#### On The Utility of Conditional Generation Based Mutual Information For Characterizing Adversarial Subspaces

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## **Applications of Neural Networks**





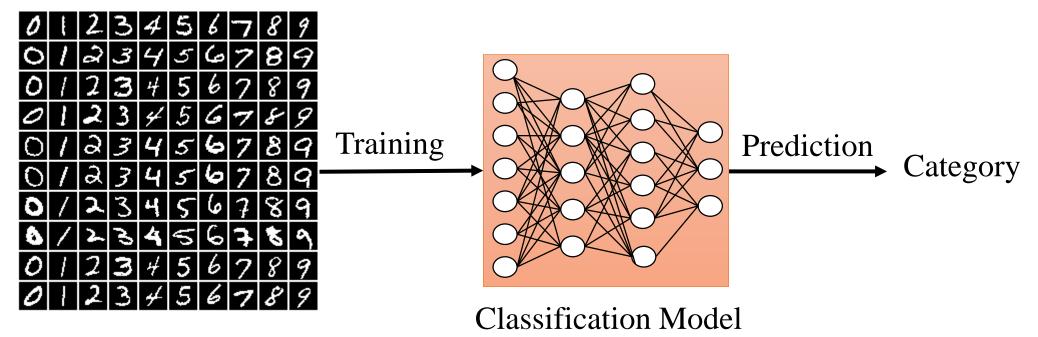
• Game-playing

[https://www.youtube.com/watch?v=Ipi40cb\_RsI]

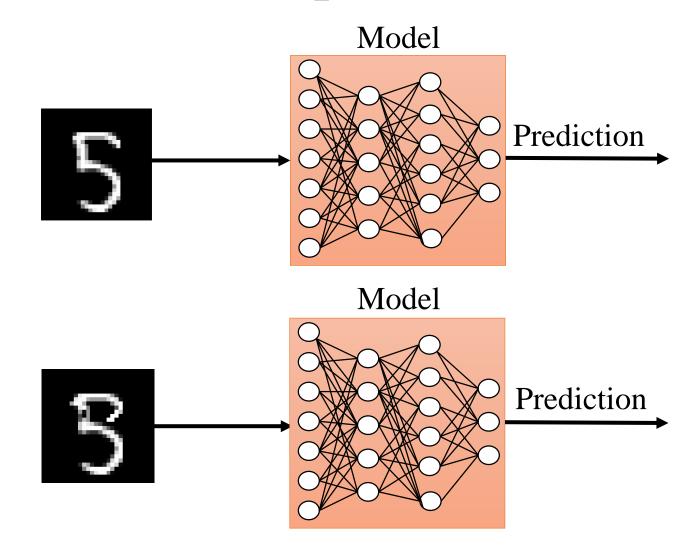
• Autonomous Vehicle

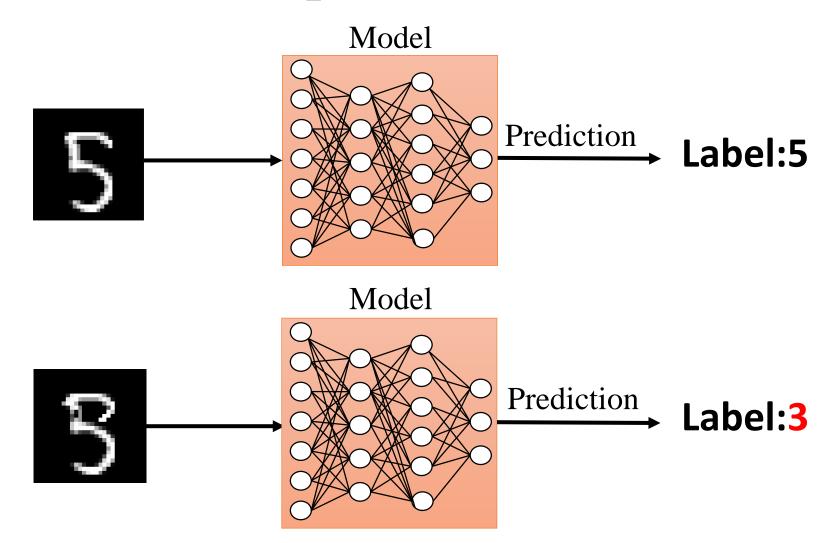
[https://www.youtube.com/watch?v=0rc4RqYLtEU]

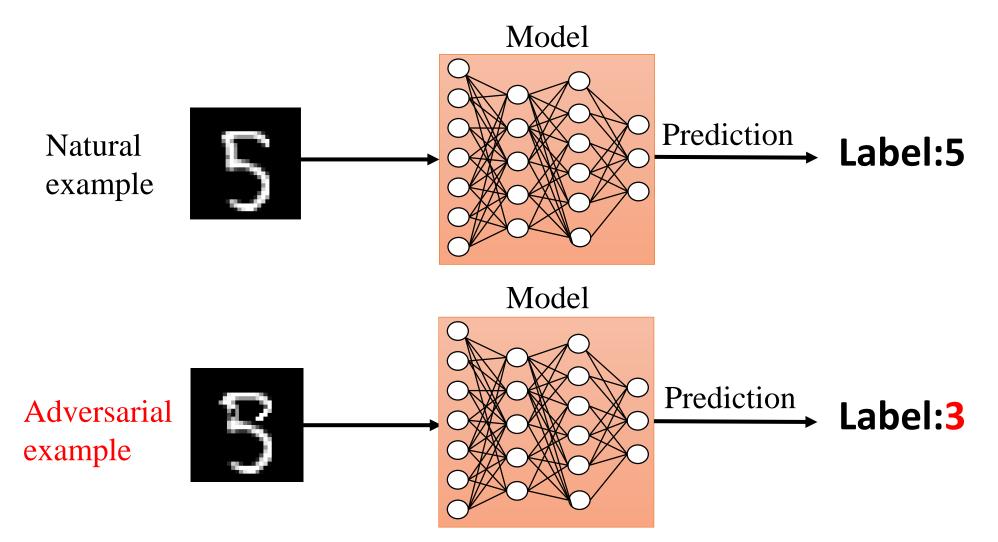
#### **Neural networks as classifiers**



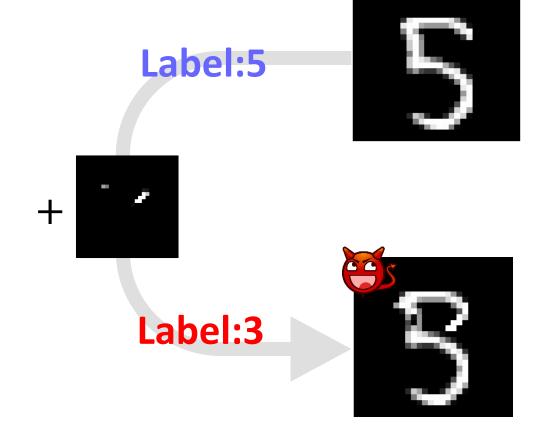
Handwritten Digits from the MNIST dataset



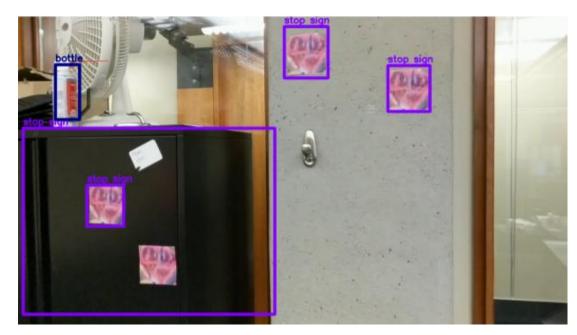




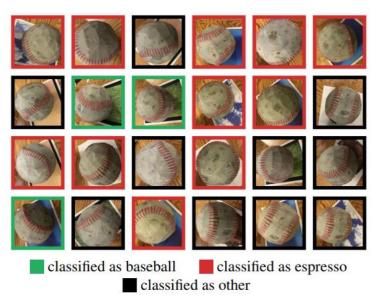
- Look like natural examples
- Cause misclassification



#### **Real word adversarial example**



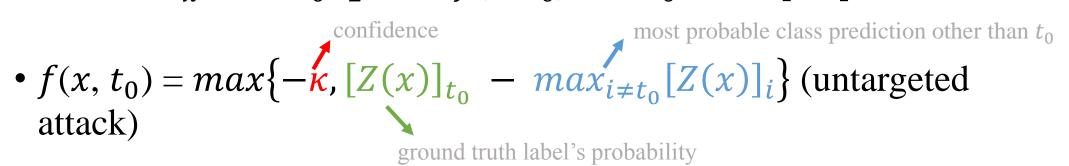
Physical Adversarial Sticker Perturbations for YOLO [Eykholt, 2018]



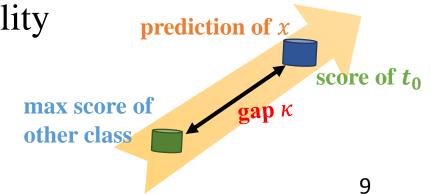
Adversarial baseball [Athalye, 2017]

### Carlini and Wanger's attack (C&W attack) [Carlini, 2017]

- Optimization-based method with carefully designed attack loss  $(L_2)$
- Minimize<sub>x</sub>  $||x x_0||_2 + c \cdot f(x, t_0)$  s.t.  $x_0 + \delta \in [0, 1]^p$

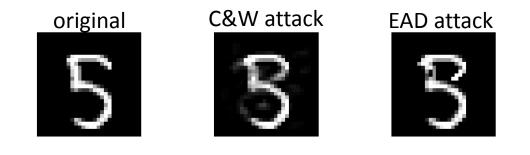


•  $\kappa \ge 0$ : confidence parameter for transferability



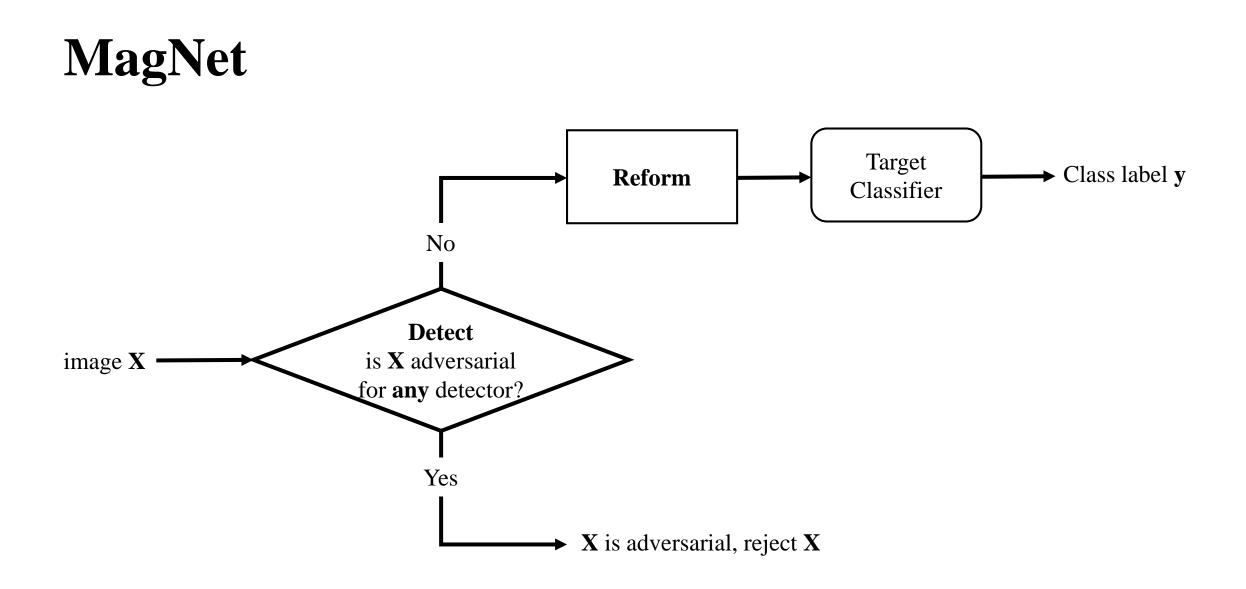
### EAD: Elastic-net Attacks to DNNs [Chen, 2018]

- Recall C&W attack: Minimize<sub>x</sub>  $||x x_0||_2 + c \cdot f(x, t_0)$  s.t.  $x_0 + \delta \in [0, 1]^p$
- EAD: Minimize<sub>x</sub>  $\beta \|x x_0\|_1 + \|x x_0\|_2 + c \cdot f(x, t_0)$  s.t.  $x_0 + \delta \in [0, 1]^p$
- The advantages of EAD attack(L<sub>1</sub> regularizer):
  - $||x x_0||_1 = ||\delta||_1$  is a convex regularizer that encourages sparsity and hence transferability in the adversarial perturbation  $\delta$
  - Craft adversarial images while denoising unnecessary noises towards more effective attacks



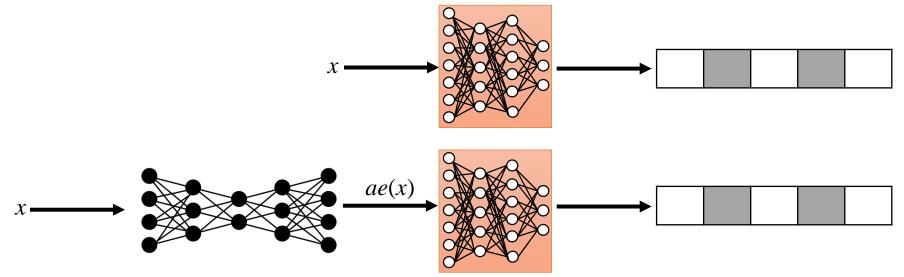
# **Defense of adversarial example**

- Detection approach
  - Separate natural examples and adversarial examples
- Manifold-based approach
  - Correcting adversarial examples by projection to data manifold
- Gradient masking
- Adversarial training
  - Iteratively retrain a DNN while augmenting adversarial examples



# MagNet

- Detector
  - Based on reconstruction error:  $||x ae(x)||_2 < \text{threshold}$ , MagNet accepts input
  - Based on probability divergence:  $D_{KL}(P||Q) < \text{threshold}$ , MagNet accepts input



#### **Mutual Information Detector (MID)**

Y = f(ae(x))

$$I(X,Y) = H(Y) - H(Y|X)$$

$$H(Y|X) = -\sum_{x} p(x) \left(\sum_{y} p(y|x) \log p(y|x)\right)$$

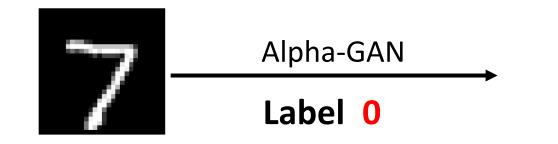
$$H(Y) = -\sum_{y} \log p(y) p(y)$$
Conditional generation
$$X = f(x)$$
Auto-encoder

## **Conditional generation**

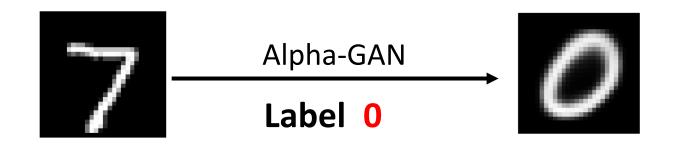


Alpha-GAN

## **Conditional generation**



## **Conditional generation**

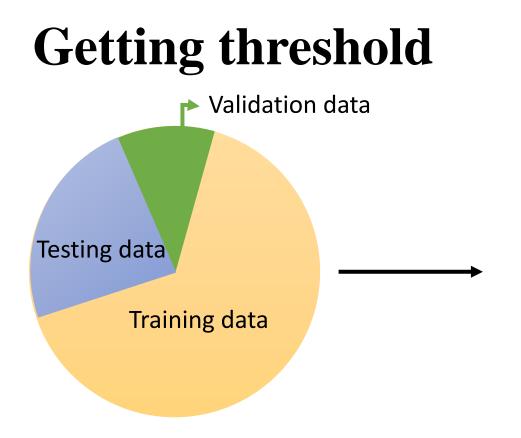


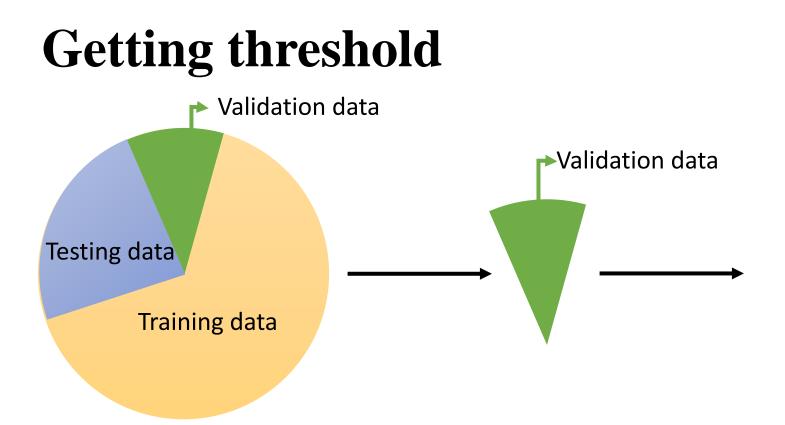
#### **Jaccard distance**

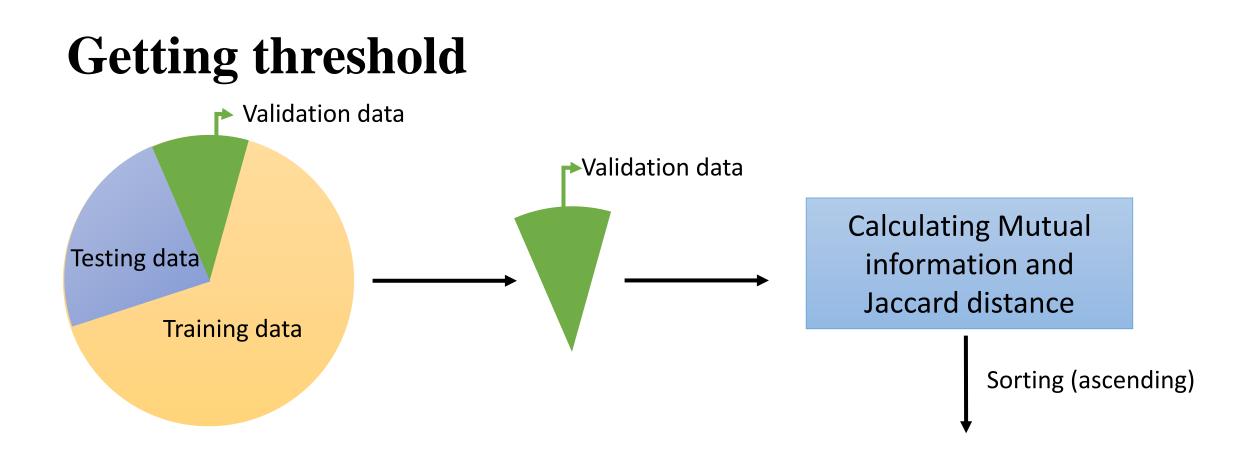
$$d(X,Y) = H(Y) + H(X) - 2I(X,Y)$$
Jaccard distance = 1 -  $\frac{d(X,Y)}{H(X,Y)}$ 

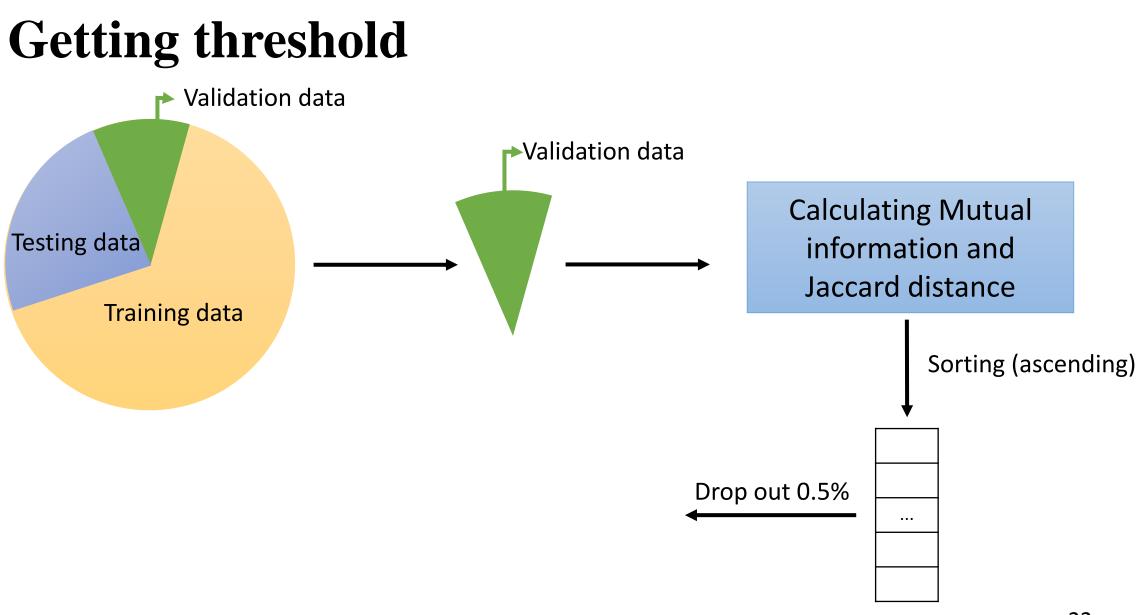
$$H(X,Y) = H(Y) + H(X) - I(X,Y)$$

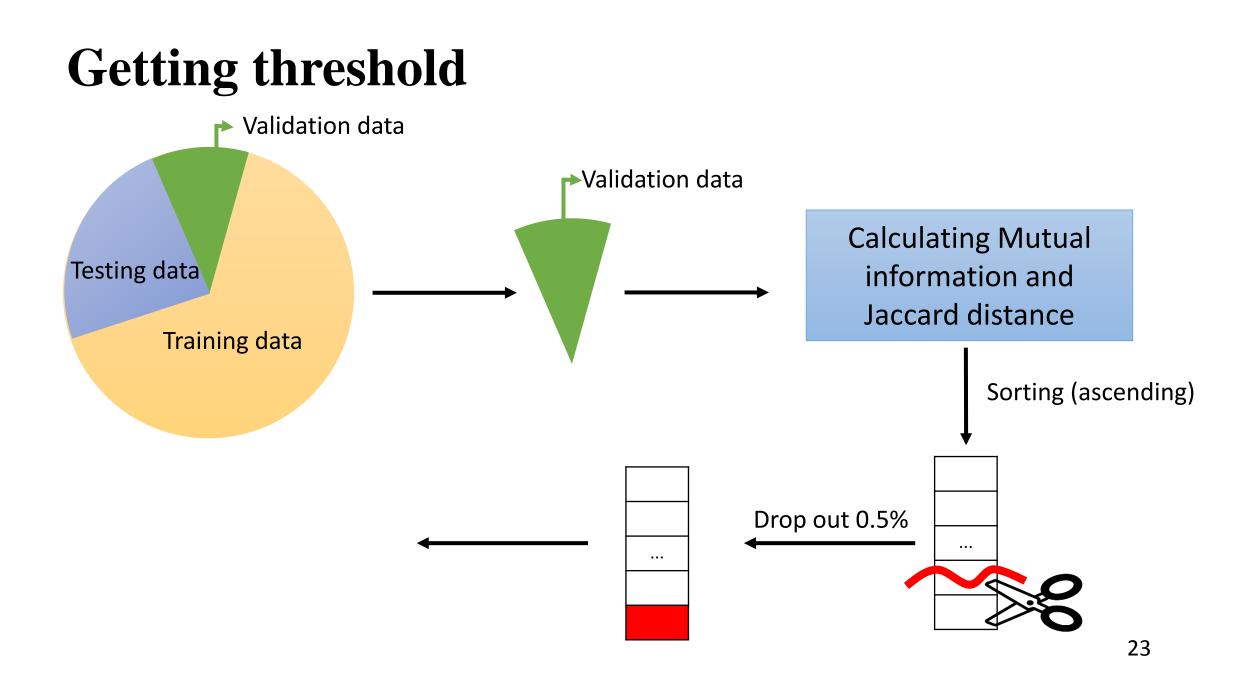
where, 
$$X = f(x)$$
,  $Y = f(ae(x))$  and  $H(Y) = -\sum_{y} \log p(y) p(y)$   
classifier Auto-encoder

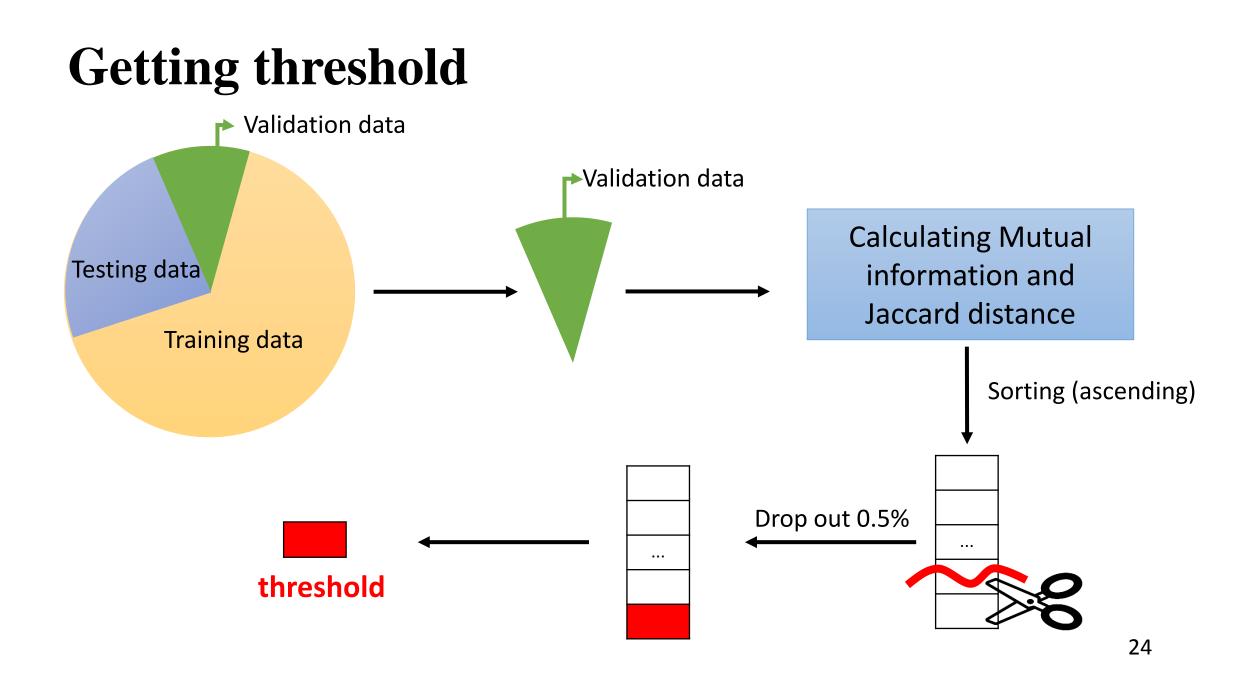












### **Experimental results—MNIST**

MNIST										
		MagNet		MID						
Attack	C&W attack	EAD attack	EAD attack	C&W attack	EAD attack	EAD attack				
method	$(L_2 \text{ version})$	$(L_1 \text{ rule}, \beta = 10^{-1})$	(EN rule, $\beta = 10^{-1}$ )	$(L_2 \text{ version})$	$(L_1 \text{ rule}, \beta = 10^{-1})$	(EN rule, $\beta = 10^{-1}$ )				
$\kappa$										
0	98.7	78.8	78.1	98.7	78.8	78.1				
5	94.6	33.5	26.6	95.8	39.4	37.4				
10	91.5	17.9	11.7	97.8	46.9	44				
15	90	16.2	9.7	98.0	47.4	41.8				
20	91.4	19.6	12.1	98.2	45.1	36.8				
25	93.9	26.1	16.8	98.4	44.3	35.6				
30	96.2	34.5	22.5	98.5	44.3 47.3	<u>32.9</u> 35.4				
35	97.7	41.1	28.6	99.0	47.3	35.4				
40	98.5	47.8	33.1	98.9	52.0	37.9				

### **Experimental results—CIFAR10**

CIFAR-10										
		MagNet		MID						
Attack	C&W attack	EAD attack	EAD attack	C&W attack	EAD attack	EAD attack				
method	$(L_2 \text{ version})$	$(L_1 \text{ rule}, \beta = 10^{-1})$	(EN rule, $\beta = 10^{-1}$ )	$(L_2 \text{ version})$	$(L_1 \text{ rule}, \beta = 10^{-1})$	(EN rule, $\beta = 10^{-1}$ )				
$\kappa$										
0	80.1	70.5	70.7	80.1	70.3	70.6				
10	50.3	26.2	26.4	50.9	28.2	28.5				
20	48.0	26.8	26.8	<u>51.4</u>	29.7	29.7				
30	62.9	37.1	38.4	63.8	38.0	39.6				
40	72.3	48.4	45.3	72.8	49.2	<u>29.7</u> <u>39.6</u> 46.0				
50	81.4	61.0	60.0	81.7	61.5	60.3				
60	89.6	73.8	71.7	89.6	74.1	71.7				
70	94.6	84.6	81.5	94.6	84.6	81.5				
80	97.3	90.6	90.4	97.3	90.6	90.4				

# Conclusion

- Mutual information is a promising approach to characterize adversarial subspaces
- We will continue to improve the quality of image generated by auto-encoder to strengthen the effectiveness of mutual information detector