

# Multicasting with Untrusted Relays: A Noncoherent Secure Network Coding Approach

**Ta-Yuan Liu**<sup>1</sup>, Shih-Chun Lin<sup>2</sup>, and Y.-W. Peter Hong<sup>1</sup>

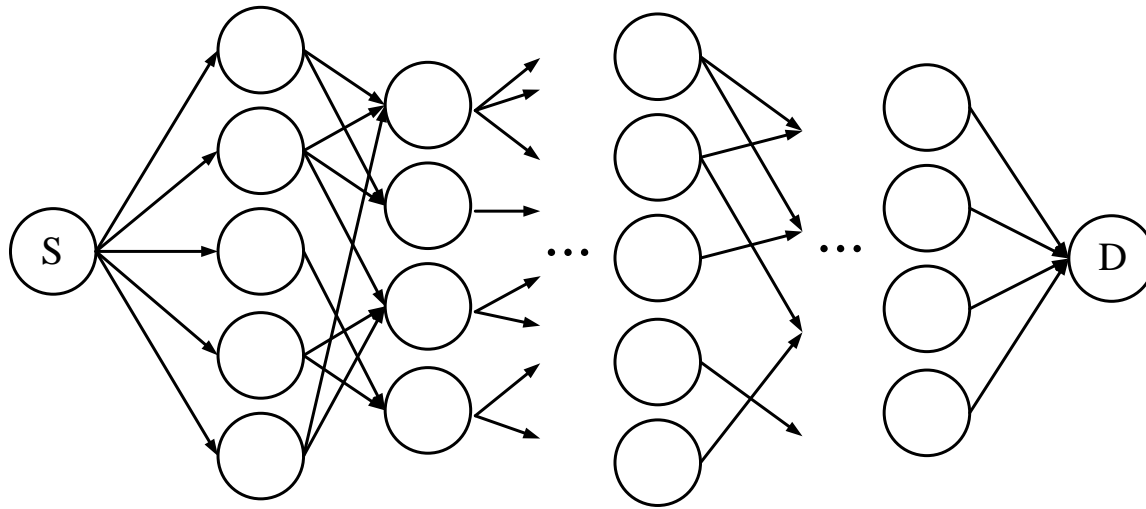
<sup>1</sup>Inst. of Communications Engineering, National Tsing Hua University, Hsinchu, Taiwan

<sup>2</sup>Dept. of Electronic and Computer Eng., National Taiwan University of Science and Technology, Taipei, Taiwan



# Multihop Network

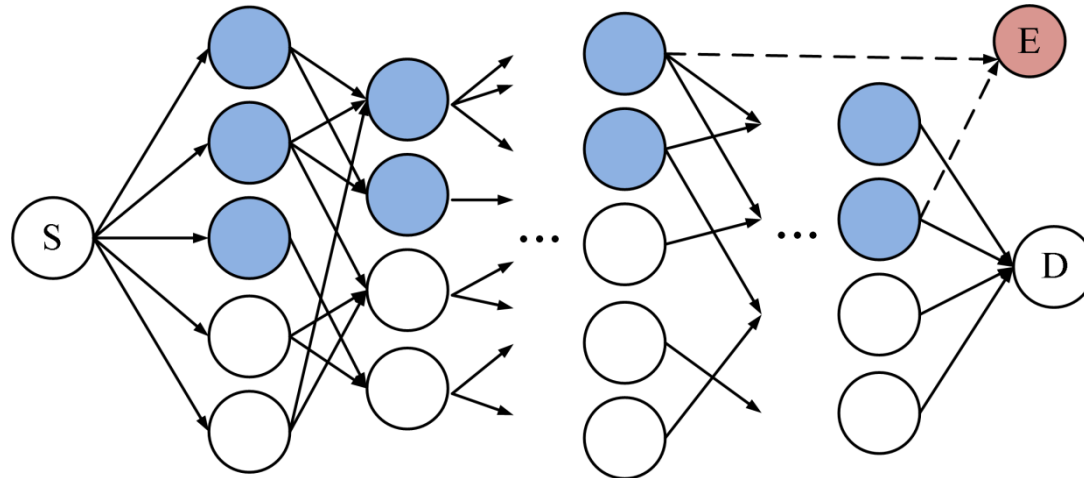
2



- Network coding in general improves throughput and reliability.
- It is common to assume that all the relays are trustworthy.
- However, in practice, some of them may be provided by a third party which cannot be fully trusted.

# Multihop Network with Untrusted Relays

3



- Untrusted (or third party) relays may potentially be compromised by an outside adversary (or an eavesdropper).
- More relays (trusted or not) provides **more paths for simultaneous information transfer**, but yields **higher risk of being eavesdropped**.
- Intuitively, one should recruit untrusted relays **ONLY** when the secrecy capacity can be improved by doing so.
  - Secrecy capacity: Maximum transmission rate without information leakage

# Main Contributions

4

- Exam the impact of untrusted relays in the multihop network system and determine the optimal input signal that maximizes secrecy capacity when untrusted relays are recruited.
  
- Discuss the untrusted relays recruitment problem based on the secrecy capacity in two different cases:
  - ▣ Case 1: All untrusted relays near the destination are compromised with probability 1.
  - ▣ Case 2: Each untrusted relay is compromised with probability  $p$ .

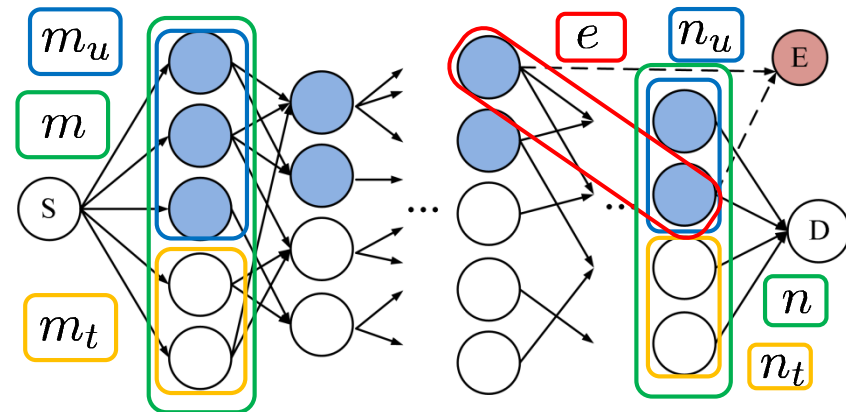
# System Model: Random Linear Coding

5

- The signal transmitted from the source to the first hop of relays is

$$X \in \mathcal{F}_q^{m \times T}$$

- $m$  is the # of relays in the first layer,  $T$  is packet length, and  $q$  is field size.



- **Random linear network coding:** Each relay forwards a linear combination of its received signals with coefficients chosen uniformly over the finite field  $\mathcal{F}_q$ .
- Received signal:
  - Destination:  $Y = HX$  where  $H \in \mathcal{F}_q^{n \times m}$ .
  - Eavesdropper:  $Z = GX$  where  $G \in \mathcal{F}_q^{e \times m}$ .
    - $e$ : the number of untrusted relays compromised by the eavesdropper.

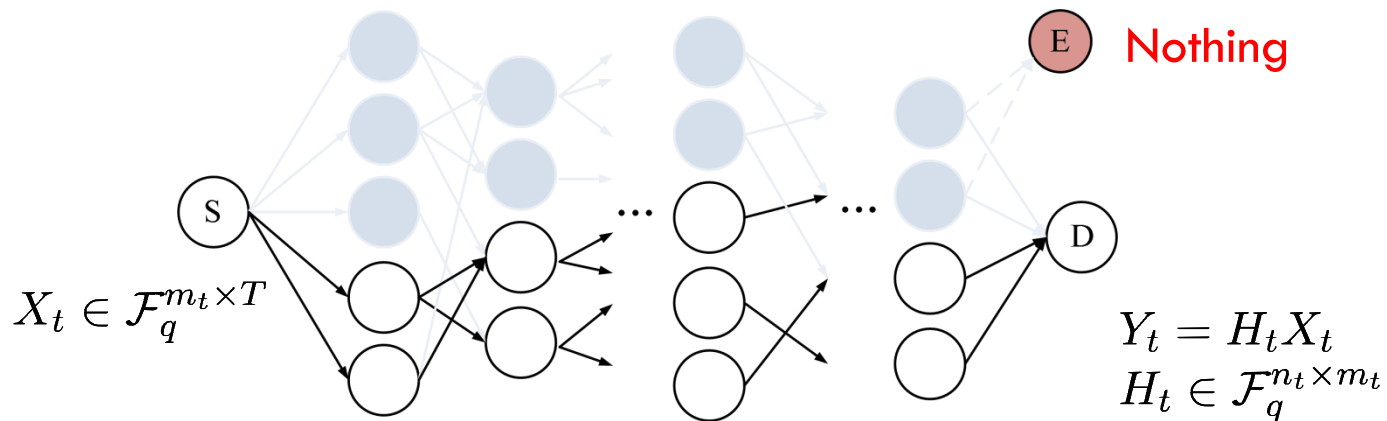
# System Model: Without Recruitment

6

## □ Assumptions:

- (i) We assume that, after a sufficient number of hops, the effective channel matrices  $H$  and  $G$  are i.i.d. uniform in  $\mathcal{F}_q$ . [Siavoshani & Fragouli '12]
- (ii)  $H$  and  $G$  are unknown at all nodes (i.e., a noncoherent framework), e.g., when the encoding vector is NOT appended to the network coding packets.

- Special Case: When **NO** untrusted relays are recruited, the system model can be reduced as



# Secrecy Capacity: Equivalent Degraded Channel

7

- The secrecy capacity

$$\max_{V \rightarrow X \rightarrow Y, Z} I(V; Y) - I(V; Z) \quad [\text{Csiszar \& Korner '78}]$$

- ▣  $V$  is a auxiliary variable.
- ▣ It is difficult to joint optimize  $V$  and  $X$ .

- **Equivalent degraded channel:**

- ▣ Focus on the case  $n > e$  (if  $n \leq e$ ,  $C_s = 0$ )

**Original Channel:**

$$\begin{aligned} Y &= HX \\ Z &= GX \end{aligned}$$



**Equivalent Degraded Channel**

$$\begin{aligned} Y' &= \begin{bmatrix} G \\ H' \end{bmatrix} X \\ Z' &= GX \end{aligned}$$

- ▣ Equivalent: Secrecy capacity only depend on  $p(\mathbf{Y}|\mathbf{X})$  and  $p(\mathbf{Z}|\mathbf{X})$ .
  - ▣ Degraded:  $X \rightarrow Y' \rightarrow Z'$  forms a Markov chain.
- The secrecy capacity of degraded channel is

$$C_s = \max_{p_x} I(X; Y') - I(X; Z'), \quad [\text{Wyner '75}]$$

# Secrecy Capacity: Optimal Input Structure

8

**Lemma 1** ([Siavoshani & Fragouli '12]): The secrecy capacity is given as

$$C_s = \max_{\Pi_X} I(\Pi_X; \Pi_{Y'}) - I(\Pi_X; \Pi_{Z'}).$$

where  $\Pi_X$  is the subspace which spanned by the row vectors of  $X$ . Moreover, the distribution of optimal input  $\Pi_X^*$  is given by

$$P_{\Pi_X^*}(\pi_x) = \alpha_{d_x} \left[ \begin{matrix} T \\ d_x \end{matrix} \right]^{-1}$$

where  $\alpha_{d_x} \triangleq \Pr[\dim(\Pi_X) = d_x]$  is the probability that  $\Pi_X$  is of dimension  $d_x$ .

- Only depend on the subspace spanned by the row vectors of input signal  $X$ .
- All subspaces of the same dimension occur with equal probability.



# Optimization Problem

9

## □ Input optimization problem:

$$C_s = \max_{\underline{\alpha}} R(\underline{\alpha}), \quad \text{subject to } \|\underline{\alpha}\|_1 = 1,$$

where  $R(\underline{\alpha}) \triangleq I(\Pi_X^*; \Pi_{Y'}) - I(\Pi_X^*; \Pi_{Z'})$  and the subspace-dimension probabilities  $\underline{\alpha} \triangleq [\alpha_0, \dots, \alpha_{\min(m,T)}]^T$ .

## □ The rate function can be written as

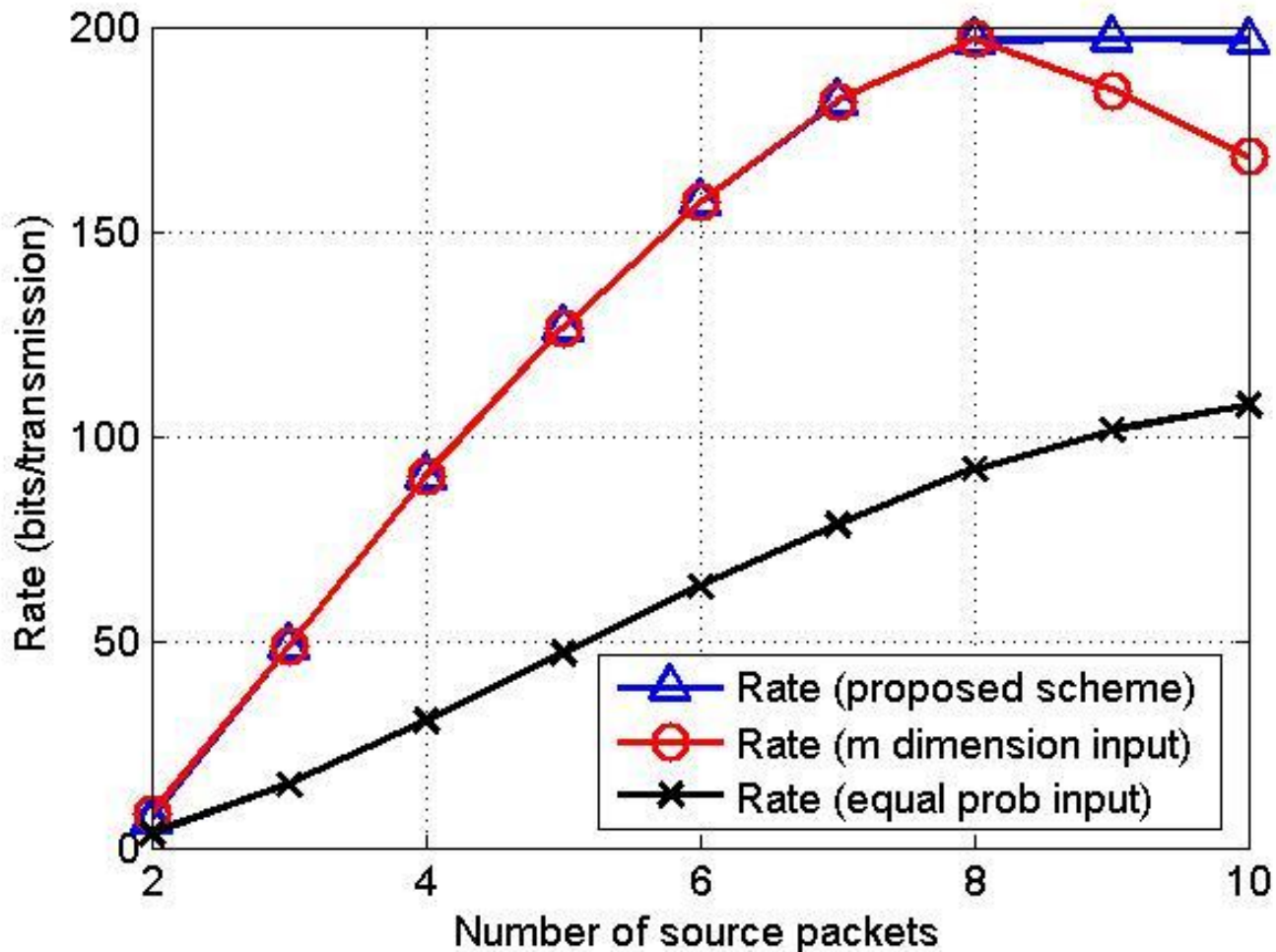
$$R(\underline{\alpha}) = - \sum_{d_x=0}^{\min(m,T)} \alpha_{d_x} n d_x \log_2 q - \sum_{d_x=0}^{\min(m,T)} \alpha_{d_x} q^{-n d_x} \cdot \sum_{d_{y'}=0}^{\min(n,d_x)} \psi(n, d_{y'}) \begin{bmatrix} d_x \\ d_{y'} \end{bmatrix} \log_2(f_{Y'}(d_{y'}, \underline{\alpha})) \\ + \sum_{d_x=0}^{\min(m,T)} \alpha_{d_x} e d_x \log_2 q + \sum_{d_x=0}^{\min(m,T)} \alpha_{d_x} q^{-e d_x} \cdot \sum_{d_{z'}=0}^{\min(e,d_x)} \psi(e, d_{z'}) \begin{bmatrix} d_x \\ d_{z'} \end{bmatrix} \log_2(f_{Z'}(d_{z'}, \underline{\alpha})),$$

- Too complex to derive analytically.
- Solved using a projection-based gradient descend algorithm.
  - Converge to the optimal solution.

# Numerical Result: Secrecy Rate with Different Input Signals

10

□  $T = 20, n = 8, e = 2, q = 7$



# Untrusted Relay Recruitment Problem

11

- **Large field size approximation:** When field size  $q \gg 1$ , the secrecy capacity can be approximated as

$$C_s \approx \underline{\min(m_t + m_u, n_t + n_u) - e} (T - \min(m_t + m_u, n_t + n_u)) \log q,$$

[Siavoshani & Fragouli '12]

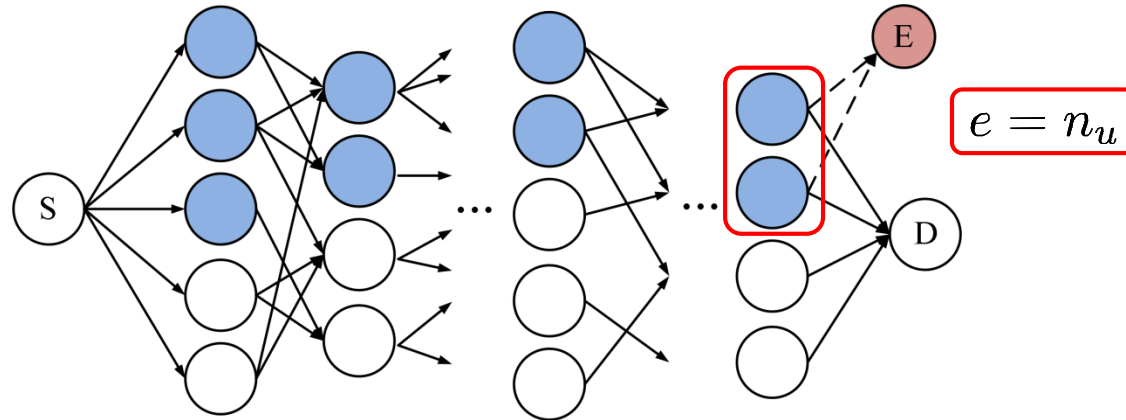
➔ **Special Case (No Untrusted Relays):**  $m_u = n_u = e = 0$ .

$$C \approx \min(m_t, n_t) (T - \min(m_t, n_t)) \log q.$$

- Question: When should we recruit untrusted relays?
  - Case I: All untrusted relays near the destination are compromised with probability 1.
  - Case II: Each untrusted relay is compromised with probability  $p$ .

# Case 1: All Untrusted Relays Near the Destination are Compromised

12



- In this case, we assume that the eavesdropper is near the destination so that all  $n_u$  untrusted relays in the last hop are compromised.

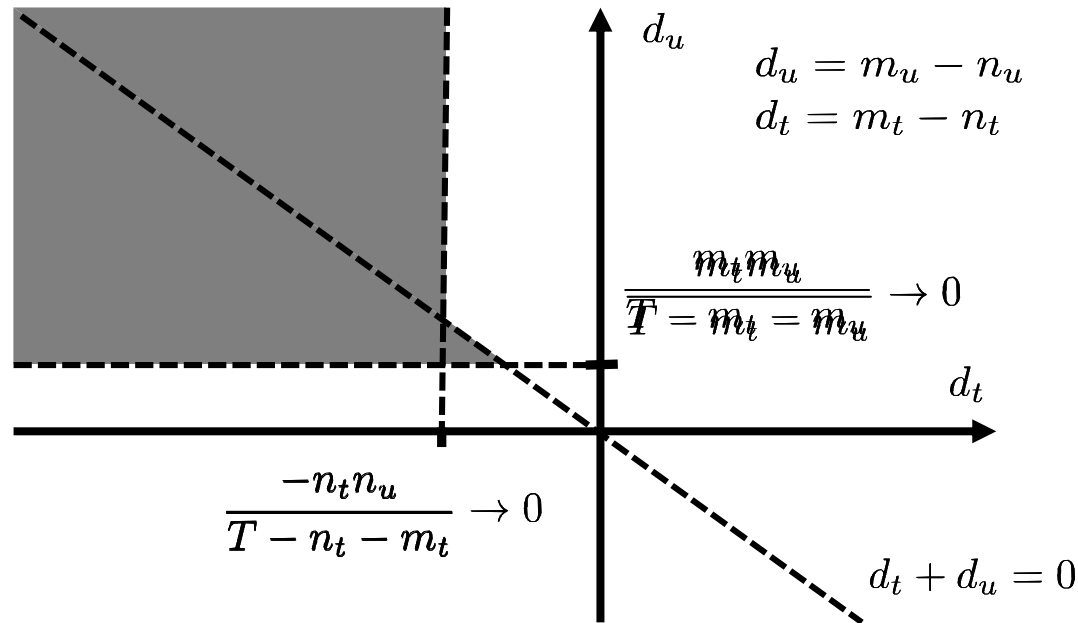
**Theorem 1:** Let  $d_t = m_t - n_t$  and  $d_u = m_u - n_u$ .

When  $T > m_t + \max(m_u, n_t)$ , untrusted relays should be recruited if  $(d_t, d_u)$  satisfies one of the following conditions.

$$(1) \ d_t + d_u \leq 0 \text{ and } d_u > \frac{m_t m_u}{T - m_t - m_u} \quad (2) \ d_t + d_u > 0 \text{ and } d_t < \frac{-n_t n_u}{T - n_t - m_t}.$$

# Recruit Region

13



- Eavesdropper can obtain  $e = n_u$  dimension.
- Large  $d_u$ : Recruiting untrusted relays provide more Tx dimension than Rx dimension.
- Small  $d_t$ : Lack of transmit dimension in the original system.
- When  $T \rightarrow \infty$ , the recruit region is characterized by  $(d_u, d_t)$  only.

# Case 2: Each Untrusted Relay is Compromised with Probability $p$

14

- There is a total of  $r_u$  untrusted relays that may be compromised with probability  $p$ .
  - ▣ The number of compromised relays:  $e \sim \mathcal{B}(r_u, p)$  (*Binomial distribution*)
- Outage probability: (The probability of no improvement)

$$\begin{aligned} P_{out} &\triangleq P_r [C_s(\mathbf{e}) - C \leq 0] \\ &= P_r \left[ \mathbf{e} \geq \frac{(k_1 - k_2)(T - k_1 - k_2)}{(T - k_1)} \right]. \end{aligned}$$

where  $k_1 = \min(m, n)$  and  $k_2 = \min(m_u, n_u)$ .

# Asymptotic Outage Probability

15

- Suppose that  $r_u \rightarrow \infty$  and that  $m_u = \beta_m r_u$  and  $n_u = \beta_n r_u$  for some positive ratio  $\beta_m, \beta_n$ .
- In this case,  $m_t, n_t$  are negligible compared to  $r_u$  (and also  $m_u$  and  $n_u$ ).

**Theorem 2:** Let us consider a multihop network with parameters  $(m_u, n_u, r_u)$ . If  $m_u = \beta_m r_u$  and  $n_u = \beta_n r_u$  and  $T \geq \min(m_u, n_u)$ , then

$$P_{out} \rightarrow \begin{cases} 0 & \text{if } p < \beta \\ 1 & \text{if } p \geq \beta \end{cases}$$

as  $r_u \rightarrow \infty$ , where  $\beta = \min(\beta_m, \beta_n)$ .

- $\beta \cdot r_u$ : Dimension provided for the legitimate parts.
- $p \cdot r_u$ : Dimension eavesdropped by the eavesdropper.

# Conclusions

16

- Consider a non-coherent multihop network system with the help of untrusted relays which are potentially eavesdropped.
- Determine the optimal input signal when untrusted relays are recruited by a gradient descend algorithm.
- Recruiting untrusted relays problem:
  - Case 1: Determine the recruiting region when all untrusted relays near the destination are compromised.
  - Case 2: Derive the outage probability when each untrusted relay is compromised with probability  $p$ , and show that when  $p$  is less than a threshold, one should recruit.



Thank You for Listening~!