



Tencent AI Lab

# Encrypted Speech Recognition using deep polynomial networks

---

Austin Zhang, **Dong Yu**

Tencent AI Lab

Yifan Gong

Microsoft

# Table of contents

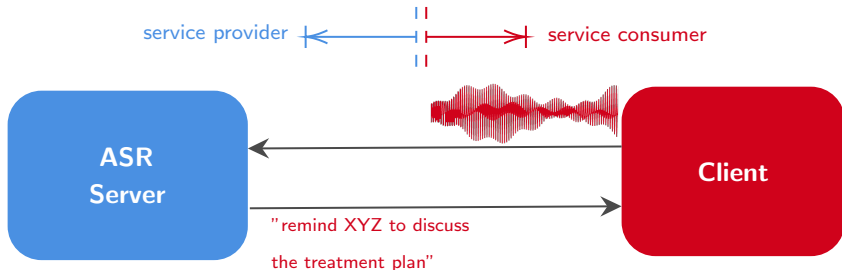
1. Motivation
2. Encrypted Speech Recognition
3. Deep Polynomial Network
4. Experimental Results

# Motivation

---

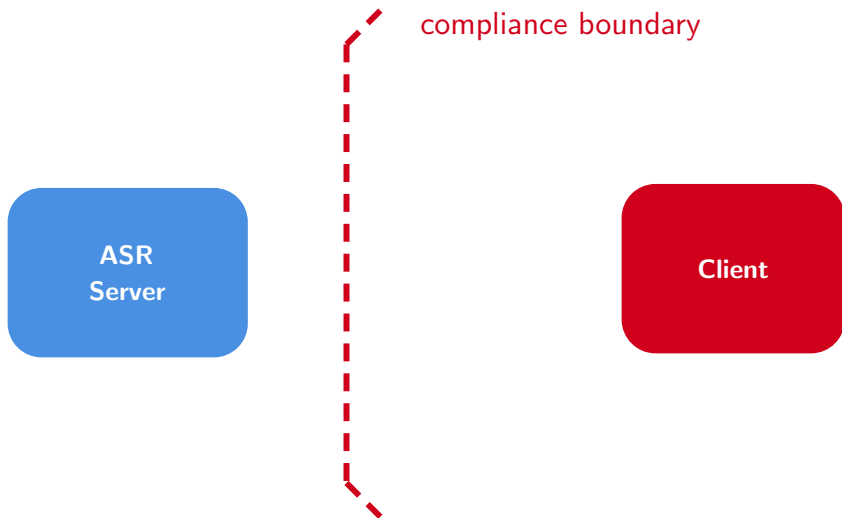
# Why doing this?

- State-of-the-art speech recognition services are running on cloud
- However, this will leak the client's private information to the server. e.g., medical/financial/enterprise/sensitive data



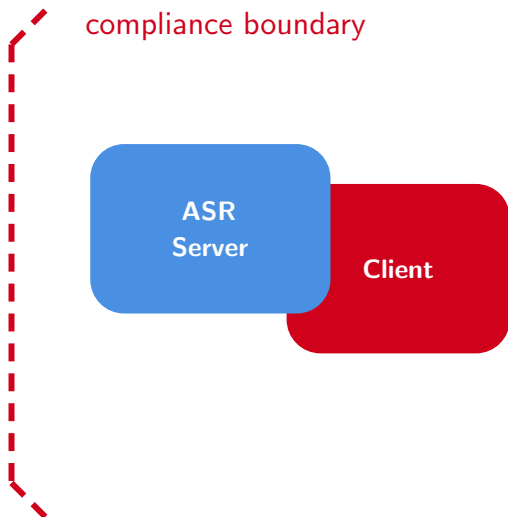
# How to protect privacy? — A private-cloud solution

- Step 1: build a compliance boundary → prevent data to leak out



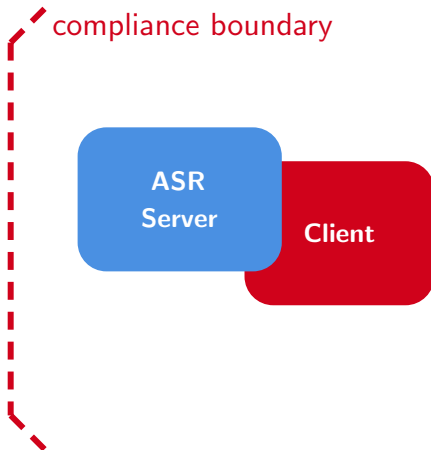
## How to protect privacy? — A private-cloud solution

- Step 2: move ASR service inside of compliance boundary



## How to protect privacy? — A private-cloud solution

- issues 1: hard to deploy an update to the private cloud
- issues 2: costly for some small business/individual users
- issues 3: service provider may divulge the model and decoder to the service consumer who may resale to others



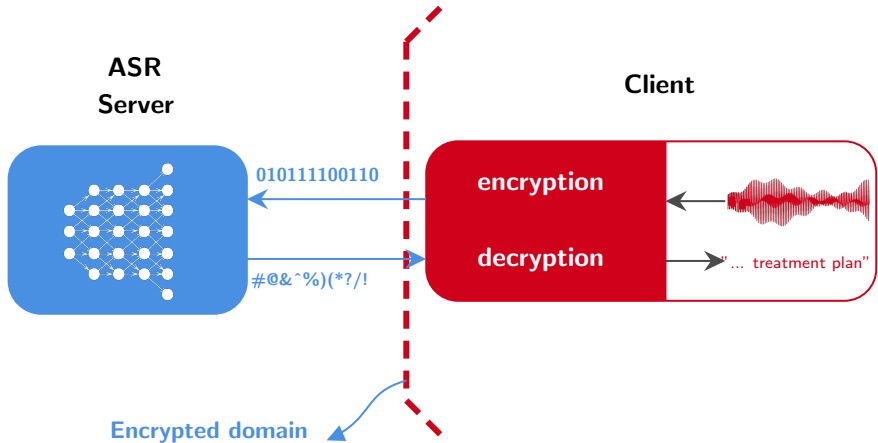
# Encrypted Speech Recognition

---



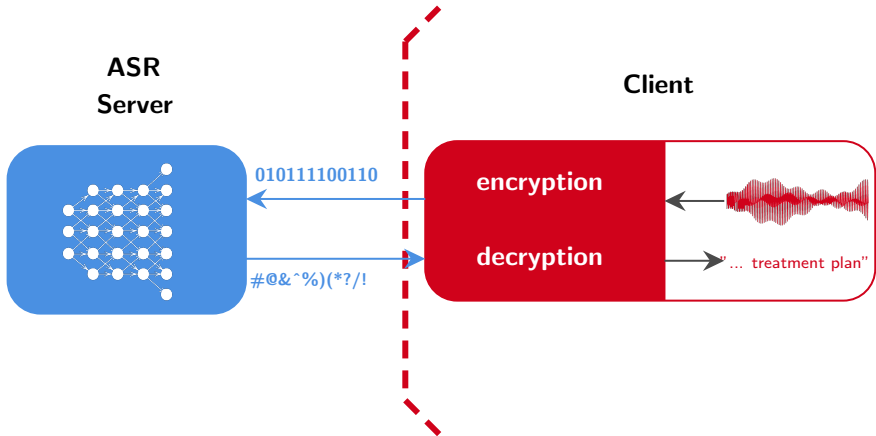
# What if we can encrypt the data

- Ideally, we want ...



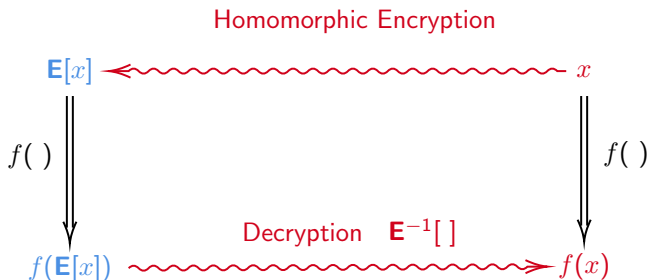
# What if we can encrypt the data

- Does this encryption exist?



# Homomorphic Encryption

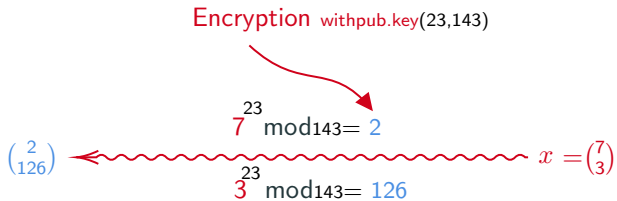
$E^{-1}[f(E[x])] \equiv f(x) \rightarrow$  An elegant solution for all above questions



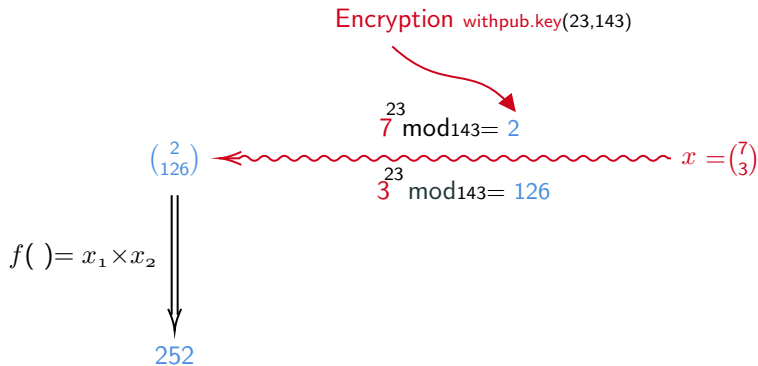
## Homomorphic Encryption — example

$$\begin{array}{l} x = \begin{pmatrix} 7 \\ 3 \end{pmatrix} \\ \parallel \\ \parallel \\ \parallel f(\ ) = x_1 \times x_2 \\ \parallel \\ \parallel \\ \Downarrow \\ f(x) = 21 \end{array}$$

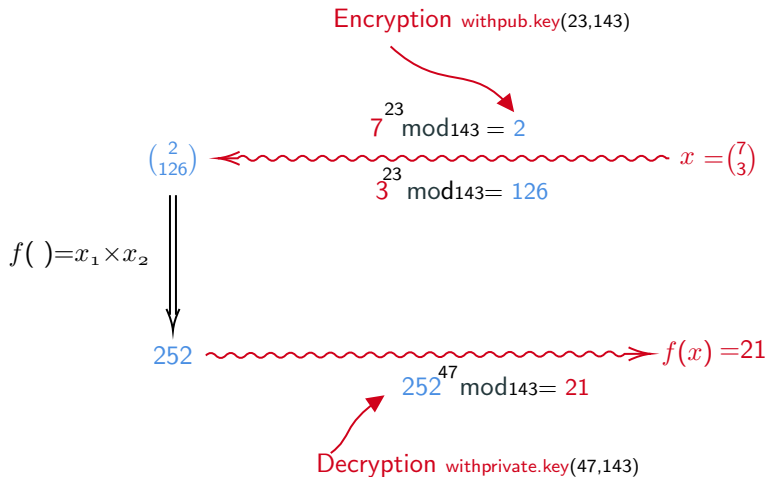
# Homomorphic Encryption — example



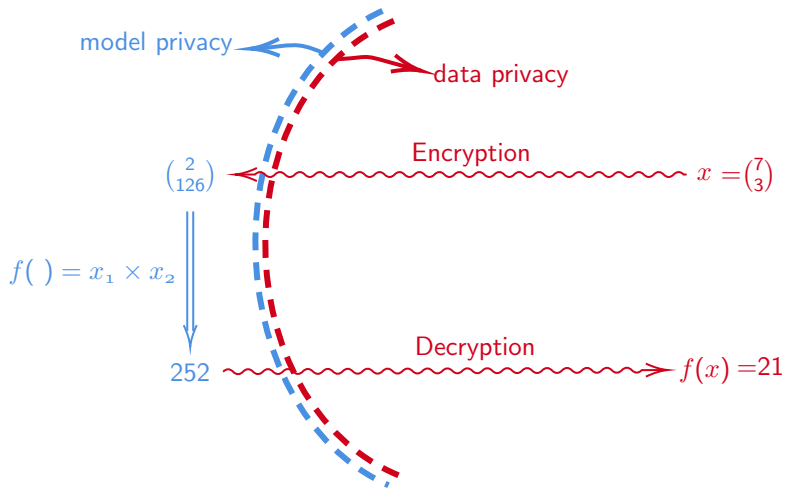
# Homomorphic Encryption — example



# Homomorphic Encryption — example

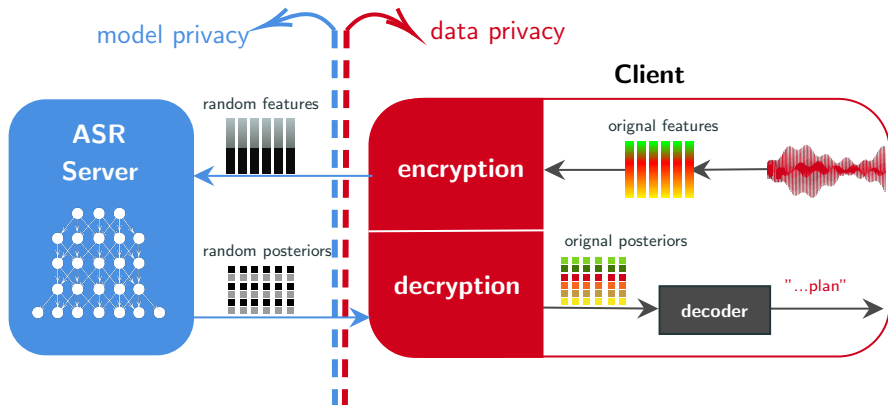


# Homomorphic Encryption — example





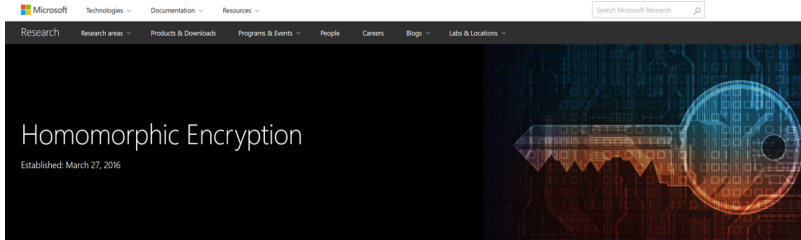
# Proposed Framework



- Only AM scores are computed on server side.
- Original result guaranteed after decryption.
- No need to retrain the DNN on encrypted data.

# What about Latency?

It is extremely slow and not feasible before, until ...



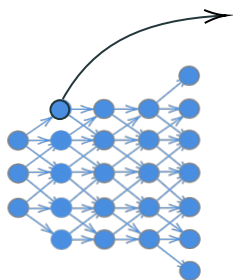
Microsoft researchers smash homomorphic encryption speed barrier!

- But  $f(\cdot)$  must be polynomial
- must be fixed point operation
- open source → <http://sealcrypto.org/>

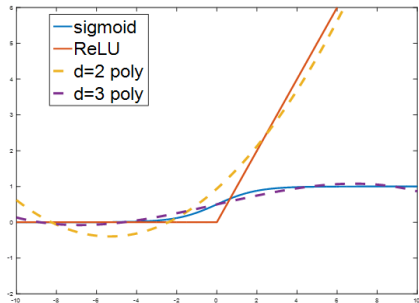
# Deep Polynomial Network

---

# Deep polynomial network

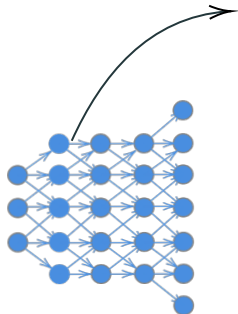


Replace ReLU and Sigmoid as polynomials



- unbounded polynomial approximation → batch norm is a must.

# Deep polynomial network



**Dense layer (polynomial)**

$$\mathbf{E}[\mathbf{W}]^T \mathbf{E}[\mathbf{x}] \xrightarrow{\mathbf{E}^{-1}} \mathbf{W}^T \mathbf{x}$$

**Convolution layer (polynomial)**

**Batch norm (merged to dense layer)**

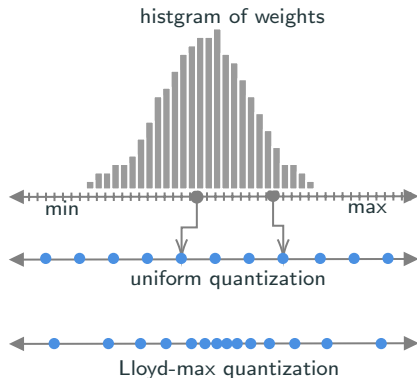
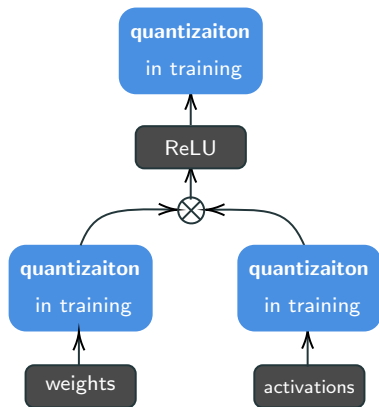
$$\mathbf{W}^T \left( \gamma \frac{z - \mu}{\sigma} + \beta \right) + \mathbf{b} = \mathbf{W}'^T \mathbf{z} + \mathbf{b}'$$

**Max pooling layer (approximate)**

$$\max(x_1, \dots, x_n) = \lim_{d \rightarrow \infty} \left( \sum_{i=1}^n x_i^d \right)^{\frac{1}{d}}$$

# Low-bit quantization

low-bit model is critical for encryption speed



# Experimental Results

---

## WER on Switchboard

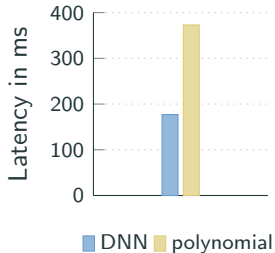
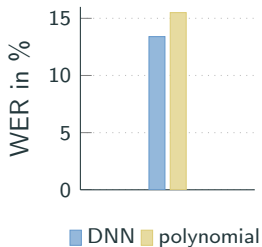
WER in %		16-bit	8-bit	4-bit	2-bit
DNN	quantized train	14.7%	14.7%	14.9%	30.3%
	→ polynomial	15.8%	15.8%	16.1%	30.8%
CNN	quantized train	12.2%	12.3%	12.7%	–
	→ polynomial	13.5%	13.6%	14.0%	–

- with proper quantized training, 4-bit is sufficient.
- the polynomial networks increase WERs by a little as a cost.



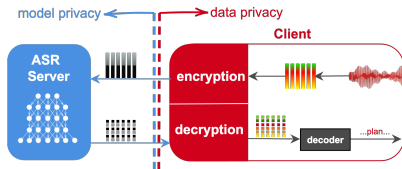
# WER and Latency on Cortana Task

	16-bit	4-bit	avg. latency per utterance		
			encryption	decryption	overall
DNN	12.9%	13.4%	–	–	177ms
polynomial	14.8%	15.5%	202ms	16ms	373ms

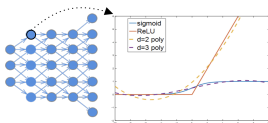


# Summary

- a framework that enables privacy-preserving speech recognition



- a polynomial network that can make predictions over the encrypted speech in real time.



- with quantized training, 4-bit is sufficient for DNN/CNN.

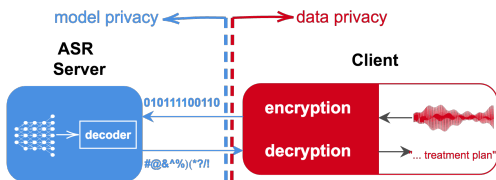
**Thanks.**  
**Questions?**



Tencent AI Lab

# Future work

- make the decoder also work on encrypted domain, so that we could run everything on the cloud.



- investigate training on encrypted data so that multiple parties (e.g. Microsoft, Google and Amazon) can encrypt and combine their data together to train models without sacrificing users privacy.