



Innovative R&D by NTT

Soft-Target Training with Ambiguous Emotional Utterances for DNN-based Speech Emotion Classification

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Summary



Purpose

- ✓ Speech emotion classification from acoustic features
 - Task: 4-class classification (*Neutral, Happy, Sad, Angry*)

Novelty

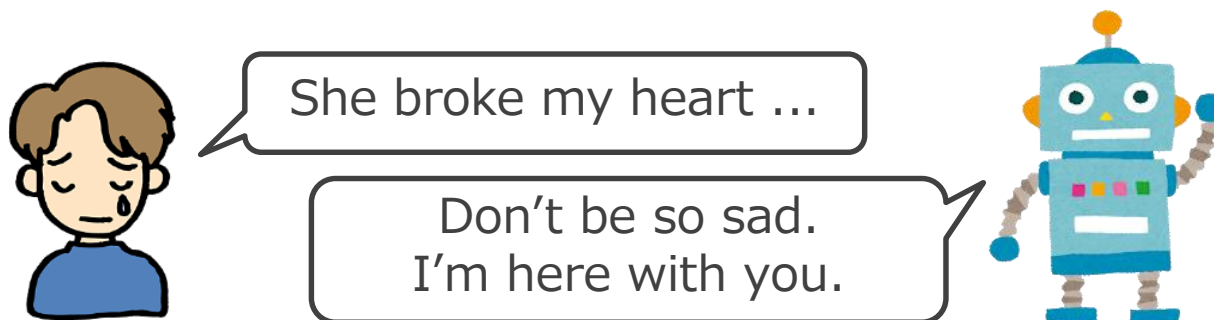
- ✓ To mitigate training data limitation problem, utilizing **ambiguous emotional utterances** (no target emotions are dominant) **which are ignored in the conventional methods**
 - Employ two types of soft-target training

Results

- ✓ Performance improved
 - Overall Accuracy: 58.6% → 62.6%, Average Recall: 53.7% → 63.7%

Speech emotion recognition is important technology to understand natural speech

- ✓ Application : “**sympathetic**” spoken dialog system

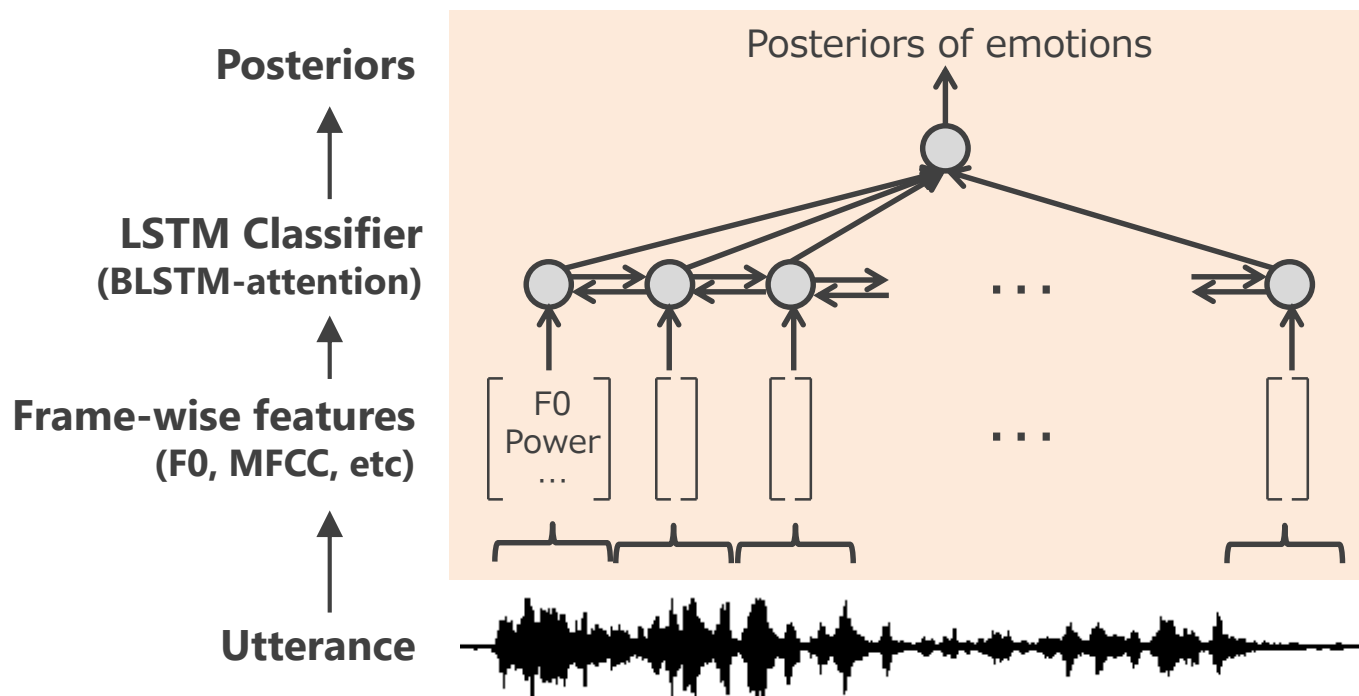


- ✓ Task description

- Input : short utterance (1~10 sec.)
- Target : 4-class speech emotion (*Neutral, Happy, Sad, Angry*)

Frame-wise acoustic features + BLSTM-RNNs

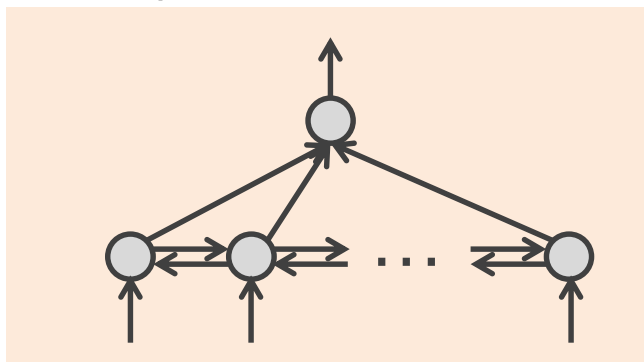
- ✓ Emotion classification by BLSTM w/ attention [Mirsamadi+, 17]
 - Utilizing **local characteristics** of emotions



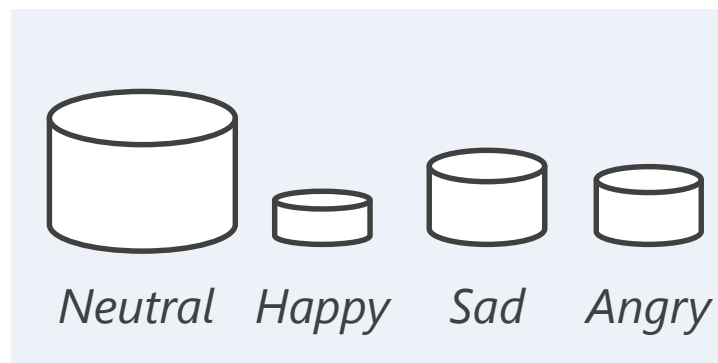
Training data is usually limited

- ✓ Emotion classification by BLSTM w/ attention [Mirsamadi+, 17]

of parameters: **100k~**



of train data: **~5k**



→ Classifier is overfitted / less generalized

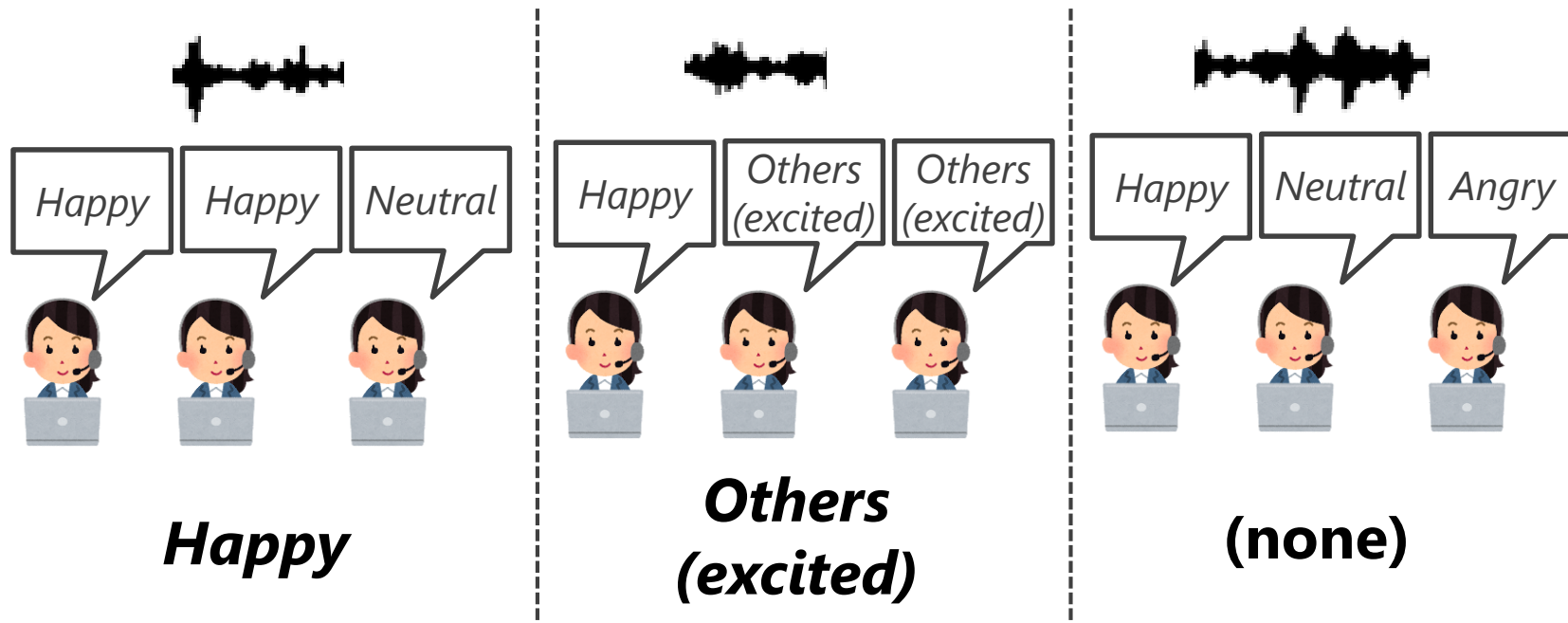
Issue How to train complex classifier from limited data ?

Problem - Why limited?



Ground truths are decided by several annotators.
Some utterances are ignored for training

- ✓ Ground truth = **Dominant emotion** of annotations



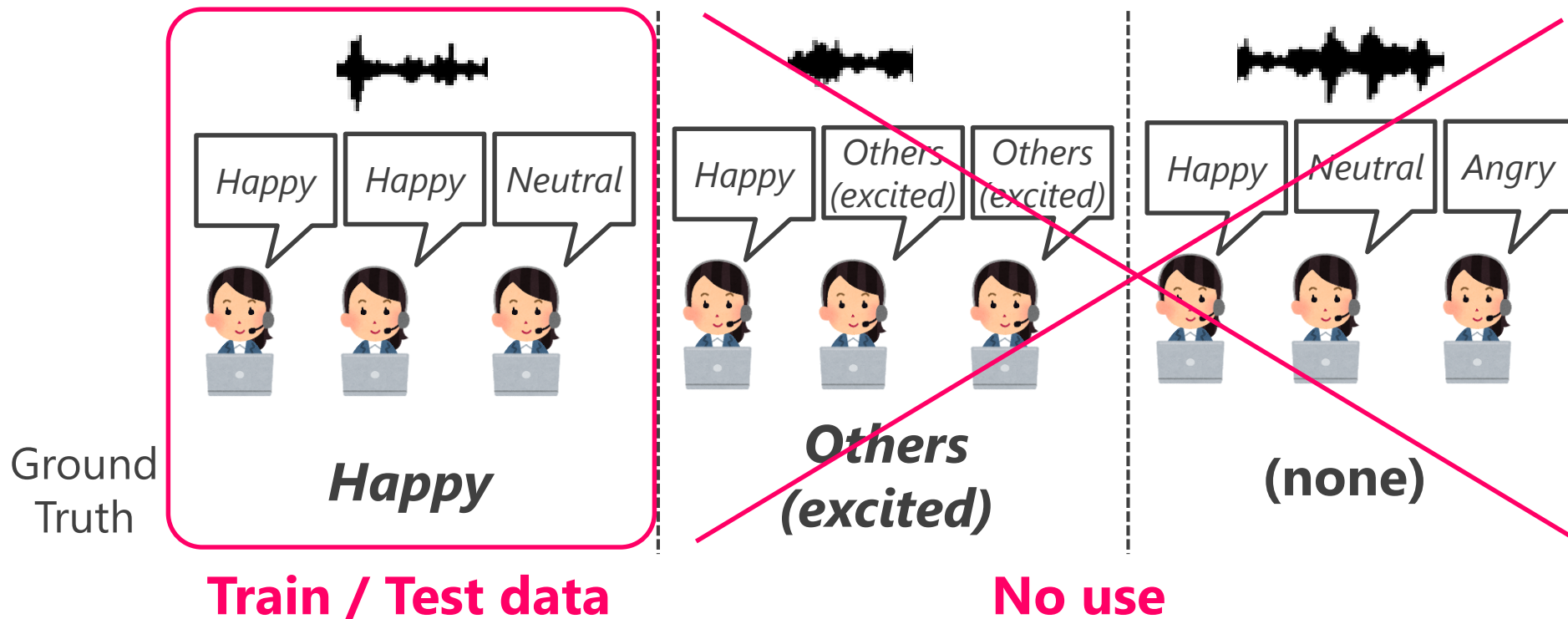
Ground Truth

Problem - Why limited?



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Approach (1/2)

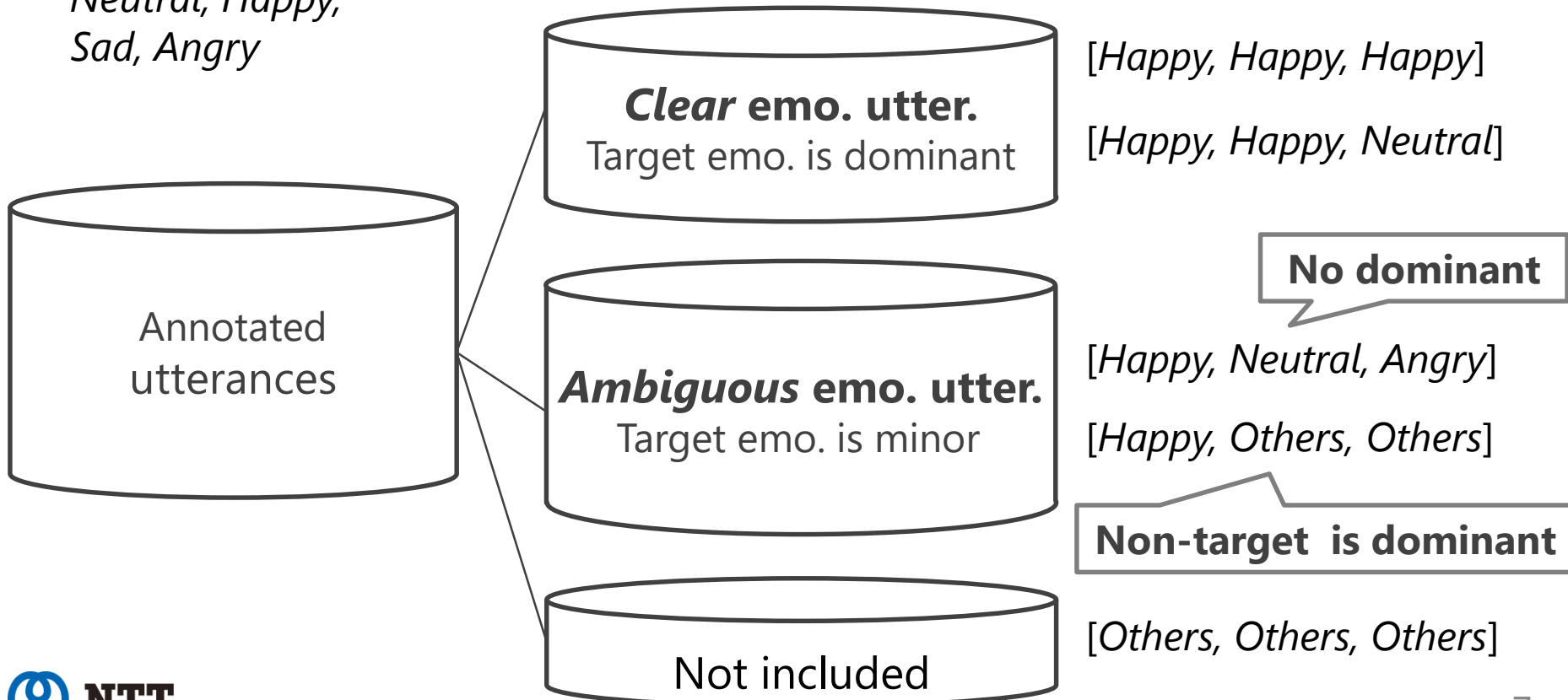


Utilize **ambiguous emotional utterances** (target emo. are minor) to mitigate training data limitation

Target emotions

*Neutral, Happy,
Sad, Angry*

Annotation example



Approach (1/2)

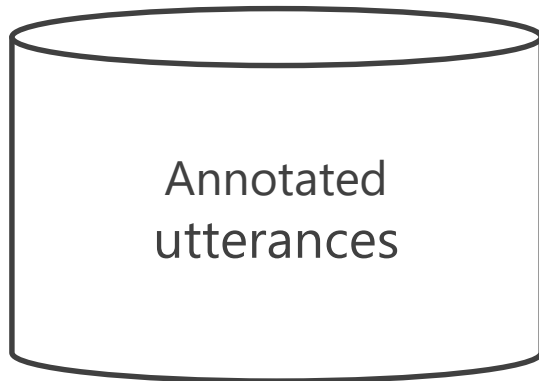


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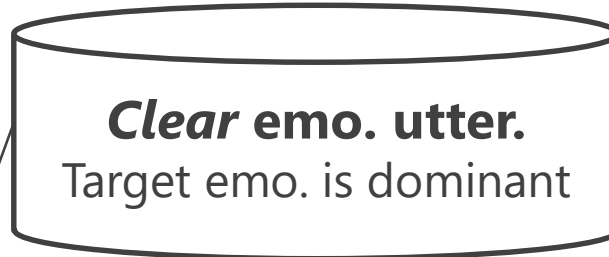
Target emotions

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Annotation example

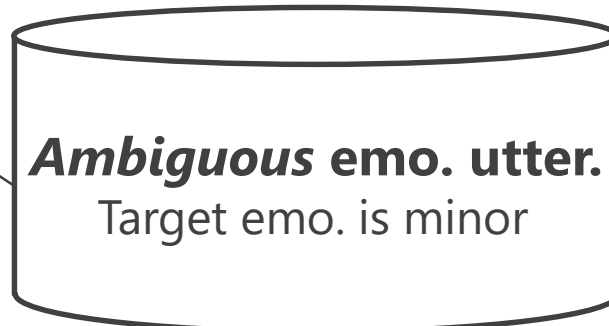


Conventional training



[*Happy, Happy, Happy*]

[*Happy, Happy, Neutral*]



[*Happy, Neutral, Angry*]

[*Happy, Others, Others*]



[*Others, Others, Others*]

Approach (1/2)

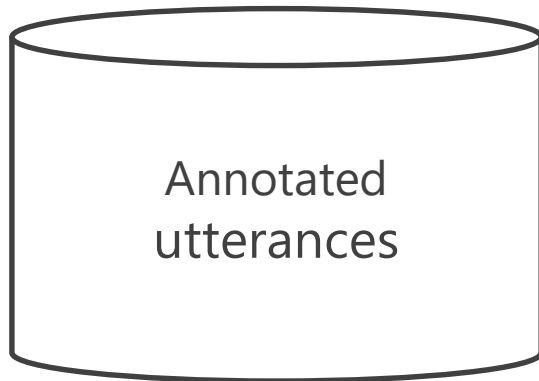


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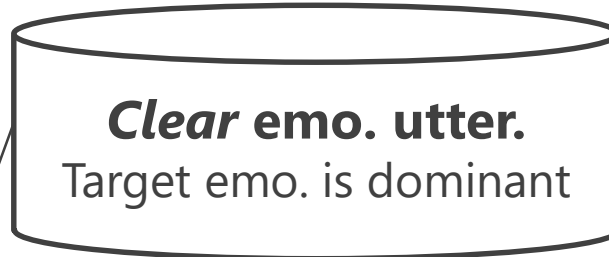
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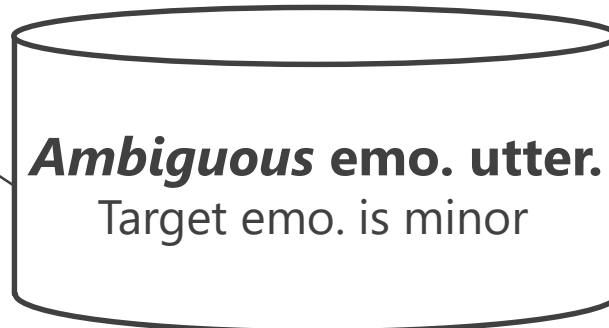


Conventional training



[Happy, Happy, Happy]

[Happy, Happy, Neutral]



[Happy, Neutral, Angry]

[Happy, Others, Others]



Are there no **Happy** characteristics ?

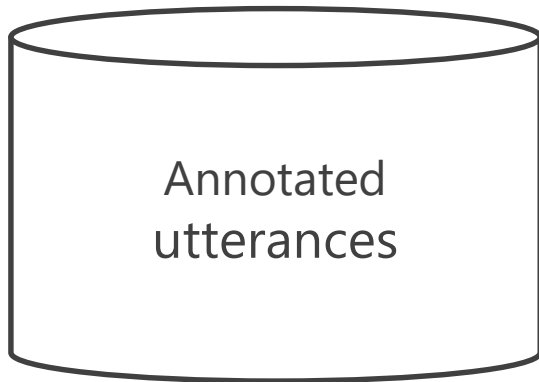
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Utilize **ambiguous emotional utterances** (target emo. are minor) to mitigate training data limitation

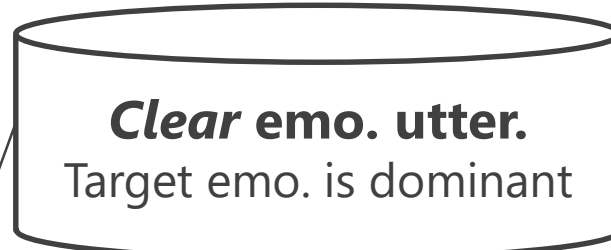
Target emotions

*Neutral, Happy,
Sad, Angry*



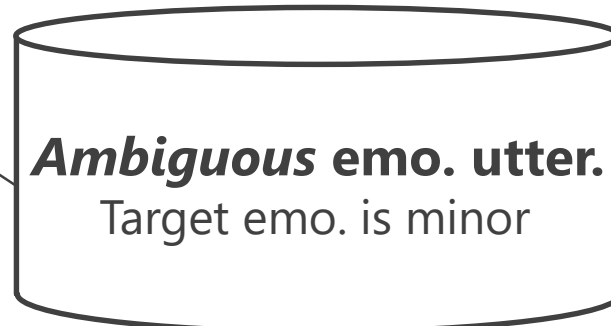
Proposed training

Conventional training



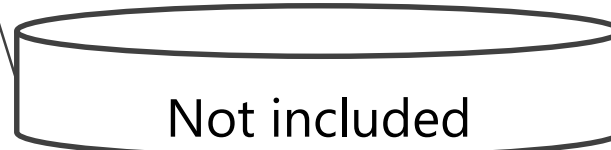
[Happy, Happy, Happy]

[Happy, Happy, Neutral]



[Happy, Neutral, Angry]

[Happy, Others, Others]



[Others, Others, Others]

Approach (2/2)



Control discriminativity to handle both *clear* and *ambiguous* emotional utterances effectively

High discriminativity

Train as **definitely *Happy***

***Clear* emo. utter.**

Target emo. is dominant

[*Happy, Happy, Happy*]

[*Happy, Happy, Neutral*]

Low discriminativity

Train as **maybe *Happy***

***Ambiguous* emo. utter.**

Target emo. is minor

[*Happy, Neutral, Angry*]

[*Happy, Others, Others*]

Not included

[*Others, Others, Others*]

Soft-target training is employed to deal *clear/ambiguous* emotional utterances

✓ Two types of soft-target

1. Soft-target [Fayek+, 16]

$$\underline{q(c_k)} = \frac{\sum_n h_k^{(n)}}{\sum_k \sum_n h_k^{(n)}}$$

Annotation frequency (sum=1)

$h_k^{(n)}$: Binary label-existence (0/1)
 n -th annotator, k -th emotion class

K : Total emotion classes

2. Modified soft-target

$$\underline{q(c_k)} = \frac{\alpha + \sum_n h_k^{(n)}}{\alpha K + \sum_k \sum_n h_k^{(n)}}$$

Additive smoothed form of conventional soft-target

α : Smoothing coefficient

✓ Model parameters are updated by cross-entropy loss

$$L = - \sum_{k=1}^K \underline{q(c_k)} \log p(c_k | \mathbf{X}, \theta)$$

Proposed: modified soft-target



Modified soft-target is suitable to represent *ambiguous* emotional utterances

✓ Examples of teachers $q(c_k)$

	Hard-target	Soft-target [Fayek+,16]	Modified Soft-target
[Happy, Happy, Happy]			
[Happy, Happy, Neutral]			
[Happy, <u>Others</u> , <u>Others</u>]	(no use)		

Non-target

(Smoothing coeff. $\alpha = 1$)

Proposed: modified soft-target



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[Happy, Happy, Happy]			
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[Happy, <u>Others</u> , <u>Others</u>]	(no use)		

Non-target

Ambiguous utterances are discarded

(Smoothing coeff. $\alpha = 1$)

Proposed: modified soft-target



Modified soft-target is suitable to represent *ambiguous* emotional utterances

✓ Examples of teachers $q(c_k)$

	Hard-target	Soft-target [Fayek+,16]	Modified Soft-target
[Happy, Happy, Happy]			
[Happy, Happy, Neutral]			
[Happy, <u>Others</u> , <u>Others</u>]	(no use)		

Non-target

Allocate same teacher labels to clear/ambiguous (Smoothing coeff. $\alpha = 1$)

Proposed: modified soft-target



Modified soft-target is suitable to represent *ambiguous* emotional utterances

✓ Examples of teachers $q(c_k)$

	Hard-target	Soft-target [Fayek+,16]	Modified Soft-target
[Happy, Happy, Happy]			
[Happy, Happy, Neutral]			
[Happy, <u>Others</u> , <u>Others</u>]	(no use)		

Non-target

Lower discriminativity in ambiguous emo. uttr.

Modified soft-target is regarded as **Maximum a posteriori (MAP) estimation** from annotations

Utterance



"true" distribution
of target emo.



Annotations

Sampling

(N=# of annotations)

[*Happy, Happy,*
Sad]

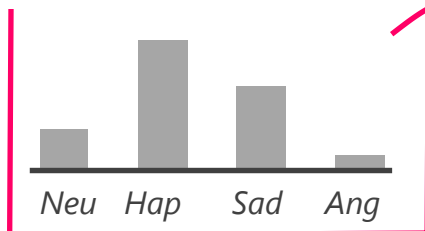
Objective function of the model

Modified soft-target is regarded as **Maximum a posteriori (MAP) estimation** from annotations

Utterance



"true" distribution of target emo.



Annotations

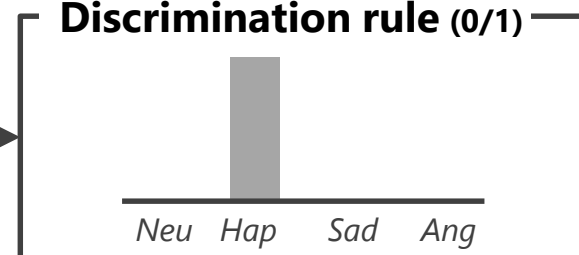
Sampling
($N = \#$ of annotations)

[Happy, Happy, Sad]

Objective function of the model

Discrimination rule (0/1)

hard-target



Interpretation



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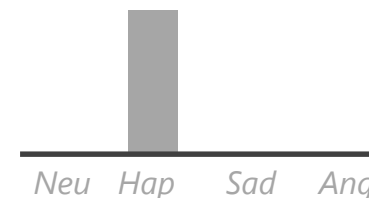
Sampling
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[Happy, Happy, Sad]

Objective function of the model

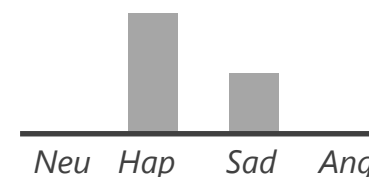
hard-target

Discrimination rule (0/1)



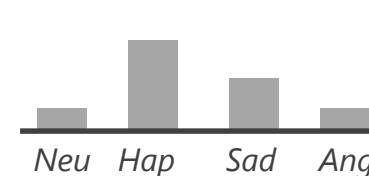
soft-target

ML-based distribution



modified soft-target

MAP-based distribution



Interpretation



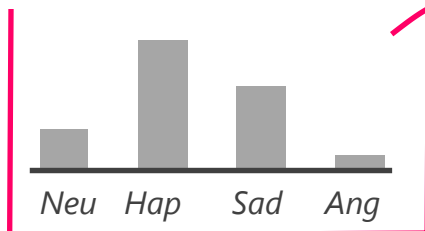
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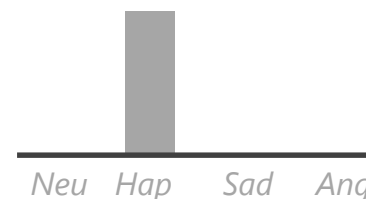
Sampling
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[Happy, Happy, Sad]

Objective function of the model

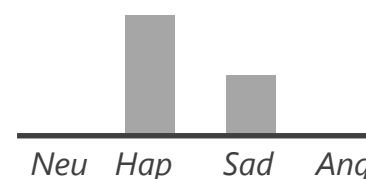
hard-target

Discrimination rule (0/1)



soft-target

ML-based distribution



modified soft-target

MAP-based distribution



Uniform prior

✓ Purpose

1. Evaluate effectiveness of *ambiguous* emotional utterances for train
2. Compare teacher labels (hard / soft / modified soft)

✓ Dataset: IEMOCAP [Busso+, 08]

- **Task:** 2-speaker dialogue (1 male, 1 female)
- **# of speakers:** 10 (train: 8, test: 2)
- **# of annotators:** 3

*frustrated, excited,
surprised, fear,
disgust, no-dominant*

		Total	# of utterances (dominant emotion)				
			<i>Neutral</i>	<i>Happy</i>	<i>Sad</i>	<i>Angry</i>	<i>Others</i>
Train	<i>clear</i>	3548	1324	460	890	874	-
	<i>ambiguous</i>	3693	0	0	0	0	3693
Test		942	384	135	194	229	-

✓ **Classifier:** BLSTM + attention [Mirsamadi+,17]

– **Structure**

➤ Full256-BLSTM128-attention-Full256

– **Input:** frame-wise acoustic features, 47 dims.

➤ MFCC12, Δ MFCC12, $\Delta\Delta$ MFCC12,
Loudness, Δ Loudness, $\Delta\Delta$ Loudness,
F0, VoiceProb, ZCR, HNR, Δ F0, Δ VoiceProb, Δ ZCR, Δ HNR

- ### – **Teacher:**
- ① Hard-target
 - ② Soft-target [Fayek+, 16]
 - ③ Modified soft-target
- } **baseline**

– **Train data:** *clear / ambiguous / clear + ambiguous*

✓ **Evaluation measures**

– Weighted Accuracy (WA): overall accuracy

– Unweighted Accuracy (UA): average recall of emotion classes

➤ Average results of 5 trials of training

Moderate performance with *ambiguous* data alone,
and best with *clear + ambiguous* data

	Teacher	Train set		Accuracy [%]	
		<i>clear</i>	<i>ambig.</i>	WA	UA
MajorityClass (All Neutral)				40.8	25.0
Baseline	hard-target	✓		58.6	53.7
	soft-target	✓		58.1	54.9
Proposed	Modified	✓		58.5	57.4
	soft-target		✓	53.6	54.0
		✓	✓	62.6	63.7

Overall Acc.

Avg. Recall

Moderate performance with *ambiguous* data alone,
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Moderate performance
even they have been ignored for training!

Moderate performance with *ambiguous* data alone,
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Best performance

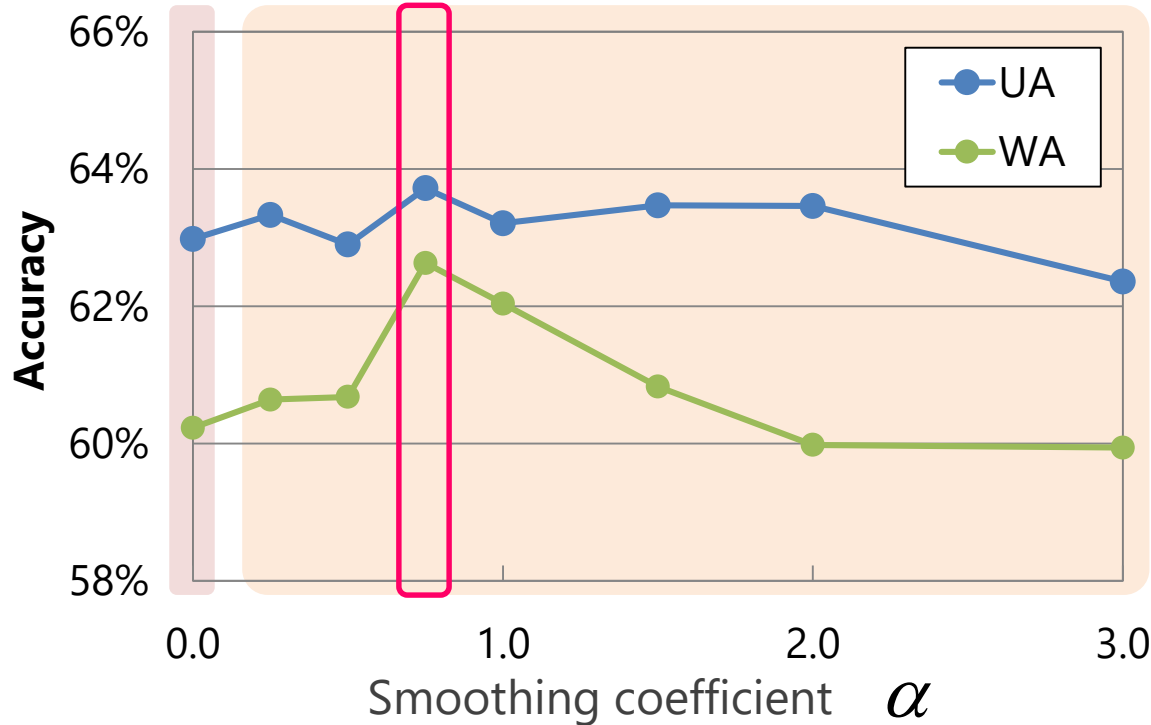
Comparisons of teacher labels



Modified soft-target with smoothing coeff. = 0.75 is better than (conventional) soft-target

Soft-target

Modified soft-target



Setup

Train: *clear + ambig.*
Model: BLSTM-att

✓ Summary

- **Purpose:** emotion classification from acoustic features
- **Approach:** Utilizing *ambiguous* emotional utterances to mitigate training data limitation problem
- **Method:** Soft-target training which deals both *clear* and *ambiguous* emotional utterances in same criteria
 - Equal to ML/MAP estimation of true emotion distributions
- **Results:** Performances were improved (WA 58.6→62.6%)
Show the effectiveness of *ambiguous* data for training

✓ Future works

- Evaluations by other corpus / emotion set
- Improve modified soft-target (prior distribution of MAP estimation)