



# A Model-Driven Deep Learning Network for MIMO Detection

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# Outline

- **Introduction**
- Model-Driven Deep Learning
- MIMO Detection
- OAMP-Net for MIMO Detection
- Simulation Results
- Future Works



# Motivation

## ➤ Challenges in current communication systems

- ❑ Difficult channel modeling in complex scenarios:
- ❑ Demand for effective and fast signal processing
- ❑ Not adapt to communication environments dynamically

## ➤ Why deep learning?

- ❑ Without need for accurate channel models
- ❑ Distributed and parallel computing architectures
- ❑ Adapt to communication environments dynamically

T. Wang, C.-K. Wen, H. Wang, F. Gao, T. Jiang, and S. Jin, "Deep learning for wireless physical layer: Opportunities and challenges," *China Communications*, vol. 14, no. 11, pp. 92-111, Nov. 2017.

Z.-J. Qin, H. Ye, G. Y. Li, and B.-H. Juang, "Deep learning in physical layer communications," submitted to *IEEE Commun. Mag.*, July 2018/ also at <https://arxiv.org/abs/1807.11713>.

H.-T. He, S. Jin, C.-K. Wen, F.-F. Gao, G. Y. Li, and Z.-B. Xu, "Model-driven deep learning for physical layer communications," submitted to *IEEE Wireless Communications*, Sept. 2018/ also at <https://arxiv.org/abs/1809.06059>. 2018.



# Data-Driven or Model-Driven DL?

## ➤ Data-Driven DL

- Using standard neural networks (DNN, RNN, CNN,...)
- Requiring little domain knowledge
- Unexplainable and unpredictable neural network
- Requiring a large amount of data

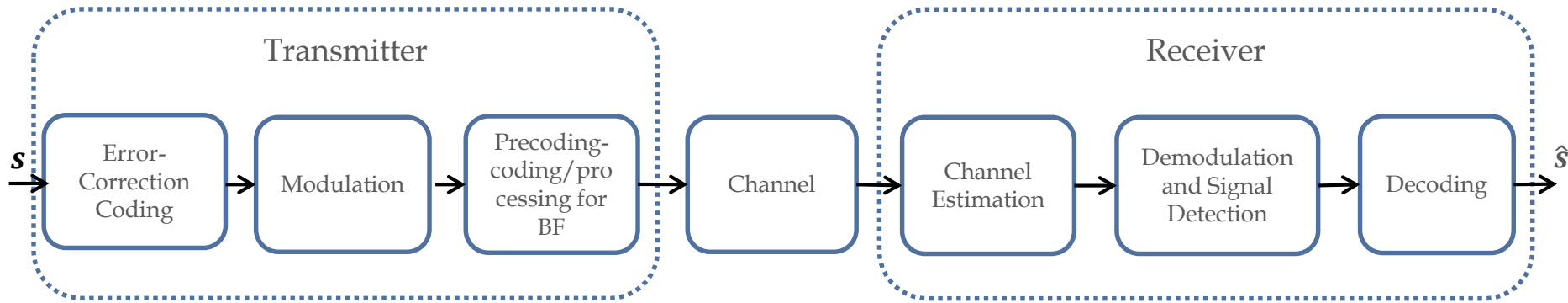
## ➤ Model-Driven DL

- Relying on relatively accurate model
- Exploiting rich domain/expert knowledge in physical layer communications
- Explainable and predictable networks
- Easy to train with a small amount of data

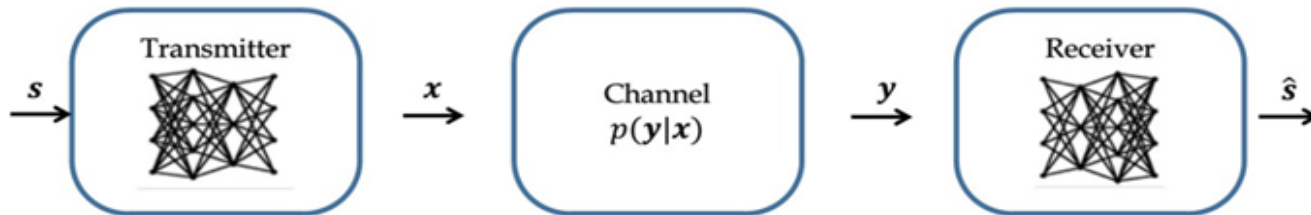
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# Block Structure or End-to-End?

## Block Structure



## End-to-End

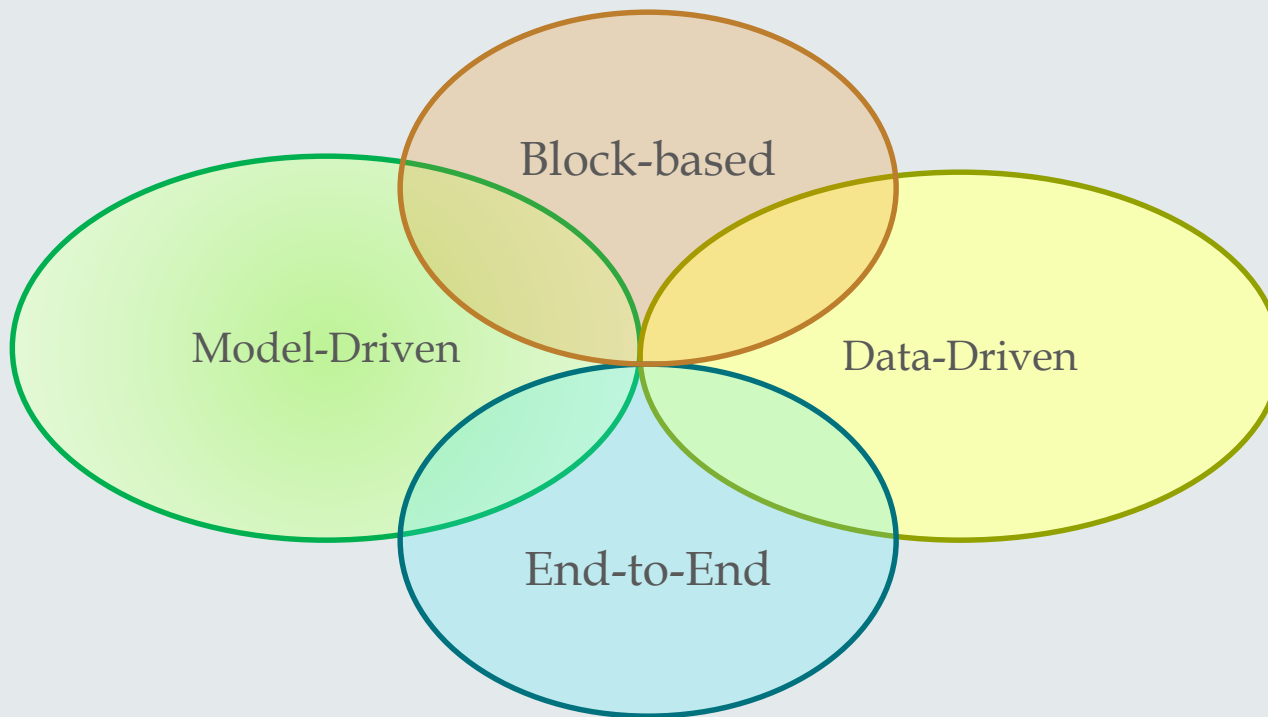


T. O' Shea and J. Hoydis, "An introduction to deep learning for the physical layer," *IEEE Trans. on Cogn. Commun. Netw.*, vol. 3, no. 4, pp. 563–575, Dec. 2017.



# Future Physical Layer Communications

DL-based physical layer communications





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# Motivation

## ❑ Challenges of data-driven method in communications

- Difficulties in network design and its interpretation
- Lack of understanding in its generation ability
- Require a large number of data

## ❑ Why model-driven deep learning?

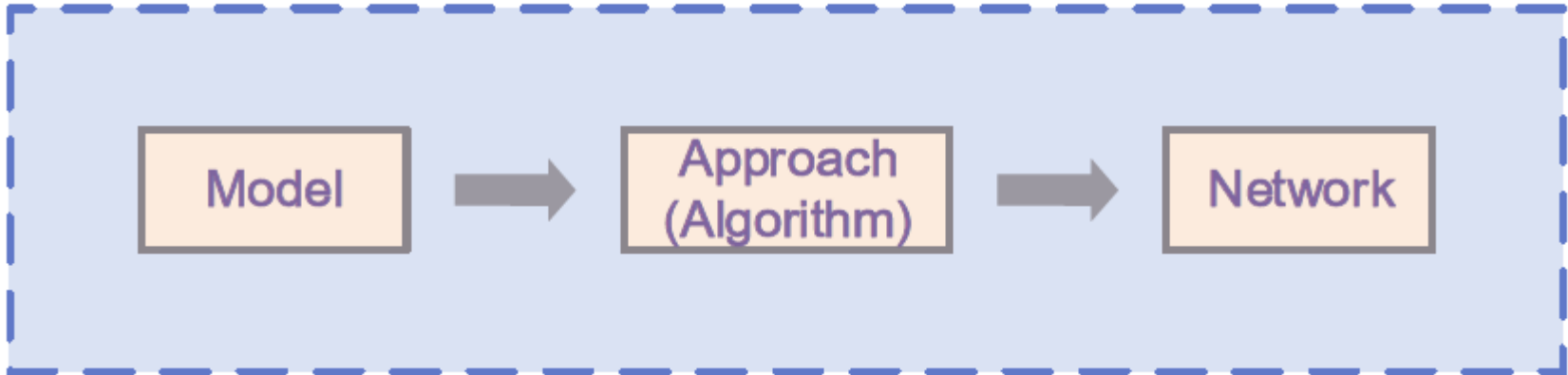
- Design network topology with theoretical foundations
- Communication expert knowledge can be utilized
- Easy to train the network with a small amount of data

H.-T. He, S. Jin, C.-K. Wen, F.-F. Gao, G. Y. Li, and Z.-B. Xu, "Model-driven deep learning for physical layer communications," submitted to *IEEE Wireless Communications*, Sept. 2018/ also at <https://arxiv.org/abs/1809.06059>. 2018.





# Components

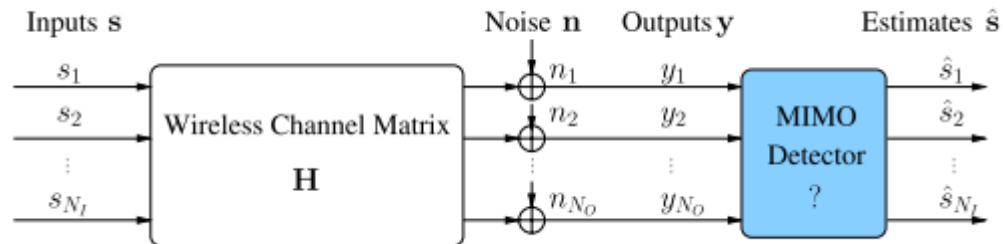


- Model: based on domain knowledge, not required accurate
- Approach (Algorithm): based on the model
- Network: deep unfolding, using the algorithm as initialization, mimic conventional architecture



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# MIMO Detection



## ➤ MIMO System:

$$\mathbf{y} = \mathbf{H}\mathbf{x} + \mathbf{n}$$

## ➤ Goal: estimating $\mathbf{x}$ from received signal $\mathbf{y}$ and channel matrix $\mathbf{H}$

## ➤ Conventional Detectors:

- ❑ Optimal detector: Maximum likelihood (ML) detector, high complexity
- ❑ Linear detectors: ZF, LMMSE, low complexity but poor performance
- ❑ Iterative detectors: AMP-based detection, EP-based detector, excellent performance, moderate complexity, performance degradation with ill-conditioned channel matrix

## ➤ Motivation: deep learning to improve iterative detectors



# Orthogonal Approximate Message Passing (OAMP) algorithm

- Standard linear regression:

$$\mathbf{y} = \mathbf{H}\mathbf{x} + \mathbf{n}$$

- Bayesian MMSE estimator:

$$\hat{\mathbf{x}} = \int \mathbf{x} \mathcal{P}(\mathbf{x}|\mathbf{y}, \mathbf{H}) d\mathbf{x}$$

- Decoupling principle:

$$\tilde{\mathcal{P}}(x_i|\mathbf{y}, \mathbf{H}) (i = 1, 2, \dots, N)$$

- Equivalent AWGN channel:

$$\mathbf{r}_t = \mathbf{x} + \mathbf{w}_t$$

With  $\mathbf{w}_t \sim \mathcal{N}(\mathbf{x}; \mathbf{0}, \tau_t^2 \mathbf{I})$

- MMSE estimator:

$$\hat{\mathbf{x}}_{t+1} = \mathbb{E} \{ \mathbf{x} | \mathbf{r}_t, \tau_t \}$$



# Orthogonal Approximate Message Passing (OAMP)-based detector

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## Algorithm 1: OAMP algorithm for MIMO detection

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**Input:** Received signal  $\mathbf{y}$ , channel matrix  $\mathbf{H}$ , noise level  $\sigma^2$ .

**Output:** Recovered signal  $\mathbf{x}_t$ .

**Initialize:**  $\tau_t \leftarrow 1$ ,  $\mathbf{x}_t \leftarrow \mathbf{0}$

$$\mathbf{r}_t = \hat{\mathbf{x}}_t + \mathbf{W}_t(\mathbf{y} - \mathbf{H}\hat{\mathbf{x}}_t), \quad (6)$$

$$\hat{\mathbf{x}}_{t+1} = \mathbb{E}\{\mathbf{x}|\mathbf{r}_t, \tau_t\}, \quad (7)$$

$$v_t^2 = \frac{\|\mathbf{y} - \mathbf{H}\hat{\mathbf{x}}_t\|_2^2 - M\sigma^2}{\text{tr}(\mathbf{H}^T\mathbf{H})}, \quad (8)$$

$$\tau_t^2 = \frac{1}{2N}\text{tr}(\mathbf{B}_t\mathbf{B}_t^T)v_t^2 + \frac{1}{4N}\text{tr}(\mathbf{W}_t\mathbf{W}_t^T)\sigma^2. \quad (9)$$


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$$\mathbf{W}_t = \frac{2N}{\text{tr}(\hat{\mathbf{W}}_t\mathbf{H})}\hat{\mathbf{W}}_t \quad \hat{\mathbf{W}}_t = v_t^2\mathbf{H}^T(v_t^2\mathbf{H}\mathbf{H}^T + \frac{\sigma^2}{2}\mathbf{I})^{-1}$$

$$\mathbf{B}_t = \mathbf{I} - \mathbf{W}_t\mathbf{H}.$$

Real-valued channel matrix

$$\mathbf{H} = \begin{bmatrix} \Re(\bar{\mathbf{H}}) & -\Im(\bar{\mathbf{H}}) \\ \Im(\bar{\mathbf{H}}) & \Re(\bar{\mathbf{H}}) \end{bmatrix}$$

Decouple the posterior probability

$$\mathcal{P}(x_i|\mathbf{y}, \mathbf{H})(i = 1, 2, \dots, 2N)$$

Equivalent AWGN channel:

$$\mathbf{r}_t = \mathbf{x} + \mathbf{w}_t$$

MMSE estimator:  $\mathbb{E}\{\mathbf{x}|\mathbf{r}_t, \tau_t\}$

$$\mathbb{E}\{x_i|r_i, \tau_t\} = \frac{\sum_{s_i} s_i \mathcal{N}(s_i; r_i, \tau_t^2) p(s_i)}{\sum_{s_i} \mathcal{N}(s_i; r_i, \tau_t^2) p(s_i)}.$$

J. Ma and L. Ping, "Orthogonal OAMP," *IEEE Access*, vol. 5, no. 14, pp. 2020 – 2033, Jan. 2017.

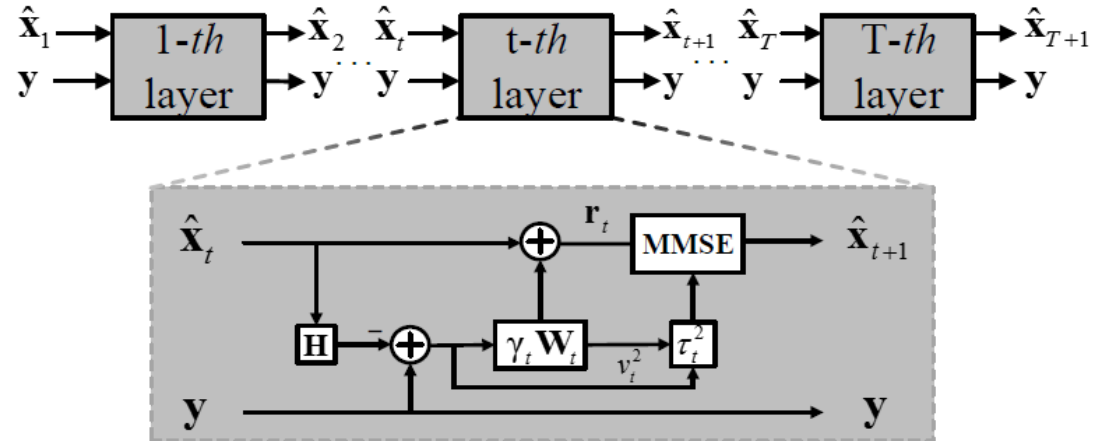


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# OAMP-Net for MIMO Detection

➤ Architecture:



➤ Iterative Algorithms:

$$\mathbf{r}_t = \hat{\mathbf{x}}_t + \gamma_t \mathbf{W}_t (\mathbf{y} - \mathbf{H} \hat{\mathbf{x}}_t),$$

$$\hat{\mathbf{x}}_{t+1} = \mathbb{E} \{ \mathbf{x} | \mathbf{r}_t, \tau_t^2 \},$$

$$v_t^2 = \frac{\|\mathbf{y} - \mathbf{H} \hat{\mathbf{x}}_t\|_2^2 - M \sigma^2}{\text{tr}(\mathbf{H}^T \mathbf{H})},$$

$$\hat{\mathbf{W}}_t = v_t^2 \mathbf{H}^T (v_t^2 \mathbf{H} \mathbf{H}^T + \frac{\sigma^2}{2} \mathbf{I})^{-1}$$

$$\mathbf{C}_t = \mathbf{I} - \theta_t \mathbf{W}_t \mathbf{H}$$

$$\tau_t^2 = \frac{1}{2N} \text{tr}(\mathbf{C}_t \mathbf{C}_t^T) v_t^2 + \frac{\theta_t^2 \sigma^2}{4N} \text{tr}(\mathbf{W}_t \mathbf{W}_t^T)$$

➤ Tainable Parameters: Only **two parameters** ( $\gamma_t, \theta_t$ ) for each iteration!



# Why OAMP-Net work ?

## ➤ Bayesian-optimal performances

- ❑ State evolution analysis
- ❑ For large systems not for for small-size MIMO systems
- ❑ For Rayleigh not for correlated MIMO channel

## ➤ The effects of learned parameters $(\gamma_t, \theta_t)$

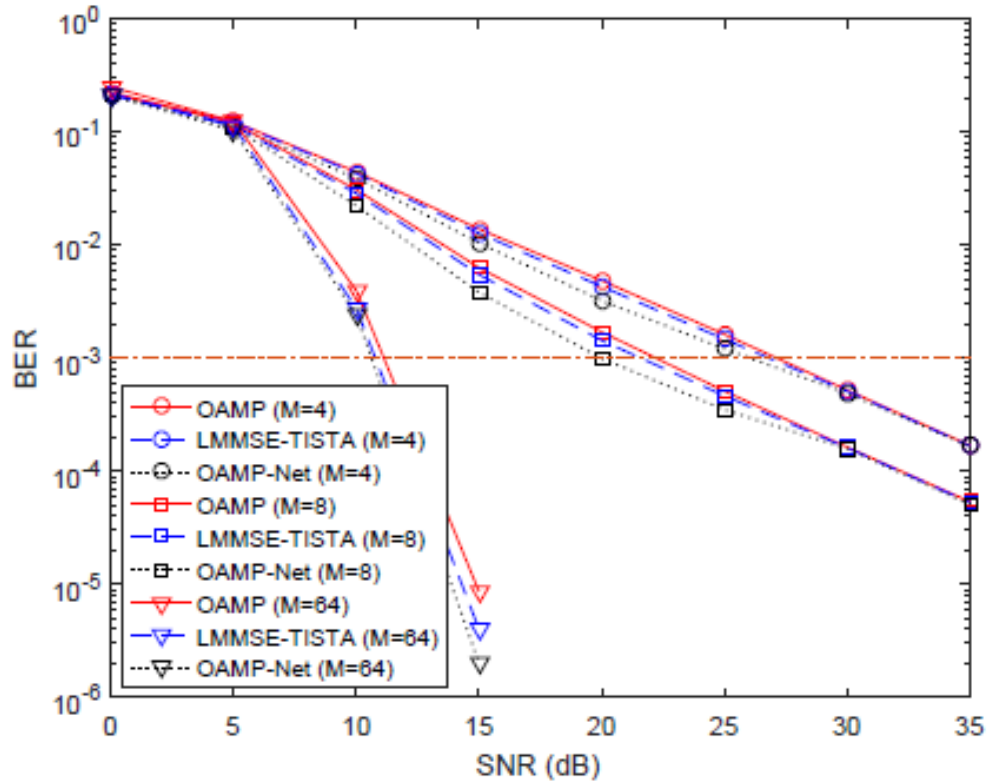
- ❑ Provides appropriate step sizes for the update of mean and variance in the MMSE denoiser
- ❑ Compensate for non-orthogonality of the two error(  $v_t^2, \tau_t^2$  )





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# Rayleigh MIMO Channel



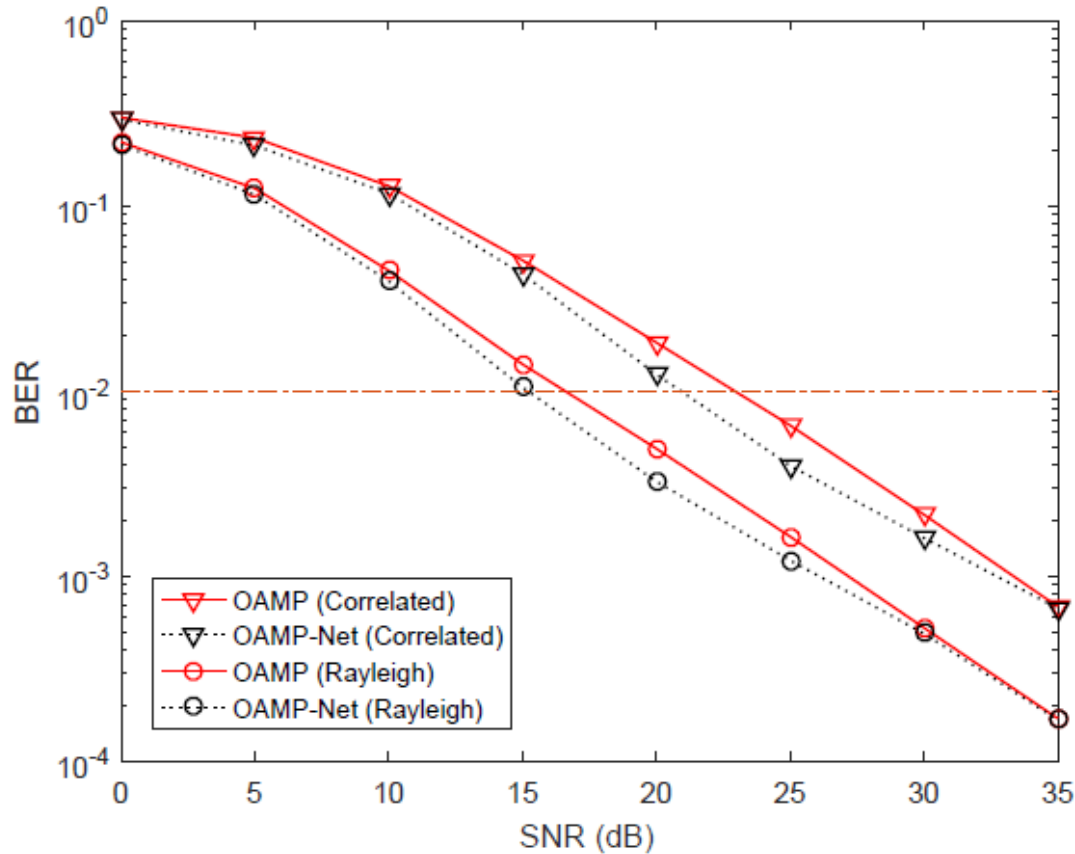
- OAMP-Net **outperforms** the OAMP algorithm and LMMSE-TISTA network
- Number of trainable variables is *2 times of iteration number* and independent of the number of antennas  $N$  and  $M$

D. Ito, S. Takabe, and T. Wadayama, "Trainable ISTA for sparse signal recovery," *arxiv preprint arXiv:1801.01978*, 2018.



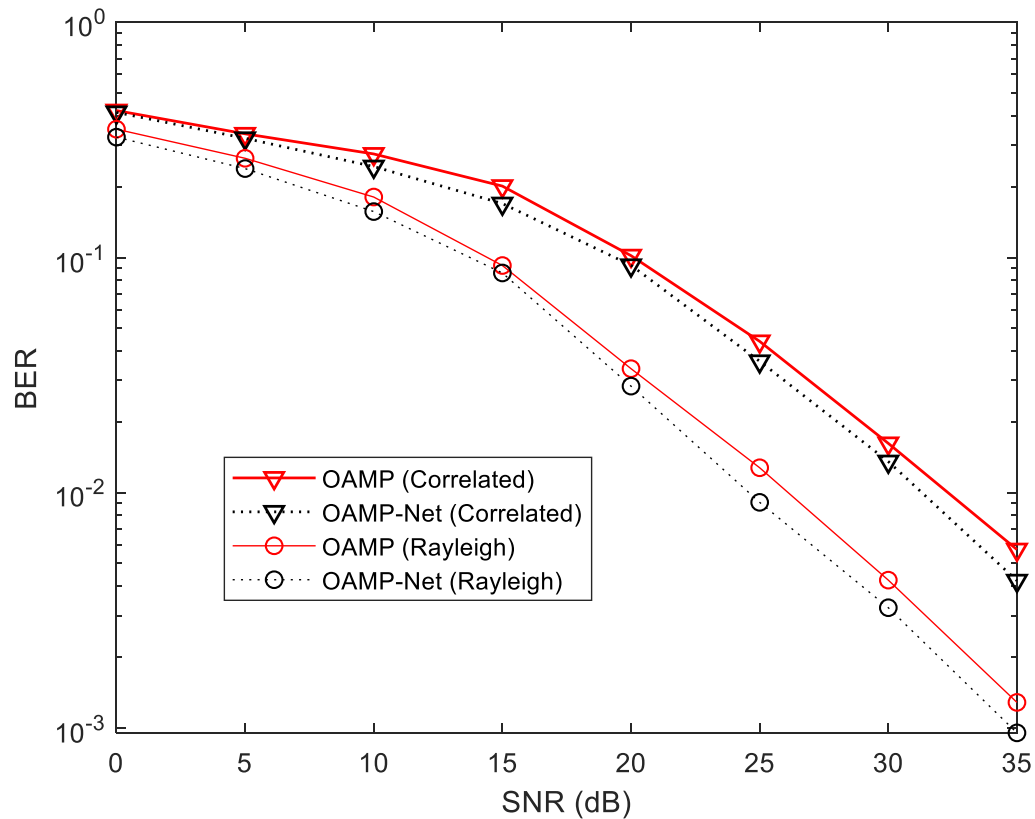
# Correlated MIMO Channel

QPSK  
M=N=4



- OAMP-Net **outperforms** the OAMP algorithm when M=N=4
- Obtain **more gains** under correlated MIMO channel

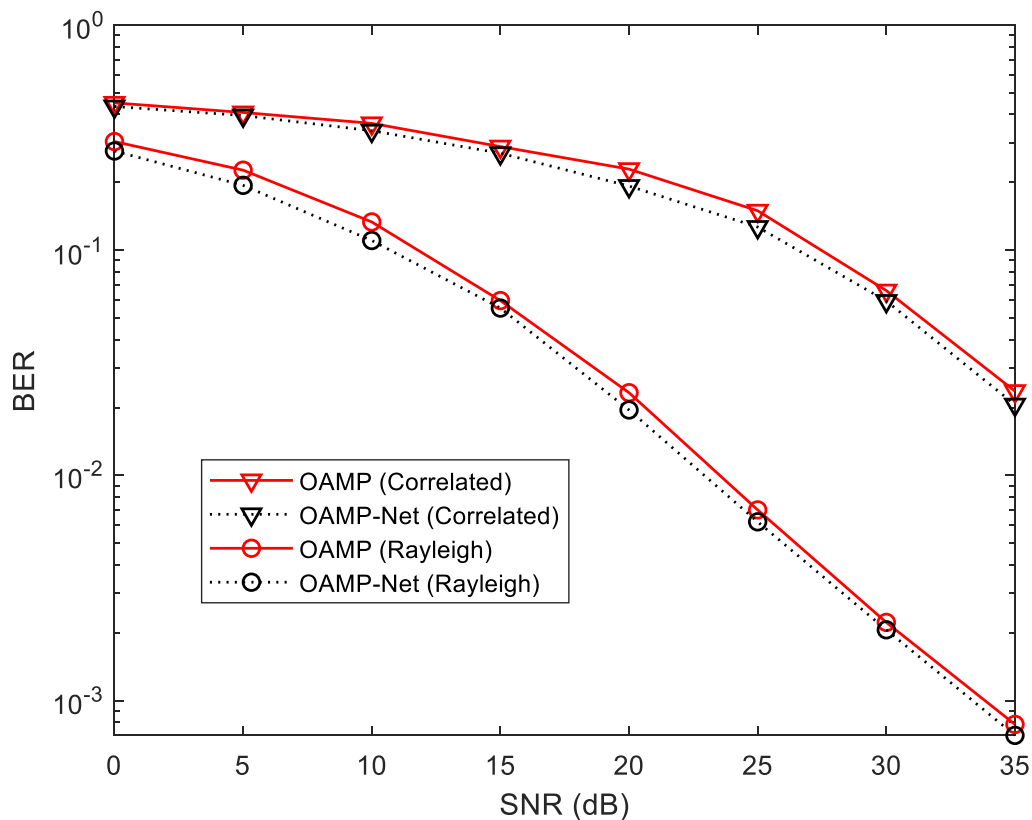
# High-order Modulation



16QAM  
M=N=4

➤ OAMP-Net **outperforms** the OAMP algorithm when M=N=4

# High-order Modulation



64QAM  
M=N=4

➤ OAMP-Net **outperforms** the OAMP algorithm when M=N=4



# Future Works

- ❑ OAMP-Net for imperfect CSI
  
- ❑ Learn to damp
  
- ❑ Expectation propagation-based Network for MIMO detection:
  
- ❑ Low-resolution ADC architectures:

J. Céspedes, P. M. Olmos, M. Sánchez-Fernandez, and F. Pérez-Cruz, “Expectation propagation detection for high-order high-dimensional MIMO systems,” *IEEE Trans. Commun.*, vol. 62, no. 8, pp. 2840-2849, Aug. 2014.

I. Santos and J. Murillo-Fuentes, “EP-based turbo detection for MIMO receivers and large-scale systems,” *arxiv preprint arXiv:1805.05065*, 2018.

H.-T. He, C.-K. Wen, and S. Jin, “Generalized expectation consistent signal recovery for nonlinear measurements,” in *Proc. IEEE Int. Symp. Inf. Theory (ISIT)*, Jun. 2017, pp. 2333–2337.



**THANKS !**