

# Analysis of coprime arrays on moving platform

Guodong Qin<sup>1</sup>, Moeness G.Amin<sup>2</sup> and Yimin D.Zhang<sup>3</sup>

<sup>1</sup>School of Electronic Engineering, Xidian University, Xian, Shaanxi 710071, China

<sup>2</sup>Center for Advanced Communications, Villanova University, Villanova, PA 19085, USA

<sup>3</sup>Dept. of Electrical and Computer Engineering, Temple University, Philadelphia, PA 19122, USA

gdqin@mail.xidian.edu.cn<sup>1</sup>, moeness.amin@villanova.edu<sup>2</sup>, ydzhang@temple.edu<sup>3</sup>

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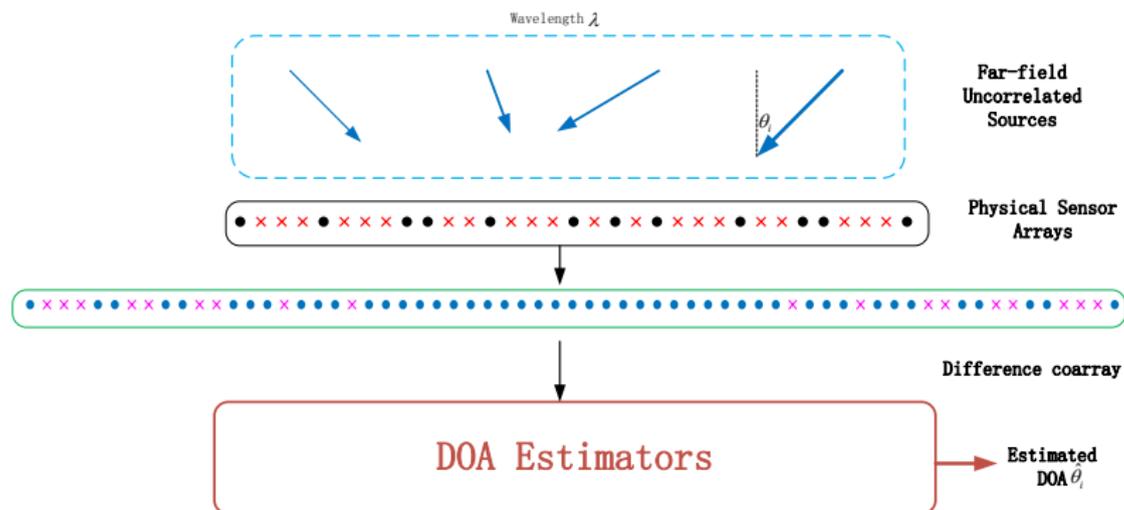
# Outline

- 1 Introduction
- 2 Problem formulation
- 3 Difference co-array
- 4 Simulation results
- 5 Conclusion

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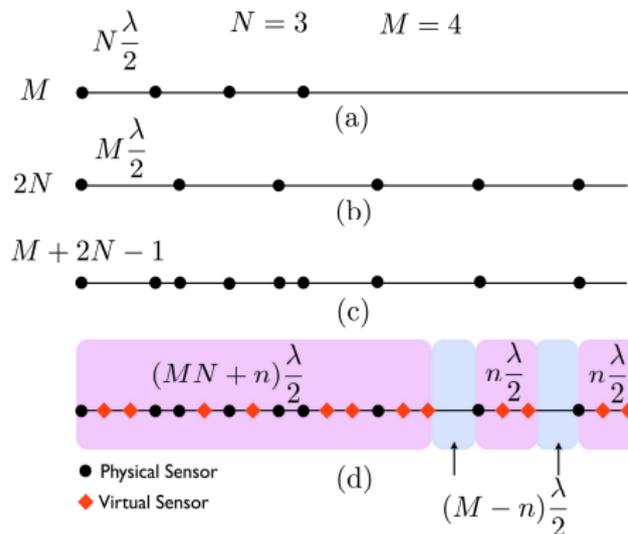
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# DOA estimation based on a fixed coprime array



<sup>1</sup>Chun-Lin Liu and P. P. Vaidyanathan, "Robustness of coarrays of sparse arrays to sensor failures," in *Proc. IEEE Int. Conf. Acoust., Speech, and Sig. Proc.*, Calgary, AB, Canada, April, 2017, pp. 3231 – 3235.

# Coprime array on a moving platform



## Requirements:

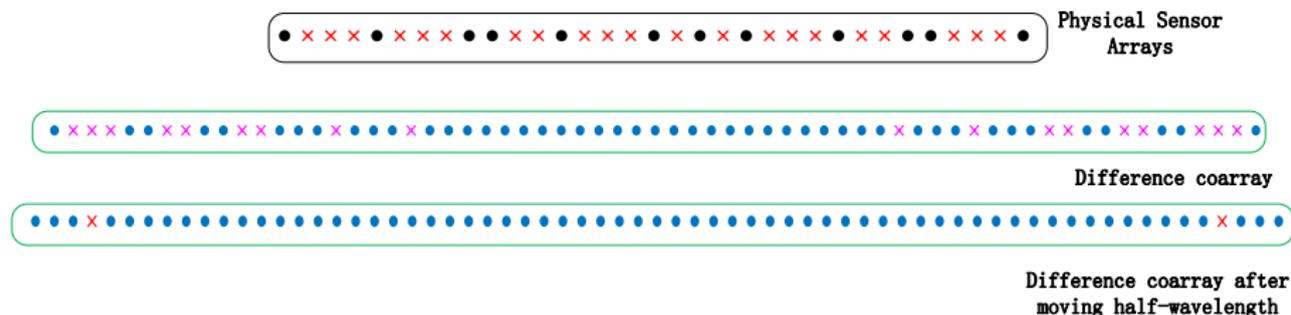
(1) The difference co-array of (d) is a hole-free array when

$$n = \begin{cases} N/2, & \text{if } N \text{ is even} \\ \frac{N-1}{2}, & \text{if } N \text{ is odd} \end{cases}$$

(2) The signal environment is stationary within a long period for a large aperture array.

<sup>2</sup> J. Ramirez and J. L. Krolik, "Synthetic aperture processing for passive co-prime linear sensor arrays," *Digital Signal Process.*, vol. 61, pp. 62 – 75, 2017.

# Coprime array moving half-wavelength



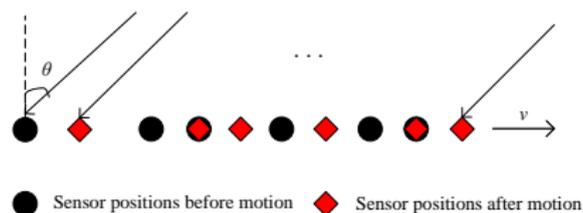
- Advantages:

- ① Easy to be applied in practice
- ② Such motion can fill most, if not all of the holes, and as such significantly increases both the contiguous and unique lags

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# Passive synthetic aperture



The output of the receive array, at time  $t$ , is expressed as

$$\mathbf{x}(t) = \sum_{q=1}^Q s_q(t) \exp(-jvt\kappa_q) \mathbf{a}(\theta_q) + \boldsymbol{\varepsilon}(t) = \mathbf{A}\mathbf{s}(t) + \boldsymbol{\varepsilon}(t),$$

where  $v$  is the velocity of platform,

$\mathbf{a}(\theta_q) = [1, \exp(-jd_2\kappa_q), \dots, \exp(-jd_L\kappa_q)]^T$ ,  $\kappa_q = 2\pi \sin(\theta_q)/\lambda$ ,  
 $\mathbf{s}(t) = [s_1(t) \exp(-jvt\kappa_1), s_2(t) \exp(-jvt\kappa_2), \dots, s_Q(t) \exp(-jvt\kappa_Q)]^T$ ,  
 $\mathbf{A} = [\mathbf{a}(\theta_1), \mathbf{a}(\theta_2), \dots, \mathbf{a}(\theta_Q)]$ ,  $\boldsymbol{\varepsilon}(t)$  is zero-mean complex additive white Gaussian noise vector.

## Passive synthetic aperture

At time  $t + \tau$ ,

$$\begin{aligned}\mathbf{x}(t+\tau) &= \sum_{q=1}^Q s_q(t+\tau) \exp(-jvt\kappa_q) \exp(-jv\tau\kappa_q) \mathbf{a}(\theta_q) + \varepsilon(t+\tau) \\ &= \mathbf{B}\mathbf{s}(t+\tau) + \varepsilon(t+\tau).\end{aligned}$$

where

$$\mathbf{B} = [\mathbf{b}(\theta_1), \mathbf{b}(\theta_1), \dots, \mathbf{b}(\theta_Q)],$$

$$\mathbf{b}(\theta_q) = \exp(-jv\tau\kappa_q) \mathbf{a}(\theta_q),$$

$$= [\exp(-jv\tau\kappa_q), \exp(-j(v\tau + d_2)\kappa_q), \dots, \exp(-j(v\tau + d_L)\kappa_q)]^T,$$

$$\begin{aligned}\mathbf{s}(t+\tau) &= [s_1(t+\tau) \exp(-jvt\kappa_1), s_2(t+\tau) \exp(-jvt\kappa_2), \dots, \\ &\quad s_Q(t+\tau) \exp(-jvt\kappa_Q)]^T.\end{aligned}$$

## Passive synthetic aperture

For narrowband signals and  $v\tau = d = \lambda/2$ ,

$$\mathbf{x}(t+\tau) = \exp(j2\pi f\tau)\mathbf{B}\mathbf{s}(t) + \varepsilon(t + \tau)$$

$$\mathbf{b}(\theta_q) = [\exp(-jd\kappa_q), \exp(-j(d + d_2)\kappa_q), \dots, \exp(-j(d + d_L)\kappa_q)]^T$$

Compensating for the phase correction factor  $\exp(j2\pi f\tau)$  (See Ref.[3]),

$$\tilde{\mathbf{x}}(t+\tau) = \mathbf{x}(t+\tau) \exp(-j2\pi f\tau) = \mathbf{B}\mathbf{s}(t) + \tilde{\varepsilon}(t + \tau),$$

where  $\tilde{\varepsilon}(t + \tau) = \exp(-j2\pi f\tau)\varepsilon(t + \tau)$ .

The output of the synthetic array is

$$\mathbf{y}(t) = \begin{bmatrix} \mathbf{x}(t) \\ \tilde{\mathbf{x}}(t+\tau) \end{bmatrix} = \mathbf{A}_s\mathbf{s}(t) + \begin{bmatrix} \varepsilon(t) \\ \tilde{\varepsilon}(t+\tau) \end{bmatrix},$$

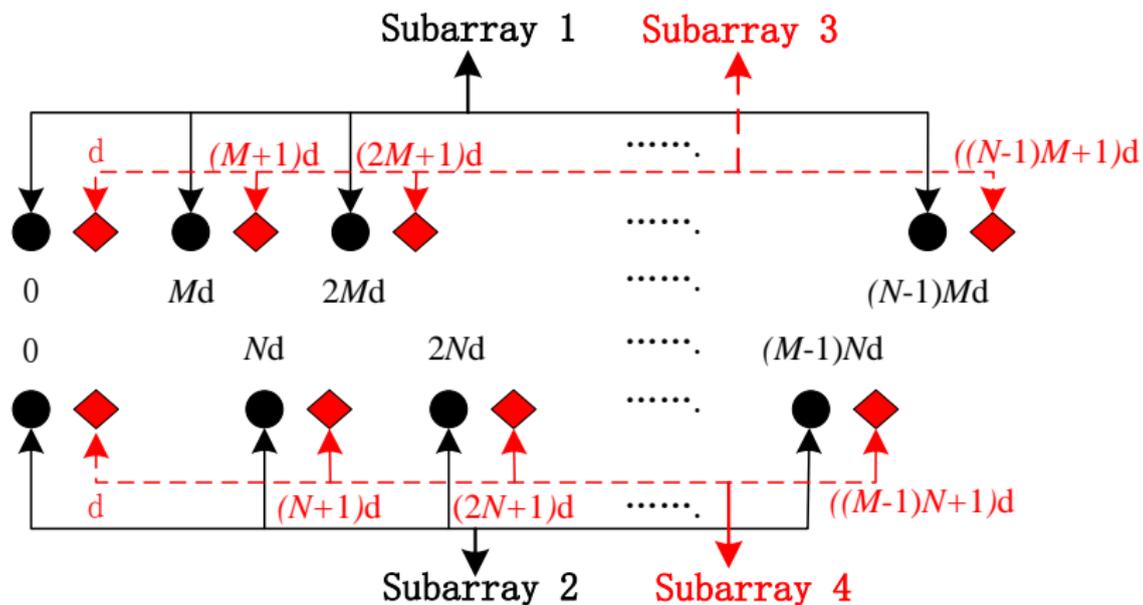
where  $\mathbf{A}_s = [\mathbf{a}_s(\theta_1), \mathbf{a}_s(\theta_2), \dots, \mathbf{a}_s(\theta_Q)]$ ,  $\mathbf{a}_s(\theta_q) = [\mathbf{a}^T(\theta_q), \mathbf{b}^T(\theta_q)]^T$ .

<sup>3</sup>S. Stergios and E. J. Sullivan, "Extended towed array processing by an overlap correlator," *J. Acoust. Soc. America*, vol. 86, no. 1, pp. 158-171, 1989.

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# Difference co-array



The subarrays of the original and synthetic coprime array ( $M < N$ ).

## Difference co-array

The set formed from the difference co-array corresponding to the synthetic array is given as

$$\mathbb{S}_c = \mathbb{S}_{12} \cup \mathbb{S}_{34} \cup \mathbb{S}_{13} \cup \mathbb{S}_{24} \cup \mathbb{S}_{14} \cup \mathbb{S}_{23}.$$

where  $\mathbb{S}_{12} = \{Mk_1 - Nk_2\} \cup \{Nk_2 - Mk_1\}$ .

**Lemma 1:** For sets  $\mathbb{S}_{13}$ ,  $\mathbb{S}_{24}$ ,  $\mathbb{S}_{14}$  and  $\mathbb{S}_{23}$  defined above,  $\mathbb{S}_{13} \cup \mathbb{S}_{24} \cup \mathbb{S}_{14} \cup \mathbb{S}_{23} = \mathbb{S}_{14} \cup \mathbb{S}_{23}$ .

**proof:** See Ref. [4].

Utilizing Lemma 1 and  $\mathbb{S}_{12} \cup \mathbb{S}_{34} = \mathbb{S}_{12}$ ,  $\mathbb{S}_c$  is simplified as

$$\mathbb{S}_c = \mathbb{S}_{12} \cup \mathbb{S}_{14} \cup \mathbb{S}_{23}.$$

Because

$$\mathbb{S}_{14} \cup \mathbb{S}_{23} = \tilde{\mathbb{S}}_{14} \cup \tilde{\mathbb{S}}_{23},$$

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<sup>4</sup>G. Qin, M. G. Amin, and Y. D. Zhang, "DOA estimation exploiting sparse array motions," *IEEE Trans. Signal Process.*, vol. 67, no. 11, pp. 3013 - 3027, June 2019.

## Difference co-array

where

$$\tilde{\mathbb{S}}_{14} = \{Mk_1 - Nk_4 - 1\} \cup \{Nk_2 - Mk_3 - 1\},$$

$$\tilde{\mathbb{S}}_{23} = \{Nk_4 - Mk_1 + 1\} \cup \{Mk_3 - Nk_2 + 1\}.$$

Because  $k_1, k_3 \in [0, N - 1]$ ,  $k_2, k_4 \in [0, M - 1]$ ,  $\tilde{\mathbb{S}}_{14}$  and  $\tilde{\mathbb{S}}_{23}$  are equivalent to the following equations.

$$\mathbb{S}_{12}^L = \{Mk_1 - Nk_2 - 1\} \cup \{Nk_2 - Mk_1 - 1\},$$

$$\mathbb{S}_{12}^R = \{Nk_2 - Mk_1 + 1\} \cup \{Mk_1 - Nk_2 + 1\}.$$

Then,

$$\mathbb{S}_c = \mathbb{S}_{12} \cup \mathbb{S}_{12}^L \cup \mathbb{S}_{12}^R.$$

The difference co-array of the synthetic array consists of the difference co-array of the original array and its unit-lag shifted versions in the direction and opposite to direction of motion.

## Difference co-array: Example

Assume  $M = 4$ ,  $N = 5$ , then

$$\mathbb{S}_{12} = \{0, \pm 1, \dots, \pm 8, \pm 10, \pm 11, \pm 12, \pm 15, \pm 16\}$$

The number of unique lags: **27**, the number of holes: **6**

After array motion,

$$\mathbb{S}_{12}^L = \{0, \pm 1, \dots, \pm 7, -8, \pm 9, 10, \pm 11, -12, -13, 14, 15, -16, -17\}$$

$$\mathbb{S}_{12}^R = \{0, \pm 1, \dots, \pm 7, 8, \pm 9, -10, \pm 11, 12, 13, -14, -15, 16, 17\}$$

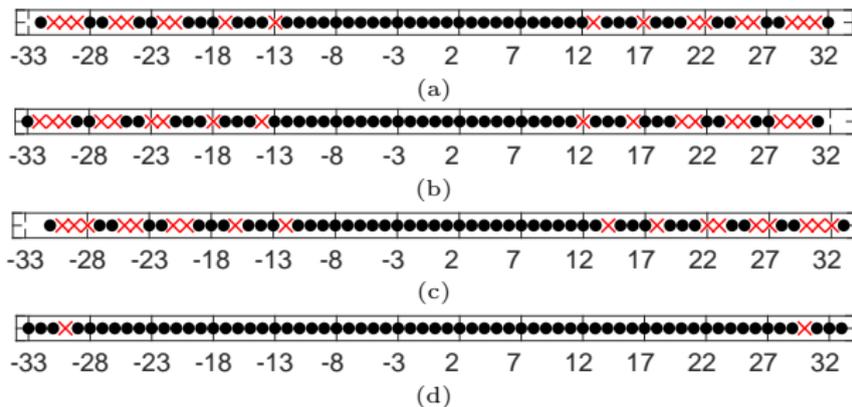
$$\mathbb{S}_c = \{0, \pm 1, \dots, \pm 17\}$$

The number of unique lags: **35**, the number of holes: **0**

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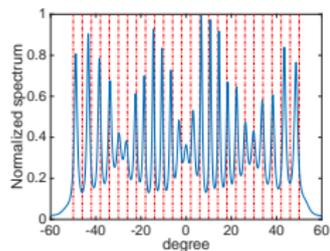
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# Simulation results

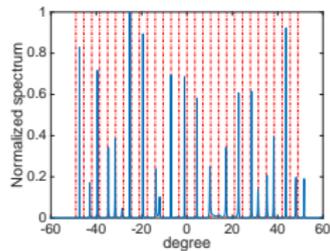


Difference co-array for  $M=4$ ,  $N=9$ . (a)  $\mathbb{S}_{12}$ ; (b)  $\mathbb{S}_{12}^L$ ; (c)  $\mathbb{S}_{12}^R$ ; (d)  $\mathbb{S}_c$ . (●: lags; ×: holes.)

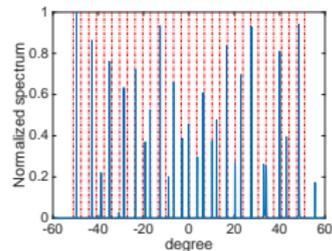
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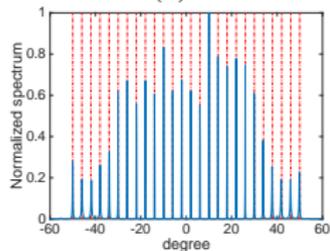
(a)



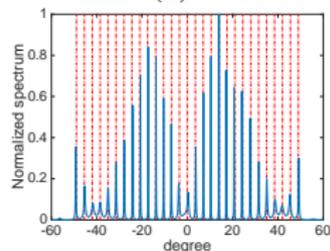
(b)



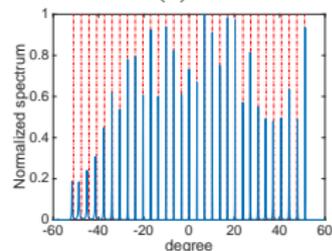
(c)



(d)



(e)



(f)

Spatial spectra estimation using the LASSO. (a)  $M=4$ ,  $N=9$ ,  $Q=26$  for the original array; (b)  $M=4$ ,  $N=9$ ,  $Q=26$  for the synthetic array; (c)  $M=6$ ,  $N=7$ ,  $Q=29$  for the original array; (d)  $M=6$ ,  $N=7$ ,  $Q=29$  for the synthetic array; (e)  $M=5$ ,  $N=8$ ,  $Q=31$  for the original array; (f)  $M=5$ ,  $N=8$ ,  $Q=31$  for the synthetic array.

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- Analysis of coprime arrays on moving platform
  - ① Passive synthetic aperture for moving half-wavelength
  - ② Composition of the difference co-array for synthetic array
  - ③ Comparison of difference co-array corresponding to the original and synthetic array

*THANK YOU!*