Two-Dimensional Tomography from Noisy Projection Tilt Series Taken at Unknown View Angles with Non-Uniform Distribution

Lingda Wang

Department of Electrical and Computer Engineering Coordinated Science Laboratory University of Illinois at Urbana-Champaign

The 26th IEEE International Conference on Image Processing (ICIP 2019)

September 23rd 2019

Joint work with Zhizhen Zhao

- 4 週 ト - 4 三 ト - 4 三 ト

Motivation & Intro: A Statistical Estimation Problem



- Unknown 2-D object *f*, and unknown non-uniform view angle distribution **p**(θ).
- Observation model: $\mathbf{y}_{i,\kappa} = \mathcal{P}_{\theta_i + \kappa \alpha}(f) + \mathbf{n}_{i,\kappa}, \forall i \in [N], |\kappa| \leq K$.
- Parameters of interest: f and $\mathbf{p}(\theta)$.

・ロト ・回ト ・ヨト ・ヨト

Related Works and Problems

- Existing methods focus on estimating view angles.
 - S. Basu and Y. Bresler¹:
 - View angle ordering via nearest neighbor;
 - Joint maximum likelihood refinement.
 - A. Singer and H. Wu²:
 - Denoising (e.g., linear Wiener filtering and graph denoising);
 - Diffusion maps for view angle ordering.
 - Cons: Poor performance under low SNR; Computationally inefficient with large number of projections.

¹Samit Basu and Yoram Bresler, Feasibility of tomography with unknown view angles, IEEE Transactions on Image Processing 9 (2000), no.6, 1107-1122.

²Amit Singer and H-T Wu, Two-dimensional tomography from noisy projections taken at unknown random directions, SIAM journal on imaging sciences 6=(2013), no.1, 136=175. = \sim

Related Works and Problems

- Existing methods focus on estimating view angles.
 - S. Basu and Y. Bresler¹:
 - View angle ordering via nearest neighbor;
 - Joint maximum likelihood refinement.
 - A. Singer and H. Wu²:
 - Denoising (e.g., linear Wiener filtering and graph denoising);
 - Diffusion maps for view angle ordering.
 - Cons: Poor performance under low SNR; Computationally inefficient with large number of projections.
- Related problems:
 - 1-D: Multi-segment reconstruction (MSR).
 - 3-D: Sub-tomogram averaging (STA) in cryo-ET and single-particle reconstruction (SPR) in cryo-EM.

¹Samit Basu and Yoram Bresler, Feasibility of tomography with unknown view angles, IEEE Transactions on Image Processing 9 (2000), no.6, 1107-1122.

²Amit Singer and H-T Wu, Two-dimensional tomography from noisy projections taken at unknown random directions, SIAM journal on imaging sciences 6=(2013), no.1, 136=175. = \sim

Proposed Method

- Method of Moments (MoM):
 - Conjecture: first and second order moments may contain sufficient information for the recovery.
 - Difficulty: algorithm by directly inverting the first and second order moments is unavailable with unknown non-uniform view angle distribution $\mathbf{p}(\theta)$.
 - Solution: use nonconvex optimization methods to solve constrained weighted nonlinear least squares of first and second order moments.

イロト 不得 トイヨト イヨト

Proposed Method

- Method of Moments (MoM):
 - Conjecture: first and second order moments may contain sufficient information for the recovery.
 - Difficulty: algorithm by directly inverting the first and second order moments is unavailable with unknown non-uniform view angle distribution p(θ).
 - Solution: use nonconvex optimization methods to solve constrained weighted nonlinear least squares of first and second order moments.
- Pros: lower computational complexity. (N : # of data)
 - MoM: $\mathcal{O}(N)$ for moments (once) and $\mathcal{O}(1)$ for each iteration.
 - MLE (e.g., EM): $\mathcal{O}(N)$ for each iteration.

Moment Features

- Fourier domain: $\mathcal{F}(f)(\xi,\theta) \approx \sum_{k=-k_{\max}}^{k_{\max}} \sum_{q=1}^{q_k} a_{k,q} \psi_c^{k,q}(\xi,\theta).$
- Fourier slice theorem: $\widehat{\mathbf{y}}_{i,\kappa}[\xi_j] = \mathcal{F}(f)(\xi_j, \theta_i + \kappa \alpha) + \widehat{\mathbf{n}}_{i,\kappa}[\xi_j].$
- First order moment:

$$\boldsymbol{\mu}\left[j;\kappa\right] = \sum_{k=-k_{\max}}^{k_{\max}} \sum_{q=1}^{q_k} \sum_{l=0}^{n_{\theta}-1} a_{k,q} \psi_c^{k,q}\left(\xi_j, \phi_l + \kappa\alpha\right) \mathbf{p}[l]$$
$$= \boldsymbol{\Psi}\left(\mathbf{a} \circ \mathbf{g}(\widehat{\mathbf{p}})\right) \left[j;\kappa\right].$$

Second order moment:

$$\begin{aligned} \mathbf{C}[j_{1};\kappa_{1},j_{2};\kappa_{2}] &= \sum_{k_{1}=-k_{\max}}^{k_{\max}} \sum_{k_{2}=-k_{\max}}^{k_{\max}} \sum_{q_{1}=1}^{q_{k_{1}}} \sum_{q_{2}=1}^{q_{k_{2}}} a_{k_{1},q_{1}} \overline{a_{k_{2},q_{2}}} \\ &\times \psi_{c}^{k_{1},q_{1}} \left(\xi_{j_{1}},\kappa_{1}\alpha\right) \overline{\psi_{c}^{k_{2},q_{2}} \left(\xi_{j_{2}},\kappa_{2}\alpha\right)} \widehat{\mathbf{p}}[k_{2}-k_{1}] \\ &= \left(\mathbf{\Psi} \left(\mathbf{aa}^{*} \circ \mathbf{H}(\widehat{\mathbf{p}})\right) \mathbf{\Psi}^{*}\right) [j_{1};\kappa_{1},j_{2};\kappa_{2}]. \end{aligned}$$

イロト 不得下 イヨト イヨト 二日

Moment Features

• Unbiased empirical estimators:

$$\widetilde{\mu} = \frac{1}{N} \sum_{i=1}^{N} \widehat{\mathbf{y}}_i, \quad \widetilde{\mathbf{C}} = \frac{1}{N} \sum_{i=1}^{N} \widehat{\mathbf{y}}_i \widehat{\mathbf{y}}_i^* - \widehat{\mathbf{\Sigma}}.$$

• Constrained weighted nonlinear least squares:

$$\begin{split} (\widetilde{\mathbf{a}},\,\widetilde{\mathbf{p}}) &= \mathop{\arg\min}_{\mathbf{a},\mathbf{p}} \; \frac{\frac{\lambda_1}{2} \|\Psi\left(\mathbf{a}\circ\mathbf{g}(\widehat{\mathbf{p}})\right) - \widetilde{\mu}\|_w^2}{+\frac{\lambda_2}{2} \|\Psi\left(\mathbf{a}\mathbf{a}^*\circ\mathbf{H}(\widehat{\mathbf{p}})\right)\Psi^* - \widetilde{\mathbf{C}}\|_W^2},\\ \text{s.t.} \quad \mathbf{p} \geq \mathbf{0} \quad \text{and} \quad \mathbf{1}^\top \mathbf{p} = 1. \end{split}$$

• Gradient based methods (e.g., gradient descent and trust region) do not work well.

3

イロト 不得 トイヨト イヨト

• Reformulation and relaxation: split **a** into **a** and **z**, and relax the positive constraint on **p**.

$$\begin{split} (\widetilde{\mathbf{a}}, \, \widetilde{\mathbf{p}}) &= \operatorname*{arg\,min}_{\mathbf{a}, \mathbf{p}} \, \frac{\frac{\lambda_1}{2} \| \Psi \left(\mathbf{a} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) - \widetilde{\mu} \|_w^2}{+ \frac{\lambda_2}{2} \| \Psi \left(\mathbf{a} \mathbf{a}^* \circ \mathbf{H}(\widehat{\mathbf{p}}) \right) \Psi^* - \widetilde{\mathbf{C}} \|_W^2, \\ \text{s.t.} \quad \mathbf{p} &\geq \mathbf{0} \quad \text{and} \quad \mathbf{1}^\top \mathbf{p} = 1. \end{split}$$

- 4 同 6 4 日 6 4 日 6

• Reformulation and relaxation: split **a** into **a** and **z**, and relax the positive constraint on **p**.

$$\begin{aligned} (\widetilde{\mathbf{a}}, \widetilde{\mathbf{z}}, \widetilde{\mathbf{p}}) &= \argmin_{\mathbf{a}, \mathbf{z}, \mathbf{p}} \quad \frac{\frac{\lambda_1}{2} \| \Psi \left(\mathbf{a} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) - \widetilde{\mu} \|_w^2 + \frac{\lambda_1}{2} \| \Psi \left(\mathbf{z} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) - \widetilde{\mu} \|_w^2 \\ &+ \frac{\lambda_2}{2} \| \Psi \left(\mathbf{a} \mathbf{z}^* \circ \mathbf{H}(\widehat{\mathbf{p}}) \right) \Psi^* - \widetilde{\mathbf{C}} \|_W^2 \\ \text{s.t.} \quad \mathbf{a} &= \mathbf{z}, \quad \mathbf{p} \ge \mathbf{0} \quad \text{and} \quad \mathbf{1}^\top \mathbf{p} = 1. \end{aligned}$$

- 4 同 6 4 日 6 4 日 6

• Reformulation and relaxation: split **a** into **a** and **z**, and relax the positive constraint on **p**.

$$\begin{aligned} &(\widetilde{\mathbf{a}}, \widetilde{\mathbf{z}}, \widetilde{\mathbf{p}}) = \argmin_{\substack{\mathbf{a}, \mathbf{z}, \mathbf{p} \\ \mathbf{s}, \mathbf{t}. \\$$

- 4 同 6 4 日 6 4 日 6

• Reformulation and relaxation: split **a** into **a** and **z**, and relax the positive constraint on **p**.

$$\begin{aligned} (\widetilde{\mathbf{a}}, \widetilde{\mathbf{z}}, \widetilde{\mathbf{p}}) &= \operatorname*{arg\,min}_{\mathbf{a}, \mathbf{z}, \mathbf{p}} \quad \frac{\lambda_1}{2} \| \Psi \left(\mathbf{a} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) - \widetilde{\mu} \|_w^2 + \frac{\lambda_1}{2} \| \Psi \left(\mathbf{z} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) - \widetilde{\mu} \|_w^2 \\ &+ \frac{\lambda_2}{2} \| \Psi \left(\mathbf{a} \mathbf{z}^* \circ \mathbf{H}(\widehat{\mathbf{p}}) \right) \Psi^* - \widetilde{\mathbf{C}} \|_W^2 \\ &\text{s.t.} \quad \mathbf{a} = \mathbf{z}, \quad \widetilde{\mathbf{p}} \geq \mathbf{0} \quad \text{and} \quad \mathbf{1}^\top \mathbf{p} = 1. \end{aligned}$$

• Augmented Lagrangian:

$$\begin{split} \mathcal{L}(\mathbf{a},\mathbf{z},\mathbf{p};\mathbf{s}) &= \frac{\lambda_1}{2} \left\| \Psi \left(\mathbf{a} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) - \widetilde{\mu} \right\|_{w}^{2} + \frac{\lambda_1}{2} \left\| \Psi \left(\mathbf{z} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) \right. \\ &\left. - \widetilde{\mu} \right\|_{w}^{2} + \frac{\lambda_2}{2} \left\| \Psi \left(\mathbf{a} \mathbf{z}^* \circ \mathbf{H}(\widehat{\mathbf{p}}) \right) \Psi^* - \widetilde{\mathbf{C}} \right\|_{W}^{2} + \frac{\rho}{2} \left\| \mathbf{a} - \mathbf{z} + \mathbf{s} \right\|_{2}^{2} \end{split}$$

|田・ (日) (日)

• Initialization: random initialization of $\mathbf{a}^{(0)}, \mathbf{z}^{(0)}, \mathbf{p}^{(0)}$, and $\mathbf{s}^{(0)} = \mathbf{0}$.

3

・ロト ・聞ト ・ヨト ・ヨト

- Initialization: random initialization of $\mathbf{a}^{(0)}, \mathbf{z}^{(0)}, \mathbf{p}^{(0)}$, and $\mathbf{s}^{(0)} = \mathbf{0}$.
- Iterations: alternates between the primal updates of variables **a**, **z**, and **p**, and the dual update for **s** until convergence.

- Initialization: random initialization of $\mathbf{a}^{(0)}, \mathbf{z}^{(0)}, \mathbf{p}^{(0)}$, and $\mathbf{s}^{(0)} = \mathbf{0}$.
- Iterations: alternates between the primal updates of variables **a**, **z**, and **p**, and the dual update for **s** until convergence.

•
$$\mathbf{a}^{(t+1)} = \operatorname{arg\,min}_{\mathbf{a}} \mathcal{L}(\mathbf{a}, \mathbf{z}^{(t)}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$$

- Initialization: random initialization of $\mathbf{a}^{(0)}, \mathbf{z}^{(0)}, \mathbf{p}^{(0)}$, and $\mathbf{s}^{(0)} = \mathbf{0}$.
- Iterations: alternates between the primal updates of variables **a**, **z**, and **p**, and the dual update for **s** until convergence.

•
$$\mathbf{a}^{(t+1)} = \operatorname{arg\,min}_{\mathbf{a}} \mathcal{L}(\mathbf{a}, \mathbf{z}^{(t)}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$$

•
$$\mathbf{z}^{(t+1)} = \operatorname{arg\,min}_{\mathbf{z}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$$

- Initialization: random initialization of $\mathbf{a}^{(0)}, \mathbf{z}^{(0)}, \mathbf{p}^{(0)}$, and $\mathbf{s}^{(0)} = \mathbf{0}$.
- Iterations: alternates between the primal updates of variables **a**, **z**, and **p**, and the dual update for **s** until convergence.

•
$$\mathbf{a}^{(t+1)} = \operatorname{arg\,min}_{\mathbf{a}} \mathcal{L}(\mathbf{a}, \mathbf{z}^{(t)}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$$

•
$$\mathbf{z}^{(t+1)} = \arg\min_{\mathbf{z}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$$

•
$$\mathbf{p}^{(t+1)} = \operatorname{arg\,min}_{\mathbf{p}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}^{(t+1)}, \mathbf{p}; \mathbf{s}^{(t)})$$

- Initialization: random initialization of $\mathbf{a}^{(0)}, \mathbf{z}^{(0)}, \mathbf{p}^{(0)}$, and $\mathbf{s}^{(0)} = \mathbf{0}$.
- Iterations: alternates between the primal updates of variables **a**, **z**, and **p**, and the dual update for **s** until convergence.

•
$$\mathbf{a}^{(t+1)} = \arg\min_{\mathbf{a}} \mathcal{L}(\mathbf{a}, \mathbf{z}^{(t)}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$$

•
$$z^{(t+1)} = \arg \min_{z} \mathcal{L}(a^{(t+1)}, z, p^{(t)}; s^{(t)})$$

•
$$\mathbf{p}^{(t+1)} = \operatorname{arg\,min}_{\mathbf{p}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}^{(t+1)}, \mathbf{p}; \mathbf{s}^{(t)})$$

•
$$\mathbf{s}^{(t+1)} = \mathbf{s}^{(t)} + \mathbf{a}^{(t+1)} - \mathbf{z}^{(t+1)}$$

- Initialization: random initialization of $\mathbf{a}^{(0)}, \mathbf{z}^{(0)}, \mathbf{p}^{(0)}$, and $\mathbf{s}^{(0)} = \mathbf{0}$.
- Iterations: alternates between the primal updates of variables **a**, **z**, and **p**, and the dual update for **s** until convergence.

•
$$\mathbf{a}^{(t+1)} = \arg\min_{\mathbf{a}} \mathcal{L}(\mathbf{a}, \mathbf{z}^{(t)}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$$

• $\mathbf{z}^{(t+1)} = \arg\min_{\mathbf{z}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$
• $\mathbf{p}^{(t+1)} = \arg\min_{\mathbf{p}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}^{(t+1)}, \mathbf{p}; \mathbf{s}^{(t)})$
• $\mathbf{s}^{(t+1)} = \mathbf{s}^{(t)} + \mathbf{a}^{(t+1)} - \mathbf{z}^{(t+1)}$

• Output $\mathbf{a}^{(t)}, \mathbf{p}^{(t)}$.

▲圖▶ ▲温▶ ▲温▶

- Initialization: random initialization of $\mathbf{a}^{(0)}, \mathbf{z}^{(0)}, \mathbf{p}^{(0)}$, and $\mathbf{s}^{(0)} = \mathbf{0}$.
- Iterations: alternates between the primal updates of variables **a**, **z**, and **p**, and the dual update for **s** until convergence.

•
$$\mathbf{a}^{(t+1)} = \arg\min_{\mathbf{a}} \mathcal{L}(\mathbf{a}, \mathbf{z}^{(t)}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$$

• $\mathbf{z}^{(t+1)} = \arg\min_{\mathbf{z}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$
• $\mathbf{p}^{(t+1)} = \arg\min_{\mathbf{p}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}^{(t+1)}, \mathbf{p}; \mathbf{s}^{(t)})$
• $\mathbf{s}^{(t+1)} = \mathbf{s}^{(t)} + \mathbf{a}^{(t+1)} - \mathbf{z}^{(t+1)}$

• Output $\mathbf{a}^{(t)}, \mathbf{p}^{(t)}$.

• Remark: each update can be realized by solving simple least squares.

イロト 不得下 イヨト イヨト 二日

Numerical Results: Clean Case

- Parameters: N = 10000, α = 1.5 deg , $|\kappa|$ = 13, c = 0.3, λ_1 = 1, λ_2 = 0.5, and ρ = 1.
- Exact recovery on a projection image of 70S ribsome (up to a rotation).
- Perfect match of view angle distribution (up to a rotation).



イロト 不得下 イヨト イヨト 二日

Numerical Results: Noisy Case



Figure: SNR [dB] = 6.61, -0.32, -4.38, -7.25, -9.49.

• EM algorithm for maximum marginalized log-likelihood estimation: $\max_{\mathbf{a},\mathbf{p}} \sum_{i=1}^{N} \ln P\left(\widehat{\mathbf{y}}_{i} | \mathbf{a}, \mathbf{p}\right) \text{ s.t. } \mathbf{p} \geq \mathbf{0} \text{ and } \mathbf{1}^{\top} \mathbf{p} = 1.$

Numerical Results: Noisy Case



- Parameters: N = 10000, $\alpha = 3.8 \deg$, $|\kappa| = 13$, c = 0.3, $\lambda_1 = 1$, $\lambda_2 = 5$, and $\rho = 1$.
- Results over 20 independent experiments.
- Performance: ADMM+EM>ADMM>EM.

()

 Our paper Two-dimensional Tomography from Noisy Projection Tilt Series Taken at Unknown View Angles with Non-uniform Distribution is available online at: https://ieeexplore.ieee.org/document/8803755

 Our codes are available online at: https://github.com/LingdaWang/2D_TOMO_ICIP2019

過 ト イ ヨ ト イ ヨ ト