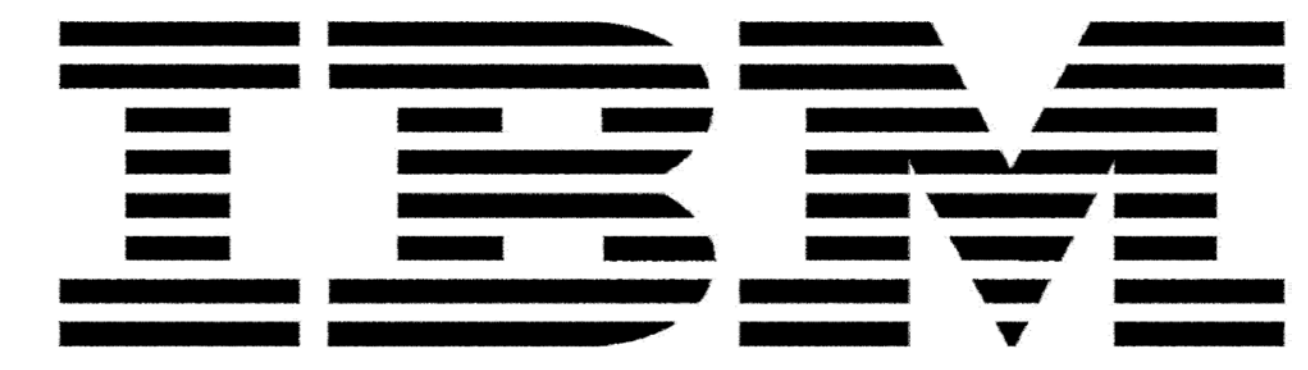




# Reinforced Adversarial Attacks on Deep Neural Networks Using ADMM



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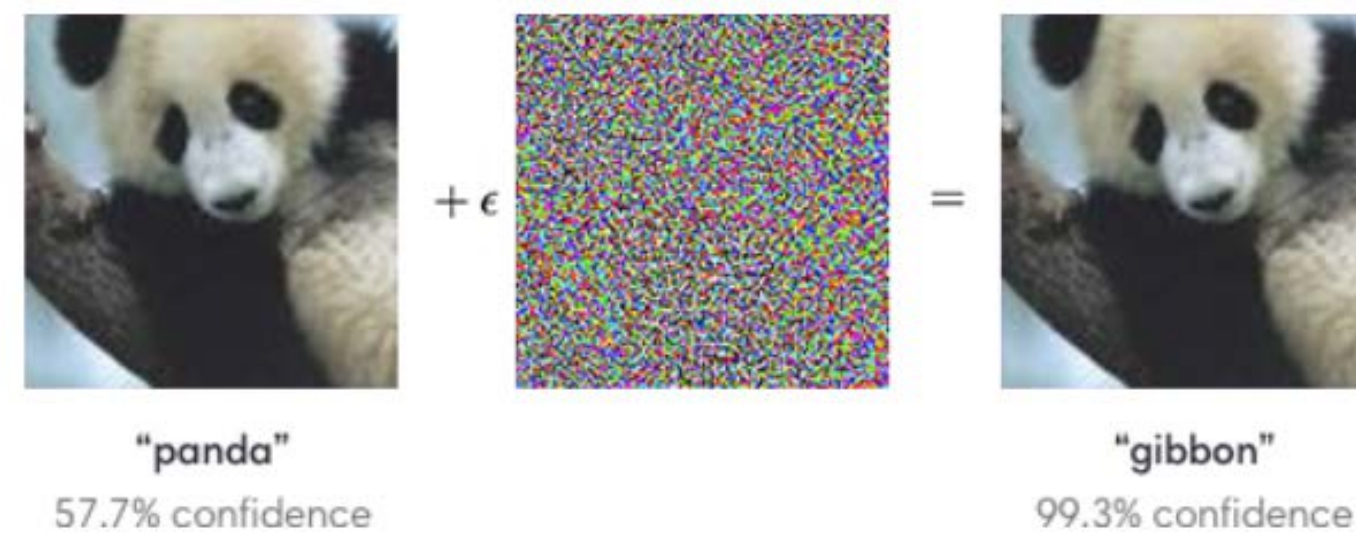
## Introduction

Deep neural networks (DNNs) are known vulnerable to adversarial attacks

Adversarial examples in adversarial attacks:

adding delicately crafted distortions onto original legal inputs, can mislead a DNN to classify them as any target labels.

$L_p$  norms of the distortion: the added distortions are usually measured by  $L_0, L_1, L_2$ , and  $L_\infty$  norms in  $L_0, L_1, L_2$ , and  $L_\infty$  attacks.



A unified framework: this work for the first time unifies the methods of generating adversarial examples by leveraging ADMM.  $L_0, L_1, L_2$ , and  $L_\infty$  attacks are effectively implemented by this general framework with little modifications.

## Notations and Definitions

Representations of the DNN model:

input:  $\mathbf{x} \in \mathbb{R}^{hw}$  or  $\mathbf{x} \in \mathbb{R}^{3hw}$

model:  $F(\mathbf{x}) = \mathbf{y}$

output:  $0 \leq y_i \leq 1$  and  $y_1 + y_2 + \dots + y_m = 1$

logits:  $F(\mathbf{x}) = \text{softmax}(Z(\mathbf{x})) = \mathbf{y}$

classification:  $C(\mathbf{x}) = \arg \max y_i$

distance:

$$\|\mathbf{x} - \mathbf{x}_0\|_p = \left( \sum_{i=1}^n |x_i - x_{0i}|^p \right)^{\frac{1}{p}}$$

Adversarial attack:

$$\underset{\delta}{\text{minimize}} \quad D(\delta) + g(\mathbf{x} + \delta)$$

$$\text{subject to} \quad (\mathbf{x} + \delta) \in [0, 1]^n,$$

$Z(\mathbf{x})$  : logits before softmax layer

$$g(\mathbf{x}) = c \cdot \max \left( \left( \max_{i \neq t} (Z(\mathbf{x})_i) - Z(\mathbf{x})_t \right), -\kappa \right)$$

## ADMM Formulation

Reformulate the original problem:

$$\underset{\delta, \mathbf{z}, \mathbf{w}}{\text{minimize}} \quad D(\delta) + g(\mathbf{x} + \mathbf{z}) + h(\mathbf{w})$$

$$\text{subject to} \quad \mathbf{z} = \delta$$

$$\mathbf{w} = \mathbf{x} + \mathbf{z},$$

$$h(\mathbf{w}) = \begin{cases} 0 & \mathbf{w} \in [0, 1]^n \\ \infty & \text{otherwise.} \end{cases}$$

The augmented Lagrangian function:

$$L(\delta, \mathbf{z}, \mathbf{w}, \mathbf{u}, \mathbf{v}) = D(\delta) + g(\mathbf{x} + \mathbf{z}) + h(\mathbf{w}) + \mathbf{u}^T(\delta - \mathbf{z}) + \mathbf{v}^T(\mathbf{w} - \mathbf{z} - \mathbf{x}) + \frac{\rho}{2} \|\delta - \mathbf{z}\|_2^2 + \frac{\rho}{2} \|\mathbf{w} - \mathbf{z} - \mathbf{x}\|_2^2,$$

## General Framework based on ADMM

ADMM iterations

In the  $k$ -th iteration, the following steps are performed:

$$\begin{aligned} \{\delta^{k+1}, \mathbf{w}^{k+1}\} &= \arg \min_{\delta, \mathbf{w}} L(\delta, \mathbf{z}^k, \mathbf{w}, \mathbf{u}^k, \mathbf{v}^k) && \underset{\delta}{\text{minimize}} \quad D(\delta) + \frac{\rho}{2} \|\delta - \mathbf{z}^k + (1/\rho)\mathbf{u}^k\|_2^2 \\ \mathbf{z}^{k+1} &= \arg \min_{\mathbf{z}} L(\delta^{k+1}, \mathbf{z}, \mathbf{w}^{k+1}, \mathbf{u}^k, \mathbf{v}^k) && \underset{\mathbf{z}}{\text{minimize}} \quad h(\mathbf{w}) + \frac{\rho}{2} \|\mathbf{w} - \mathbf{z}^k - \mathbf{x} + (1/\rho)\mathbf{v}^k\|_2^2 \\ \mathbf{u}^{k+1} &= \mathbf{u}^k + \rho(\delta^{k+1} - \mathbf{z}^{k+1}) && \underset{\mathbf{z}}{\text{minimize}} \quad g(\mathbf{x} + \mathbf{z}) + \frac{\rho}{2} \|\delta^{k+1} - \mathbf{z} + (1/\rho)\mathbf{u}^k\|_2^2 \\ \mathbf{v}^{k+1} &= \mathbf{v}^k + \rho(\mathbf{w}^{k+1} - \mathbf{z}^{k+1} - \mathbf{x}) && + \frac{\rho}{2} \|\mathbf{w}^{k+1} - \mathbf{z} - \mathbf{x} + (1/\rho)\mathbf{v}^k\|_2^2, \end{aligned}$$

w step

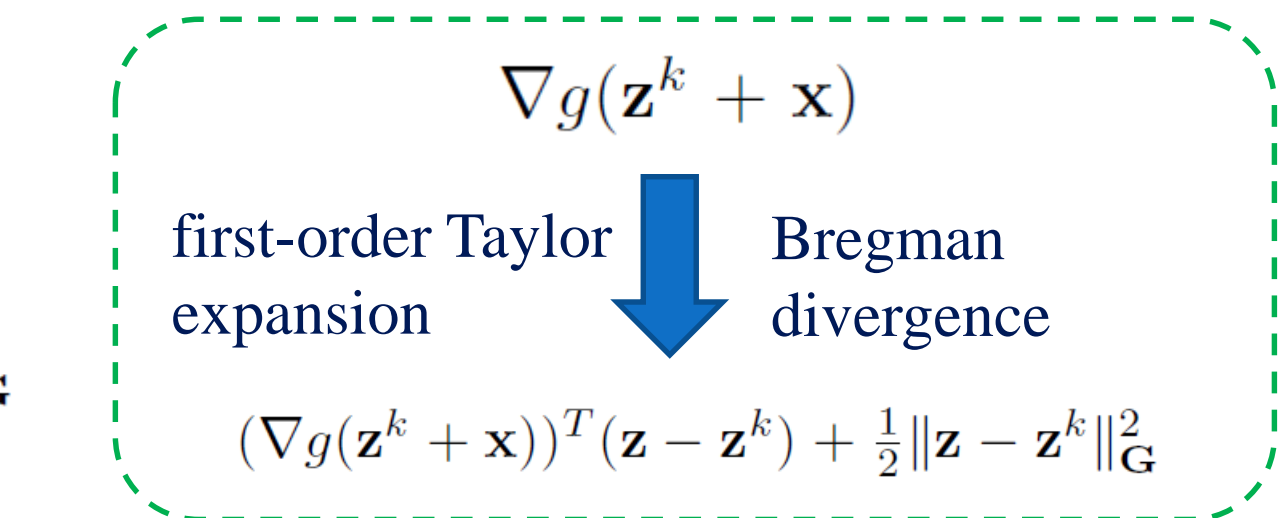
$$\underset{\mathbf{w}}{\text{minimize}} \quad h(\mathbf{w}) + \frac{\rho}{2} \|\mathbf{w} - \mathbf{z}^k - \mathbf{x} + (1/\rho)\mathbf{v}^k\|_2^2 \rightarrow \begin{cases} 0 & \text{if } [\mathbf{z}^k + \mathbf{x} - (1/\rho)\mathbf{v}^k]_i < 0 \\ 1 & \text{if } [\mathbf{z}^k + \mathbf{x} - (1/\rho)\mathbf{v}^k]_i > 1 \\ [\mathbf{z}^k + \mathbf{x} - (1/\rho)\mathbf{v}^k]_i & \text{otherwise,} \end{cases}$$

z step

$$\underset{\mathbf{z}}{\text{minimize}} \quad g(\mathbf{x} + \mathbf{z}) + \frac{\rho}{2} \|\delta^{k+1} - \mathbf{z} + (1/\rho)\mathbf{u}^k\|_2^2 + \frac{\rho}{2} \|\mathbf{w}^{k+1} - \mathbf{z} - \mathbf{x} + (1/\rho)\mathbf{v}^k\|_2^2$$

$$\underset{\mathbf{z}}{\text{minimize}} \quad (\nabla g(\mathbf{z}^k + \mathbf{x}))^T (\mathbf{z} - \mathbf{z}^k) + \frac{1}{2} \|\mathbf{z} - \mathbf{z}^k\|_G^2 + \frac{\rho}{2} \|\mathbf{z} - \mathbf{a}\|_2^2 + \frac{\rho}{2} \|\mathbf{z} - \mathbf{b}\|_2^2$$

$$\mathbf{z}^{k+1} = \frac{1}{\alpha + 2\rho} (\alpha \mathbf{z}^k + \rho \mathbf{a} + \rho \mathbf{b} - \nabla g(\mathbf{z}^k + \mathbf{x}))$$



## Four Attacks based on the Framework

Proximal operator

$$\text{prox}_{\lambda D}(s) = \arg \min_{\delta} \left( \lambda D(\delta) + \frac{1}{2} \|\delta - s\|_2^2 \right)$$

L2 attack:

$$\text{prox}_{\lambda 2}(s) = \arg \min_{\delta} \left( \lambda \|\delta\|_2 + \frac{1}{2} \|\delta - s\|_2^2 \right) \rightarrow \text{prox}_{\lambda 2}(s) = \begin{cases} (1 - \lambda/\|s\|_2)s & \|s\|_2 \geq \lambda \\ 0 & \|s\|_2 < \lambda \end{cases}$$

L0 attack

$$\text{prox}_{\lambda 0}(s) = \arg \min_{\delta} \left( \lambda \|\delta\|_0 + \frac{1}{2} \|\delta - s\|_2^2 \right) \rightarrow (\text{prox}_{\lambda 0}(s))_i = \begin{cases} 0 & |s_i| < \sqrt{2\lambda} \\ 0 \text{ or } s_i & |s_i| = \sqrt{2\lambda} \\ s_i & |s_i| > \sqrt{2\lambda} \end{cases}$$

L1 attack

$$\text{prox}_{\lambda 1}(s) = \arg \min_{\delta} \left( \lambda \|\delta\|_1 + \frac{1}{2} \|\delta - s\|_2^2 \right) \rightarrow (\text{prox}_{\lambda 1}(s))_i = \begin{cases} s_i - \lambda & s_i \geq \lambda \\ 0 & |s_i| < \lambda \\ s_i + \lambda & s_i \leq -\lambda \end{cases}$$

L infinity attack

$$\underset{\delta}{\text{minimize}} \quad \|\delta\|_\infty + \frac{\rho}{2} \|\delta - s\|_2^2 \rightarrow$$

It has no closed form solution. We can obtain its solution by derive its KKT condition.

$$\sum_{i=1}^n \rho (s_i - t^*)_+ = 1 \quad \delta_i^* = \min\{t^*, s_i\}$$

## Experimental Results

Adversarial attacks:

Table showing L0 attack results for MNIST and CIFAR-10 datasets, comparing C&W and ADMM methods across different Lp norms.

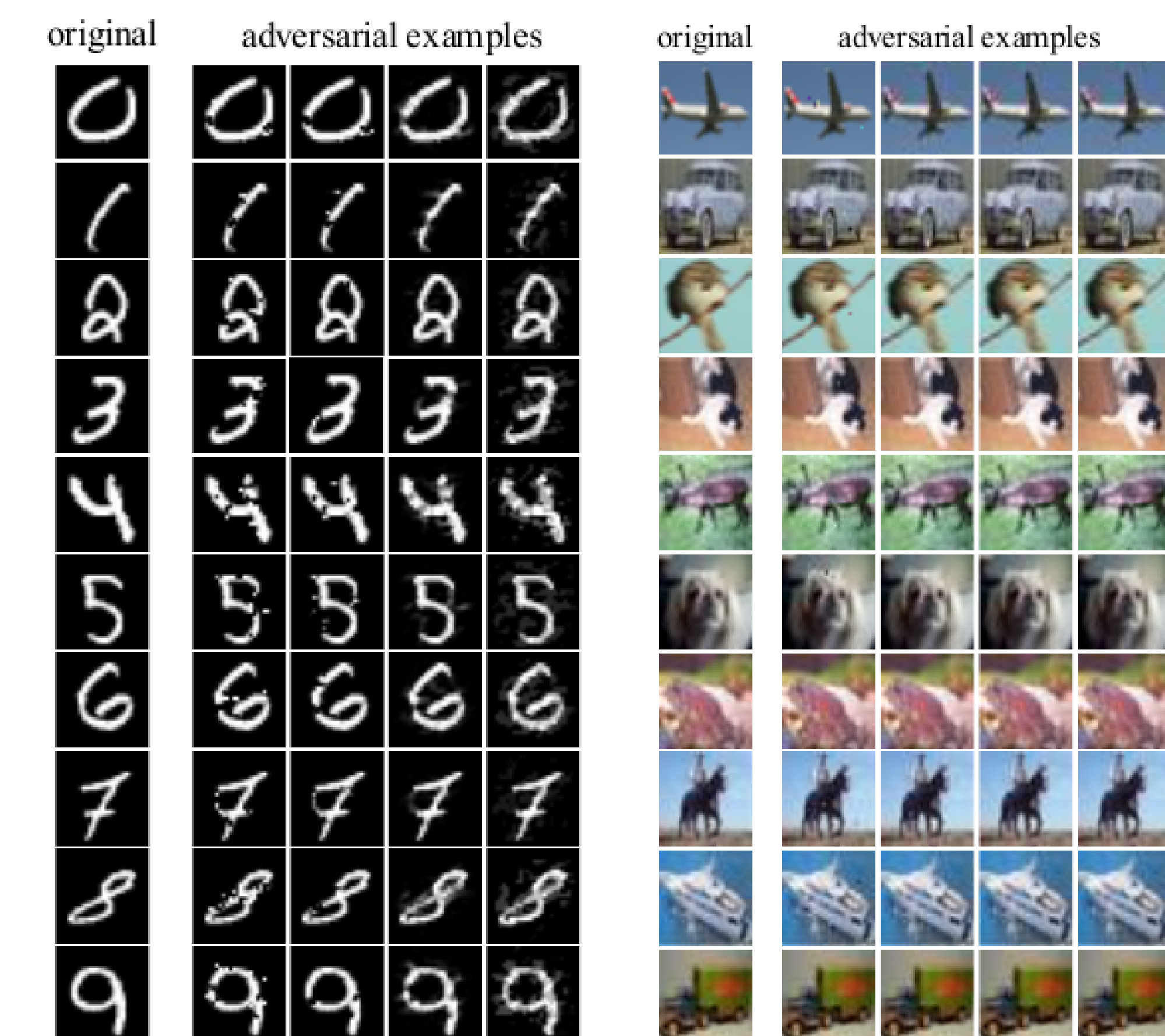
L1 attack

Table showing L1 attack results for MNIST, CIFAR-10, and ImageNet datasets, comparing IFGM, EAD, and ADMM methods.

L2 attack

Table showing L2 attack results for MNIST, CIFAR-10, and ImageNet datasets, comparing FGM, IFGM, C&W, and ADMM methods.

Adversarial examples of ADMM attacks



(a) MNIST

(b) CIFAR-10



Adversarial examples on ImageNet, where an input of koala can be classified as other target labels by adding small distortions.

Original input has label t. The adversarial examples can mislead the DNN to classify them as label t+2.