# OCT VOLUMETRIC DATA RESTORATION VIA PRIMAL-DUAL PLUG-AND-PLAY METHOD

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### Background

Auditory mechanism in cochlea is unclear. .: Never observed in-vivo

- Sensory epithelium in a living animal has strong nonlinear characteristics, which cannot be seen in dead animals.
- Need understanding the mechanism for science and medicine
- Try to observe sensory epithelial vibration in vivo



Figure: Structure of Sensory Epithelium

We develop a multifrequency-swept (MS) full-field (*en-face*) optical coherence tomography (OCT) device.



### Why MS en-face OCT?



#### Figure: Conventional SD-OCT



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### Why MS en-face OCT?



### Problem & Purpose

#### Problem

- Microscope expands the light spatially.
  - $\longrightarrow$  Intensity becomes weak and S/N degrades.
- In addition to denoising, interference fringe should be removed.
  - $\longrightarrow$  Inverse problem with band-pass type mesurement process

Our previous work adopted ISTA as denoiser [APSIPA ASC 2015].

Pros No matrix inversion is required.

Cons Denoiser is limited and hard constraint is inavailable.

#### Purpose

Gain the performance by updating the MODEL and ALGORITHM

Hard Constraint & Variety of Denoiser



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## **Device Configuration**







#### Figure: Illustration of responses

- Generate optical comb from broadband SLD light source
- Scan depth by controlling interference peak with Piezo actuator
- Separate the optical comb with a beam splitter
- Expand field of view with objective lens
- Acquire interference fringe between reflected lights



### Observation Model

We approximate the interference by the following coherence function.

Cosine-modulated Gaussian function

$$p[\mathbf{m}] = \alpha \delta[m_{\mathrm{x}}] \delta[m_{\mathrm{y}}] \exp\left(-\frac{m_{\mathrm{z}}^2}{2\sigma_{\mathrm{p}}^2}\right) \cos\left(\omega_{\mathrm{p}} m_{\mathrm{z}}\right), \ \mathbf{m} \in \mathbb{Z}^3$$



Figure: Discrete model of coherence function.

- Standard deviation:  $\sigma_{\rm p}=2$
- Angular Freq.:  $\omega_{
  m p} = 0.4\pi$
- Amplitude  $\alpha$  is set so that  $\sigma_1(\mathbf{P}) = 1$ .

(**P** is the convolution matrix, and  $\sigma_1(\cdot)$  denotes the largest singular value.)



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$$\mathbf{v} = \mathbf{P}\mathbf{u} + \mathbf{w}$$
  
 $\mathbf{u} = \mathbf{D}\mathbf{s} \in [-1, 1]^N$ 

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MSIPLab



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Assumption	Problem Setting
$\mathbf{v} = \mathbf{P}\mathbf{u} + \mathbf{w}$	$\hat{\mathbf{s}} = \arg\min_{\mathbf{s}} \frac{1}{2} \ \mathbf{P}\mathbf{D}\mathbf{s} - \mathbf{v}\ _2^2 + \lambda \mathcal{R}(\mathbf{s}), \text{ s.t. } \mathbf{u} \in [-1, 1]^N$
$\mathbf{u} = \mathbf{Ds} \in [-1, 1]^{n}$	$\hat{\mathbf{u}} = \mathbf{D}\hat{\mathbf{s}}$

We propose to adopt Primal-Dual Plug-and-Play (PDPnP) method.



### **Restoration Model**

PDPnP solves the following problem [S. Ono, SPL2017]:

$$\hat{\boldsymbol{x}} = \arg\min_{\boldsymbol{x} \in \mathbb{R}^{L}} \mathcal{R}(\boldsymbol{x}) + \mathcal{F}_{\boldsymbol{v}}(\boldsymbol{\Phi}\boldsymbol{x}) \text{ s.t. } \boldsymbol{\Psi}\boldsymbol{x} \in \mathcal{C},$$

- $\mathcal{R}(\cdot)$ : Regularizer
- $\mathcal{F}_{\mathbf{v}}(\cdot)$ : Data fidelity
- Φ: Linear measurement Proc.
- $\Psi$ : Linear generation Proc.
- C: Hard constraint



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- $\mathcal{R}(\cdot)$ : Regularizer  $\leftarrow$  Determined by pluggable Gaussian denoiser
- $\mathcal{F}_{\mathbf{v}}(\cdot)$ : Data fidelity  $\longleftarrow \mathcal{F}_{\mathbf{v}}(\cdot) = (2\lambda)^{-1} \| \cdot \mathbf{v} \|_2^2$  (AWGN)
- $\Phi$ : Linear measurement Proc.  $\leftarrow$  PD (Coherence Fcn. & Dic.)
- $\Psi$ : Linear generation Proc.  $\leftarrow$  Determined by pluggable Dic. D
- C: Hard constraint  $\leftarrow [-1,1]^N$  (Range of reflection)

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#### Problem setting (revisited)

$$\hat{\mathbf{s}} = \arg\min_{\mathbf{s}\in\mathbb{R}^L} \frac{1}{2} \|\mathbf{P}\mathbf{D}\mathbf{s} - \mathbf{v}\|_2^2 + \lambda \mathcal{R}(\mathbf{s}), \text{ s.t. } \mathbf{D}\mathbf{s} \in [-1, 1]^N$$

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### Restoration Algorithm

Algorithm 1 Primal-Dual Plug-and-Play (PDPnP) Image Restoration

nput: 
$$\mathbf{x}^{(0)}$$
,  $\mathbf{y}_{1}^{(0)}$ ,  $\mathbf{y}_{2}^{(0)}$  %  $\mathbf{x} = \mathbf{s}$   
Dutput:  $\mathbf{x}^{(n)}$   
1: while a stopping criterion is not satisfied **do**  
2:  $\mathbf{x}^{(n+1)} = \mathfrak{G}_{\mathcal{R}} \left( \mathbf{x}^{(n)} - \gamma_1 \left( \Phi^{\mathsf{T}} \mathbf{y}_{1}^{(n)} + \Psi^{\mathsf{T}} \mathbf{y}_{2}^{(n)} \right), \sqrt{\gamma_1} \right)$  % Regularized GDN  
3:  $\mathbf{y}_{1}^{(n)} \leftarrow \mathbf{y}_{1}^{(n)} + \gamma_2 \Phi \left( 2\mathbf{x}^{(n+1)} - \mathbf{x}^{(n)} \right)$  %  $\Phi = \mathsf{PD}$   
4:  $\mathbf{y}_{2}^{(n)} \leftarrow \mathbf{y}_{2}^{(n)} + \gamma_2 \Psi \left( 2\mathbf{x}^{(n+1)} - \mathbf{x}^{(n)} \right)$  % Dictonary  $\Psi = \mathsf{D}$   
5:  $\mathbf{y}_{1}^{(n+1)} = \mathbf{y}_{1}^{(n)} - \gamma_2 \mathrm{Prox}_{\frac{1}{\gamma_2} \mathcal{F}_{\mathbf{v}}} \left( \frac{1}{\gamma_2} \mathbf{y}_{1}^{(n)} \right)$  %  $\mathcal{F}_{\mathbf{v}}(\cdot) = (2\lambda)^{-1} \| \cdot - \mathbf{v} \|_{2}^{2}$   
6:  $\mathbf{y}_{2}^{(n+1)} = \mathbf{y}_{2}^{(n)} - \gamma_2 P_{C} \left( \frac{1}{\gamma_2} \mathbf{y}_{2}^{(n)} \right)$  %  $P_{C}(\cdot) = P_{[-1,1]^{N}}$   
7:  $n \leftarrow n+1$   
8: end while

#### Generalized for generative process, $\Psi$ , from the original

S. Ono, "Primal-dual plug-and-play image restoration," IEEE Signal Processing Letters, vol.24, no.8, pp.1108–1112, Aug. 2017.



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### Simulation



- Z position of reflective XY surfaces are randomly generated.
- Reflection ratio of each surface is randomly set in [-1, 1].
- AWGN with zero mean and  $\sigma_{\rm w}=$  0.1 is assumed.

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### Simulation



### Simulation



Dictionary		
IDNT	Identity	
UDHT	Undecimated Haar Trans.	
Gaussian Denoiser		
SFTH	Soft-thresholding	

SETH	Soft-thresholding
BM4D	[M. Maggioni, TIP2013]

- PSNR vs Reflective surface generation ratios
- MSEs of 5 trials are averaged and converted to PSNR.

PDPnP with BM4D shows the best performance. The significance of dictionary is also indicated with less computation.

### Restoration experiment of sensory epitherlium

### Observation through MS en-face OCT ( $244 \times 240 \times 1024$ voxels)



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#### Conclusions

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- Proposed to apply PDPnP to OCT data restoration
  - Realized removal of noise and interference fringe simultaneously.
  - No matrix inversion is required.
  - Hard constraint is available.
  - Arbitrary Gaussian denoiser can be pluged in.
- Verified the significance through
  - Simulation for artificial data
  - Experiment on observation via MS en-face OCT
- Future works include
  - Tomographic acquisition of vibration
  - Estimation of measurement process P
  - Construction of synthesis dictionary **D**
  - Examination of appropriate noise model

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