When Spatially-Variant Filtering Meets Low-Rank Regularization: Exploiting Non-Local Similarity for Single Image Interpolation

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#### **Ubiquitous Need**

#### iMac (Late 2013) : $1920 \times 1080$

#### $-Princess Returning Pearl (debut 1998) : 704 \times 520$



## The Interpolation Problem



Low-Resolution (LR) image

High-Resolution (HR) image

0 0 0 0 $\cap$  $\bullet \ \bigcirc \ \bullet \ \bigcirc \ \bullet \ \bigcirc$ 0 0 0 0 0 $\cap$ () $\bigcirc \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc$  $\bigcirc$ Measured Pixels: from LR image Missing Pixels: to be estimated

# Challenge





LR image

Upsampled-by-2 LR image

HR Image (Ground-Truth)

For pixels at different contexts, we should take different estimation strategies.

$$\hat{p}_{\mathrm{A}} = f_{\mathrm{A}}(\mathbf{N}_{\mathrm{A}})$$
$$\hat{p}_{\mathrm{B}} = f_{\mathrm{B}}(\mathbf{N}_{\mathrm{B}})$$

 $\hat{p}_{A}, \hat{p}_{B}$ : the estimation of pixel vlaues at A, B.  $N_{A}, N_{B}$ : neighboring measured pixels centered around A, B.  $f_{A}, f_{B}$ : the function of  $N_{A}, N_{B}$ .

A simplistic choice of f:

linear combination of neighboring measured pixel values

[Buades et al. '05]

$$\hat{p} = \sum_{i} \sum_{j} f_{\mathbf{N}}(i, j) \mathbf{N}(i, j)$$

 $\mathbf{N} \in \mathbb{R}^{W \times W}$ : neighboring measured pixels surrounding the target pixel  $f_{\mathbf{N}} \in \mathbb{R}^{W \times W}$ : weights of neighboring measured pixels

Image Interpolation from filtering perspective: to learn spatially-varying filters' coefficients.

Alternative choice of f:

linear combination of underlying structures (atoms)

[Arahon et al. '06]

$$\hat{p} = \sum_{k} \boldsymbol{\omega}_{\mathbf{N}}^{k} \boldsymbol{\sigma}_{\mathbf{N}}^{k}$$

 $\sigma_{\mathbf{N}}^k \in \mathbb{R}^{n^2 \times 1} : k$ -th atom of size  $n \times n$  stored in vectorized form.  $\omega_{\mathbf{N}}^k \in \mathbb{R}^{1 \times n^2} : \hat{p}$ 's corresponding weight (only one non-zero entry)

Image Interpolation from atomic perspective: to learn atoms and their corresponding weights.

think image interpolation from two perspectives:



Simple Less Flexible Potentially Worse Approximation



Complicated More Flexible Potentially Better Approximation

Can we have an interpolation algorithm that is both simple and promise to good approximation?

## **Non-Local Similarity**



A Patch (the red block of pixels) and its Neighboring Similar Patches (the green blocks of pixels) in *Lena*.

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#### **Non-Local Similarity**



A Patch (the red block of pixels) and its Neighboring Similar Patches (the green blocks of pixels) in *Lena*.

 $\mathbf{P}_i pprox \sum_j \omega_j \mathbf{P}_i^j$ 

Similar patches searched in P<sub>i</sub>'s spatial neighborhood

An individual patch in a natural image

[Buades et al. '05] [Dong et al. '13]

# **Exploit Non-Local Similarity**



# **Exploit Non-Local Similarity**





[Sun et al. '16]

# Scheme of Each Iteration

- Decompose an image into overlapping patches
- □ Update each patch:
  - Identify the positions of similar patches (filter support)
  - Compute the weights of similar patches (non-zero filter coefficients)
- Average the contribution of overlapping patches to each missing pixel

# **Exploit Non-Local Similarity**



(a) initial estimate (b) after first iteration (c) ground-truth

## **Unique Features**

#### □ Robust initialization of the positions of similar patches

#### □ Regularization of the weights of similar patches



HR Image and a window of interest

#### HR Image and a Bicubic Initial Estimate

HR image



HR Image and a window of interest

Bicubic Initial Estimate target patch HR image target patch





HR Image and a window of interest

[Sun et al. '16]

Bicubic Initial Estimate target patch and similar patches HR image target patch and similar patches



HR Image and a window of interest

Guide Image

HR image

[Yu and Orchard '19]



HR Image and a window of interest

[Yu and Orchard '19]

Guide Image target patch and similar patches HR image target patch and similar patches



HR Image and a window of interest

[Yu and Orchard '19]

Input Image in Last Iter target patch and similar patches HR image target patch and similar patches

# **Regularized Weights**

# **Typical Filter Coefficients**





the neighboring measured pixels of the target missing pixel A the weights of the neighboring measured pixels

# **Typical Filter Coefficients**





the neighboring measured pixels of the target missing pixel B the weights of the neighboring measured pixels

# **Rethink Image Filtering**



X:matrix of grouped patches

•••	

image filtering in final stage

filtering = minus-one rank regularization
only the first row of X will be updated

# Low-rank Regularization

$$\mathbf{L} = \underset{\mathbf{L}}{\operatorname{argmin}} \frac{1}{2} \|\mathbf{X} - \mathbf{L}\|_{\mathrm{F}}^{2} + \lambda \cdot \operatorname{rank}(\mathbf{L})$$

We solve a more tractable surrogate:

Weighted Nuclear Norm Minimization (non-descending weights)

where:  $\mathbf{U} \mathbf{\Sigma} \mathbf{V}^{\top} = \mathbf{X}$  and  $\mathcal{S}_{\omega}(\mathbf{\Sigma})_{ii} = \max(\mathbf{\Sigma}_{ii} - \omega_i, 0)$ 

# Algorithm



#### Testset



Images are from USC-SIPI Database, Berkeley Segmentation Dataset, Kodak and IMAX. The name of the images in the first row(from left to right): Elk, Birds, Butterfly, Flower. The name of the images in the first row(from left to right): Leaves, Male, Lena, House.

# Quantitative Comparison

Table 1: Comparison of Average PSNR (in decibels) of interpolated images in

the task of interpolating an image by a factor of 2.

Image	NARM [Dong et al. '13]	<b>ANSM</b> [Romano et al. '14]	<b>NLPC</b> [Sun et al. '16]	Ours
Elk	31.95	32.51	32.31	32.80
Birds	35.03	34.67	35.00	35.15
Butterfly	28.23	27.90	27.86	28.83
Flower	34.41	34.13	34.22	34.67
Leaves	29.38	28.84	29.23	30.47
Male	32.41	32.40	32.50	32.72
Lena	35.09	34.87	35.08	35.25
House	33.49	34.46	33.93	34.85
AVERAGE	32.50	32.47	32.52	33.09

# Ablation Study

Table 2: Comparison of Average PSNR (in decibels) of interpolated images in the task of interpolating an image by a factor of 2.

Image	Single Pass Filtering without NLR	Two Pass Filtering without NLR	Entire Process
Elk	32.72	32.77	32.80
Birds	35.12	35.10	35.15
Butterfly	28.49	28.66	28.83
Flower	34.61	34.61	34.67
Leaves	29.95	30.19	30.47
Male	32.70	32.70	32.72
Lena	35.24	35.22	35.25
House	34.56	34.70	34.85
AVERAGE	32.93	32.99	33.09

# Visual Comparison (X2)



NARM: [Dong et al. '13] ANSM: [Romano et al. '14] NLPC: [Sun et al. '16]



Ground-Truth Proposed

# Visual Comparison (X2)







NARM











Ground-Truth

Proposed

# Visual Comparison (X2)



NARM: [Dong et al. '13] ANSM: [Romano et al. '14] NLPC: [Sun et al. '16]



NARM



ANSM



NLPC



Ground-Truth

Al

Proposed

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# Conclusion

Combine spatially-variant filtering and lowrank approximation to exploit non-local similarity

□ State-of-the-art PSNR

□ Simple, Parallerizable Algorithm