

## INTRODUCTION

- In this paper, we propose an adaptive hard example mining method with additional supervised training for image captioning.
- Beam search algorithm is leveraged to estimate score expectation for each example. Examples whose caption scores are lower than expectation are selected automatically.
- For the selected hard examples, we propose an additional reward policy for high-scoring captions to force model learning from them. The proposed method is hyper-parameter free without tuning.

## EXAMPLES



Easy Example	Captions	CIDEr
Beam search	A group of men standing around a table with pizza.	1.578
Results	A group of people standing in a kitchen.	1.476
Greedy Result	A group of people standing around a table with food.	1.638

Hard Example	Captions	CIDEr
Beam search	A man in a suit and tie standing in front of a door.	1.869
Results	A man smiling and walking through a doorway.	1.694
Greedy Result	A man in a suit and tie standing in a doorway.	1.081
<b>Our Result</b>	<b>A black and white photo of a man in a suit.</b>	<b>1.873</b>

Hard Example	Captions	CIDEr
Beam search	A little girl standing next to a red bike.	1.685
Results	A young girl standing next to a red bench.	1.176
Greedy Result	A woman standing next to a red bench on a sidewalk.	1.097
<b>Our Result</b>	<b>A little girl standing next to a red bike in a sidewalk.</b>	<b>1.852</b>

## ALGORITHM

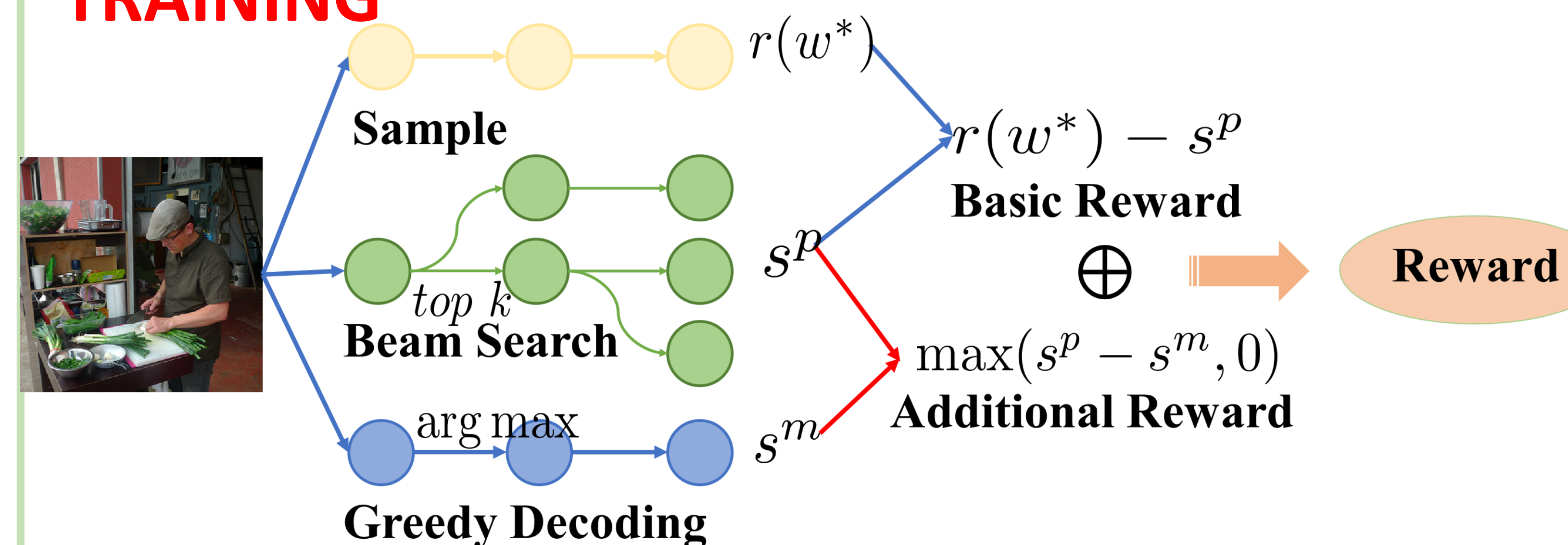
**Algorithm 1** The proposed adaptive hard example mining and additional training for hard examples.

**Input:** The training set of image and captioning pairs;  
The model parameter  $\theta$  and loss function  $L(\theta, r)$ ;  
The beam size  $k$  in beam search algorithm;

**Output:** Model parameter  $\theta$ .

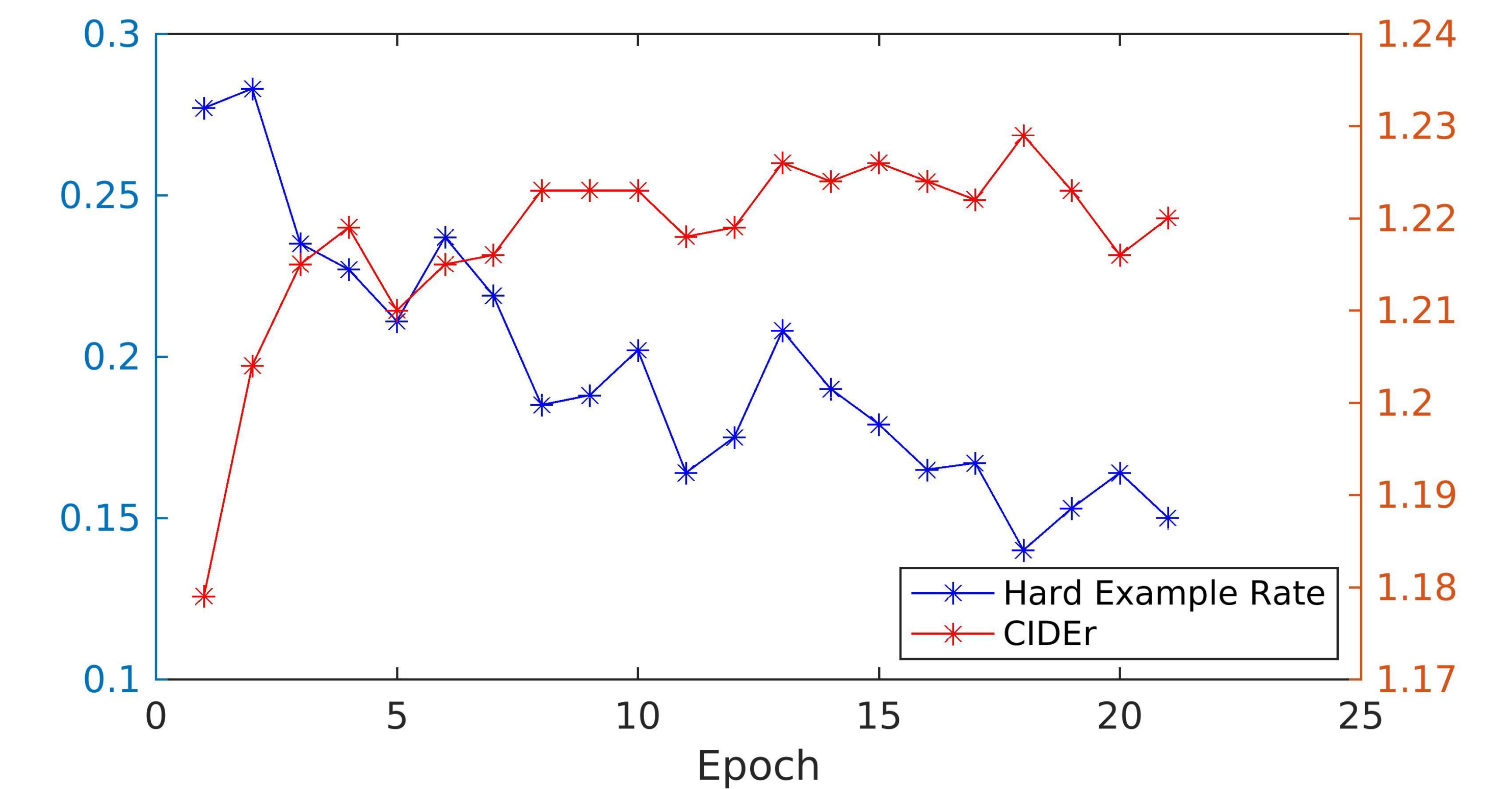
- while** Not converge **do**
- Given an image  $I$ , sample training caption  $w^*$  from ground-truth  $w^g$ ;
- Get  $k$ -beam search results  $\{w^{(1)}, \dots, w^{(k)}\}$ ;
- Get greedy decoding result  $w^m$ ; **STEP 1. Pre-Evaluation.**
- Evaluate captions by CIDEr metric and get scores  $\{r(w^*), s^m, s^{(1)}, \dots, s^{(k)}\}$ ;
- Get score expectation  $s^p = E(\{s^{(1)}, \dots, s^{(k)}\})$  **STEP 2.**
- Get basic reward  $r = r(w^*) - s^p$ ; **Adaptive threshold.**
- if**  $s^m < s^p$  **then** **STEP 3. Train hard examples.**
- Add additional  $s^p - s^m$  to basic reward;
- end if**
- Get loss  $L(\theta, r)$ ;
- Update parameter  $\theta$  by the policy gradient method.
- end while**
- return** Model parameter  $\theta$ ;

## TRAINING



## HARD EXAMPLE RATE

Since we select hard examples automatically in the training, the hard example rate reduces along with training and model performance improvement.



## RESULTS

We train several models with the proposed method, results in the figure show that our training method can improve model performance significantly.

Model	Methods	B-4	M	R	C
Att2in [13]	XE	31.3	26.0	54.3	101.3
	MIXER* [9]	32.2	25.9	54.8	106.9
	SCST [13]	33.3	26.3	55.3	111.4
	Ours	<b>34.4</b>	<b>27.0</b>	<b>55.8</b>	<b>114.7</b>
Att2all [13]	XE	30.3	25.9	53.4	99.4
	MIXER [9]	32.8	25.2	26.1	110.5
	SCST [13]	34.2	26.7	55.7	114.0
	Ours	<b>35.9</b>	<b>27.2</b>	<b>56.1</b>	<b>117.5</b>
Up-Down [6]	XE	36.2	27.0	56.4	113.5
	MIXER* [9]	35.5	27.2	56.5	115.3
	SCST [13]	36.3	27.7	56.9	120.1
	Ours	<b>37.4</b>	<b>27.7</b>	<b>57.0</b>	<b>123.1</b>