

## Introduction

Image smoothing is important in image processing. Among these image smoothing methods, the  $L_0$  gradient minimization method is one of the most popular ones. However, the  $L_0$  gradient minimization method suffers from the staircasing effect and over-sharpening issue, which highly degrade the quality of the smoothed image. To overcome these issues, we use not only the  $L_0$  gradient term for finding edges, but also a surface area based term for the purpose of smoothing the inside of each region. An alternating minimization algorithm is suggested to efficiently solve the proposed model, where each subproblem has a closed-form solution. Leveraging the introduced surface area term, the proposed method can effectively alleviate the staircasing effect and the over-sharpening issue. The superiority of our method over the state-of-the-art methods is demonstrated by a series of experiments.

## Highlights of our work

- We propose a new image smoothing model, which exploits the  $L_0$  gradient term to preserve the edges and particularly, a surface area term to smooth the inside of each region. By the use of the surface area term, the staircasing effect and over-sharpening issue can be significantly alleviated.
- An alternating minimization method is adapted for effectively solving the proposed model, where each subproblem has an analytic expression. The efficiency of the proposed method is demonstrated by a series of numerical experiments via comparing with many state-of-the-art image smoothing methods.

## Our model and algorithm

- $\min_I \|I - I_0\|_2^2 + \lambda(\sigma\phi(I) + \|\nabla I\|_0)$   
where  $I_0$  is an input image, the gradient  $I_0$  is calculated as difference between neighboring pixels along the  $x$  and  $y$  directions;  $\phi(I)$  is the surface area of the mesh field.
- There are two regularization terms in the proposed model, and it can not be solved directly. We apply the classic quadratic penalty approach to solve it iteratively:

$$\min_{I,U} f(I,U) := \|I - I_0\|^2 + \beta\|U - \nabla I\|^2 + \lambda\|U\|_0 + \lambda\sigma\phi(U)$$

- Given  $I$ , we have

$$\bar{U} = \arg \min_U \beta\|U - \nabla I\|^2 + \lambda\sigma\phi(U) + \lambda\|U\|_0 \quad (1)$$

- Given  $U$ , we have

$$\bar{I} = \arg \min_I \|I - I_0\|^2 + \beta\|U - \nabla I\|^2 \quad (2)$$

### Algorithm 1 Image smoothing via gradient sparsity and surface area minimization

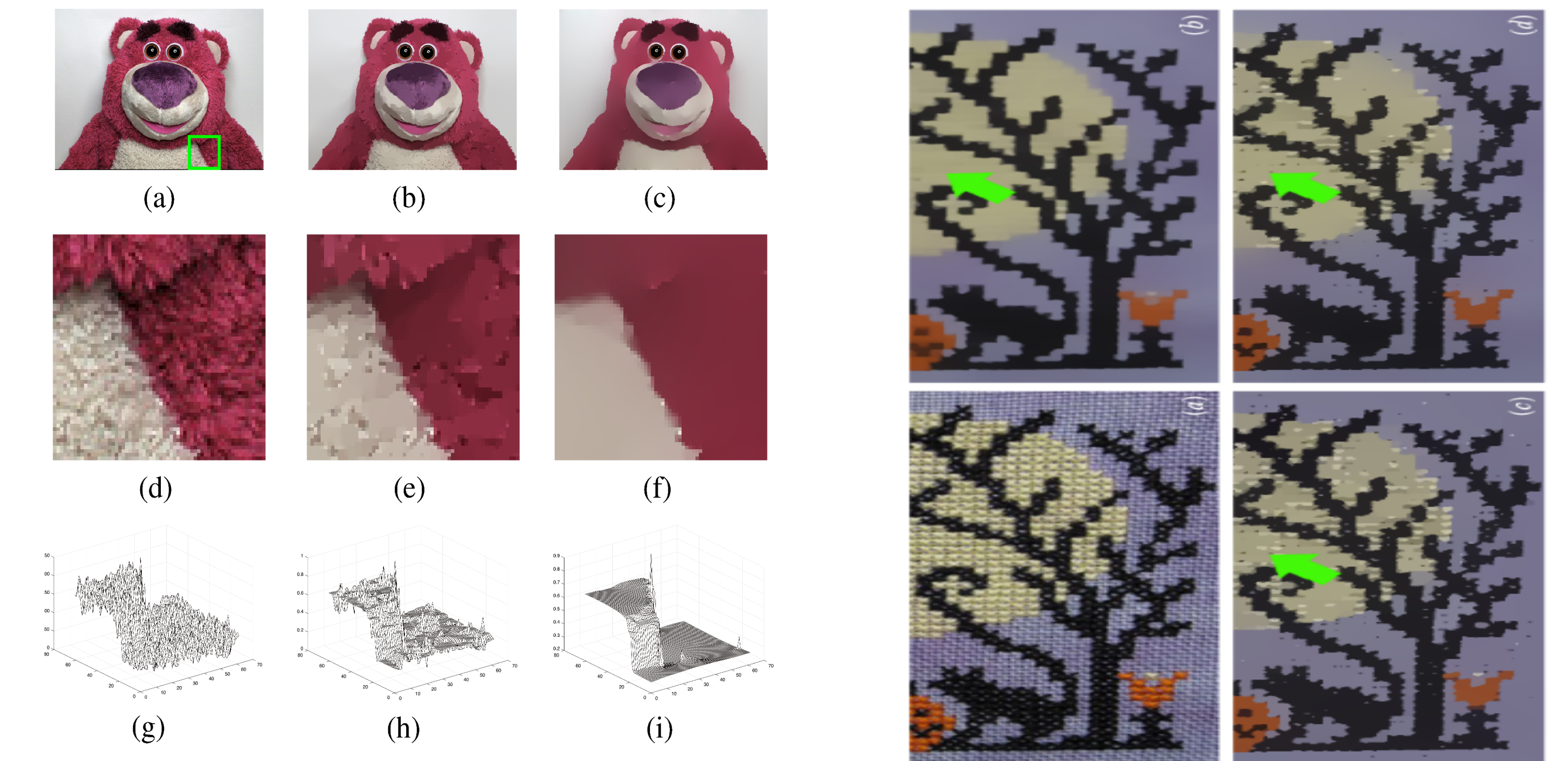
**Input:** input image  $I_0$ , regularization parameter  $\lambda$ , initial penalty parameter  $\beta = \beta_0$ , maximum penalty parameter  $\beta_{\max}$ , growth rate  $\kappa > 1$ , initial  $k = 0$ ,  $I^k = I_0$ .

**Output:** smoothed image  $I$

while  $\beta < \beta_{\max}$

- 1: Given  $I^k$ , solve for  $U^{k+1}$  using (1);
- 2: Given  $U^{k+1}$ , solve for  $I^{k+1}$  according to (2);
- 3:  $\beta \leftarrow \kappa\beta$ ,  $k \leftarrow k + 1$ .

## Numerical results



**Fig.1** Our surface area smoothing results in comparison with Xu et al's method. (a) Input image; (b) Xu et al's result; (c) Our result; (d-f) zoom-in of the input image and the two results; (g-i) Graphs of the corresponding zoom-in parts (d-f) in gray-scale.

**Fig.3** Difficulty of our method for smoothing texture image. (a) Input image; (b) Relative total variation; (c) Xu et al; (d) Ours.



**Fig. 2.** Comparison of the smoothed results of Algorithm 1 and Xu et al with different parameters. The second and third rows are zoom-in parts of the first row. Our method can remove undesired artifacts compared with Xu et al's method under the same regularization parameter  $\lambda$ . When increasing  $\lambda$  for Xu et al's method, over-sharpening happens.