



香港城市大學  
City University of Hong Kong



# Sub-sampled Cross-component Prediction for Chroma Component Coding

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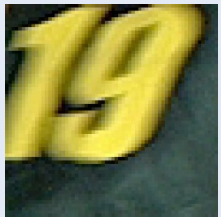
<sup>3</sup> ByteDance Inc., San Diego CA. 92122 USA.

# Outline

- Background
- Sub-sampled Cross-component Prediction
- Experimental Results
- Conclusions

# Cross-component Prediction<sup>[1][2]</sup>

- Inter-channel correlation
- Linear model ( $\alpha, \beta$ )



Y-Cb-Cr



Y

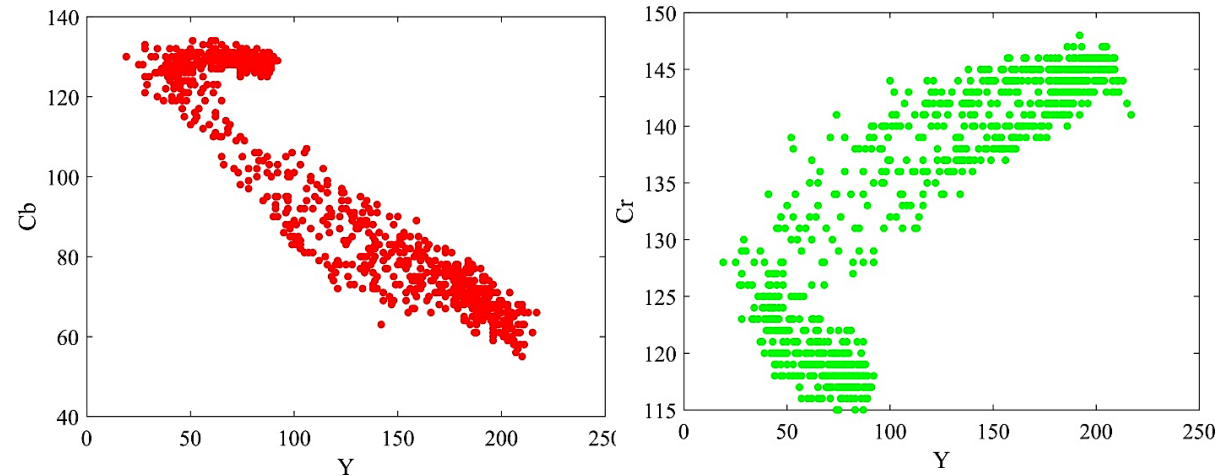


Cb



Cr

Illustration of the 3-channel block and single channel block



Relationships between Y-Cb and Y-Cr

[1] J. Kim, S.-W. Park, J.-Y. Park, and B.-M. Jeon, "Intra chroma prediction using inter channel correlation," JCTVC-B021, Jul. 2010.

[2] J. Chen and V. Seregin, "Chroma intra prediction by scaled luma samples using integer operations," JCTVC-C206, Oct. 2010.

# Linear Model Derivation

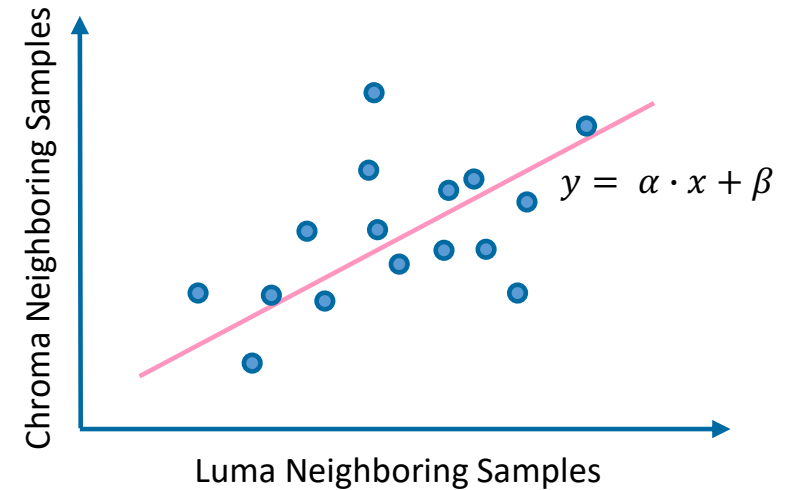
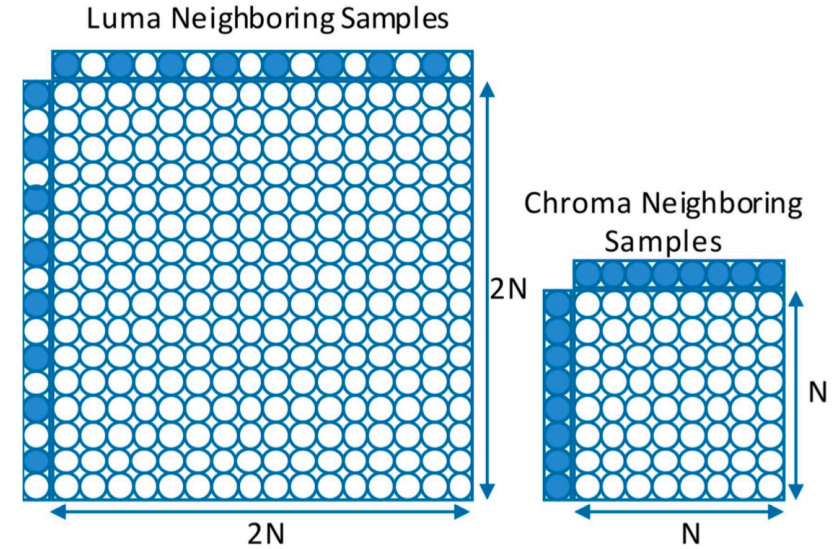
- Least Square Regression (LSR)<sup>[1][2]</sup>.
- Luma down-sampling:  $Rec_L^{(m)} \rightarrow \widehat{Rec}_L^{(m)}$
- Input:  $M$  neighboring sample pairs  $\widehat{Rec}_L^{(m)}, Rec_C^{(m)}$
- Output: linear model parameters  $\alpha, \beta$

$$\alpha = \frac{M \cdot \sum_{m=1}^M Rec_C^{(m)} \widehat{Rec}_L^{(m)} - \sum_{m=1}^M Rec_C^{(m)} \sum_{m=1}^M \widehat{Rec}_L^{(m)}}{M \cdot \sum_{m=1}^M (\widehat{Rec}_L^{(m)})^2 - (\sum_{m=1}^M \widehat{Rec}_L^{(m)})^2}$$

$$\beta = \frac{\sum_{m=1}^M Rec_C^{(m)} - \alpha \sum_{m=1}^M \widehat{Rec}_L^{(m)}}{M}$$

$$Pred_C(i, j) = \alpha \cdot \widehat{Rec}_L(i, j) + \beta$$

High Complexity



Operations	Multiplication	Add	Shift	Comparison	Down Sampling
LSR	2M+4	7M+3	2	-	M

# Linear Model Derivation

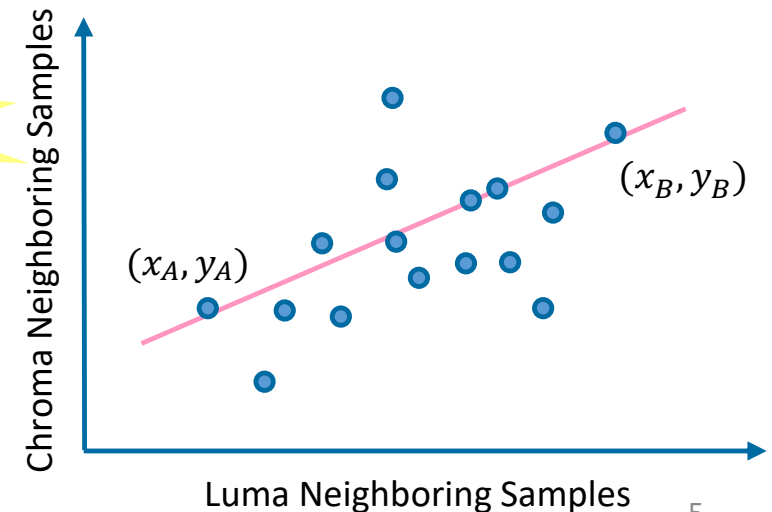
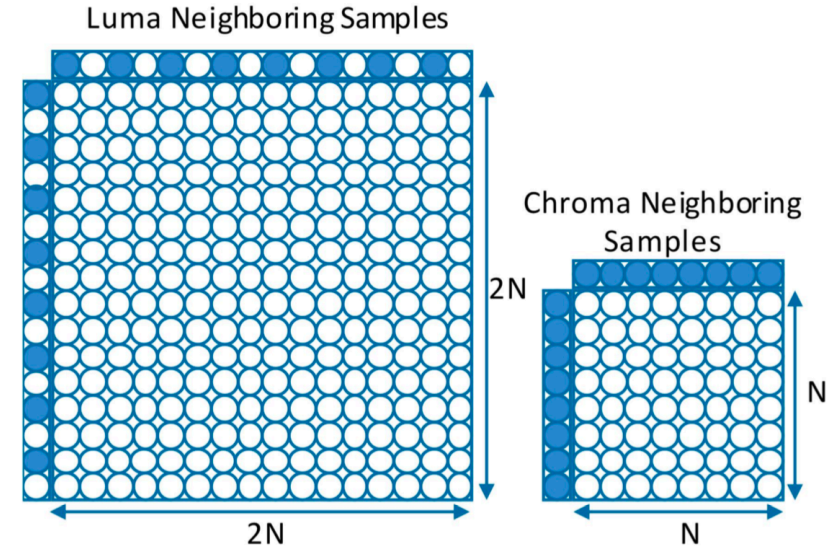
- Max-Min method<sup>[1]</sup> in VTM4.0.
- Luma down-sampling:  $Rec_L^{(m)} \rightarrow \widehat{Rec}_L^{(m)}$
- Input:  $M$  neighboring sample pairs  $\widehat{Rec}_L^{(m)}, Rec_C^{(m)}$
- Output: linear model parameters  $\alpha, \beta$

$$\alpha = \frac{y_A - y_B}{x_A - x_B}$$

$$\beta = y_A - \alpha \cdot x_A$$

$$Pred_C(i, j) = \alpha \cdot \widehat{Rec}_L(i, j) + \beta$$

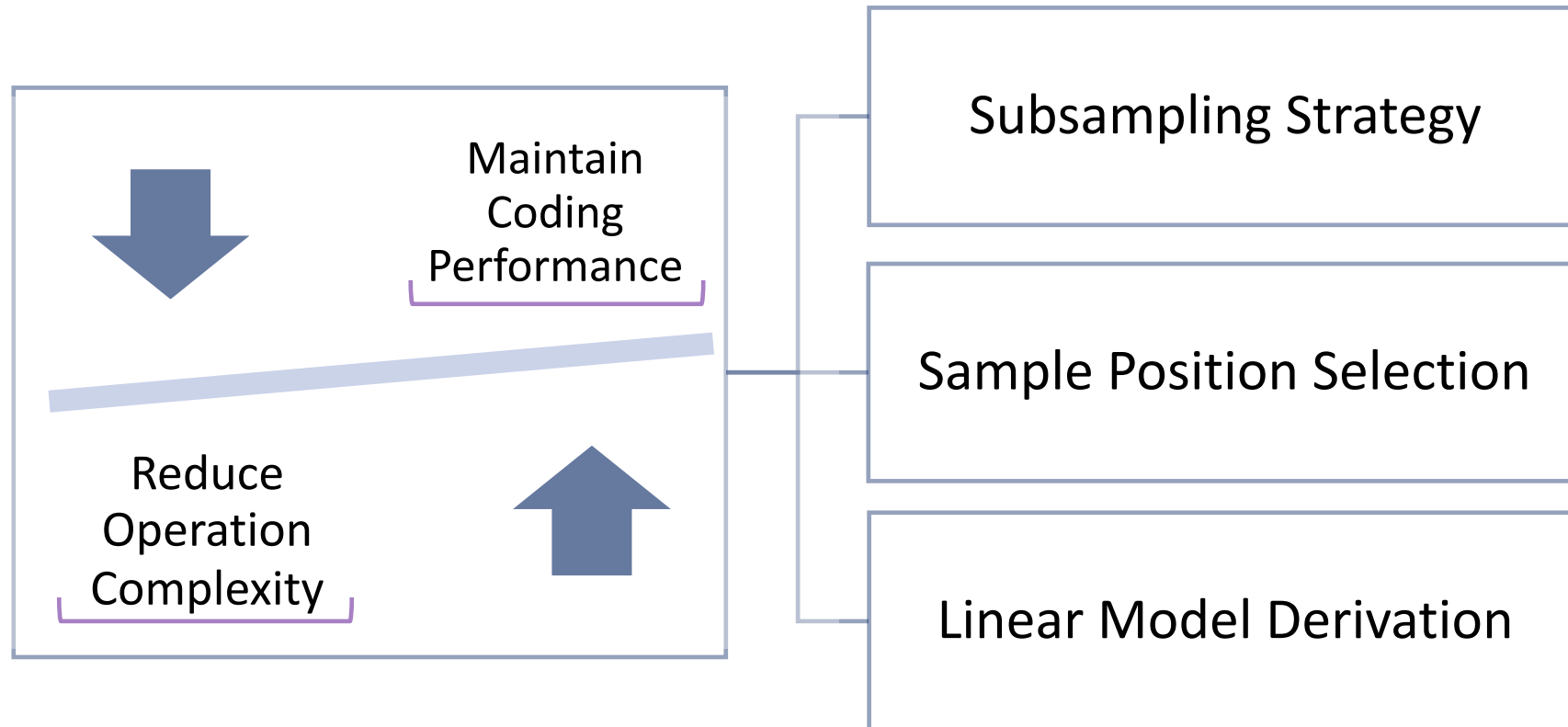
Still with high complexity



Operations	Multiplication	Add	Shift	Comparison	Down Sampling
LSR	2M+4	7M+3	2	-	M
Max-Min	1	3	1	2M	M

[1] G. Larche, J. Taquet, C. Gisquet, and P. Onno, "CE3-5.1: On cross-component linear model simplification," JVET-L0191, Oct. 2018.

# Sub-sampled Cross-component Prediction



# Sub-sampled Cross-component Prediction

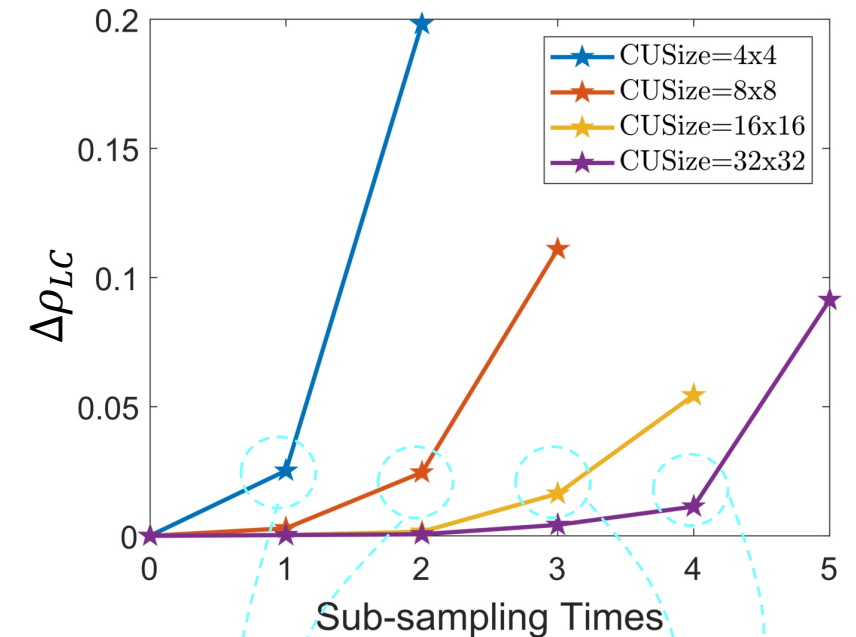
- Sub-sampling strategy: only 4 luma-chroma pairs

The correlation between luma sample  $x$  and chroma sample  $y$  with sub-sample time of  $s$ ,

$$\rho_{LC}^s = \frac{\text{cov}(L, C)}{\sigma_L \sigma_C}$$

$$\Delta\rho_{LC} = \rho_{LC}^s - \rho_{LC}^0$$

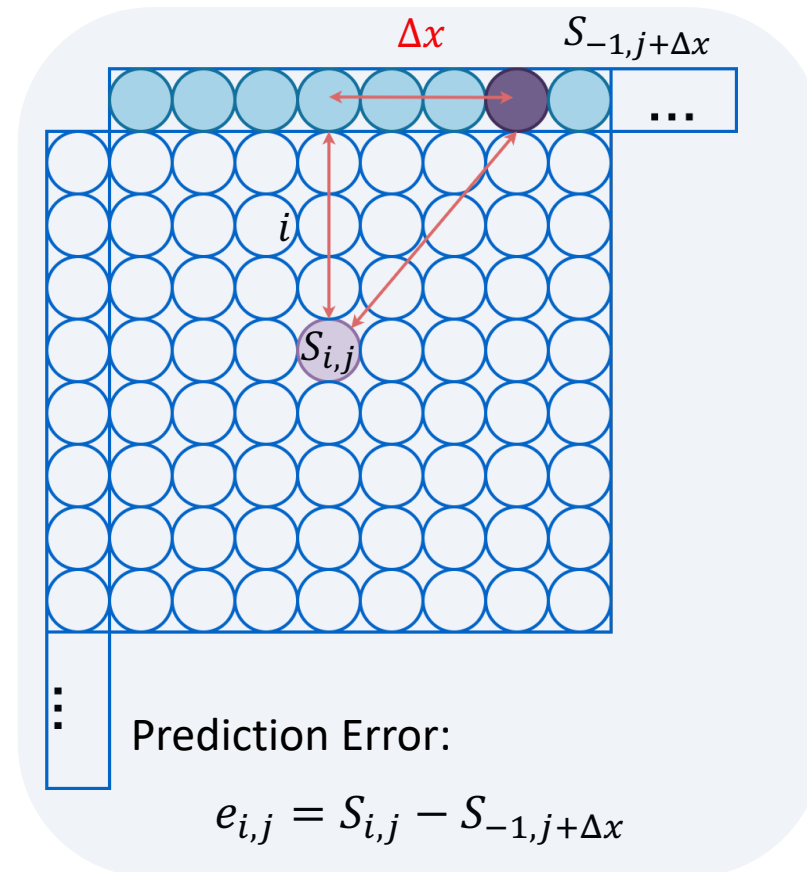
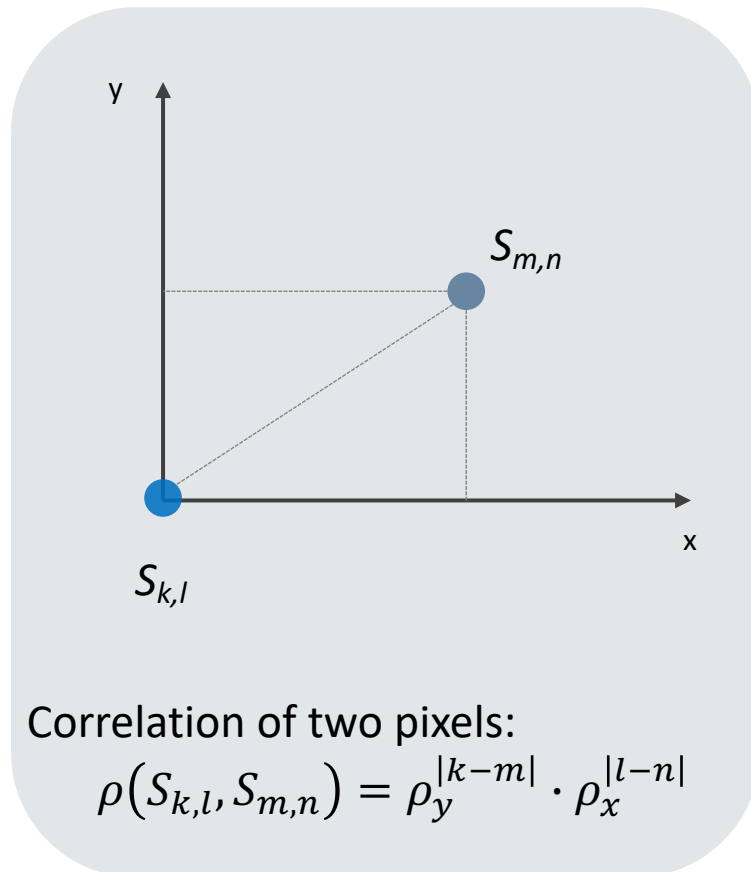
Sub-Sample Times	0	1	2	3	4	5
4x4	8	4	2	-	-	-
8x8	16	8	4	2	-	-
16X16	32	16	8	4	2	-
32X32	64	32	16	8	4	2



Four sample pairs are sufficient to maintain the existing correlation

# Sub-sampled Cross-component Prediction

- Sample position selection



The variance of the prediction error.

$$\rho(e_{i,j}, e_{p,q}) = \frac{\text{Cov}(e_{i,j}, e_{p,q})}{\sigma_{e_{i,j}} \sigma_{e_{p,q}}}$$

$$= \rho_x^{|j-q|} \rho_y^{|i-p|} - \rho_x^{|j+\Delta x-q|} \rho_y^p - \rho_x^{|j-q-\Delta x|} \rho_y^i + \rho_x^{|j-q|}$$

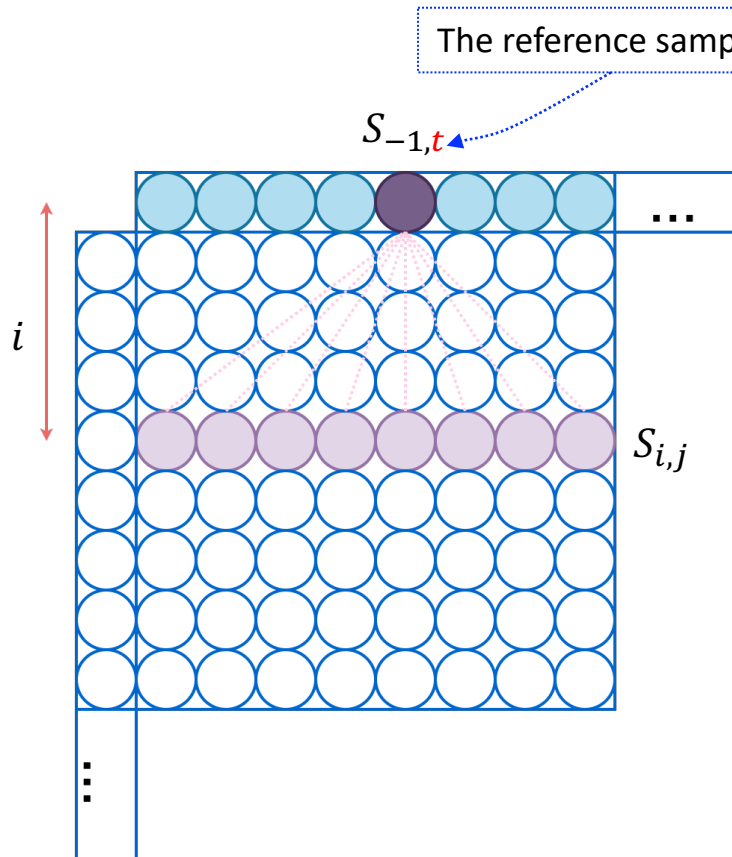
↓  $i = p, j = q,$

$$\sigma_{i,j}^2 = 2 - 2\rho_y^i \rho_x^{|\Delta x|}$$



# Sub-sampled Cross-component Prediction

- Sample position selection



The variance of the prediction error within a row:

$$\sum_{j=0}^{N-1} \sigma_{i,j}^2 = 2N - 2\rho_y^i \sum_{j=0}^{N-1} \rho_x^{|j-t|} \rightarrow f(t) = \sum_{j=0}^{N-1} \rho_x^{|j-t|} = \frac{2 - \rho_x^{t+1} - \rho_x^{N-t}}{1 - \rho_x}$$

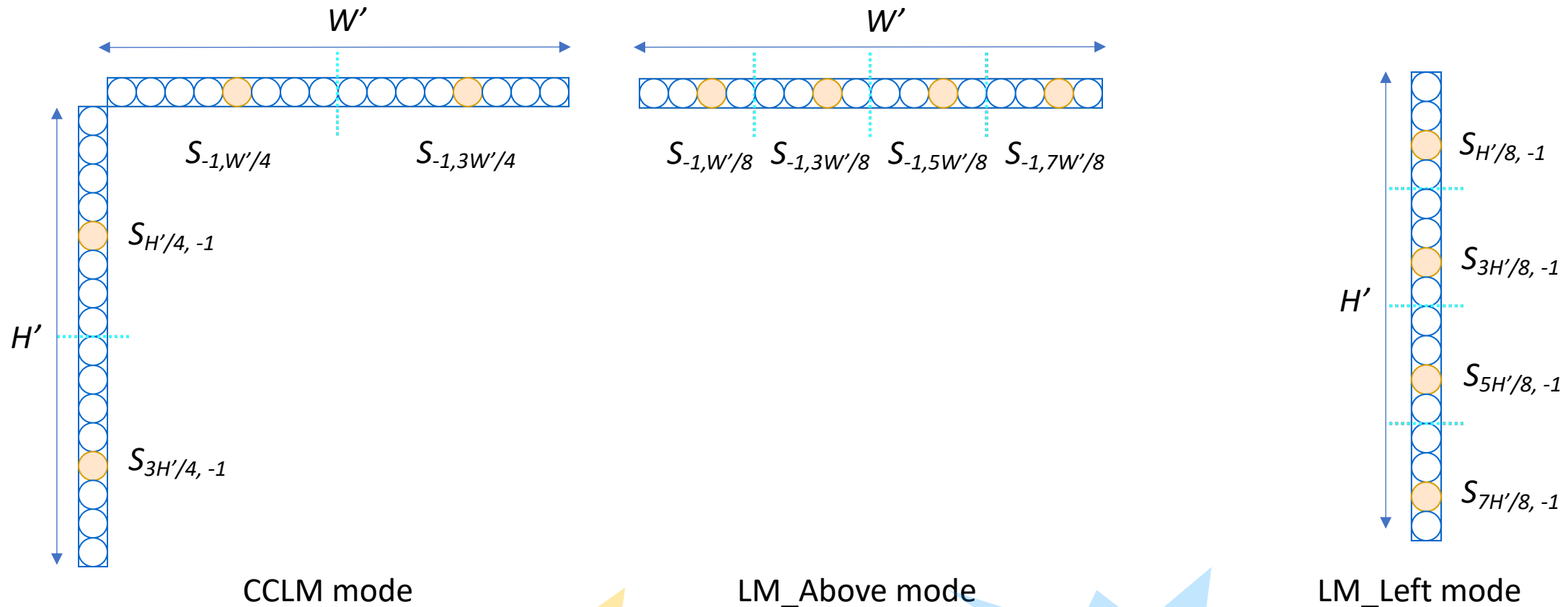
$$\text{Minimize } \sum_{j=0}^{N-1} \sigma_{i,j}^2 \rightarrow \text{Maximize } f(t)$$

$$f'(t) = \frac{-(\rho_x^{t+1} - \rho_x^{N-t}) \cdot \ln \rho_x}{1 - \rho_x} = 0 \rightarrow t_0 = \frac{N-1}{2}$$

$$\begin{aligned} t < t_0, f'(t) > 0 \\ t > t_0, f'(t) < 0 \\ t = t_0, f'(t) = 0 \quad \boxed{\text{Max}} \end{aligned}$$

$$\text{Minimize } \sum_{j=0}^{N-1} \sigma_{i,j}^2 = 2N - 2\rho_y^i \sum_{j=0}^{N-1} \rho_x^{|j-t_0|}$$

# Sub-sampled Cross-component Prediction

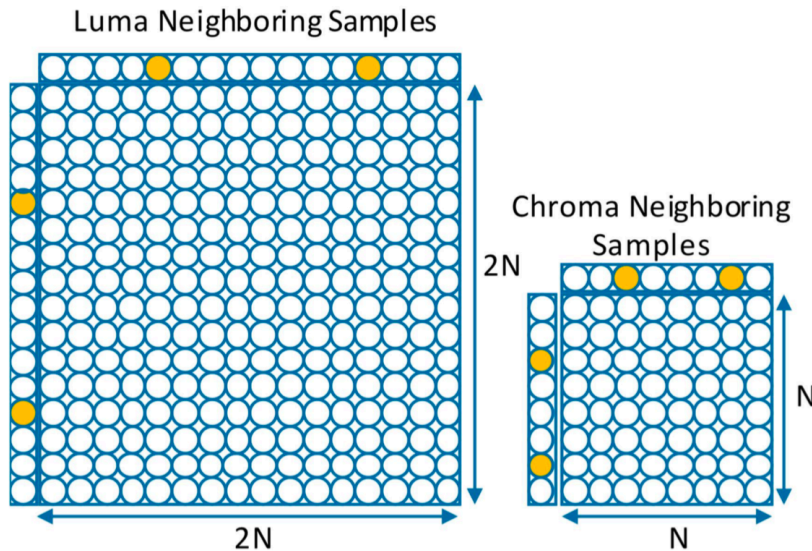


Higher correlation

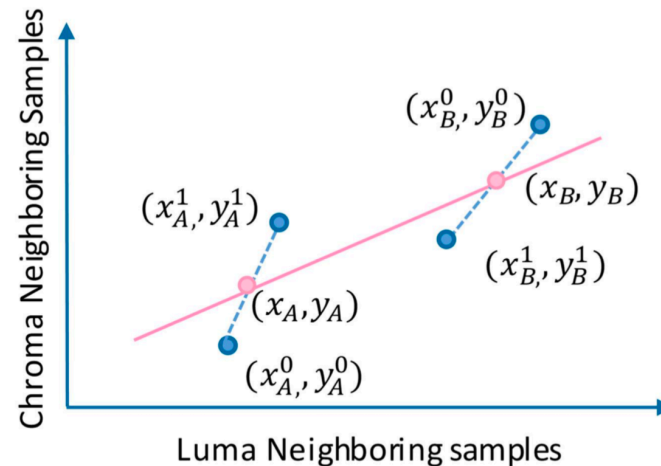
Avoid Regression Dilution

# Sub-sampled Cross-component Prediction

- Input: at most 4 luma-chroma sample pairs
- Output: linear model parameters:  $\alpha, \beta$



Locations of the samples



Derivation of the linear model

$$x_A = (x_A^0 + x_A^1 + 1) \gg 1$$

$$x_B = (x_B^0 + x_B^1 + 1) \gg 1$$

$$y_A = (y_A^0 + y_A^1 + 1) \gg 1$$

$$y_B = (y_B^0 + y_B^1 + 1) \gg 1$$

$$\alpha = \frac{y_A - y_B}{x_A - x_B} \quad \beta = y_A - \alpha \cdot x_A$$

$$Pred_C(i, j) = \alpha \cdot \widehat{Rec}_L(i, j) + \beta$$

# Sub-sampled Cross-component Prediction

- Sensitivity of the model parameters.

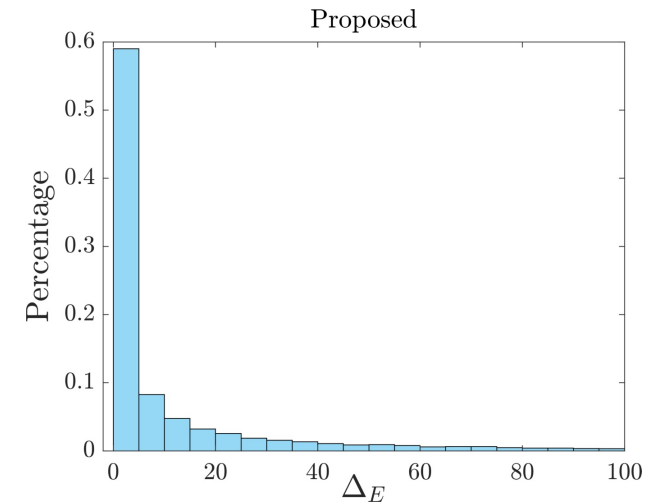
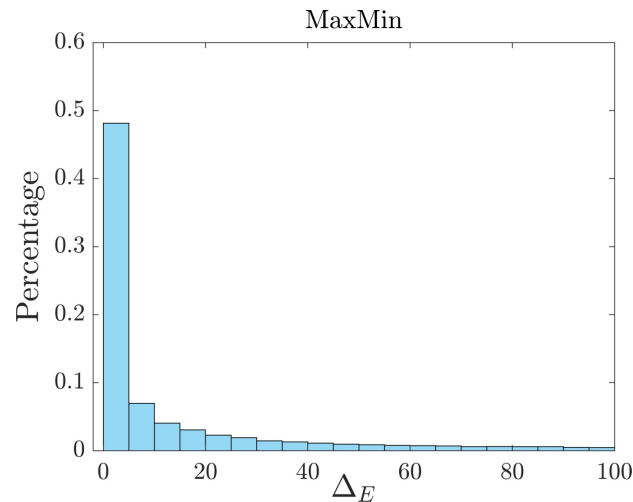
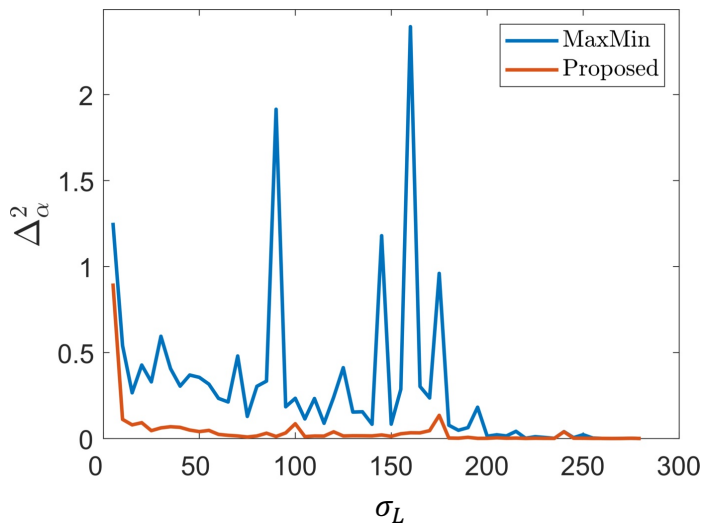
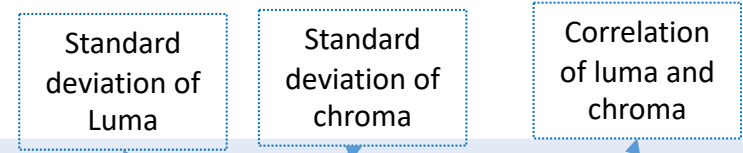
Mean square prediction error:

$$E_{err} = E \left[ (C_{org} - \alpha_{LSR} \cdot L - \beta_{LSR})^2 \right] = E \left[ \left( y_{org} - \frac{\sigma_C}{\sigma_L} \cdot \rho \cdot L - \left( \mu_C - \mu_L \cdot \frac{\sigma_C}{\sigma_L} \cdot \rho \right) \right)^2 \right] = \sigma_C^2 (1 - \rho^2).$$

When the estimated  $\alpha'$  and  $\beta'$  differ from the  $\alpha_{LSR}$  and  $\beta_{LSR}$ ,  $\alpha' = \alpha_{LSR} + \Delta\alpha$   $\beta' = \beta_{LSR} + \Delta\beta$

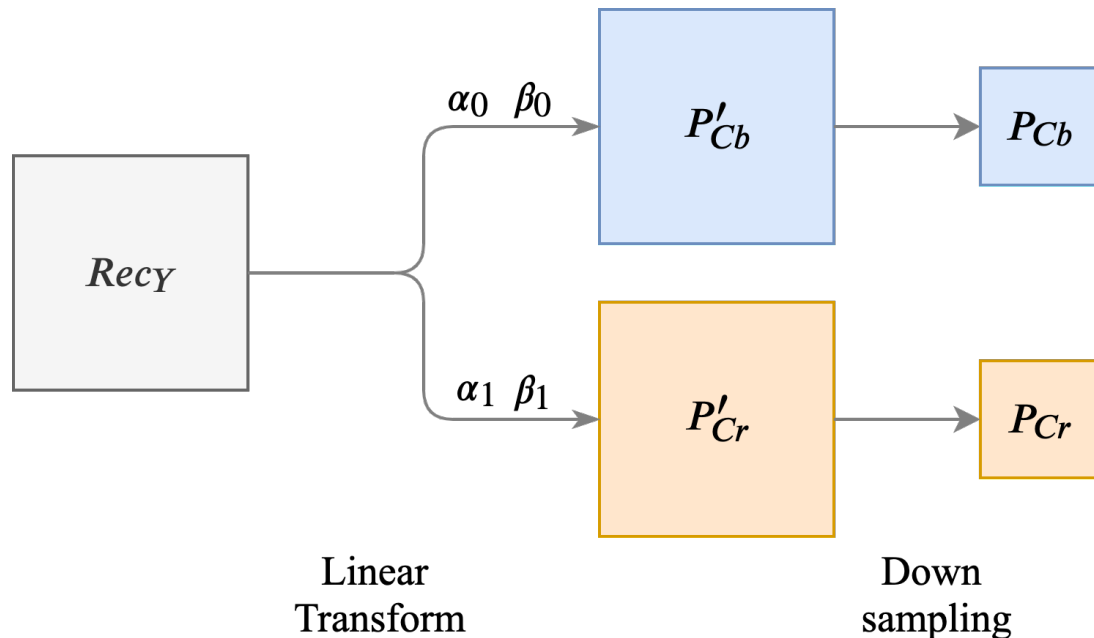
$$E_{err}' = E \left[ (C_{org} - \alpha' \cdot L - \beta')^2 \right] = \sigma_C^2 (1 - \rho^2) + (\mu_L \Delta\alpha + \Delta\beta)^2 + \sigma_L^2 \Delta\alpha^2$$

By substituting  $\Delta\beta$  with  $\mu_x \Delta\alpha$ , the prediction error will be increased by  $\Delta E = E_{err}' - E_{err} = \sigma_L^2 \Delta\alpha^2$



# Implementations to AVS3 Standard

- Two-step cross-component prediction mode (TSCPM)
- Subsampled cross-component prediction



Mode Index	Chroma Intra Mode	Bin String
0	DM	1
5	TSCPM	01
1	DC	001
2	Horizontal	0001
3	Vertical	00001
4	Bi-linear	00000

# Experimental Results

- Evaluations on VVC test model VTM-4.0<sup>[1]</sup>
  - Configurations: AI and RA
  - JVET Common Test Conditions<sup>[2]</sup>
  - QP = {22, 27, 32, 37}
- Evaluations on AVS3 test model HPM-5.0<sup>[3]</sup>
  - Configurations: AI and RA
  - AVS3 Common Test Conditions<sup>[4]</sup>
  - QP = {27, 32, 37, 42}

[1] "VVCsoftwareVTM-4.0," [https://vcgit.hhi.fraunhofer.de/jvet/VVCSoftware\\_VTM/tags/VTM-4.0](https://vcgit.hhi.fraunhofer.de/jvet/VVCSoftware_VTM/tags/VTM-4.0).

[2] F. Bossen, J. Boyce, X. Li, V. Seregin, and K. Suhring, "JVET common test conditions and software reference configurations for SDR video," Joint Video Exploration Team (JVET), doc. JVET-M1010, 2019.

[3] "AVS3 software repository," /Public/codec/video\_codec/HPM/HPM-5.0.

[4] J. Chen and K. Fan, "AVS3-P2 common test conditions v8.0," AVS-N2727, Sep. 2019.

# Experimental Results

- Evaluations of the sub-sampled cross-component prediction on VTM-4.0
  - BD-Rate (AI)
    - Y/U/V: **-0.05%/-0.30%/-0.38%**
  - BD-Rate (RA)
    - Y/U/V: **-0.05%/-0.36%/-0.63%**
  - The largest gain comes from the 4K sequences in class A1.
    - *Tango2*: **Y/U/V -0.16%/-0.12%/-1.05%**
    - *Campfire*: **Y/U/V -0.32%/-0.27%/-0.46%**

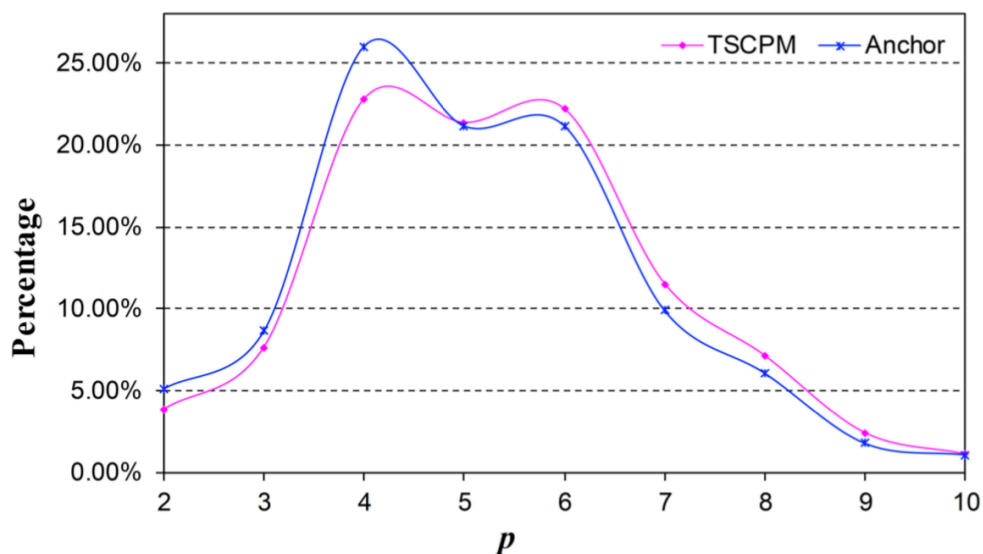
Table 3: Experimental Results on VTM-4.0 under AI and RA configurations

Class	AI			RA		
	Y	U	V	Y	U	V
A1	-0.17%	-0.16%	-0.46%	-0.16%	-0.06%	-0.40%
A2	-0.03%	-0.04%	-0.08%	-0.04%	0.08%	-0.06%
B	-0.02%	-0.59%	-0.70%	0.00%	-0.82%	-1.13%
C	-0.02%	-0.47%	-0.33%	-0.03%	-0.32%	-0.59%
E	-0.02%	-0.01%	-0.15%			
<b>Overall</b>	<b>-0.05%</b>	<b>-0.30%</b>	<b>-0.38%</b>	<b>-0.05%</b>	<b>-0.36%</b>	<b>-0.63%</b>
D	-0.01%	-0.21%	-0.34%	-0.02%	-0.18%	-0.32%
F	0.01%	-0.04%	-0.21%	0.00%	-0.09%	-0.21%
Enc Time		99%			100%	
Dec Time		100%			100%	

# Experimental Results

- Evaluations of TSCPM on HPM-5.0

- BD-Rate (AI)
  - Y/U/V: -0.99%/-9.18%/-9.41%
- BD-Rate (RA)
  - Y/U/V: -0.50%/-7.63%/-7.80%



Statistical analysis of chroma CB size with and without TSCPM,  
 $p = \log_2(W \times H)$

Table 4: Performance of proposed TSCPM on AVS3 test model HPM-5.0

Seq		AI			RA		
		Y	U	V	Y	U	V
720p	City	0.01%	-0.43%	-0.28%	0.09%	-1.20%	-0.13%
	Crew	-0.15%	-5.26%	-4.87%	0.11%	-2.15%	-4.80%
	Vidyo1	-0.05%	-4.08%	-2.41%	0.11%	-3.78%	-2.63%
	Vidyo3	0.03%	-2.66%	-7.72%	-0.24%	-6.27%	-10.26%
1080p	BasketballDrive	-0.34%	-6.00%	-6.29%	-0.27%	-5.68%	-5.16%
	Cactus	-0.40%	-7.08%	-6.47%	-0.27%	-9.23%	-6.26%
	MarketPlace	-0.54%	-11.03%	-11.11%	-0.03%	-12.55%	-9.59%
	RitualDance	-0.30%	-7.90%	-13.55%	-0.18%	-4.41%	-10.17%
4k	Tango2	-1.56%	-22.48%	-24.06%	-0.67%	-20.75%	-20.65%
	Campfire	-7.74%	-32.29%	-32.34%	-4.43%	-16.80%	-21.59%
	ParkRunning3	-0.61%	-1.69%	-1.06%	-0.22%	-0.87%	-0.38%
	DaylightRoad2	-0.18%	-9.21%	-2.74%	-0.04%	-7.85%	-1.95%
720p		-0.04%	-3.11%	-3.82%	0.02%	-3.35%	-4.46%
1080p		-0.40%	-8.00%	-9.36%	-0.19%	-7.97%	-7.80%
4k		-2.52%	-16.42%	-15.05%	-1.34%	-11.57%	-11.14%
<b>Average</b>		<b>-0.99%</b>	<b>-9.18%</b>	<b>-9.41%</b>	<b>-0.50%</b>	<b>-7.63%</b>	<b>-7.80%</b>
Enc Time		100%			99%		
Dec Time		100%			99%		



# Complexity Analyses

Operations	Multiplication	Add	Shift	Comparison	Down Sampling
LSR	$2M+4$	$7M+3$	2	-	M
Max-Min	1	3	1	2M	M
The proposed method	1	7	5	4	4

Operations on a 32x32 chroma CB	Comparison	Down Sampling
VTM-4.0	128	64
The proposed method	4	4
1-(the proposed method/VTM-4.0)	94%	97%

# Conclusion

- A novel sub-sampled cross-component prediction
  - Adopted to the **VVC** and the **AVS3** standard
- Design with theoretical analyses
  - Pixel correlation
  - Inter-channel correlation
- Dramatically decrease the operation complexity
  - Save **94%** comparisons, **97%** down-sampling operations
- BD-Rate gains for the VVC
  - Y/U/V: **-0.05%/-0.30%/-0.38%** on average
- TSCPM achieves significant BD-Rate gains for the AVS3
  - Y/U/V: **-0.99%/-9.18%/-9.41%** on average
  - Y/U/V: **-2.52%/-16.42%/-15.05%** on 4K sequences



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Thank You !