A TIME-RESTRICTED SELF-ATTENTION LAYER FOR ASR



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Layer

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Figure 1: (a) The proposed self-attention layer and the comprising components. (b) A single-head attention component. Left and right context sizes are 2 and 1 respectfully. For clarity, positional-encoding and the softmax (which is applied to the dot-products) are not shown. (c) A multi-head attention component (which is used in the attention layer) using single-head attention blocks. K, Q, and V respectively mean key, query, and value.

Background

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- Attention: A mechanism which allows the network to focus on the relevant part of the input at each time step.
- Self-attention: An attention mechanism where the input and output sequence lengths are the same.
- Attention-based models have recently been successfully applied to a variety of tasks such as machine translation, caption generation, and phoneme recognition.
- Multi-head attention: An attention layer with multiple heads which can jointly attend to dif-

Initial Experiments

- The number of heads did not significantly affect the performance. We used 30 in the experiments.
- We found that a key/value dimension ratio of 0.5 works best.





ferent subspaces of the input representation. Recently proposed and used for NMT [1].

Time-restricted Self-Attention

- We propose a self-attention layer which is time restricted, i.e. it is suitable for ASR where the input sequence can be very long (also see Figure 2 on the right).
- The attention component interprets its input x_t as being three things appended together: q_t and k_t and v_t which are the query, key and value respectively. The order in which we divide the input to key/query/value is not important as long as we do it consistently.
- The output y_t is a weighted sum (over time) of the values v_t , where the weights are determined by dot products of the queries and the keys (normalized via softmax):

 $y_t =$

Figure 2: Weight vector c_t averaged over all heads for an attention layer with 150 heads and context [-45, 45].

Figure 3: Attention weight vector c_t for different attention configurations. The horizontal axis shows time.





Results

 $\tau = t - L$

■ TDNN ■ TDNN+Attention

TDNN-LSTM TDNN-LSTM + Attention

- Since our attention mechanism is timerestricted, we use a one-hot encoding of the relative position of τ versus t to enable positional encoding.
- This is not "attention-based speech recognition" – we are not using attention to replace the left-to-right alignment of the HMM. It is simply an alternative to TDNN and LSTM layers in our model topology.

Conclusion

We introduced a time-restricted self-attention layer and used it in our state-of-the-art LF-MMI neural networks, replacing a TDNN or LSTM layer.

- We found that using a single self-attention layer towards the end of the network can improve the WER by 0.2-0.6 in our TDNN and TDNN-LSTM setups (except on WSJ).
- In TDNN-LSTMs, it can also speed up decoding by 20%.

References

[1] Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Lukasz Kaiser, and Illia Polosukhin, "Attention is all you need," arXiv preprint arXiv:1706.03762, 2017