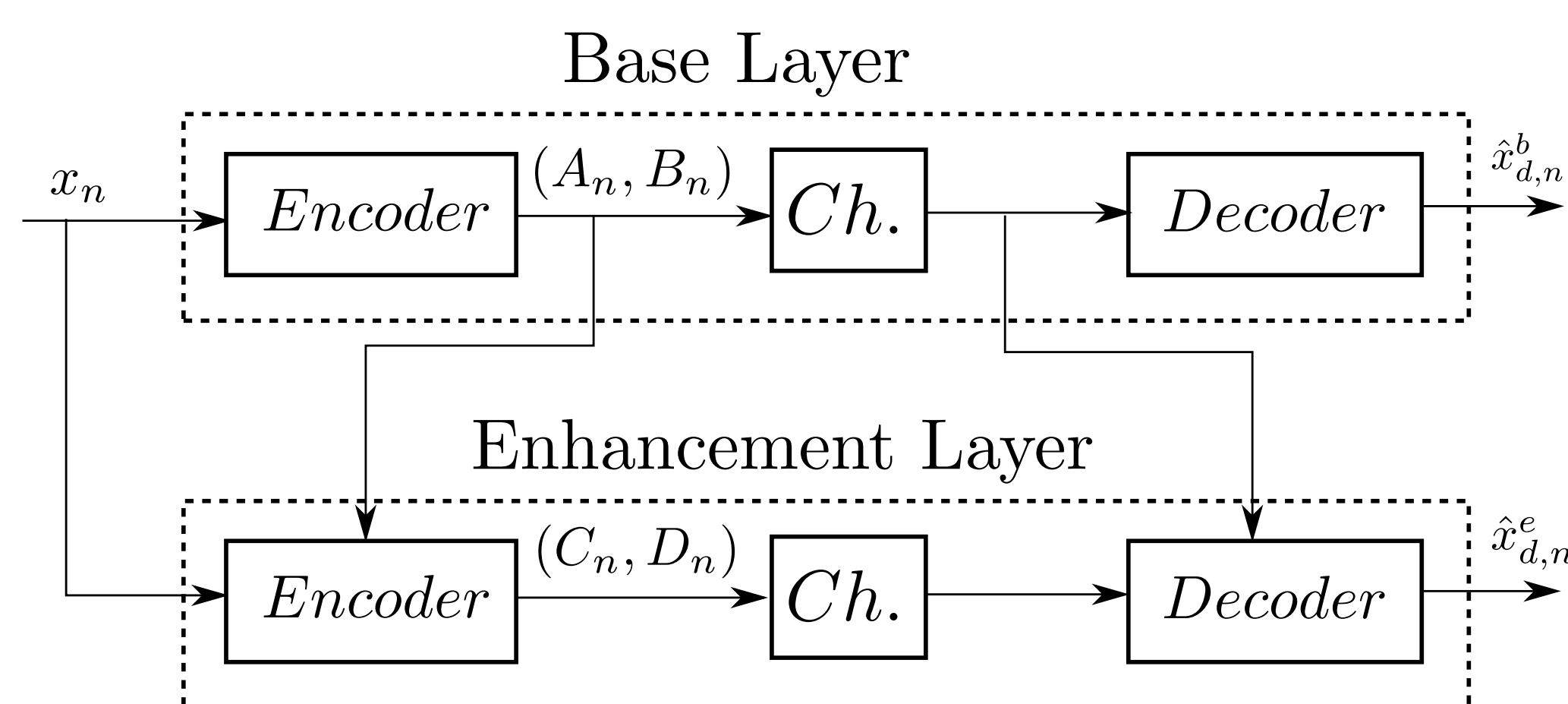


## Scalable Coder Architecture and Problem Statement

- Scalable coding framework considers hierarchical bitstream (layers).
- Lower information bitstream is embedded into a higher information bitstream in a way that minimizes redundancy.
- Without loss of generality, we consider a two-layer predictive scalable coder.



- We propose a novel technique for designing scalable coder predictors and quantizers at all layers that aims for:
  - Accounting for channel uncertainty due to potential packet loss.
  - Utilizing all available information at a given layer by designing the system components within estimation-theoretics framework.

## Base-Layer Operation

- First order linear predictor with prediction coefficient  $\alpha_b$ .
- The base layer predictions are based on expected decoder reconstructions,

$$\tilde{x}_{e,n}^b = \alpha_b \mathbb{E} \{ \hat{x}_{d,n-1}^b \}. \quad (1)$$

- End-to-end distortion (EED) estimation can be expressed as,

$$\mathbb{E} \{ D_b \} = \sum_{n=0}^{N-1} x_n^2 - 2x_n \mathbb{E} \{ \hat{x}_{d,n}^b \} + \mathbb{E} \{ (\hat{x}_{d,n}^b)^2 \}. \quad (2)$$

- The base layers packets are assumed to be lost independently with probability  $p_b$ .
- EED moments can be recursively updated as,

$$\mathbb{E} \{ \hat{x}_{d,n}^b \} = (1 - p_b) \hat{e}_{e,n}^b + \alpha_b \mathbb{E} \{ \hat{x}_{d,n-1}^b \}, \quad (3)$$

$$\mathbb{E} \{ (\hat{x}_{d,n}^b)^2 \} = (1 - p_b) (\hat{e}_{e,n}^b + 2\alpha_b \mathbb{E} \{ \hat{x}_{d,n-1}^b \}) + \alpha_b^2 \mathbb{E} \{ (\hat{x}_{d,n-1}^b)^2 \}. \quad (4)$$

- Optimal prediction coefficient that minimizes EED is given by,

$$\alpha_b^* = \frac{\sum_{n=0}^{N-1} \mathbb{E} \{ \hat{x}_{d,n-1}^b \} (x_n - (1 - p_b) \hat{e}_{e,n}^b)}{\sum_{n=0}^{N-1} \mathbb{E} \{ (\hat{x}_{d,n-1}^b)^2 \}}. \quad (5)$$

## Enhancement Layer Operation

- The enhancement layer predictor combines current sample base layer information as well as previous enhancement layer information.

$$\tilde{x}_{e,n}^e = \mathbb{E} \{ x_n | x_n \in (\tilde{x}_{e,n}^b + A_n, \tilde{x}_{e,n}^b + B_n), \mathbb{E} \{ \hat{x}_{d,n-1}^e \}, \mathbb{E} \{ \hat{x}_{d,n-2}^e \}, \dots \}. \quad (6)$$

- The intersection between base layer and enhancement layer quantizer intervals is then obtained as,

$$E_{d,n} = \max [ \tilde{x}_{d,n}^b + A_n, \tilde{x}_{d,n}^e + C_n ], \quad (7)$$

$$F_{d,n} = \min [ \tilde{x}_{d,n}^b + B_n, \tilde{x}_{d,n}^e + D_n ].$$

- The enhancement layer packets are dropped independently with probability  $p_e$ .
- Given the current channel event, the reconstruction at the decoder can be obtained as,

$$\hat{x}_{d,n}^e = \begin{cases} \alpha_e \hat{x}_{d,n-1}^e + \bar{k}_{d,n}^{(E_{d,n}, F_{d,n})} & w.p. (1 - p_b)(1 - p_e) \\ \alpha_e \hat{x}_{d,n-1}^e + \bar{k}_{d,n}^{(A_{d,n}, B_{d,n})} & w.p. (1 - p_b)p_e \\ \alpha_e \hat{x}_{d,n-1}^e + \bar{k}_{d,n}^{(-\infty, \infty)} & w.p. p_b \end{cases} \quad (8)$$

- $\bar{k}_{d,n}^{(L,R)}$  is the centroid of the linear prediction residues in the interval  $(L, R)$ .
- The EED moments at the enhancement layer can be updated recursively as,

$$\mathbb{E} \{ \hat{x}_{d,n}^e \} = \mathbb{E} \{ p_b \bar{k}_{d,n}^{(-\infty, \infty)} \} + \mathbb{E} \{ p_e (1 - p_b) \bar{k}_{d,n}^{(A_{d,n}, B_{d,n})} \} + \mathbb{E} \{ (1 - p_b)(1 - p_e) \bar{k}_{d,n}^{(E_{d,n}, F_{d,n})} \} + \alpha_e \mathbb{E} \{ \hat{x}_{d,n-1}^e \}, \quad (9)$$

$$\mathbb{E} \{ (\hat{x}_{d,n}^e)^2 \} = \mathbb{E} \{ p_b (\bar{k}_{d,n}^{(-\infty, \infty)})^2 \} + \mathbb{E} \{ p_e (1 - p_b) (\bar{k}_{d,n}^{(A_{d,n}, B_{d,n})})^2 \} + \mathbb{E} \{ (1 - p_b)(1 - p_e) (\bar{k}_{d,n}^{(E_{d,n}, F_{d,n})})^2 \} + 2\alpha_e \mathbb{E} \{ \hat{x}_{d,n-1}^e \} \hat{k}_{d,n} + \alpha_e^2 \mathbb{E} \{ (\hat{x}_{d,n-1}^e)^2 \}, \quad (10)$$

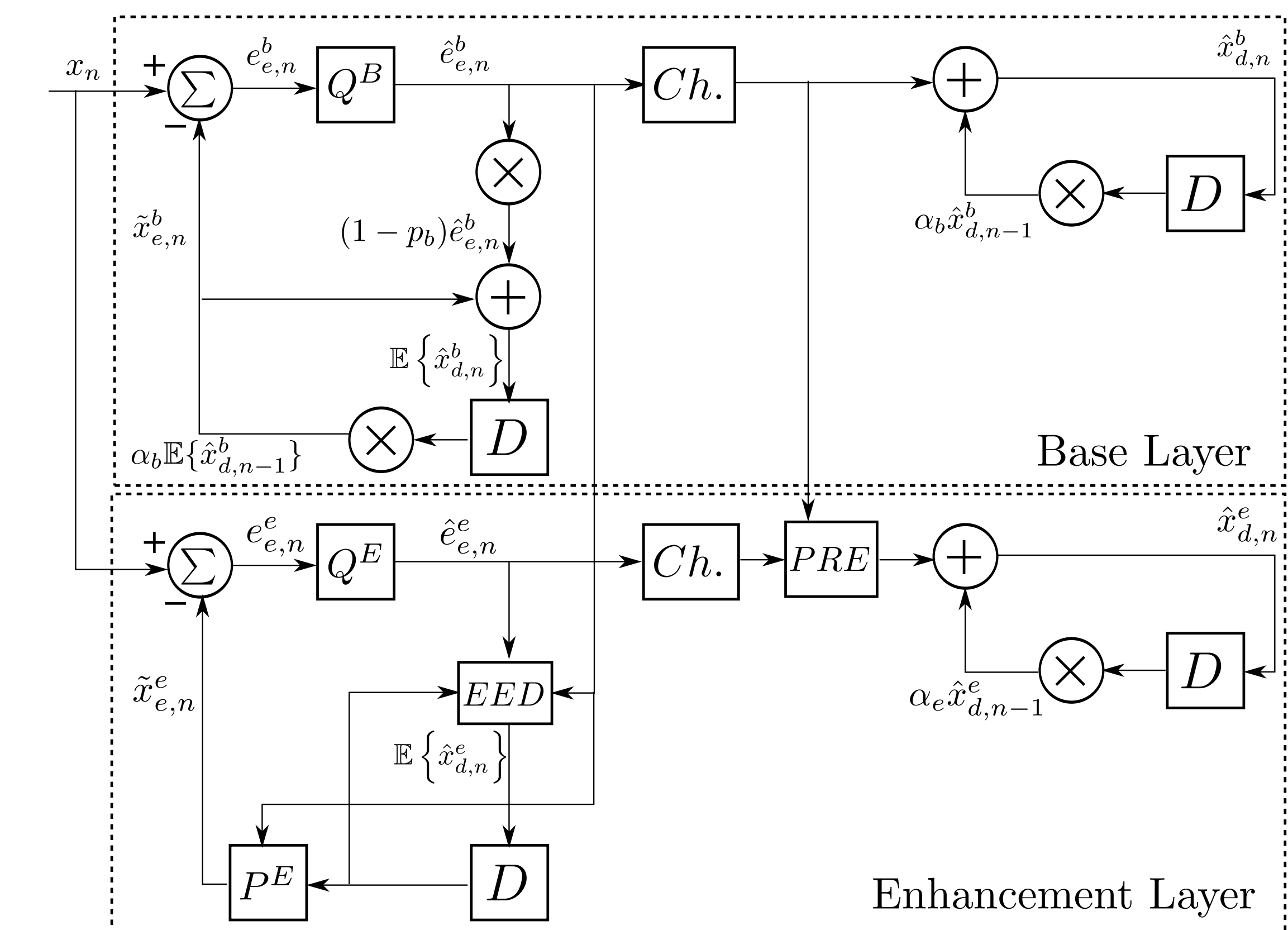
$$\hat{k}_{d,n} = p_e (1 - p_b) \mathbb{E} \{ \bar{k}_{d,n}^{(A_{d,n}, B_{d,n})} \} + (1 - p_e)(1 - p_b) \mathbb{E} \{ \bar{k}_{d,n}^{(E_{d,n}, F_{d,n})} \} + p_b \mathbb{E} \{ \bar{k}_{d,n}^{(-\infty, \infty)} \}. \quad (11)$$

- Therefore, the optimal prediction coefficient at enhancement layer, that minimizes EED, is given by,

$$\alpha_e^* = \frac{\sum_{n=0}^{N-1} \mathbb{E} \{ \hat{x}_{d,n-1}^e \} (x_n - \hat{k}_{d,n})}{\sum_{n=0}^{N-1} \mathbb{E} \{ (\hat{x}_{d,n-1}^e)^2 \}}. \quad (12)$$

- All entropy-constrained scalar quantizers are trained using Lloyd algorithm.
- Asymptotically closed-loop (ACL) approach, which provides the stability benefits of open-loop design while ultimately optimizing the system for closed-loop operation, is employed for designing the quantizers.

## Proposed Scalable Coder Architecture



- The EED block computes the enhancement layer EED moments according to (9).
- The PRE block computes the  $\bar{k}_{d,n}^{(L,R)}$ , where  $(L, R)$  depends on the current channel event.

## Evaluations

- We compare our proposed coder (C3) with two competing coders:
  - Coder (C1) ignores packet losses. At enhancement layers, it directly quantizes the base layers reconstruction errors.
  - Coder (C2) ignores packet losses as well. However, the enhancement layer utilizes all the available information by employing estimation-theoretics approach similar to (6).
- The proposed approach consistently outperforms its competitors, offering up to 2.2 dB and 3.3 dB gains in SNR over C2 and C1, respectively.

