



Kernel-Based Learning for Smart Inverter Control

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Motivation

- Voltage fluctuations due to renewables
- Inefficiency of voltage control devices



Reactive power control with inverters





Finding reactive power setpoints

Local control curves [Turitsyn'11; Kekatos-Baldick'15; IEEE 1547 standard]



- Centralized OPF [Lavaei-Low'14, Farivar-Low'15]
- Decentralized OPF
 [Dall'Anese-Dhople-Giannakis'15, Peng-Low'16]
- Quasi-static control policies



✓ no cyber requirements

✓ suboptimal

✓ *high* cyber requirements

- ✓ optimal, possibly obsolete
- ✓ *moderate* computations
- ✓ high communications

 \checkmark low communications

 \checkmark close to optimal

Approximate grid model

Single-phase *radial* grid with N+1 nodes and N lines

 Linearized distribution flow (LDF) model [Baran-Wu'89], [Deka et al'17]

$$\left(egin{array}{l} ilde{\mathbf{v}}\simeq \mathbf{R}(\mathbf{p}^g-\mathbf{p}^c)+\mathbf{X}(\mathbf{q}^g-\mathbf{q}^c)\ =\mathbf{X}\mathbf{q}^g+\mathbf{y}\end{array}
ight)$$

- Voltage deviation options - least-squares $\Delta_s(\mathbf{q}^g) = \|\mathbf{X}\mathbf{q}^g + \mathbf{y}\|_2^2$ - epsilon-insensitive $\Delta_{\epsilon}(\mathbf{q}^g) = \sum_{n=1}^{N} [\mathbf{e}_n^{\top} (\mathbf{X}\mathbf{q}^g + \mathbf{y})]_{\epsilon}$ $-\epsilon \quad 0 \quad +\epsilon_{deviation}$
- Approximate ohmic losses [Turitsyn'11] $L(\mathbf{q}^g) = (\mathbf{q}^g \mathbf{q}^c)^\top \mathbf{R}(\mathbf{q}^g \mathbf{q}^c)$

Problem formulation

Inverter setpoints to minimize voltage deviation and/or losses

$$\min_{\mathbf{q}^g} \quad C_{\lambda}(\mathbf{q}^g; \mathbf{y}) = \lambda \Delta(\mathbf{q}^g) + (1 - \lambda)L(\mathbf{q}^g)$$

s.to $-\bar{\mathbf{q}}^g \leq \mathbf{q}^g \leq \bar{\mathbf{q}}^g$

- Inverter setpoints as policies $q_n^g(\mathbf{z}_n) = f_n(\mathbf{z}_n)$
- remote and local inputs $\mathbf{z}_n = [p_n^g p_n^c \quad \bar{q}_n^g \quad q_n^c]^\top$
- Control rules as *affine* policies
 - chance-constrained [Cherkov-Bienstock'15]; [Ayyagari-Gatsis-Taha'17]
 - robust formulations [Jabr'18]; [Lin-Bitar'18]
 - closed-loop [Baker, Bernstein, Dall'Annese, Zhao'18]
- Policy outputs heuristically projected within feasible range



Training and operation



- ✓ utility collects injection data
- \checkmark designs control rules
- \checkmark rules downloaded to inverters



- ✓ inverters operate in real-time
- ✓ remote data transferred to inverters
- Design control rules as *non-linear* policies

Kernel-based learning

• Given data $\{(x_t \in \mathcal{X}, z_t \in \mathbb{R})\}_{t=1}^T$, and kernel function $K : \mathcal{X} \times \mathcal{X} \to \mathbb{R}$

$$f^* = \arg\min_{f \in \mathcal{H}_{\mathcal{K}}} \sum_{t=1}^{T} (z_t - f(x_t))^2 + \mu \|f\|_{\mathcal{K}}$$

where $\mathcal{H}_{\mathcal{K}} := \left\{ f(x) = \sum_t K(x, x_t) a_t \right\}$

Representer's Theorem: Minimizing function depends only on training data

$$\left(f^*(x) = \sum_{t=1}^T K(x, x_t)a_t^*\right)$$

Functional minimization as vector optimization

$$\arg\min_{\mathbf{a}} \|\mathbf{z} - \mathbf{K}\mathbf{a}\|_2^2 + \mu \sqrt{\mathbf{a}^\top \mathbf{K}\mathbf{a}}$$

Hastie, Tibshirani, Friedman, *The Elements of Statistical Learning: Data Mining, Inference, and Prediction, Springer, 2009.*

Least-squares regression

• Control rule design as function fitting using *T* scenario data

$$\begin{array}{c} \min \quad \sum_{t=1}^{T} C_{\lambda}(\mathbf{q}_{t}^{g}; \mathbf{y}_{t}) + \mu \sum_{n=1}^{N} \|f_{n}\|_{\mathcal{K}_{n}} \\ \text{over} \quad q_{n,t}^{g} = f_{n}(\mathbf{z}_{n,t}) + b_{n}, \ \{f_{n} \in \mathcal{H}_{\mathcal{K}_{n}}\} \\ \text{s.to} \quad |q_{n,t}^{g}| \leq \bar{q}_{n,t}^{g} \end{array}$$

Jointly learning inverter functions can be solved as a quadratic program

$$\left(q_{n,t}^{g}(\mathbf{z}_{n,s}) = \sum_{t=1}^{T} K(\mathbf{z}_{n,s}, \mathbf{z}_{n,t}) a_{n,t}^{*} + b_{n}^{*}\right)$$

• Rule described by pre-specified kernel and $\{\mathbf{z}_{n,t}, a_{n,t}^*\}_{t=1}^T, b_n^*$

Support vector inverter control

Lemma: Voltage costs ($\lambda = 1$) inducing *sparse* representations for inverter rules

$$\Delta_{\epsilon}(\mathbf{q}^{g}) = \sum_{n=1}^{\infty} \left[\tilde{v}_{n} \right]_{\epsilon} \implies a_{n,t} = 0 \quad \text{if} \quad |\tilde{v}_{m,t}| \le \epsilon \; \forall m$$
$$\Delta_{\tau}(\mathbf{q}^{g}) = \left[\|\tilde{\mathbf{v}}\|_{2} \right]_{\tau} \implies a_{n,t} = 0 \quad \text{if} \quad \|\tilde{\mathbf{v}}_{t}\|_{2} \le \tau$$



Different from SVMs, *block* voltage penalties yield *support feeder scenarios*

Numerical tests



- Pecan Str data (8am-8pm) on IEEE 123-bus feeder (1-phase)
- 70% solar penetration with 1.1 inverter oversizing
- Train for *T*=30 one-minute data; validate on next 30 one-min data

Performance vs. sparsity



• Sparsity in coefficients is controlled by ϵ or τ through cross-validation

Conclusions

- \square learning non-linear control policies
- ☑ data-based feeder-wide designs
- \square SVM costs for communication savings





- \Box closed-loop control
- □ remote input and kernel selection
- □ multi-stage formulations

