \dots \\ 0 & \mathbf{P}_1^T \mathbf{F}_1 \otimes \mathbf{I} & 0 & 0 & \dots \\ 0 & \mathbf{I} \otimes \mathbf{P}_3^T \mathbf{F}_3 & 0 & 0 & \dots \\ 0 & 0 & \mathbf{P}_2^T \mathbf{F}_2 \otimes \mathbf{I} & 0 & \dots \\ 0 & 0 & \mathbf{I} \otimes \mathbf{P}_3^T \mathbf{F}_3 & 0 & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{bmatrix} \quad (9)$$

Cramer Rao Bound (Cont'd)

$$\mathbf{y} = \mathcal{H}(\mathbf{h}, \mathbf{P})\mathbf{f} + \mathbf{n} = \mathcal{F}(\mathbf{f}, \mathbf{P})\mathbf{h} + \mathbf{n},$$

- The scenario is now identical to that encountered in some **blind channel estimation** scenarios and hence we can take advantage of some existing tools^{2 3}.
- Treating \mathbf{h} and \mathbf{f} as deterministic unknown parameters, $\mathbf{n} \sim \mathcal{CN}(0, \sigma^2\mathbf{I})$, the Fisher Information Matrix (FIM) \mathbf{J} for jointly estimating \mathbf{f} and \mathbf{h} is,

$$\mathbf{J} = \frac{1}{\sigma^2} \begin{bmatrix} \mathcal{H}^H \\ \mathcal{F}^H \end{bmatrix} \begin{bmatrix} \mathcal{H} & \mathcal{F} \end{bmatrix}. \quad (10)$$

²Carvalho and Slock, "Semi-Blind Methods for FIR Multichannel Estimation".

³Carvalho, Omar, and Slock, "Performance and complexity analysis of blind FIR channel identification algorithms based on deterministic maximum likelihood in SIMO systems".

Cramer Rao Bound (Cont'd)

- Real interest is in deriving the CRB for \mathbf{f} , in the presence of the **nuisance parameters \mathbf{h}** .
- Incorporating the effect of the **constraint \mathcal{C}** on \mathbf{f} , we can derive from⁴, the following constrained CRB for \mathbf{f}

$$\text{CRB}_{\mathbf{f}} = \sigma^2 \mathcal{V}_{\mathbf{f}} \left(\mathcal{V}_{\mathbf{f}}^H \mathcal{H}^H \mathcal{P}_{\mathcal{F}}^{\perp} \mathcal{H} \mathcal{V}_{\mathbf{f}} \right)^{-1} \mathcal{V}_{\mathbf{f}}^H \quad (11)$$

where $\mathcal{P}_{\mathcal{F}} = \mathcal{F}(\mathcal{F}^H \mathcal{F})^{\dagger} \mathcal{F}^H$ is the projection operator on the column space of matrix \mathcal{F} and $\mathcal{P}_{\mathcal{F}}^{\perp} = \mathbf{I} - \mathcal{P}_{\mathcal{F}}$.

- \dagger corresponds to the Moore-Penrose pseudo inverse.
- The $M \times (M-1)$ matrix $\mathcal{V}_{\mathbf{f}}$ is such that its column space spans the orthogonal complement of that of $\frac{\partial \mathcal{C}(f)}{\partial \mathbf{f}^*}$, i.e., $\mathcal{P}_{\mathcal{V}_{\mathbf{f}}} = \mathcal{P}_{\frac{\partial \mathcal{C}}{\partial \mathbf{f}^*}}^{\perp}$.

⁴Carvalho and Slock, *Cramér-Rao bounds for blind multichannel estimation*.

Maximum Likelihood(ML) & LS

- The log likelihood for the ML estimator may be written as

$$\frac{1}{\sigma^2} \|\mathbf{y} - \mathcal{F}(\mathbf{f}, \mathbf{P})\mathbf{h}\|^2.$$

- Optimizing w.r.t. \mathbf{h} leads to $\mathbf{h} = (\mathcal{F}^H \mathcal{F})^\dagger \mathcal{F}^H \mathbf{y}$.
- Substituting back this estimate yields an ML estimator for $\hat{\mathbf{f}}$ minimizing

$$\mathbf{y}^H \mathcal{F}^\perp (\mathcal{F}^{\perp H} \mathcal{F}^\perp)^\dagger \mathcal{F}^{\perp H} \mathbf{y}, \quad (12)$$

- Now, it can be shown that,

$$\mathcal{Y}(\mathbf{P})\mathbf{f} = \mathcal{F}^{\perp H} \mathbf{y} = \tilde{\mathbf{n}}, \quad (13)$$

- Hence, LS estimator minimizes $\|\mathcal{Y}(\mathbf{P})\mathbf{f}\|^2 = \mathbf{y}^H \mathcal{F}^\perp \mathcal{F}^{\perp H} \mathbf{y}$.

Maximum Likelihood(ML) & LS Cont'd

$$\mathbf{y}^H \mathcal{F}^\perp \overbrace{(\mathcal{F}^{\perp H} \mathcal{F}^\perp)^\dagger}^{\text{ML Metric}} \mathcal{F}^{\perp H} \mathbf{y}$$

$$\mathbf{y}^H \mathcal{F}^\perp \mathcal{F}^{\perp H} \mathbf{y}$$

- Thus, ML estimator is LS with **F dependent weighting**.
- In the case of single antenna grouping, $(\mathcal{F}^{\perp H} \mathcal{F}^\perp)$ has a diagonal structure and is a multiple of the Identity matrix if all calibration values have equal magnitude.
- This implies that the ML techniques are more useful when the calibration factors vary over a significant range.

Variational Bayes (VB) Estimation

- In VB, a **Bayesian estimate** is obtained by computing an **approximation** (variational distribution) to the **posterior distribution** of the parameters \mathbf{h}, \mathbf{f}
- \mathbf{h}, \mathbf{f} priors : $\mathbf{f} \sim \mathcal{CN}(0, \alpha^{-1} \mathbf{I}_M)$, $\mathbf{h} \sim \mathcal{CN}(0, \beta^{-1} \mathbf{I}_{N_h})$.
- α, β are assumed to have themselves a uniform prior. N_h is the number of elements in \mathbf{h} .
- The variational distribution, is chosen to **minimize** the **Kullback-Leibler distance** between the true posterior distribution $p(\mathbf{h}, \mathbf{f}, \alpha, \beta | \mathbf{y})$ and a **factored** variational distribution

$$p(\mathbf{h}, \mathbf{f}, \alpha, \beta | \mathbf{y}) \approx q_{\mathbf{h}}(\mathbf{h}) q_{\mathbf{f}}(\mathbf{f}) q_{\alpha}(\alpha) q_{\beta}(\beta).$$

Variational Bayes Estimation (Cont'd)

- The factors can be obtained in an **alternating fashion** as,

$$\ln(q_{\psi_i}(\psi_i)) = \langle \ln p(\mathbf{y}, \mathbf{h}, \mathbf{f}, \alpha, \beta) \rangle_{k \neq i} + c_i, \quad (14)$$

where ψ_i refers to the i^{th} block of $\psi = [\mathbf{h}, \mathbf{f}, \alpha, \beta]$ and $\langle \rangle_{k \neq i}$ represents the expectation operator over the distributions q_{ψ_k} for all $k \neq i$. c_i is a normalizing constant.

- The log likelihood,

$$\begin{aligned} \ln p(\mathbf{y}, \mathbf{h}, \mathbf{f}, \alpha, \beta) &= \ln p(\mathbf{y}|\mathbf{h}, \mathbf{f}, \alpha, \beta) + \ln p(\mathbf{f}|\alpha) + \ln p(\mathbf{h}|\beta) \\ &= -N_y \ln \sigma^2 - \frac{1}{\sigma^2} \|\mathbf{y} - \mathcal{H}\mathbf{f}\|^2 + M \ln \alpha - \alpha \|\mathbf{f}\|^2 \\ &\quad + N_h \ln \beta - \beta \|\mathbf{h}\|^2 + c. \end{aligned} \quad (15)$$

Here, N_y refers to the number of elements in \mathbf{y} and c is a constant.

Variational Bayes Estimation - Overall algorithm

- $\mathbf{f} \sim \mathcal{CN}(\hat{\mathbf{f}}, \mathbf{C}_{\tilde{f}\tilde{f}})$ and $\mathbf{h} \sim \mathcal{CN}(\hat{\mathbf{h}}, \mathbf{C}_{\tilde{h}\tilde{h}})$.

-
- 1: **Initialization:** Initialize $\hat{\mathbf{f}}$ using existing calibration methods.
Use this to determine $\hat{\mathbf{h}}, \langle \alpha \rangle, \langle \beta \rangle$.
 - 2: **repeat**
 - 3: $\langle \mathcal{H}^H \mathcal{H} \rangle = \mathcal{H}^H(\hat{\mathbf{h}})\mathcal{H}(\hat{\mathbf{h}}) + \langle \mathcal{H}^H(\tilde{\mathbf{h}})\mathcal{H}(\tilde{\mathbf{h}}) \rangle$
 - 4: $\hat{\mathbf{f}} = (\langle \mathcal{H}^H \mathcal{H} \rangle + \langle \alpha \rangle \mathbf{I})^{-1} \mathcal{H}^H \mathbf{y}$
 - 5: $\mathbf{C}_{\tilde{f}\tilde{f}} = (\langle \mathcal{H}^H \mathcal{H} \rangle + \langle \alpha \rangle \mathbf{I})^{-1}$
 - 6: $\langle \mathcal{F}^H \mathcal{F} \rangle = \mathcal{F}^H(\hat{\mathbf{f}})\mathcal{F}(\hat{\mathbf{f}}) + \langle \mathcal{F}^H(\tilde{\mathbf{f}})\mathcal{F}(\tilde{\mathbf{f}}) \rangle$
 - 7: $\hat{\mathbf{h}} = (\langle \mathcal{F}^H \mathcal{F} \rangle + \langle \beta \rangle \mathbf{I})^{-1} \mathcal{F}^H \mathbf{y}$
 - 8: $\mathbf{C}_{\tilde{h}\tilde{h}} = (\langle \mathcal{F}^H \mathcal{F} \rangle + \langle \beta \rangle \mathbf{I})^{-1}$
 - 9: $\langle \alpha \rangle = \frac{M}{\langle \|\mathbf{f}\|^2 \rangle}, \langle \|\mathbf{f}\|^2 \rangle = \hat{\mathbf{f}}^H \hat{\mathbf{f}} + tr\{\mathbf{C}_{\tilde{f}\tilde{f}}\}.$
 - 10: $\langle \beta \rangle = \frac{N_h}{\langle \|\mathbf{h}\|^2 \rangle}, \langle \|\mathbf{h}\|^2 \rangle = \hat{\mathbf{h}}^H \hat{\mathbf{h}} + tr\{\mathbf{C}_{\tilde{h}\tilde{h}}\}.$
 - 11: **until** convergence.

Variational Bayes Estimation (Cont'd)

- The log likelihood,

$$\begin{aligned} \ln p(\mathbf{y}, \mathbf{h}, \mathbf{f}, \alpha, \beta) = & -N_y \ln \sigma^2 - \frac{1}{\sigma^2} \|\mathbf{y} - \mathcal{H}\mathbf{f}\|^2 + M \ln \alpha - \alpha \|\mathbf{f}\|^2 \\ & + N_h \ln \beta - \beta \|\mathbf{h}\|^2 + c. \end{aligned} \tag{16}$$

- The parameters of the prior distributions of \mathbf{f} and \mathbf{h} act as regularization parameters in their estimation.
- The advantage of using VB is that it automatically computes the optimal values for these regularization parameters too!!

Expectation Consistent Variational Bayes Estimation

- For **single antenna grouping** ($G = M$), $\mathbf{C}_{\tilde{f}\tilde{f}}$ and $\mathbf{C}_{\tilde{h}\tilde{h}}$ are **diagonal** and $\langle \mathcal{F}^H(\tilde{\mathbf{f}})\mathcal{F}(\tilde{\mathbf{f}}) \rangle$, $\langle \mathcal{H}^H(\tilde{\mathbf{h}})\mathcal{H}(\tilde{\mathbf{h}}) \rangle$ can be computed easily.
- However, when $G < M$, these matrices are block diagonal.
- To simplify the computation, we propose to approximate them with multiples of the Identity matrix,

$$\begin{aligned} \mathbf{C}_{\tilde{f}\tilde{f}} &\approx \frac{\text{tr}\{(\langle \mathcal{H}^H\mathcal{H} \rangle + \langle \alpha \rangle \mathbf{I})^{-1}\}}{\text{tr}\{(\langle \mathcal{F}^H\mathcal{F} \rangle + \langle \beta \rangle \mathbf{I})^{-1}\}} \mathbf{I}_M \\ \mathbf{C}_{\tilde{h}\tilde{h}} &\approx \frac{M}{N_h} \frac{\text{tr}\{(\langle \mathcal{F}^H\mathcal{F} \rangle + \langle \beta \rangle \mathbf{I})^{-1}\}}{\text{tr}\{(\langle \mathcal{H}^H\mathcal{H} \rangle + \langle \alpha \rangle \mathbf{I})^{-1}\}} \mathbf{I}_{N_h}. \end{aligned} \quad (17)$$

- We call this approach **EC-VB** (Expectation consistent⁵ VB).

⁵Opper and Winther, "Expectation Consistent Approximate Inference".

VB and Alternating Maximum Likelihood (AML)

- By forcing the matrices $\mathbf{C}_{\tilde{\mathbf{f}}\tilde{\mathbf{f}}}$, $\mathbf{C}_{\tilde{\mathbf{h}}\tilde{\mathbf{h}}}$ to zero and α, β to zero, this algorithm reduces to the **AML algorithm**^{6 7}.
- AML iteratively maximizes the likelihood by alternating between the desired parameters \mathbf{f} and the nuisance parameters \mathbf{h} in a **deterministic** (non-Bayesian) setting.

⁶Carvalho and Slock, "Semi-Blind Methods for FIR Multichannel Estimation".

⁷Carvalho, Omar, and Slock, "Performance and complexity analysis of blind FIR channel identification algorithms based on deterministic maximum likelihood in SIMO systems".

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Simulation setup

- We assess numerically the performance of various calibration algorithms and also compare them against their CRBs.
- The Tx and Rx calibration parameters for the BS antennas are assumed to have random phases uniformly distributed over $[-\pi, \pi]$ and amplitudes uniformly distributed in the range $[1 - \delta, 1 + \delta]$.
- SNR is defined as the ratio of the average received signal power across channel realizations at an antenna and the noise power at that antenna.
- Transmission happens from one antenna at a time ($G = M$).

Mean square error performance of the algorithms.

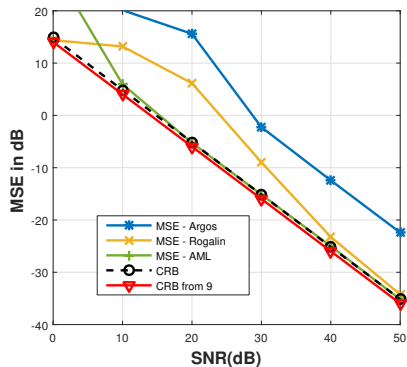


Figure: Comparison of single antenna transmit schemes with the CRB ($G = M = 16$).

- Curves generated over one realization of an i.i.d. Rayleigh channel. The first coefficient known constraint is used.
- Rogalin⁸ method performs the LS as was presented earlier and improves over Argos by using all the bi-directional received data.
- AML outperforms the Rogalin performance at low SNR.

⁸Rogalin et al., “Scalable synchronization and reciprocity calibration for distributed multiuser MIMO”.

CRB vs MSE.

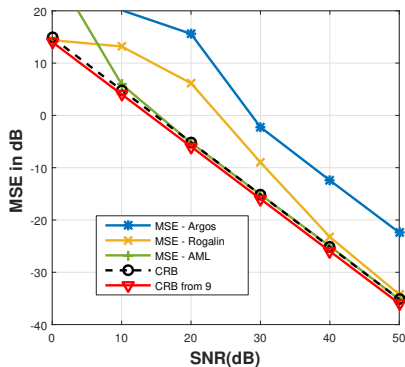


Figure: Comparison of single antenna transmit schemes with the CRB ($G = M = 16$).

- AML curve overlaps with the CRB at higher SNRs.
- Also plotted is the CRB as given in⁹ assuming the internal propagation channel is fully known (the mean is known and the variance is negligible) and a (small) underestimation of the MSE can be observed as expected.

⁹Joao Vieira et al. "Reciprocity Calibration for Massive MIMO: Proposal, Modeling and Validation". In: *IEEE Trans. Wireless Commun.* (2017)

Convergence of the Optimal algorithms.

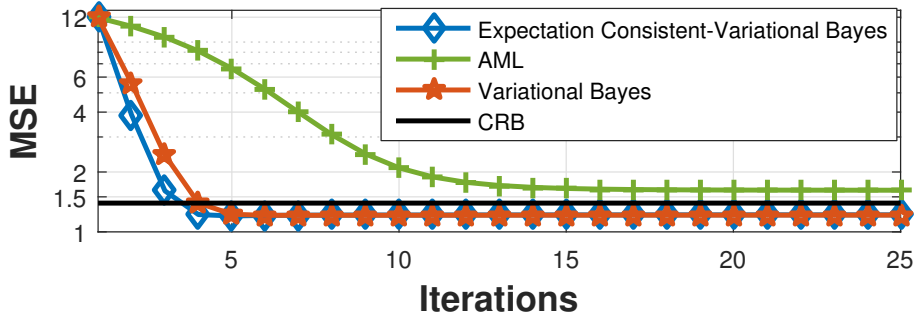


Figure: Convergence of the various iterative schemes for $M = 16$ and single antenna transmission.

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Conclusion

- A simple and elegant derivation of the CRB has been presented for a general calibration framework.
- An optimal estimation algorithm based on VB is also introduced along with a variant.
- All these techniques have been compared via simulations in terms of both MSE performance and speed of convergence.

Thank You !!