

Motivation

Exhaustive beam search can result in a lot of training overhead [1]

Standard compressed sensing is sensitive to carrier frequency offset [2-4]

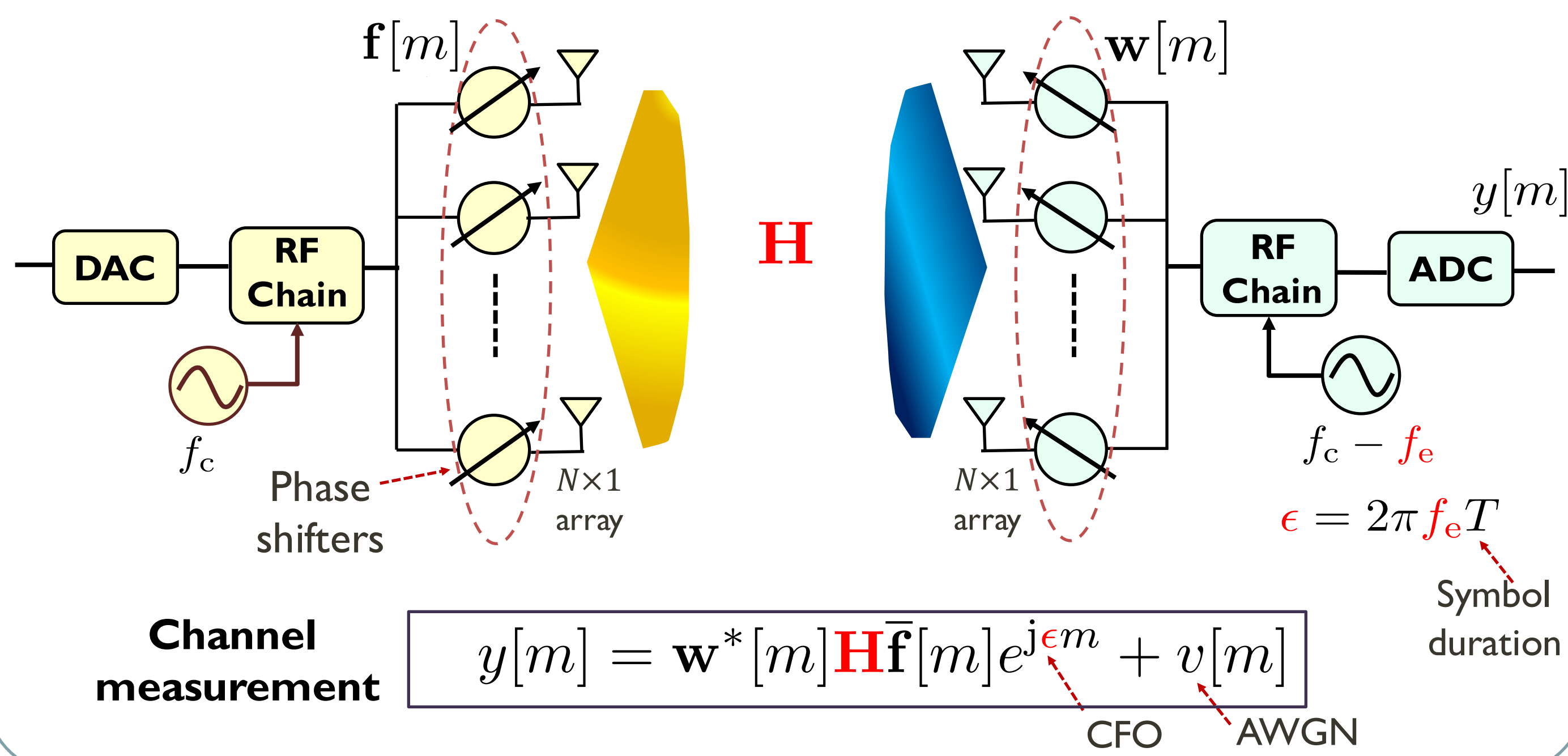


Carrier frequency offset (CFO)

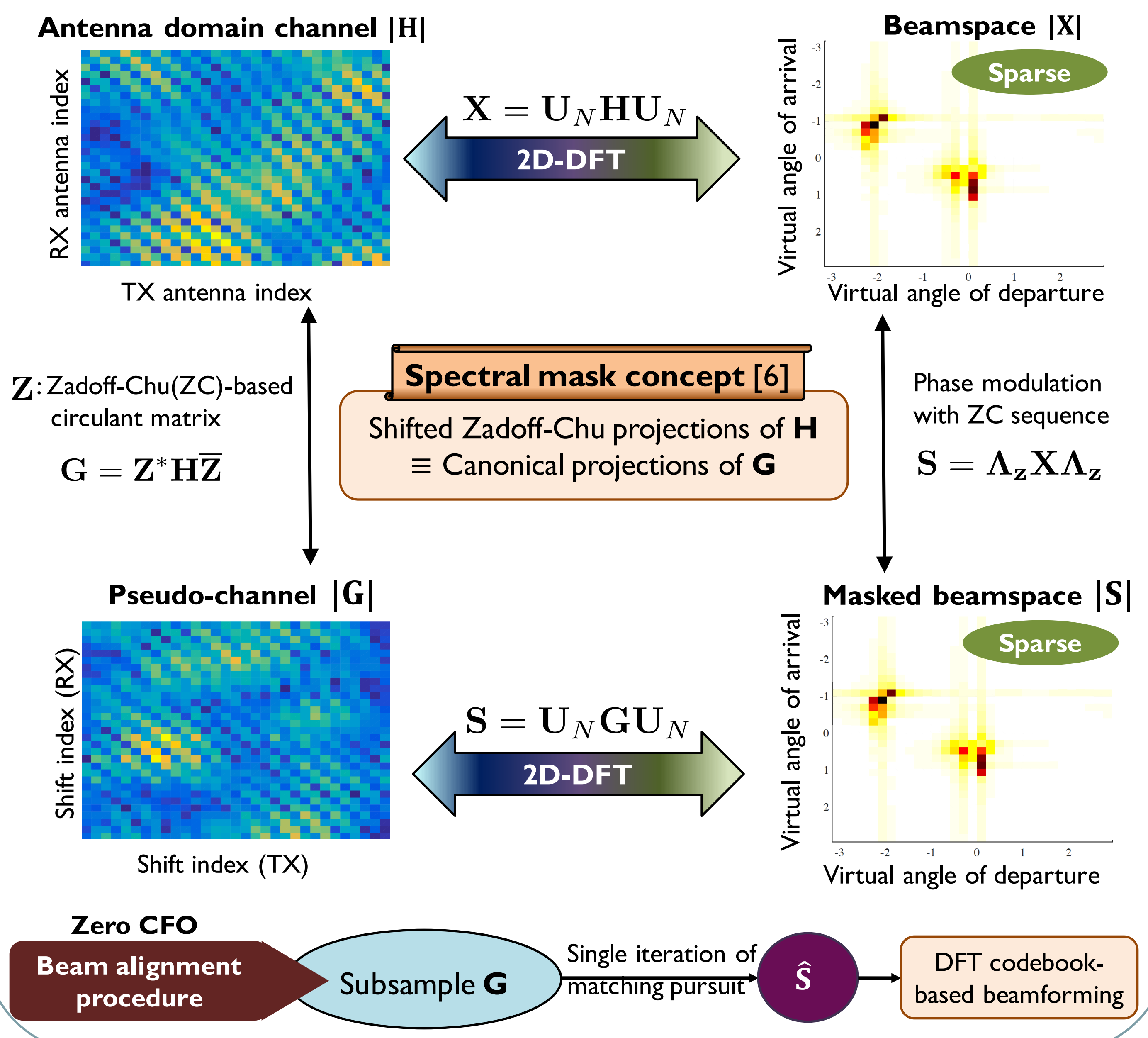
CFO compensation can be hard due to low SNR prior to beamforming [5]

Objective: Design a compressed sensing (CS) strategy that is robust to CFO

System model



Compressed sensing-based beam alignment



Phase perturbed CS model

Vector of M channel measurements $\mathbf{y} = \begin{bmatrix} 1 \\ e^{j\epsilon} \\ e^{j2\epsilon} \\ \vdots \\ e^{j(M-1)\epsilon} \end{bmatrix} \mathbf{A} \mathbf{s} + \mathbf{v}$

CS matrix: Determined by the sampling locations in \mathbf{G}

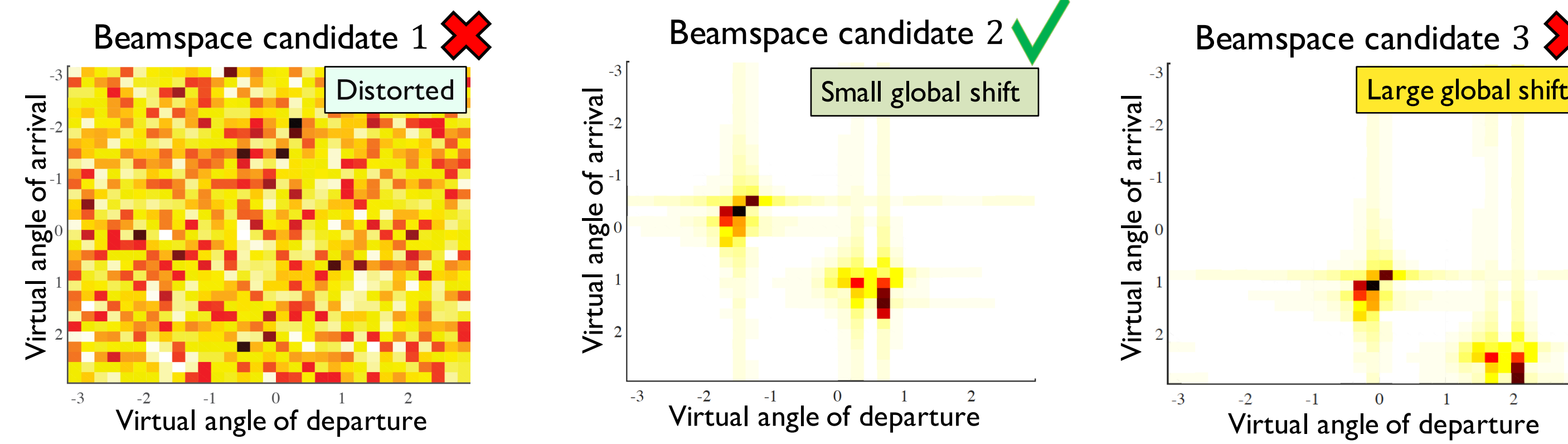
Sparse vector $\text{vec}(\mathbf{S})$

Regime of interest: $M \ll N^2$

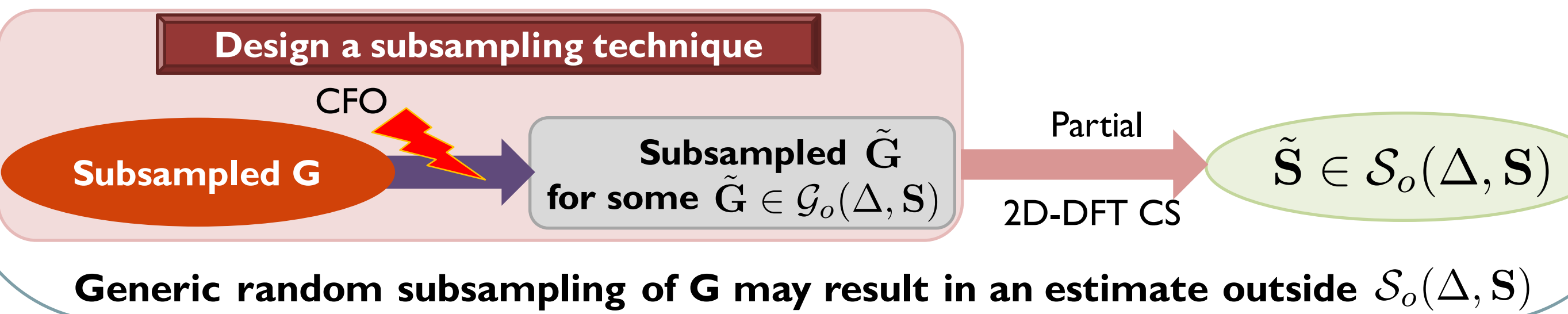
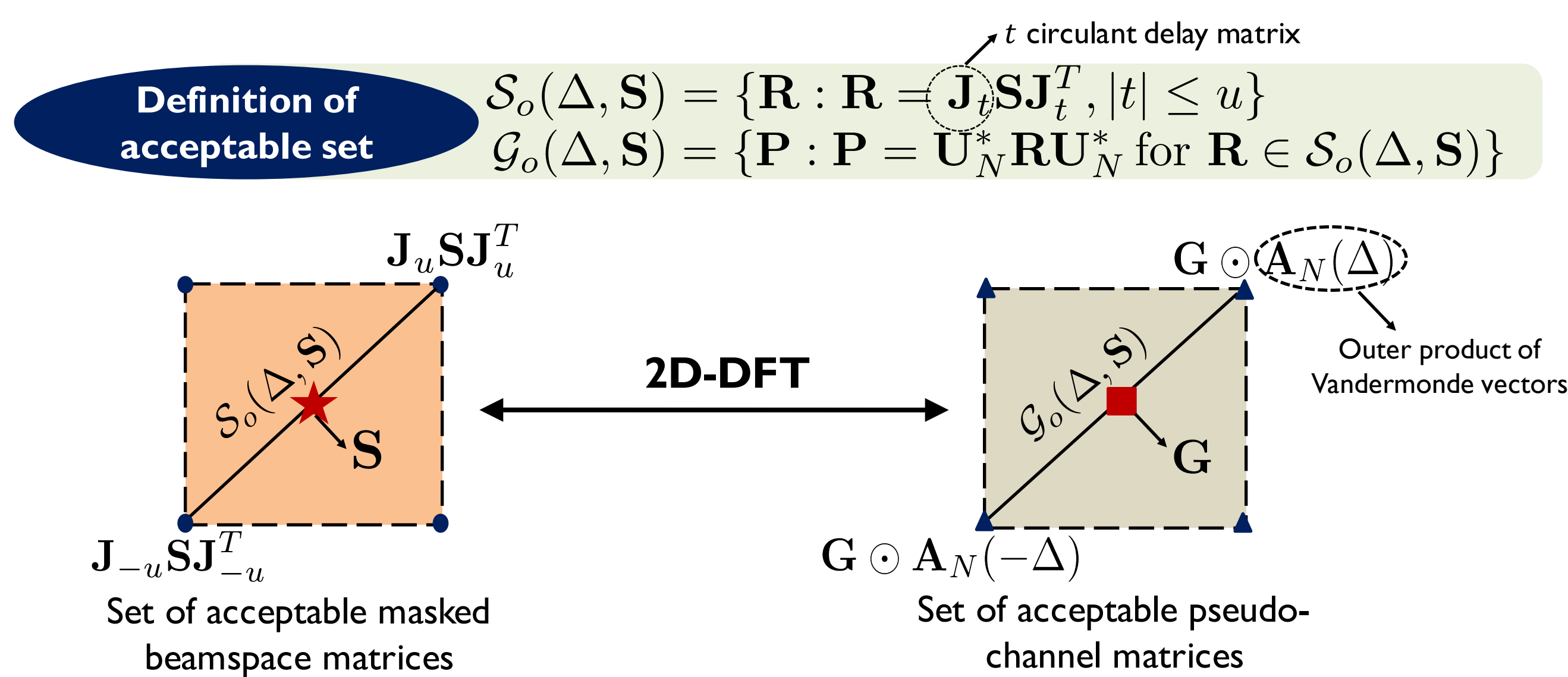
Goal: Identify a class of CS matrices that achieve robustness to CFO

Robust CS in the context of beam alignment

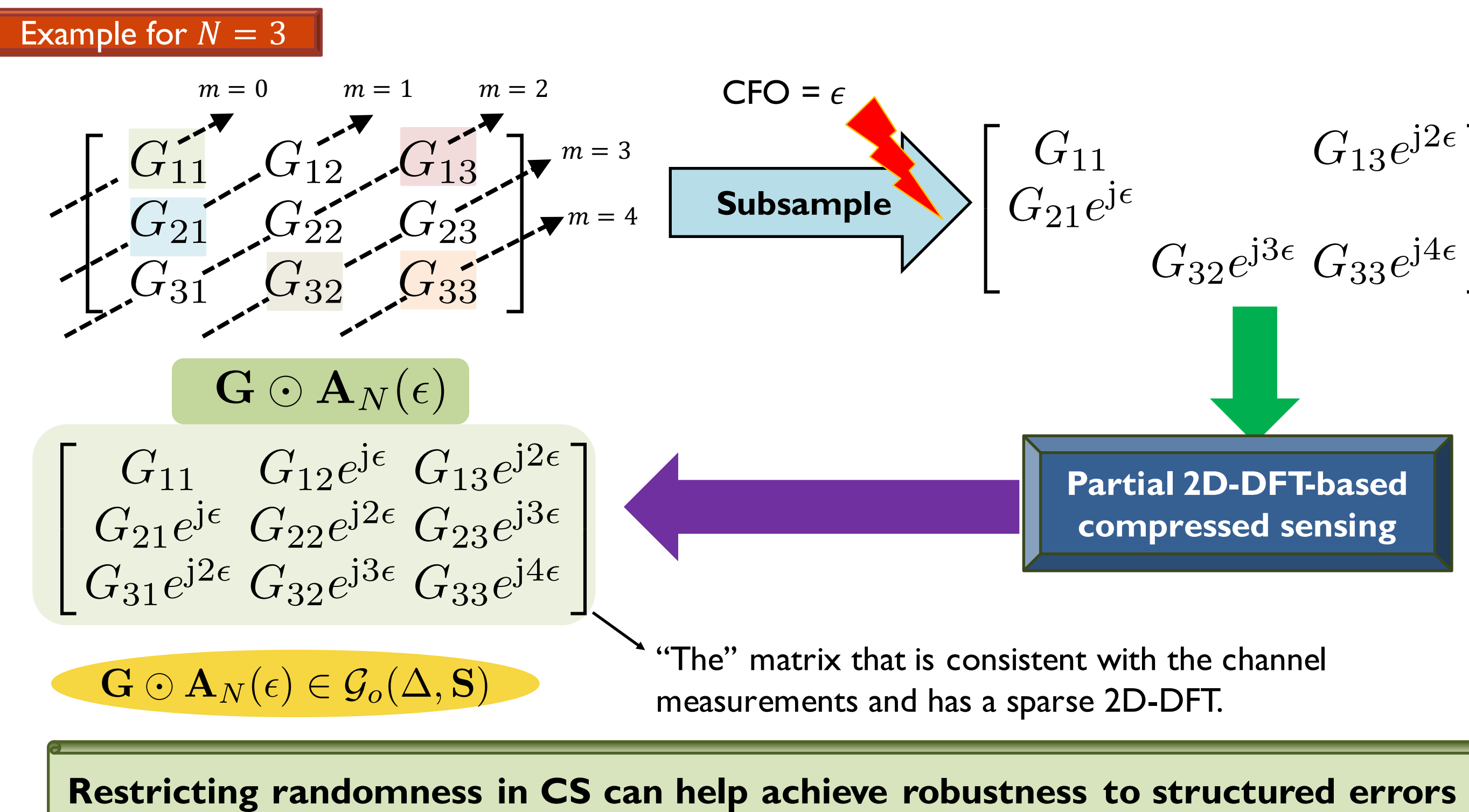
Assumption: Maximum carrier frequency offset $= \Delta$ rad. Define $u = N\Delta/2\pi$.



Acceptable set: Set of matrices around \mathbf{S} that differ from \mathbf{S} by a maximum shift of (u, u)



Localized random sampling: A space time game



Proposed solution for robust beam alignment

Channel measurements with the proposed training

Define $\mathcal{I}_N = \{0, 1, 2, \dots, N-1\}$

for $m = 0$ to $M-1$ do

1. Sample a coordinate at random from $\{(a, b) : a + b = m, a \in \mathcal{I}_N, b \in \mathcal{I}_N\}$
2. Define the sampled coordinate as $(\ell_{\text{rx}}[m], \ell_{\text{tx}}[m])$
3. Set $\mathbf{f}[m] = \mathbf{Z}e_{\ell_{\text{tx}}[m]}$ and $\mathbf{w}[m] = \mathbf{Z}e_{\ell_{\text{rx}}[m]}$

end for

Channel measurements with the proposed training

Single iteration of matching pursuit

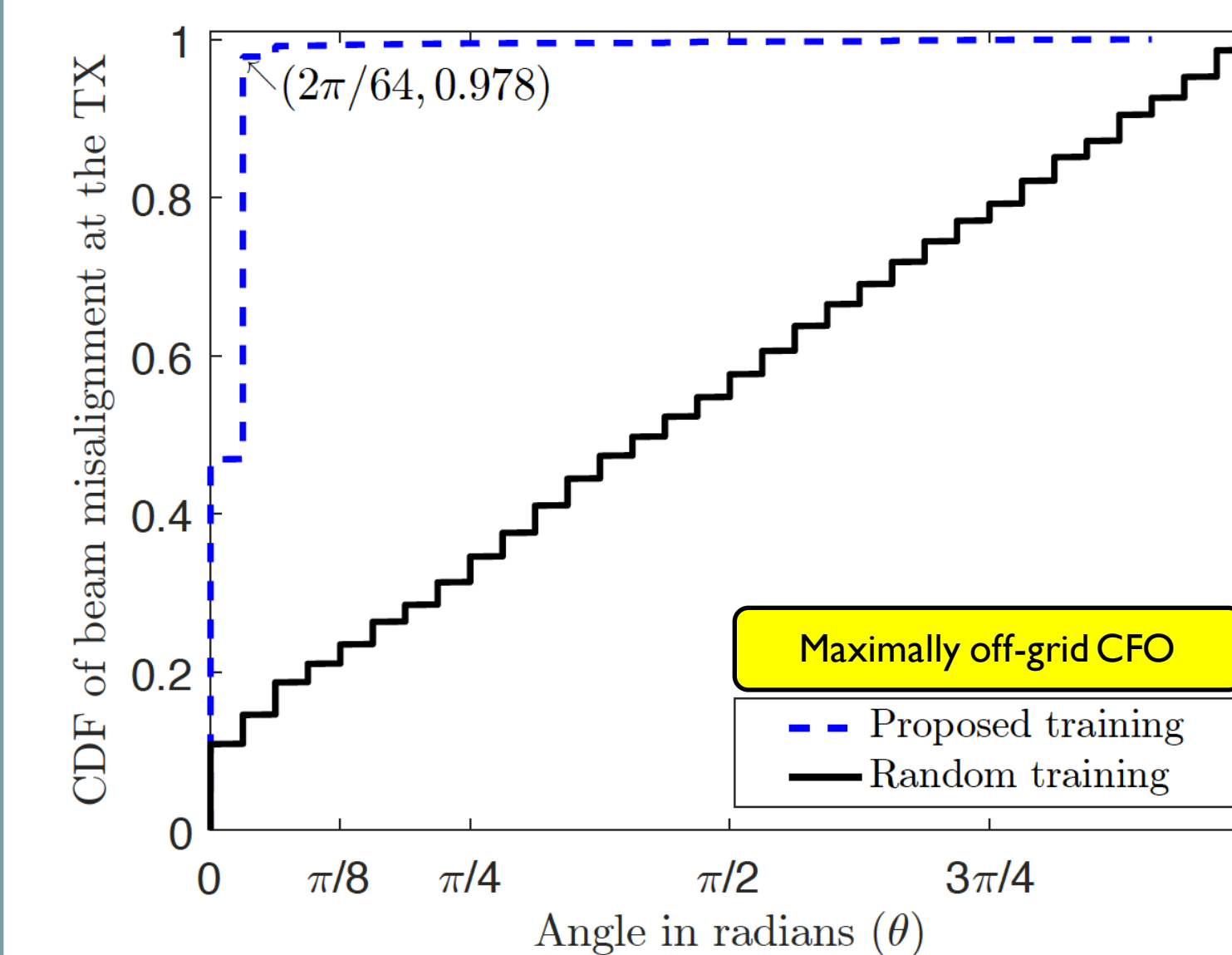
Estimate beams

CAN algorithm [7]

Beam alignment using broadened beams

Simulation results

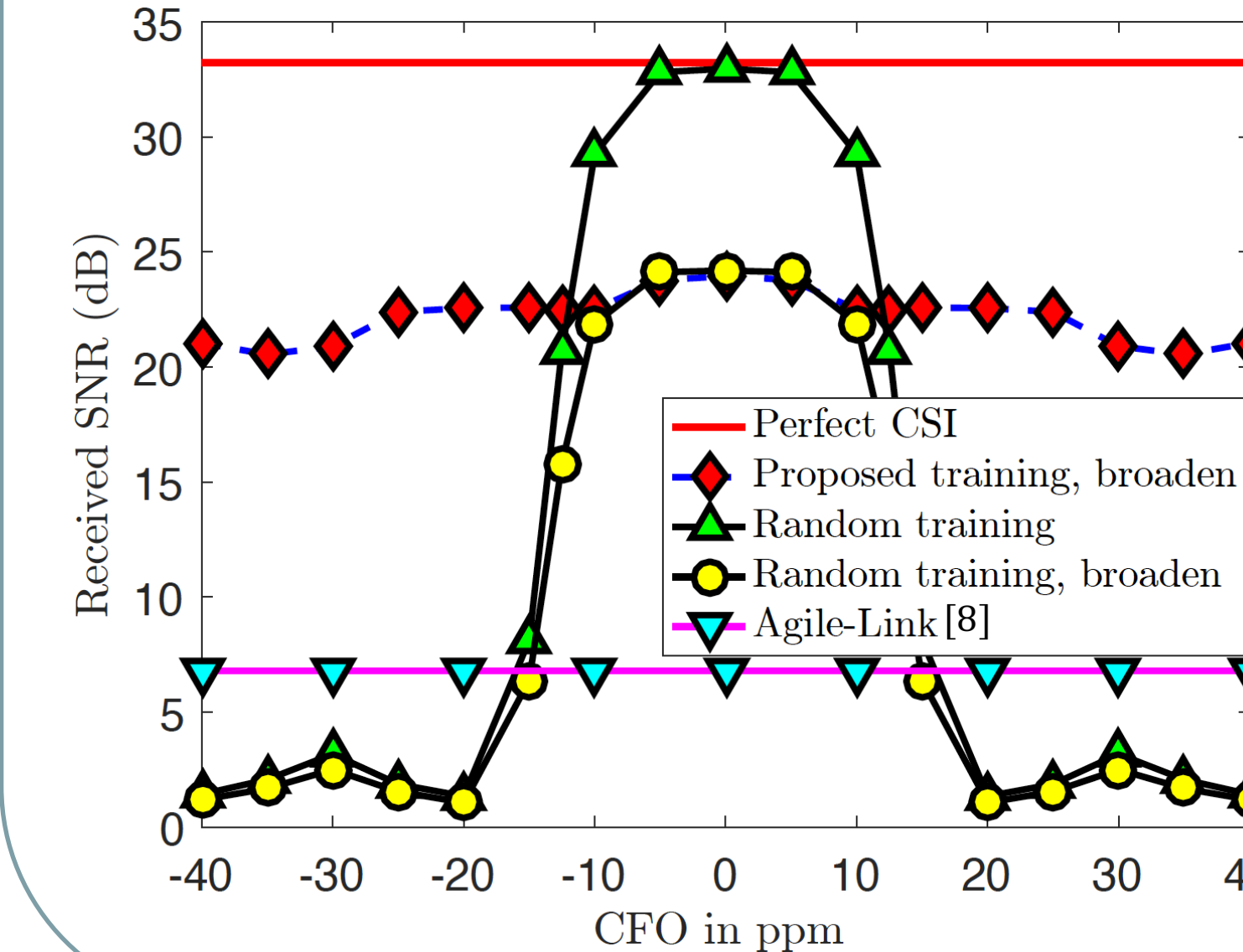
Beam misalignment induced by CFO



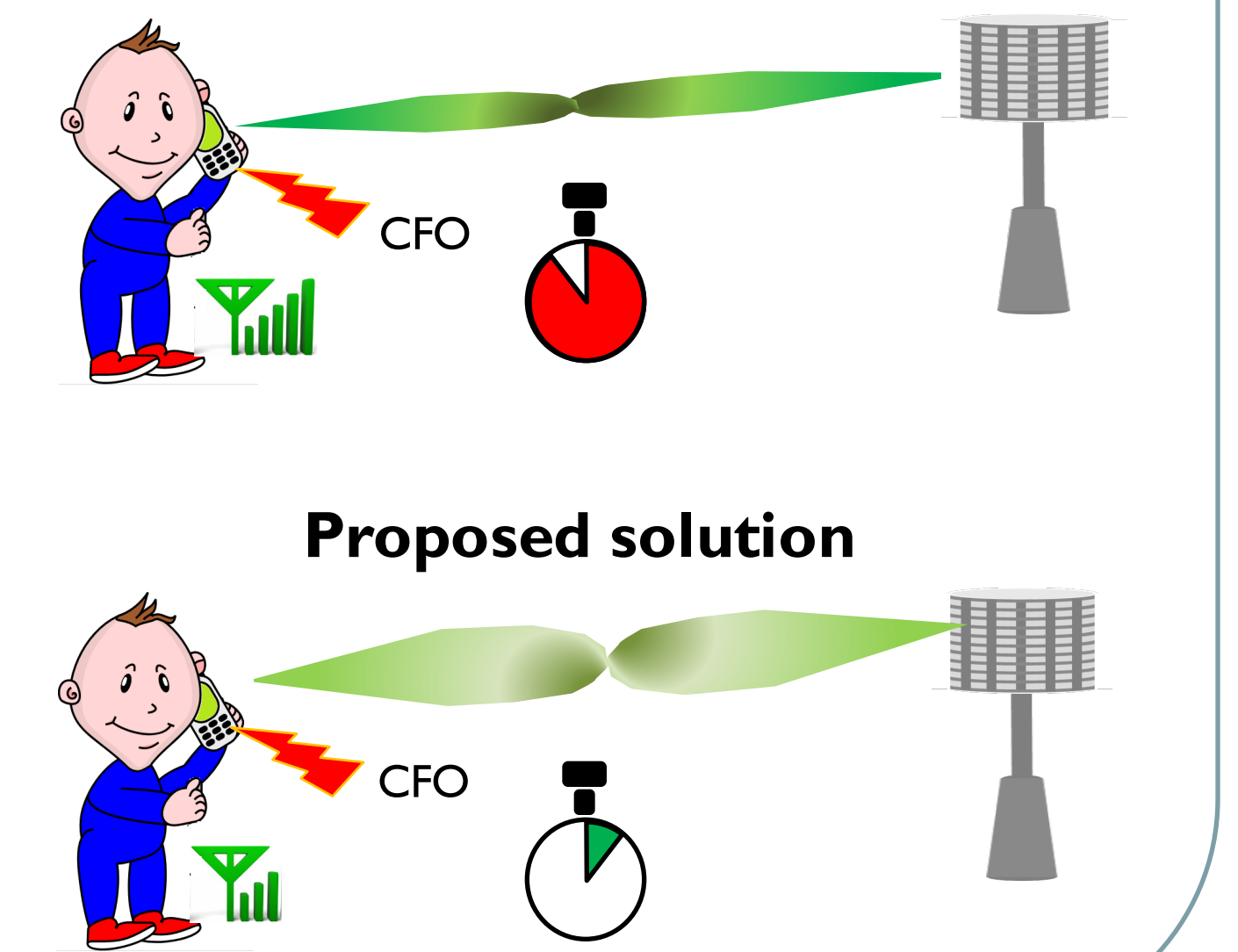
Simulation settings

- $N = 64$ uniform linear array of antennas at the TX and the RX
- 3-bit phase shifters in the transceiver
- Root of the ZC sequence = 11
- 38 GHz carrier, 100 MHz bandwidth
- Narrowband channel model
- NYUSIM channel, UMi-LoS setting
- SNR of channel measurements = 0 dB
- Max. CFO = 40 ppm, $\Delta = 0.096$ rad
- Off-grid CFO = 20.5579 ppm
- $M = 126$, Subsampling rate of $\sim 3\%$

Performance with CFO



Exhaustive beam search



Performance guarantees

Result [9]: On an average, the proposed CS matrix satisfies the restricted isometry property (RIP) of order $K > 1$ with parameter δ_K if

$$M \geq 2 \frac{K-1}{\delta_K \sin \frac{\pi d_{\min}}{N}} \left[4 + 2 \log \left(\frac{N}{N+1-(M-2)/4} \right) \right]$$

In the worst case, our design requires $O(\sqrt{N^2})$ times the samples required by standard CS for robustness to CFO

Code: Implementation available at <https://github.com/nitinmyers/ICASSP2019RobustCS>

References

- [1] R.W. Heath, N. G. Prelcic, S. Rangan, W. Roh, and A. M. Sayeed, "An overview of signal processing techniques for millimeter wave MIMO systems," IEEE JSTSP 2016
- [2] J. R. Fernández, N. G. Prelcic, and R.W. Heath Jr., "Channel estimation for millimeter wave MIMO systems in the presence of CFO uncertainties," ICC 2018
- [3] J. R. Fernández, and N. G. Prelcic, "Joint synchronization and compressive estimation for frequency-selective mmWave MIMO systems," Asilomar 2018
- [4] H. Yan and D. Cabric, "Compressive sensing-based initial beamforming training for massive MIMO millimeter-wave systems," GlobalSIP 2016
- [5] N. J. Myers, and R. W. Heath Jr., "A compressive beam alignment technique robust to synchronization impairments," SPAWC 2017
- [6] N. J. Myers, A. Mezghani, and R. W. Heath Jr., "Spatial Zadoff-Chu modulation for rapid beam alignment in mmWave phased arrays," Globecom 2018
- [7] P. Stoica, H. He, and J. Li, "New algorithms for designing unimodular sequences with good correlation properties," IEEE TSP 2009
- [8] O. Abari, H. Hassanieh, M. Rodriguez, and D. Katabi, "Millimeter-Wave Communications: From Point-to-Point Links to Agile Network Connections," ACM HotNets 2016
- [9] N. J. Myers, A. Mezghani, and R. W. Heath Jr., "Swift-Link: A compressive beam alignment algorithm for practical mmWave radios," IEEE TSP 2019