

# Reduction of Necessary Data Rate for Neural Data through Exponential and Sinusoidal Spline Decomposition using the Finite Rate of Innovation Framework

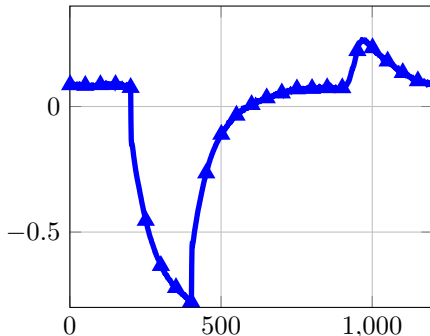
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New Orleans, March 7th, 2017



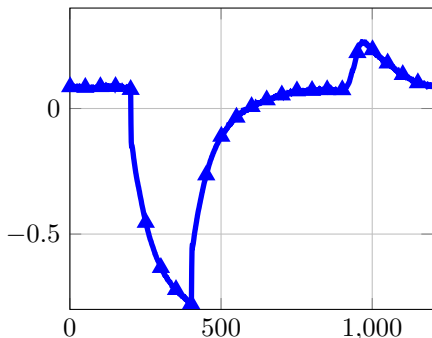
# Motivation: Action potential



## Observations

- State of the Art (wireless): Only Spike Detection possible
- Strict hardware requirements: Fine reconstruction impossible
- Compressed Sensing (CS): Reduce data rate by factor 3 – 5

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## Main Contribution

Finite Rate of Innovation (FRI): Data rate reduction by factor **200**

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# Finite Rate of Innovation

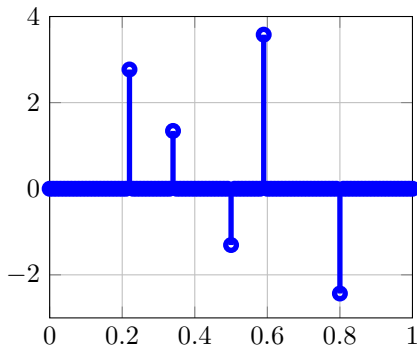


Figure: Stream of Diracs

## Formal definition

- Set of *known* functions:

$$\{\Psi_r(t)\}_{r=0,\dots,R-1}$$

$$\mathbf{x}(t) = \sum_{i \in \mathbb{Z}} \sum_{r=0}^{R-1} c_{ir} \Psi_r \left( \frac{t - t_i}{T} \right)$$

- Rate of Innovation  $\rho$ :  
Number of unknowns
- Spike train: Finite  $\rho = 10$ ,  
unlimited bandwidth

# Exponential and sinusoidal splines

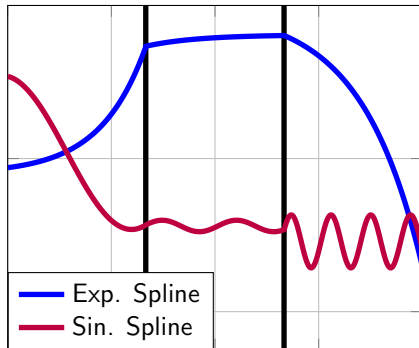


Figure: Example splines

# Exponential and sinusoidal splines

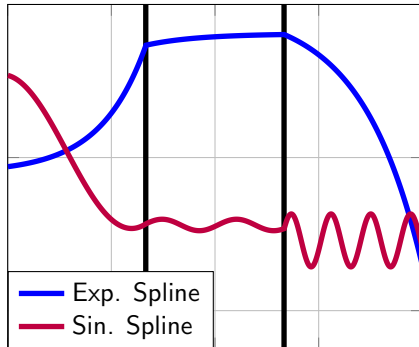


Figure: Example splines

## Formal definition

Exponential splines:

$$\Psi_i(t) = a_i e^{b_i t} + d_i$$

$$\text{for } t_i \leq t < t_{i+1}$$

Sinusoidal splines:

$$\Psi_i(t) = a_i \cos(b_i t + c_i) + d_i$$

$$\text{for } t_i \leq t < t_{i+1}$$

Continuous at knots:

$$\mathbf{x}_i(t_i) = \mathbf{x}_{i+1}(t_i)$$

# Needed steps

- ① Find nonlinear parts  $b_i$
- ② Solve linear regression for remaining unknowns
- ③ Find knots of the spline



# Reconstruction of single part

## Problem formulation

Given:

$$\mathbf{x}(t) = \sum_{i=1}^k a_i e^{b_i t}$$

and  $y_i = \langle \mathbf{x}(t), \mathbf{h}(t + iT) \rangle + n$  for  
 $1 \leq j \leq 2k$ .

Task: Find **unknown**  $a_i$  and  $b_i$

Idea: Build annihilating filter

$$(\mathbf{g}(t) * \mathbf{x}(t))[jT] = 0 \forall 1 \leq j \leq k$$

Then: Roots of  $\mathbf{g}(t)$  are  $e^{b_i}$ !

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## Solution: Annihilating Filter

Rewrite convolution to

$$\begin{pmatrix} \mathbf{y}_1 & \cdots & \mathbf{y}_k \\ \vdots & \ddots & \vdots \\ \mathbf{y}_k & \cdots & \mathbf{y}_{2k-1} \end{pmatrix} \begin{pmatrix} g_{k-1} \\ \vdots \\ g_0 \end{pmatrix} = \begin{pmatrix} \mathbf{y}_{k+1} \\ \vdots \\ \mathbf{y}_{2k} \end{pmatrix}$$

- Solve for  $\mathbf{g}(t)$ , find roots to get  $e^{b_i}$
- With known  $b_i$ , search for  $a_i$  becomes **linear**
- Works for every filter  $\mathbf{h}(t)$ !

# Exponential functions

## Problem formulation

Unknown coefficients:

$$\begin{aligned} \mathbf{x}(t) &= ae^{bt} + d \\ &= a(e^b)^t + d(e^0)^t \end{aligned}$$

FRI:  $\rho = 3$

Given for  $i = 1, 2, 3$ :

$$y_i = \langle \mathbf{x}(t), \mathbf{h}(t + iT) \rangle + n$$

## Annihilating Filter

Build the annihilating filter

$$\begin{aligned} \mathbf{g}(t) &= (t - e^b)(t - e^0) \\ &= t^2 + t(-e^b - 1) + e^b \end{aligned}$$

Find roots with

$$\begin{pmatrix} y_3 & y_2 & y_1 \end{pmatrix} \begin{pmatrix} 1 \\ -e^b - 1 \\ e^b \end{pmatrix} = 0$$

## Solution

$$b = \log \left( \frac{y_3 - y_2}{y_2 - y_1} \right) / T$$

# Sinusoidal functions

## Problem formulation

Unknown coefficients:

$$\begin{aligned} \mathbf{x}(t) &= a \cos(bt + c) + d \\ &= \frac{a}{2} e^{ic} (e^{ib})^t + \frac{a}{2} e^{-ic} (e^{-ib})^t \\ &\quad + d(e^0)^t \end{aligned}$$

FRI:  $\rho = 4$

Given for  $i = 1, 2, 3, 4$ :

$$y_i = \langle \mathbf{x}(t), \mathbf{h}(t + iT) \rangle + n$$

## Annihilating Filter

Define  $\hat{b} := 1 + 2 \cos(b)$

$$\begin{aligned} \mathbf{g}(t) &= (t - e^{ib})(t - e^{-ib})(t - e^0) \\ &= t^3 - \hat{b}t^2 + \hat{b}t - 1 \end{aligned}$$

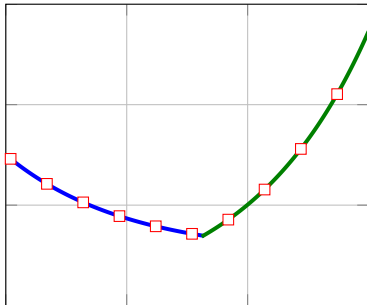
Find roots with

$$(y_4 \quad y_3 \quad y_2 \quad y_1) \begin{pmatrix} 1 \\ -\hat{b} \\ \hat{b} \\ -1 \end{pmatrix} = 0$$

## Solution

$$b = \arccos \left( \frac{1}{2} \left( \frac{y_4 - y_1}{y_3 - y_2} - 1 \right) \right) / T$$

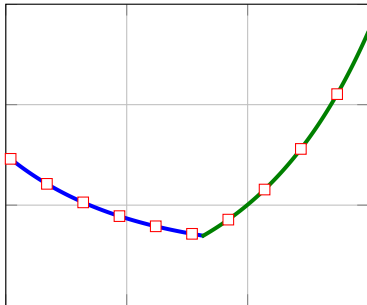
# Finding the knots



## Main idea

- 1 Compute parts for 4 samples
- 2 Find first sample not on function
- 3 Use Newton method to find intersection
- 4 Repeat

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## Sampling filter choice

Open question: Best sampling filter?

# Sampling Filter

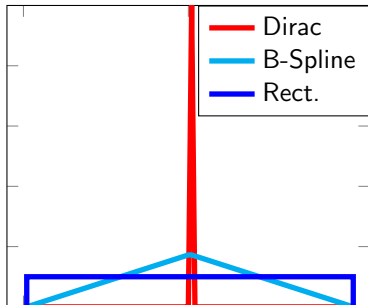


Figure: Different filter designs

## Filter Design

- Algorithm works with any filter  $h(t)$
- Noise tolerance still depends on filter
- What is the best filter to maximize the SNR?

# Theoretical results

## Matched Filter Design

- Theoretical best filter is matched filter

$$\mathbf{h}(t) = K\mathbf{x}^*(iT - t)$$

- Problem:  $\mathbf{x}(t)$  is **unknown**
- Idea: Stochastic approach, match to **mean** function



# Theoretical results

## Matched Filter Design

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$$\mathbf{h}(t) = K\mathbf{x}^*(iT - t)$$

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## Mean Filter Design

- Mean exponential function

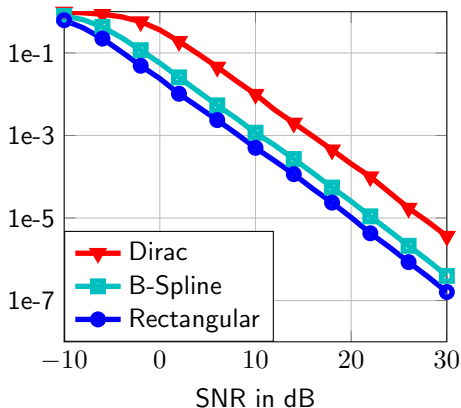
$$\mathbf{x}(t) = ae^{0t} + d = \text{const}$$

- Mean sinusoidal function

$$\mathbf{x}(t) = a \cos(0t + c) + d = \text{const}$$

- Solution: Best filter is **rectangular!**

# Reconstruction for different filter designs

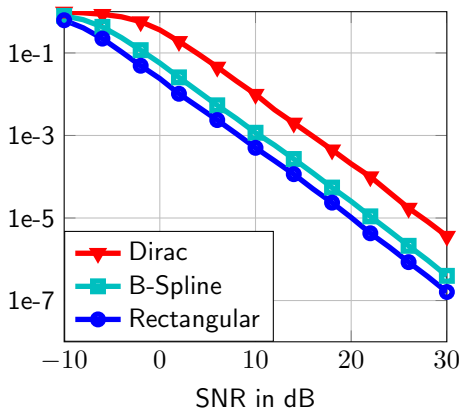


## Simulation setup

- Filter width:  $w = 100$
- Sample size:  $m = 10$

Figure: Rec. error  $\|f - f_{rec}\|_2$  vs. SNR

# Reconstruction for different filter designs



## Simulation setup

- Filter width:  $w = 100$
- Sample size:  $m = 10$

## Observations

- Simulation confirms theoretical results
- Dirac is worst filter (no noise suppression)
- Rectangular filter matches signal well

Figure: Rec. error  $\|f - f_{rec}\|_2$  vs. SNR

# Reconstruction of neural data with a rect. filter

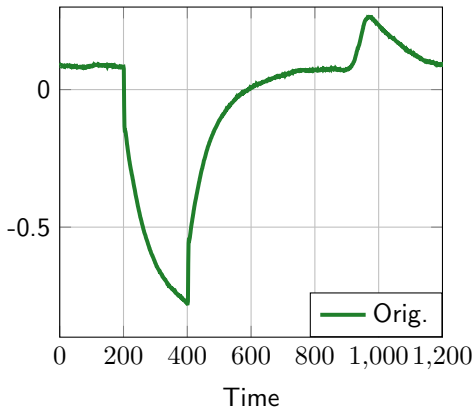


Figure: Reconstruction of neural data

# Reconstruction of neural data with a rect. filter

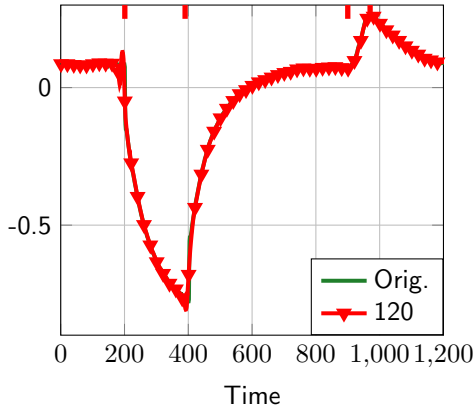


Figure: Reconstruction of neural data

# Reconstruction of neural data with a rect. filter

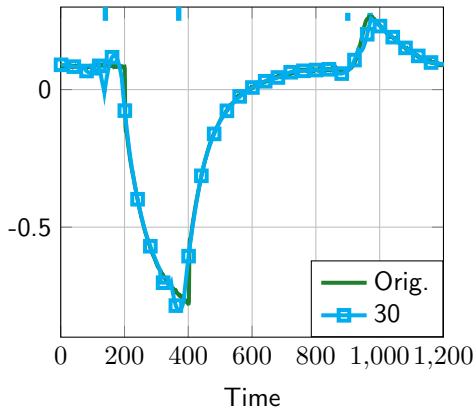
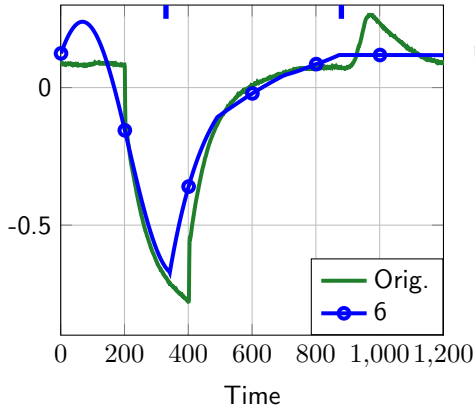


Figure: Reconstruction of neural data

# Reconstruction of neural data with a rect. filter



## Conclusion

- Reconstruction of neural data is possible!
- Rate reduction by factor 10 nearly without loss
- Factor 200 reduction still allows main feature extraction

Figure: Reconstruction of neural data