# Sparse Discriminative Tensor Dictionary Learning for Object Classification

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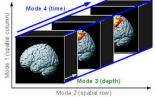
#### Overview

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#### Introduction

- Higher-order datasets are encountered in
  - Computer vision: Grey level images and image sequences.
  - Neuroimaging: Electroencephalogram (EEG) recordings or functional magnetic resonance imaging (fMRI) data.



• Remote sensing: Hyperspectral images or videos.

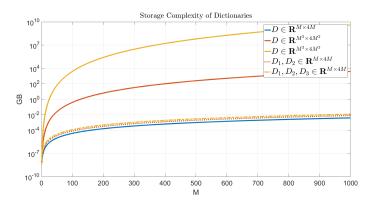


- To be able to classify these data, supervised tensor learning approaches are necessary.
- Some existing works are MPCA-LDA, DATER, DGTDA, CMDA.



# Dictionary Learning (DL)

- DL has proven to be effective for many applications: Data recovery, denoising and compression
- Complexity of the model increases exponentially with increasing dimensionality.
- DL has also been employed in supervised learning:
  - Discriminative K-SVD [Zhang & Li, 2010], Label Consistent K-SVD [Jiang et.al., 2013], Sparse Representation Based Classification (SRC) [Wright et.al., 2009], etc.



Memory requirements in order to store overcomplete dictionaries for 1D, 2D, and 3D signals (tensors)(N=1, 2, 3). Here M is defined as the size of each mode of input signal.

• Tensor dictionary learning models are proposed for various problems but discriminative approaches were not deeply pursued.



# Tensor Dictionary Learning

- Tensor extensions to well-known DL methods: T-MOD and K-HOSVD [Roemer et. al., 2014].
  - ⇒ Not efficient
- Discriminative TDL: [Zubair et. al., 2014] and [Wu et. al., 2017]
  - $\Rightarrow$  Do not enforce sparsity of representations
  - ⇒ Learn overcomplete dictionaries
- Orthogonal TDL: [Quan et. al., 2015]
  - ⇒ Decrease computational complexity
  - ⇒ Not a supervised approach
- Propose an efficient orthogonal and discriminative DL method with sparsity constraints.

## Objective

- Given a set of observed tensors  $\mathcal{Y}_c^k \in \mathbb{R}^{I_1 \times I_2 \times I_3}$  where  $c \in \{1, \dots, C\}$  denotes the class label and  $k \in \{1, \dots, K_c\}$  denotes the sample index for each class c, define  $\mathcal{Y}_c \in \mathbb{R}^{I_1 \times I_2 \times I_3 \times K_c}$  as the collection of all  $\mathcal{Y}_c^k$ .
- We aim to learn dictionaries for each class and mode,  $D_c^{(n)} \in \mathbb{R}^{l_n \times l_n}$  such that  $D_c^{(n)^\top} D_c^{(n)} = I$  and the dictionaries provide:
  - Objection in the property of the property o

$$S_{w}^{(n)} = \sum_{c=1}^{C} \sum_{k=1}^{K_{c}} \left[ (\mathcal{Y}_{c}^{k} - \mathcal{M}_{c}) \prod_{\substack{m \in \{1,2,3\} \\ m \neq n}} \times_{m} D_{c}^{(m)^{\top}} \right]_{(n)} \left[ (\mathcal{Y}_{c}^{k} - \mathcal{M}_{c}) \prod_{\substack{m \in \{1,2,3\} \\ m \neq n}} \times_{m} D_{c}^{(m)^{\top}} \right]_{(n)}^{\top}.$$

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  - Oiscriminability: Minimize within-class scatter:

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Sparsity: Minimize reconstruction error with a constraint on the sparsity of the projection:

$$\|\mathcal{Y}_c^k - \mathcal{X}_c^k \prod_{n=1}^3 \times_n D_c^{(n)}\|_F^2 \quad \text{is equivalent to} \quad \|\mathcal{Y}_c^k \prod_{n=1}^3 \times_n D_c^{(n)^\top} - \mathcal{X}_c^k\|_F^2,$$

where  $\mathcal{X}_c^k \in \mathbb{R}^{l_1 \times l_2 \times l_3}$  are the sparse representations.



# Sparse Disriminant Tensor Dictionary Learning (SDTDL)

 Combining reconstruction and discrimination terms, the optimization function with constraints is:

$$\operatorname*{argmin}_{\mathcal{X}_{C}, D_{c}^{(1)}, D_{c}^{(2)}, D_{c}^{(3)}} \sum_{k=1}^{K_{c}} \| \mathcal{Y}_{c}^{k} - \mathcal{X}_{c}^{k} \prod_{n=1}^{3} \times_{n} D_{c}^{(n)} \|_{F}^{2} + \lambda \sum_{n=1}^{3} \operatorname{tr}(D_{c}^{(n)} \top S_{w}^{(n)} D_{c}^{(n)}) \quad , \| \mathcal{X}_{c}^{k} \|_{0} \leq \tau \quad , \forall c, k, \quad (1)$$

where  $\mathcal{X}_c \in \mathbb{R}^{l_1 \times l_2 \times l_3 \times \mathcal{K}_c}$  is the collection of all  $\mathcal{X}_c^k$ .

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- Non-convex problem: However, it can be solved for each class and each variable, separately.
- **1** Initialize dictionaries  $D_c^{(i)}$ .
- ② Update sparse representations,  $\mathcal{X}_c^k$ . Keep  $\tau$  highest values of  $\mathcal{Y}_c^k \prod_{n=1}^3 \times_n D_c^{(n)^\top}$  and set the rest to zero.
- ① Update dictionary  $D_c^{(n)}$  while fixing all other dictionaries  $D_c^{(m)}$  and  $\mathcal{X}_c$ .

#### Optimization

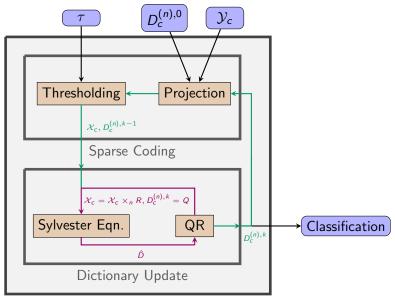
• Updating  $D_c^{(n)}$ : Since all other variables are fixed, taking the derivative of reconstruction and scatter terms is enough.

$$D_c^{(n)} \mathcal{C}_{(n)} \mathcal{C}_{(n)}^{\top} + \lambda S_w^{(n)} D_c^{(n)} = Y_{c_{(n)}} \mathcal{C}_{(n)}^{\top}, \tag{2}$$

where

$$C_{(n)} = (\mathcal{X}_c \prod_{\substack{m \in \{1,2,3\} \\ m \neq n}} \times_m D_c^{(m)^\top})_{(n)}.$$

- (2) is a Sylvester equation that is easily solved, but does not guarantee orthogonality.
- Thus, we apply QR decomposition to the solution of (2)  $\hat{D} = QR$  and set the dictionary as  $D_c^{(n)} = Q$ .
- Then, the sparse representation is updated for the same mode as  $\mathcal{X}_c \leftarrow \mathcal{X}_c \times_n R$ .



Sparse Discriminative Tensor Dictionary Learning

#### Classification

- To classify a test sample  $\mathcal{Y}^t$ , we find sparse representations  $\mathcal{X}_c^t$  with respect to each class using learned dictionaries  $D_c$ .
- *C* different sparse representations for each test sample.
- Find the class which has the closest sparse representation:

$$I = \underset{c}{\operatorname{argmin}} \{ \underset{k}{\min} (\|\mathcal{X}_{c}^{k} - \mathcal{X}_{c}^{t}\|_{F}^{2}) \}, \tag{3}$$

## Computational Complexity

- Assume  $l_1 = l_2 = \cdots = l_N = l$ ,  $K_c = K, \forall c, c \in \{1, \dots, C\}$ . Given inputs  $\mathcal{Y}_c^k \in \mathbb{R}^{l_1 \times l_2 \times \cdots \times l_N}$ , the training cost per iteration:
  - **①** Computational cost of extracting  $\mathcal{X}_c$ :  $\mathcal{O}(NKI^{N+1})$  per class.
  - Computational cost of learning  $D_c^{(n)}$ :  $\mathcal{O}((CN+N+1)NKI^{N+1})$ , for all classes and modes.
  - **3** Total computational cost is  $\mathcal{O}\left((CN+C+N+1)NKI^{N+1}\right)$ .
- The computational cost of MPCA is  $\mathcal{O}((N+1)CKNI^{N+1})$ .
- The cost is governed by Tensor-to-Matrix multiplications.
- The storage cost of SDTDL is  $\mathcal{O}(NCI^2 + 2CK\tau)$ , while the storage cost of MPCA (assuming all ranks are  $\tau^{1/N}$ ) is  $\mathcal{O}(NI\tau^{1/N} + CK\tau)$ .

#### **Datasets**

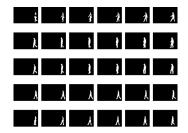
• **COIL-100**: 100 objects with size 128 × 128, 72 images corresponding to pose angles. 16 non-overlapping patches of size 16 × 16:

$$\Rightarrow \mathcal{Y}_c^k \in \mathbb{R}^{16 \times 16 \times 16}$$



Sample images from COIL-100 Dataset.

• CASIA Gait Dataset A: 4 sequences, 3 directions, 20 subjects. Image size  $240 \times 352$ . From sequences of size  $60 \times 88 \times 50$ , 200 patches of size  $15 \times 20 \times 16$ :  $\Rightarrow \mathcal{Y}_{c}^{k} \in \mathbb{R}^{15 \times 20 \times 16 \times 200}$ 



Sample sequences from CASIA-GAIT Dataset.

#### **Experiments**

- Hold-out ratio is  $r = N_{trn}/N_{ttl}\%$  where  $N_{trn}$  is the number of training samples and  $N_{ttl}$  is the total number of samples.
- The sparsity level,  $\sigma$ , is the ratio of the number of nonzero terms to total number of elements in each sample.

Comparison of Accuracy of Algorithms on COIL-100 Dataset.

Algorithms	Hold-out ratios (%)				
10 Class ( $\sigma = 0.031$ )	1.4	2.8	25	50	
SDTDL	$70.21 \pm 6.51$	<b>76.11</b> ± 4.17	<b>97.00</b> ± 1.82	<b>99.19</b> ± 0.50	
MPCA	$67.86 \pm 5.76$	$74.70 \pm 3.39$	$96.78 \pm 2.37$	$98.92 \pm 0.79$	
DGTDA		$74.3 \pm 3.06$	$95.6\pm1.5$	$99 \pm 0.604$	
CMDA		$70.9 \pm 3.72$	$94.2 \pm 1.72$	$98.4 \pm 0.541$	
20 Class ( $\sigma = 0.047$ )	1.4	2.8	25	50	
SDTDL	<b>60.51</b> ± 3.45	<b>72.61</b> ± 3.35	<b>95.91</b> ± 2.02	<b>99.22</b> ± 0.75	
MPCA	59.24 ± 4.59	$69.54 \pm 3.53$	$93.75 \pm 3.53$	$98.17 \pm 1.20$	
DGTDA		$71.8 \pm 2.07$	$95.8 \pm 0.642$	$98.9 \pm 0.219$	
CMDA		$68.9 \pm 2.08$	$95.1 \pm 0.728$	$98.6 \pm 0.31$	

Comparison of Accuracy of Algorithms on CASIA Gait Dataset A. ( $\sigma=0.01$ )

%	Hold-out ratios (%)					
Algorithms	12.5	25	50			
SDTDL	<b>92.48</b> ± 5.36	$96.78 \pm 2.54$	$98.67 \pm 1.53$			
MPCA	$90.76 \pm 5.22$	$95.89\pm1.58$	$98.17 \pm 1.66$			
DGTDA	$76.3 \pm 3.5$	$96.4 \pm 0.945$	$\textbf{99.4} \pm 0.435$			
CMDA	69 5 + 4 45	$94.8 \pm 1.13$	$99.2 \pm 0.48$			



#### Discussion

- Effect of Discriminability (r = 1.4%,  $\sigma = 0.031$ , COIL 10 classes):  $67.55 \pm 4.89$  vs  $69.35 \pm 5.85$ .
- Effect of Sparsity: Increasing the number of non-zero elements lowers the accuracy.
- SDTDL outperforms other methods especially when the number of training samples is small.

#### Conclusions

- Proposed a sparse discriminative tensor dictionary learning algorithm for tensor-type object classification.
- Combination of reconstruction error and discrimination power to learn orthogonal and separable dictionaries for each class.
- Orthogonality and separability make the training efficient compared to learning overcomplete dictionaries.
- Higher classification accuracy compared to MPCA and MDA.

# Thanks for listening!