# CONSIDERATIONS REGARDING INDIVIDUALIZATION OF HEAD-RELATED TRANSFER FUNCTIONS

#### (ICASSP 2018)

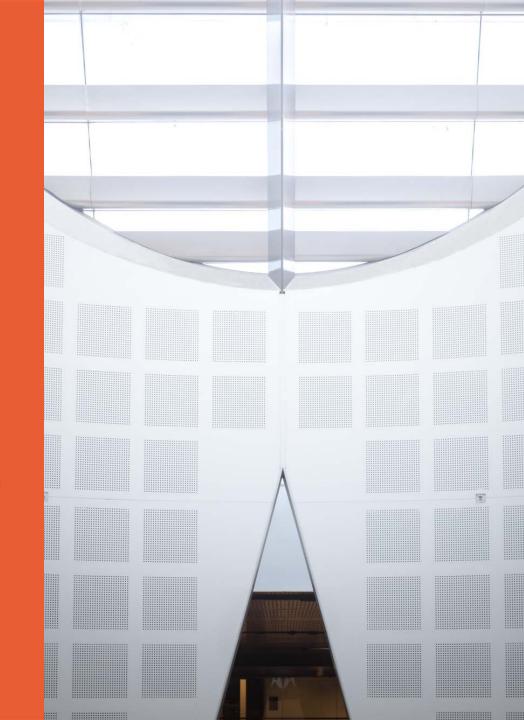
Sydney: Craig Jin, Reza Zolfaghari, Xian Long, Arun Sebastian, Shayikh Hossain York: Tony Tew Paris: Alexis Glaunes Milan: Muhammad Shahnawaz, Augusto Sarti











#### THE DRIVE TOWARD ENHANCED PERCEPTION VIA MIXED-REALITY SYSTEMS – RENEWS INTEREST IN BINAURAL VIRTUAL AUDITORY PERCEPTION

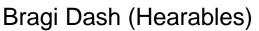
Industry matures and understands head-tracking is required.



Microsoft 3D Soundscape









#### Google Resonance Audio



Oculus and 3D Sound Spatialization

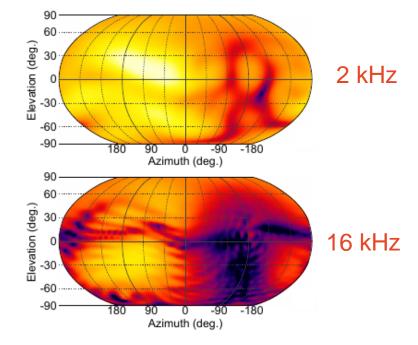
#### DEMAND FOR BETTER CONTROL OF SPATIAL HEARING AND TIMBRAL PERCEPTION -- CUSTOMIZATION OF OUTER EAR ACOUSTIC FILTERS

Two-sides: spatial perception and timbral perception.



#### **Outer Ears**

**HRTFs and Acoustic Directivity** 

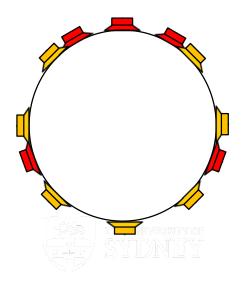




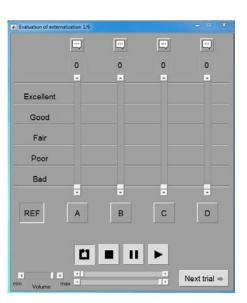
#### MUSIC LISTENING and HRTF INDIVIDUALIZATION





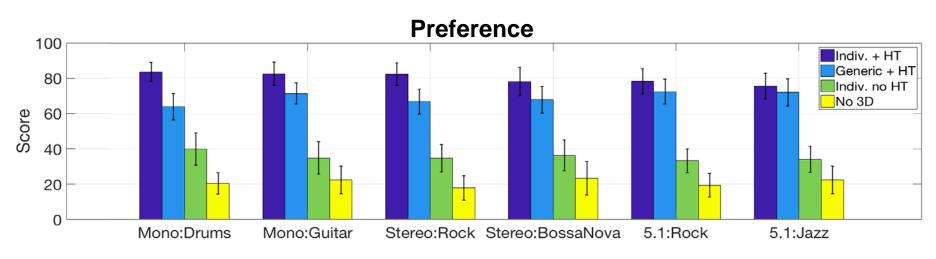


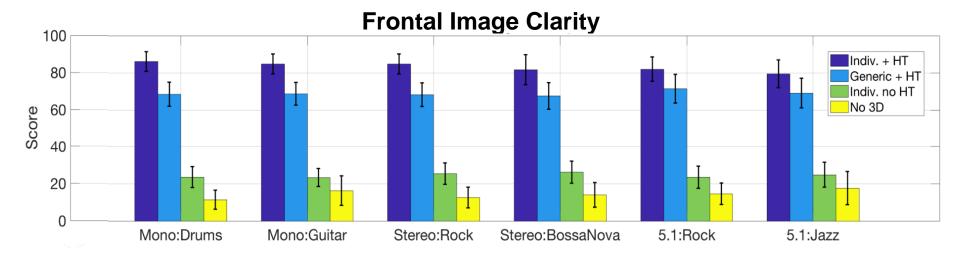




#### MUSIC LISTENING and HRTF INDIVIDUALIZATION

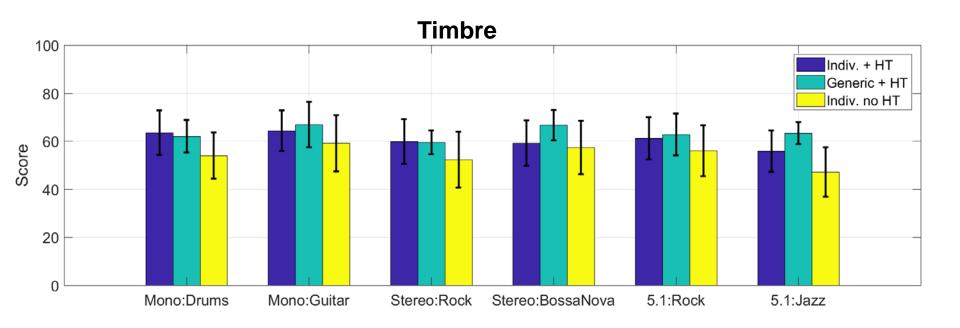
# Mean data for 23 subjects





#### MUSIC LISTENING and HRTF INDIVIDUALIZATION

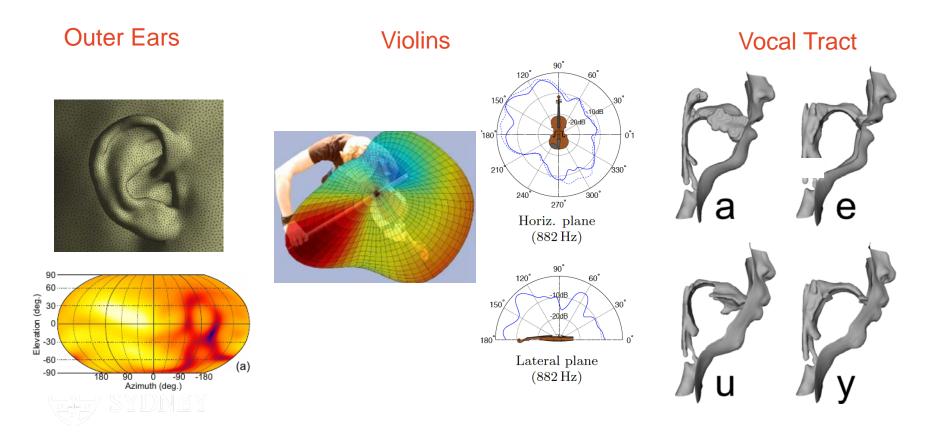
Mean data for 23 subjects





#### **MORPHOACOUSTICS**

**Morphoacoustics** – the study and exploration of the inter-relationship between physical structure, acoustic properties, and perception.



#### **MORPHOACOUSTICS**

Key Concept: Deformations in one space relate to deformations in another space.

**Requirements:** Mathematics and tools to model large deformations and to explore the inter-relationship between deformations in different spaces.

#### **Research Questions:**

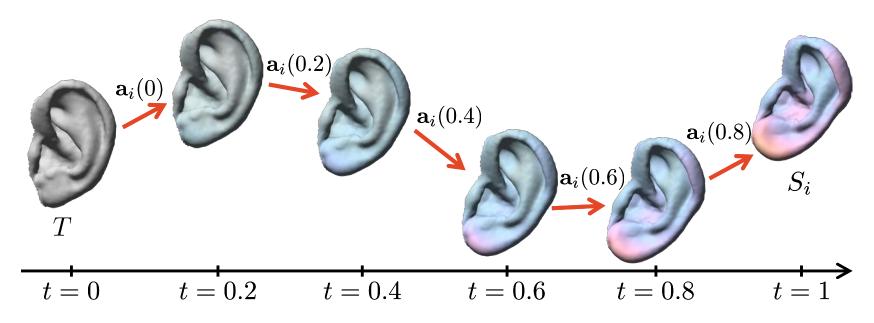
- 1. How to establish or identify corresponding features or landmarks.
- 2. How to measure and quantify deformations.
- 3. How to characterise and define the inter-relationship between deformations in different spaces.



### **METRIC SPACE OF DEFORMATIONS**

#### Large Deformation Diffeomorphic Metric Mapping

LDDMM provides a metric to measure shape deformations in a Riemannian space. Linearization of the Riemannian space provides a tangent space for statistical analyses.





### LDDMM MINIMIZATION PROBLEM

Find  $\mathbf{v}(t)_{t\in[0,1]}$  that minimises  $J_{T,S}(\mathbf{v}(t))$ :

$$J_{T,S} \left( \mathbf{v}(t) \right) = \gamma \int_{0}^{1} \| \mathbf{v}(t) \|_{V}^{2} dt + E \left( \phi^{\mathbf{v}}(t,T)|_{t:[0 \to 1]}, S \right) .$$
  
Smoothness of Deformation Data matching using a geometric measure referred to as currents.

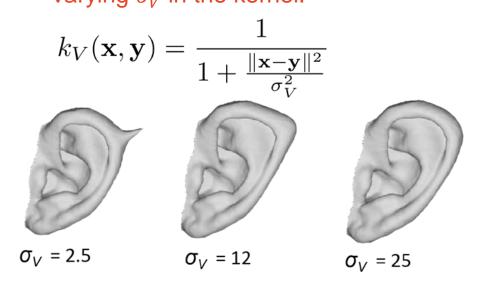


### **LDDMM INTUITION AND IMAGES**

Momentum vector field at each vertex of the surface mesh model.

$$\mathbf{v}(t) = \frac{d\mathbf{x}(t)}{dt} = \sum_{n=1}^{N} k_V(\mathbf{x}_n(t), \mathbf{x}(t)) \, \boldsymbol{a}_n(t)$$

Single momentum vector, with varying  $\sigma_V$  in the kernel.



### **THREE FUNDAMENTAL LDDMM OPERATIONS**

- Mapping is the operation of calculating the deformation from shape T to shape,  $S_i$ :  $\mathbf{a}_i(t)^{0 \le t \le 1} = \mathscr{M}(T, S_i)$
- Shooting is the operation of morphing T into an approximation of,  $S_i$ , given the initial momentum vectors  $\mathbf{a}_i(0)$ :

$$\{S'_i, \mathbf{a}_i(t)^{0 \le t \le 1}\} = \mathscr{S}(T, \{\mathbf{a}_i(0)\})$$



#### **SYMARE DATABASE**

Sydney York Morphological and Recording of Ears Database High-Resolution Meshes, HRIRs, FM-BEM HRIR Simulations: 60 Listeners

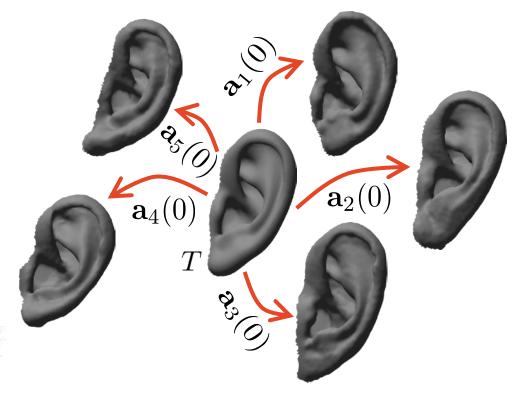




### **TEMPLATE ESTIMATION – POPULATION AVERAGE**

**Template Estimation Principle:** 

$$\sum_{i=1}^{L} \boldsymbol{\alpha}(0, T, S_i) = 0 ,$$
  
where  $\{\boldsymbol{\alpha}(t, T, S_i)\} = \mathscr{M}(T, S_i, \sigma_V, \sigma_W) .$ 





#### **TEMPLATE ESTIMATION – POPULATION AVERAGE**



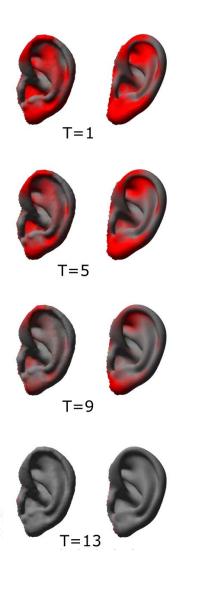
#### $\text{Variable Name} \left| x = \text{Mean(mm)} \right| \text{std(mm)} \left| y = \langle H(20kHz) \rangle_{8kHz} \langle E(20kHz) \rangle_{20kHz} \left| \text{Deviation}(100(1-\frac{y}{z}))) \right|$

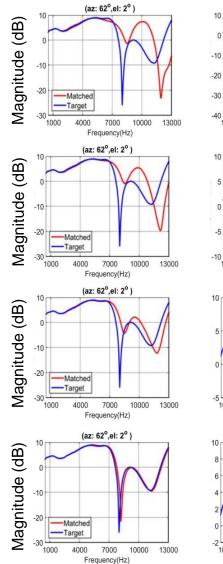
$de_1$	37.4684	3.5251	37.2704	-0.5314
$de_2$	13.5886	1.4749	13.6559	0.4924
$de_3$	14.1253	1.7303	14.1459	0.1454
$de_4$	10.2448	1.5407	10.2631	0.1785
$de_5$	48.3337	4.1452	47.0130	-2.8093
$de_6$	17.4733	2.8634	17.2845	1.0109
$de_7$	18.8608	2.7212	18.3512	-2.7770
$de_8$	44.8029	4.2071	44.1009	-1.5919
$de_9$	26.4235	2.6073	26.7447	1.2011
$de_{10}$	18.9115	1.5685	18.6310	-1.5057
$de_{11}$	24.6659	2.6271	24.9915	1.3031
$de_{12}$	10.9502	1.7323	10.9830	0.2987
$dh_1$	145.2545	9.7463	144.9769	-0.1915
$dh_2$	47.8141	4.2460	46.4775	-2.8760
$dh_3$	123.1606	7.4160	123.7949	0.5123
$dh_4$	196.1966	9.5911	196.9262	0.3705
$dt_1$	393.954	29.298	406.070	-3.0755

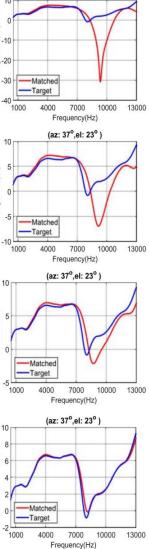
Variable Name	Description	x =Mean (cm)	std (cm)	$y = \langle E(20kHz) \rangle_{20kHz}$	$Deviation(100(1 - \frac{y}{x}))$	B&K (DZ 9764)	KEMAR (Left)
$d_1 + d_2$	Concha height	2.4465	0.2418	2.40	-2.18	2.20	2.60
$d_3$	Concha width	1.5705	0.2618	1.50	1.30	2.00	2.00
$d_5$	Pinna height	6.3853	0.4563	6.50	-2.57	7.00	7.10
$d_6$	Pinna width	2.9467	0.2927	2.90	1.58	3.60	3.10

#### **METRIC SPACE OF DEFORMATIONS**

#### Large Deformation Diffeomorphic Metric Mapping



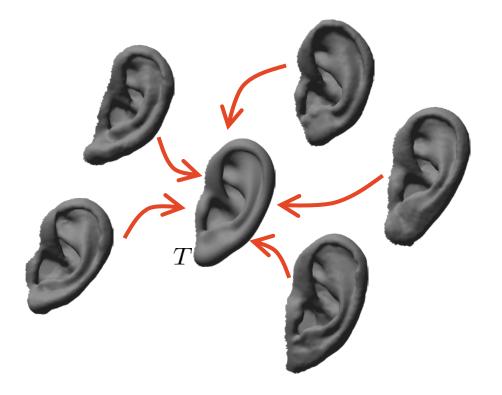




(az: 37°,el: 23°)

### **AFFINE-TRANSFORMATION OF EARS**

All ears in the database are matched to the template ear via an affine transformation. We recalculate the HRTFs using FM-BEM.





## **KERNEL PCA EAR MODEL**

1. Create zero-mean data

$$\bar{\mathbf{a}} = \frac{1}{L} \sum_{i=1}^{L} \mathbf{a}_i(0)$$
$$\hat{\mathbf{a}}_i = \mathbf{a}_i(0) - \bar{\mathbf{a}}$$

2. Compute the correlation matrix:

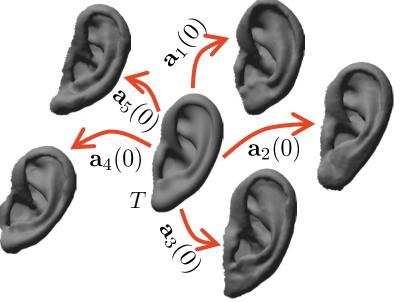
$$\mathbf{A} = [\hat{\mathbf{a}}_1, \hat{\mathbf{a}}_2, \dots, \hat{\mathbf{a}}_L]$$
$$\mathbf{C} = \frac{1}{L-1} \hat{\mathbf{A}}^\mathsf{T} \mathbf{K} \hat{\mathbf{A}}$$

3. Calculate singular value decomposition:

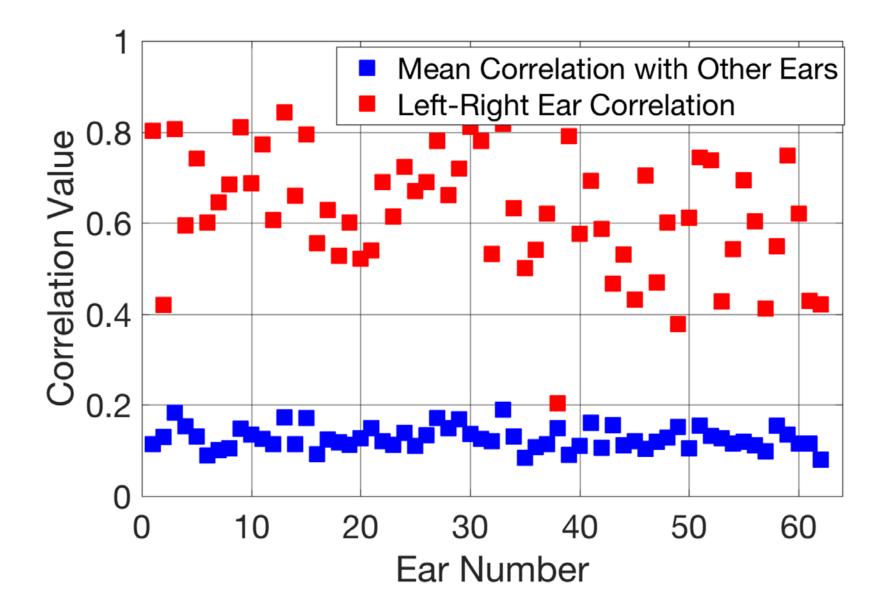
 $\mathbf{C} = \mathbf{V} \mathbf{D} \mathbf{V}^\mathsf{T}$ 

 $\mathbf{U} = \mathbf{AVD}^{-\frac{1}{2}}$ 

4. Calculate principal components

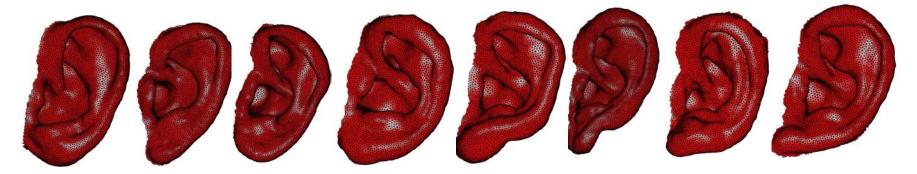


### HOW SIMILAR ARE RIGHT AND LEFT EARS?

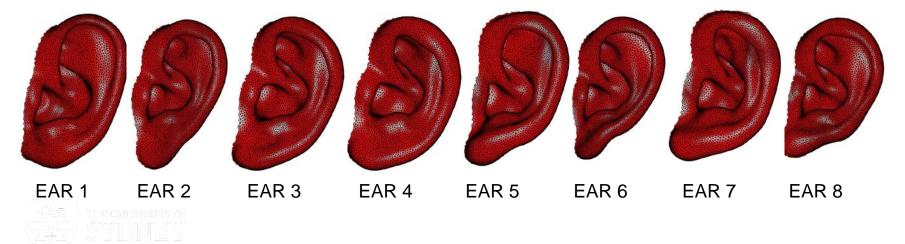


### **RANGE OF MODELED EAR SHAPE VARIATION**

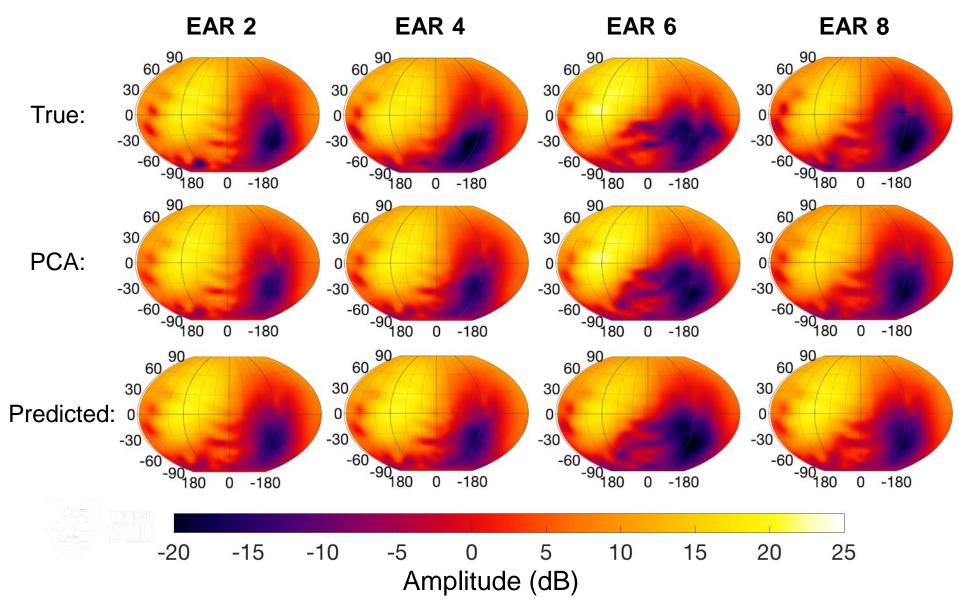
**Original Ear** 



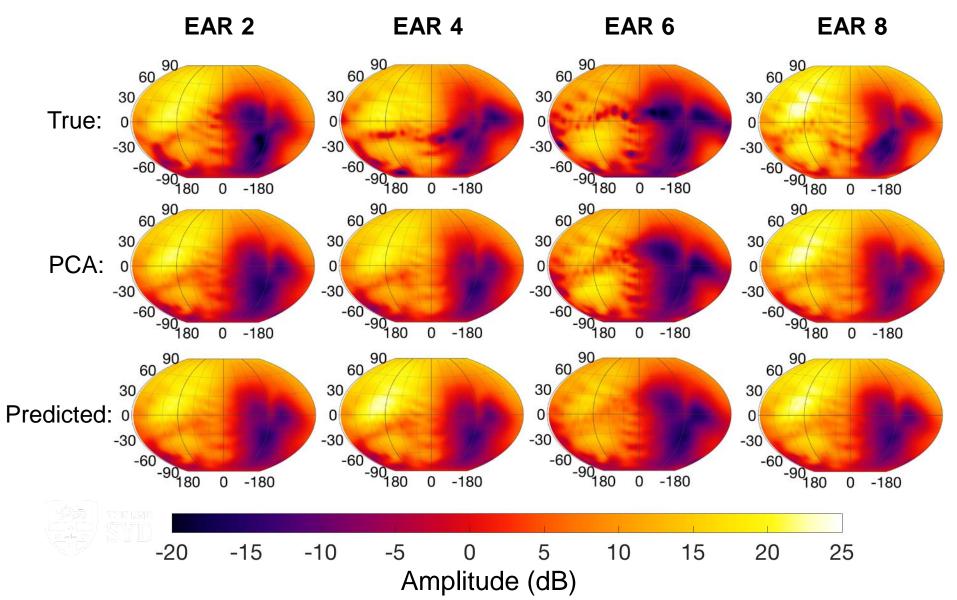
Ear Reconstructed with 8 KPCA Components



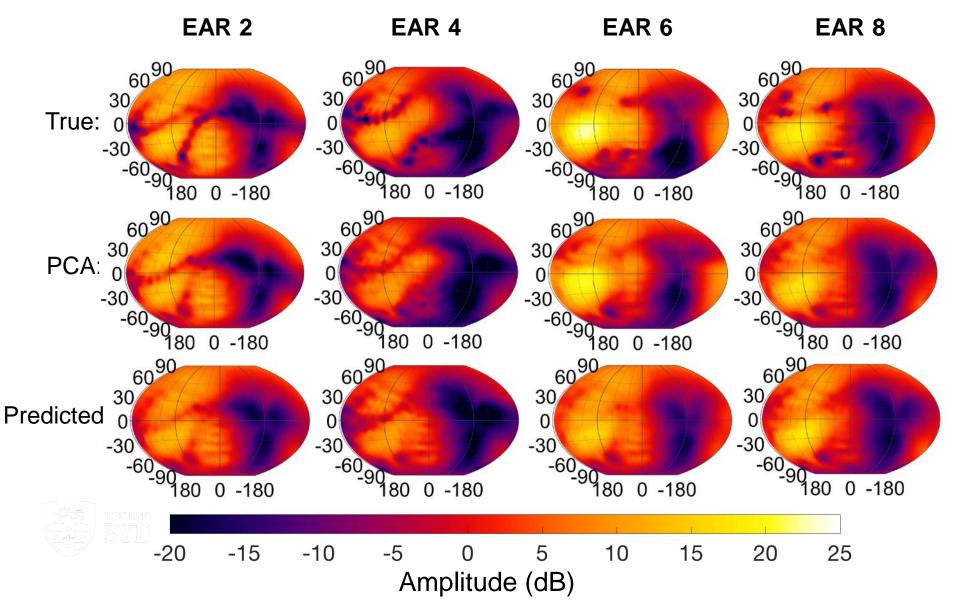
#### RELATING EAR SHAPE TO ACOUSTIC DIRECTIVITY 6000 Hz



#### RELATING EAR SHAPE TO ACOUSTIC DIRECTIVITY 8063 Hz



#### RELATING EAR SHAPE TO ACOUSTIC DIRECTIVITY 9938 Hz



#### THE END

Thanks for Listening



