

# Single Relay Selection for Secure Communication in a Cooperative System with Multiple Full-Duplex DnF Relays



**Binh Nguyen**

School of Information and Communications  
Gwangju Institute of Science and Technology  
Gwangju, Republic of Korea

November 19<sup>th</sup>, 2015

# List of Contents



- Introduction
- Motivation and Objective
- Contribution
- Conclusive Remarks

# Cooperative Communication



- Recent developments on MIMO systems show that the channel capacity can dramatically increase by using multiple transmit and receive antennas.
  - Given perfect CSI, we have  $\lim_{SNR \rightarrow \infty} \frac{C(SNR)}{\log(SNR)} = \min \{M_t, M_r\}$ , where  $M_t$  and  $M_r$  respectively are numbers of transmit and receive antennas.
- However, deployment of multiple antennas in a limited space, i.e., hand-held devices, is difficult and costly.
- This motivates the introduction of Cooperative Communications, in which single antenna systems can obtain spatial diversity by exploiting distributed antennas to create virtual MIMO channels [Cuba'12].

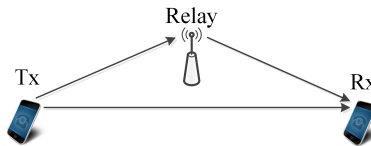


Fig. 1: An example of a cooperative communication system

## Half-Duplex versus Full-Duplex Relay



- In cooperative systems, relays can operate either in half-duplex (HD) or in full-duplex (FD) mode.
- Half-duplex mode: a relay receives and transmits in two separate time slots.
  - Easy to implement.
  - However, it suffers from high bandwidth and spectral efficiency loss.
- Full-duplex mode: a relay receives and transmit simultaneously.
  - Recovers the bandwidth and spectral efficiency loss associated with HD mode.
  - However, it suffers from loop interference due to the signal leakage between relay's input and output.
- With recent advances on antenna technologies and signal processing, FD mode has attracted much attention recently.



## Single Relay Selection

- In multi-relay scenarios, relays can be utilized according to
  - Orthogonal transmission approach.
  - Distributed space-time coding approach.
  - Beamforming approach.
  - Single relay selection approach.
- Among which single relay selection is a good option for practical implementation [Jing'09].

Schemes	Description	Pros	Cons
<b>Orthogonal transmission</b>	Transmission in orthogonal channels	Low complexity receiver	Spectrally inefficient
<b>Distributed space-time coding</b>	Simultaneous transmission using a space-time code	Spectrally efficient	Requires strict synchronization Challenging code design
<b>Beamforming</b>	Simultaneous transmission with phase adjustment of transmitted signals	Best performance	Requires transmit-site channel knowledge at relays Sensitive to mismatches in carrier and timing synchronization
<b>Single relay selection</b>	Only the 'best' relay transmits	Simplifies signaling and network synchronization Low complexity receiver	Design of selection mechanism and associated overhead

Table 1. A comparison of approaches that can be used in multi-relay scenarios

## Physical Layer Security



- Even though the broadcast nature of wireless medium facilitates cooperative communication, it make wireless data transmission vulnerable to eavesdropping attack.
- Traditionally, secure wireless data transmission has been relied on cryptographic which suffers from very high communication overhead and computational complexity.
- As an alternative, physical layer security (PLS) is emerging as a promising prototype relying on exploiting the physical characteristic of wireless channels for protection against eavesdropping attack [Mukhehee'14].
- In addition, and importantly, PLS approach can operate essentially independent of higher layers, so it can be used to augment existing security schemes.

# Motivation and Objective



- Motivation
  - Applying relay selection techniques to improve robustness against eavesdropping attack has gained a lot of interest in research community recently.
  - Secure communication in multi-HD-relay systems have extensively studied in [Krikidis'09]-[Wang'15].
  - There are only a few works consider security issues in FD relay systems [Alves'14]-[Lee'15].
  - Importantly, [Alves'14]-[Lee'15] focus on single relay configuration which may be inadequate in reality because many relays could be available.
- Objective:
  - Investigating single relay selection schemes for a multi-FD-relay system under eavesdropping attack.

## System Model



- The considered system consist a source  $S$ , a destination  $D$ ,  $K$  FD DnF relays, and an eavesdropper  $E$ .
- $h_{ij}$  denotes the channel gain of  $i - j$  link and  $h_{kk}$  is the self-interference channel of the relay  $k$ .  $h_{ij}$  and  $h_{kk}$  are subject to Rayleigh fading.
- Capacities obtained at  $D$  and  $E$  are

$$C_D^{R_k} = \log_2 \left( 1 + \min \left\{ \frac{\gamma_{SR_k}}{\gamma_{kk} + 1}, \gamma_{R_k D} \right\} \right), \quad (1)$$

$$C_E^{R_k} = \log_2 \left( 1 + \gamma_{R_k E} \right), \quad (2)$$

where  $\gamma_{ij} = \frac{P}{N_0} h_{ij}^2$ .

- The system secrecy capacity is

$$C^{R_k} = \max \left\{ 0, \log_2 \left( \frac{1 + \min \left\{ \frac{\gamma_{SR_k}}{\gamma_{kk} + 1}, \gamma_{R_k D} \right\}}{1 + \gamma_{R_k E}} \right) \right\}. \quad (3)$$

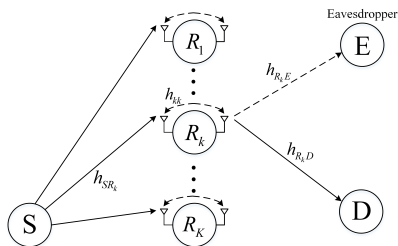


Fig. 2: A multi-FD-DnF-relay system is under eavesdropping attack





## Single Relay Selection Schemes

- According to the conventional Max-Min relay selection (MRS) scheme, the relay  $R_m$  is selected if it satisfies

$$m = \arg \max_{k=1, \dots, K} \left[ \min \left\{ \frac{\gamma_{SR_k}}{\gamma_{kk} + 1}, \gamma_{R_k D} \right\} \right]. \quad (4)$$

- It is obvious that the MRS scheme is suboptimal for our considered system.
- We propose an optimal relay selection (ORS) scheme which selects a relay as follows

$$o = \arg \max_{k=1, \dots, K} \left[ \frac{1 + \min \left\{ \frac{\gamma_{SR_k}}{\gamma_{kk} + 1}, \gamma_{R_k D} \right\}}{1 + \gamma_{R_k E}} \right]. \quad (5)$$

- Because the ORS scheme takes the eavesdropper channels into account, it is expected to provide better system performance compared to the MRS counterpart.

## Secrecy Outage Probability Analysis (1)



- We now analyze the performance of the proposed scheme by deriving its secrecy outage probability (SOP).
- As a comparison, the SOP of the conventional MRS scheme is also given.
- In addition, by considering the SOPs in the high region of average SNR of the main channels (source-to-relay and relay-to-destination), novel insights on the system behaviour are revealed.



## Secrecy Outage Probability Analysis (2)

- The SOP of the MRS scheme is given by

$$\begin{aligned}
 SOP_m &= \Pr [C^{R_m} < R_S] \\
 &= \frac{\lambda_{SR}}{b\lambda_R\lambda_{RE}} \sum_{k=1}^K \binom{K}{k} (-1)^k \frac{e^{-ak\left(\frac{1}{\lambda_{SR}} + \frac{1}{\lambda_{RD}}\right)} E_k\left(\left(1 + \frac{a\lambda_R}{\lambda_{SR}}\right)A\right)}{\left(1 + \frac{a\lambda_R}{\lambda_{SR}}\right)^{k-1} e^{-\left(1 + \frac{a\lambda_R}{\lambda_{SR}}\right)A}}, \quad (6)
 \end{aligned}$$

where  $A = \frac{\lambda_{SR}}{b\lambda_R} \left( \frac{bk}{\lambda_{SR}} + \frac{kb}{\lambda_{RD}} + \frac{1}{\lambda_{RE}} \right)$ ,  $a = 2^{R_S} - 1$ ,  $b = 2^{R_S}$ ,  $\lambda_{ij} = P\delta_{ij}^2/N_0$ ,  $\lambda_R = P\delta_{kk}^2/N_0$ ,  $\delta_{ij}^2$  is variance of  $h_{ij}$ ,  $P$  is transmit power of source and relays,  $N_0$  is power of AWGN,  $R_S$  is pre-defined secure rate, and  $E_k(x)$  is the exponential integral function.

- When  $\lambda_{R_k}E = \lambda_E$  is fixed and  $\lambda_{SR_k} = \lambda_{R_k}D = \lambda_M \rightarrow \infty$ , we have

$$SOP_m \approx O\left(\frac{1}{\lambda_M^K}\right). \quad (7)$$

- When  $\lambda_E = \lambda_M/L$  and  $\lambda_M \rightarrow \infty$ , we derive

$$SOP_m \approx \sum_{k=0}^K \binom{K}{k} \frac{(-1)^k}{(1 + 2bk/L)}. \quad (8)$$



## Secrecy Outage Probability Analysis (3)

- The SOP of the optimal scheme is given by

$$\begin{aligned}
 SOP_o &= \Pr [C^{R_o} < R_S] \\
 &= \left( 1 - \frac{\lambda_{SR} e^{-a \left( \frac{1}{\lambda_{SR}} + \frac{1}{\lambda_{RD}} \right) + B} E_1(B)}{b \lambda_R \lambda_{RE}} \right)^K,
 \end{aligned} \tag{9}$$

where  $B = \frac{\lambda_{SR}}{b \lambda_R} \left( 1 + \frac{a \lambda_R}{\lambda_{SR}} \right) \left( \frac{b}{\lambda_{SR}} + \frac{b}{\lambda_{RD}} + \frac{1}{\lambda_{RE}} \right)$ .

- When  $\lambda_E$  is fixed and  $\lambda_M \rightarrow \infty$ , we obtain

$$SOP_o \approx \frac{(2a + a \lambda_R + 2b \lambda_{RE} + b \lambda_R \lambda_{RE})^K}{\lambda_M^K}. \tag{10}$$

- When  $\lambda_E = \lambda_M/L$  and  $\lambda_M \rightarrow \infty$ , we derive

$$SOP_o \approx \left( 1 - \frac{L}{L + b(2 + \lambda_R)} \right)^K. \tag{11}$$

## Secrecy Outage Probability Analysis (4)



- Remarks

- When  $\lambda_E$  is fixed and  $\lambda_M \rightarrow \infty$ , both MRS and ORS can provide a diversity order equal to the number of relays.
- When  $\lambda_E = \lambda_M/L$  and  $\lambda_M \rightarrow \infty$ , the SOPs of both MRS and ORS schemes converge to well-defined saturated values.



## Simulation Results (1)

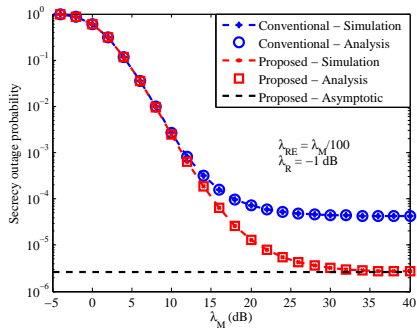
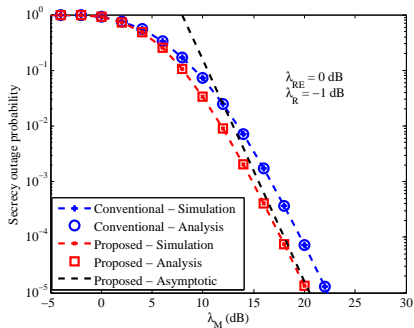


Fig. 3. Secrecy outage probability as a function of  $\lambda_M$  with  $K = 4$  relays.

- The simulated results confirm that the MRS and ORS schemes follow the same trend in the high region of  $\lambda_M$ . In addition, the proposed scheme always outperform the conventional one.



## Simulation Results (2)

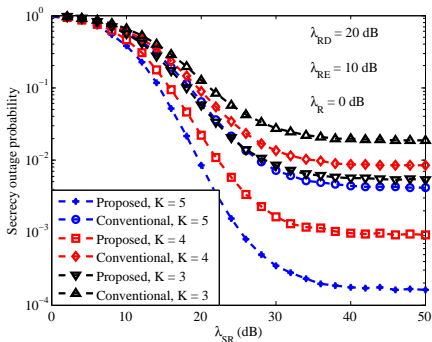


Fig. 4. Effect of the number of relays on the system performance.

- Increasing the number of relays significantly enhances performance of both MRS and ORS schemes.
- As the number of relays increases, the gap between performance of the ORS scheme and that of the MRS scheme is enlarged.

## Conclusions



- In this work, we investigated single relay selection schemes for a multi-FD-DnF-relay system which is under eavesdropping attack.
- We proposed the ORS scheme which selects the relay that maximizes the system secrecy capacity.
- We derived the secrecy outage probabilities of the ORS and the conventional MRS schemes in closed-form expressions, which can help system designers quickly obtain system performance without doing complex computer simulations.
- We showed that ORS and MRS follow the same trend in the high region of average SNR of the main channels.
  - When average SNR of the eavesdropper channels is fixed, both schemes can provide a diversity order equal to the number of relays.
  - When average SNR of the eavesdropper channels is linear proportion to that of the main channels, the SOPs of the two schemes are saturated.



## References



- 1 [Cuba'12] F. G. Cuba, R. A. Cacheda, and F. J. G. Castaño, "A Survey on Cooperative Diversity for Wireless Networks," *IEEE Commun. Surveys Tuts.*, vol. 14, NO. 3, pp. 822-835, Third Quarter 2012.
- 2 [Jing'09] Y. Jing, and H. Jafarkhani, "Single and Multiple Relay Selection Schemes and their Achievable Diversity Orders," *IEEE Trans. Wireless Commun.*, vol. 8, NO. 3, pp. 1414-1423, March 2009.
- 3 [Mukhejee'14] A. Mukherjee, S. A. A. Fakoorian, J. Huang, and A. L. Swindlehurst, "Principles of Physical Layer Security in Multiuser Wireless Networks: A Survey," *IEEE Commun. Surveys Tuts.*, vol. 16, NO. 3, pp. 1550-1573, Third Quarter 2014.
- 4 [Krikidis'09] I. Krikidis, J. S. Thompson, and S. McLaughlin, "Relay Selection for Secure Cooperative Networks with Jamming," *IEEE Trans. Wireless Commun.*, vol. 8, no. 10, pp. 5003-5011, Aug. 2009.
- 5 [Wu'13] N. Wu and H. J. Li, "Effect of Feedback Delay on Secure Cooperative Networks with Joint Relay and Jammer Selection," *IEEE Commun. Lett.*, vol. 2, no. 4, pp. 415-418, Aug. 2013.
- 6 [Jindal'14] A. Jindal, C. Kundu, and R. Bose, "Secrecy Outage of Dual-Hop AF Relay System with Relay Selection Without Eavesdropper's CSI," *IEEE Commun. Lett.*, vol. 18, no. 10, pp. 1759-1762, Oct. 2014.
- 7 [Wang'15] L. Wang, K. J. Kim, T. Q. Duong, M. Elkashlan, "Security Enhancement of Cooperative Single Carrier Systems," *IEEE Trans. Inf. Forensics Security*, vol. 10, no. 1, pp. 90-113, Jan. 2015.

## References



- 8 [Alves'14] H. Alves, G. Brante, R. D. Souza, D. B. da Costa, and M. Latva-aho, "On the Performance of Full-Duplex Relaying Under Physical Security Constraints," *IEEE ICASSP*, 2014.
- 9 [Huang'14] X. Huang, J. He, Q. Li, Q. Zhang, and J. Qin, "Optimal Power Allocation for Multicarrier Secure Communication in Full-Duplex Decode-and-Forward Relay Networks," *IEEE Commun. Lett.*, vol. 18, no. 12, pp. 2169-2172, Dec. 2014.
- 10 [Chen'15] G. Chen, Y. Gong, P. Xiao, and J. A. Chambers, "Physical Layer Network Security in the Full-Duplex Relay System," *IEEE Trans. Inf. Forensics Security*, vol. 10, no. 3, pp. 574-583, March 2015.
- 11 [Lee'15] J. H. Lee, "Full-Duplex Relay for Enhancing Physical Layer Security in Multi-Hop Relaying Systems," *IEEE Commun. Lett.*, vol. 19, no. 4, pp. 525-528, April 2015.

# Thank You

---

Any questions or comments are appreciated.

Binh Nguyen