

# A Low-Latency Successive Cancellation Hybrid Decoder for Convolutional Polar Codes

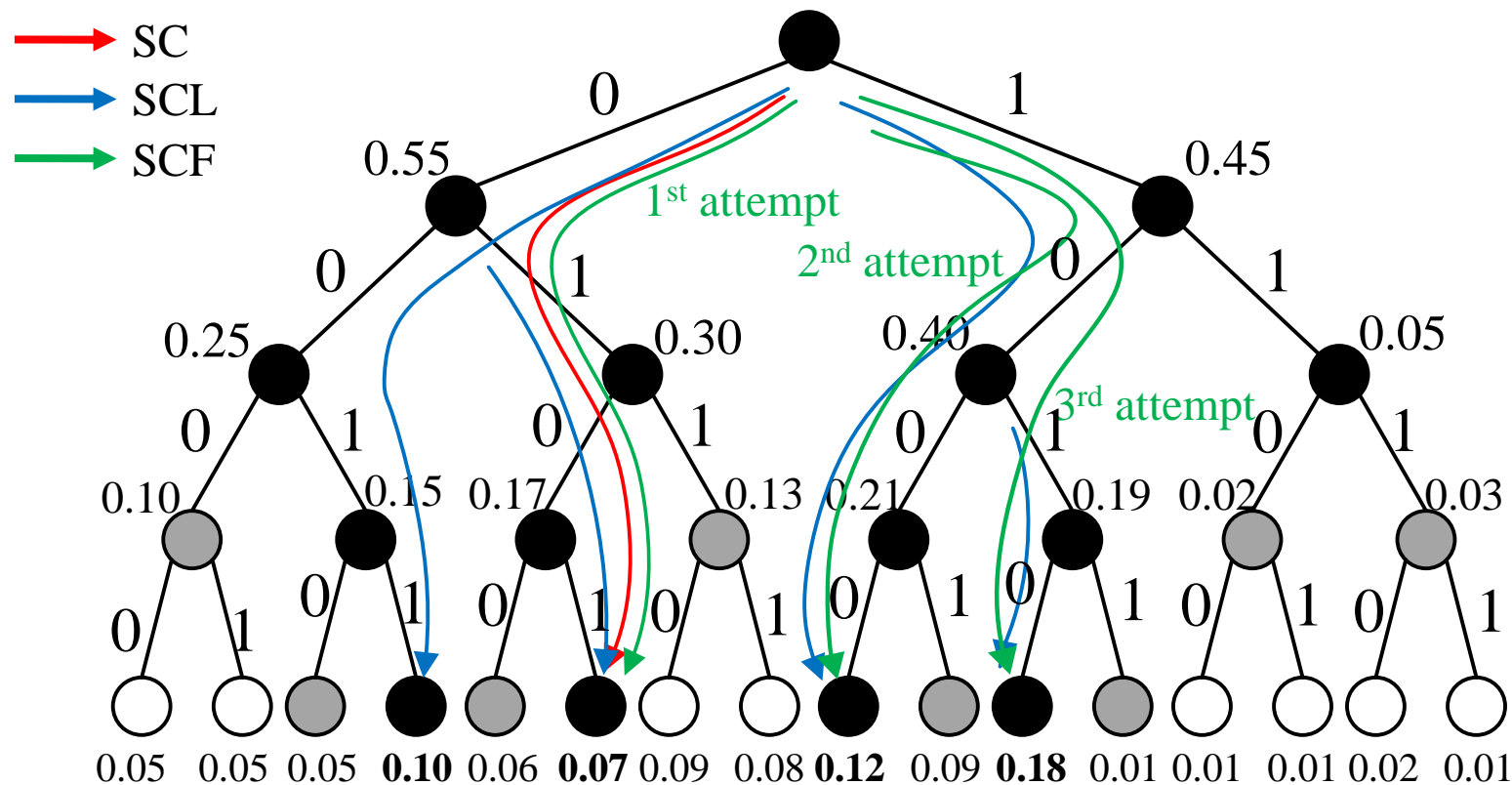
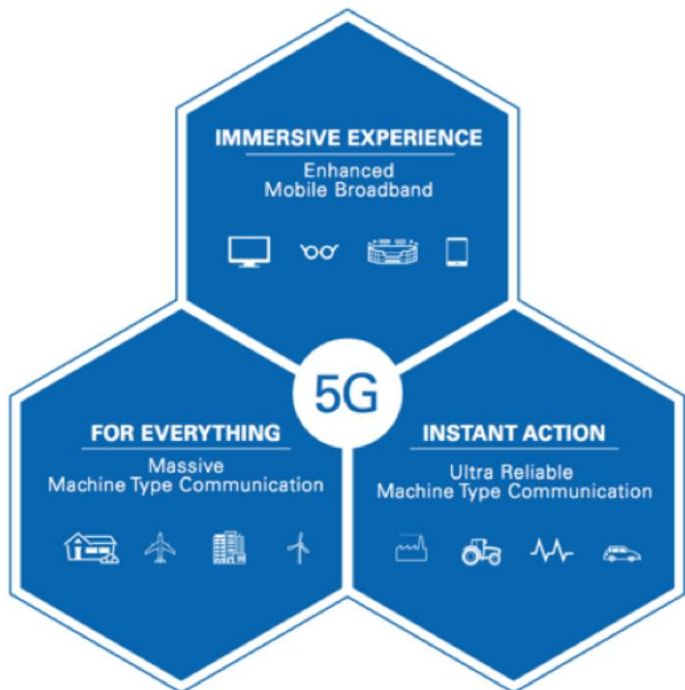
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- 1 SCL and SCF Decoding
- 2 SCL-SCF Hybrid Decoding Algorithm
- 3 Convolutional Polar Codes
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Binary tree representation of SC, SCL and SCF decoding



SC decoding is the deep first searching method

SCL have much better performance

SCF have improved performance and low complexity

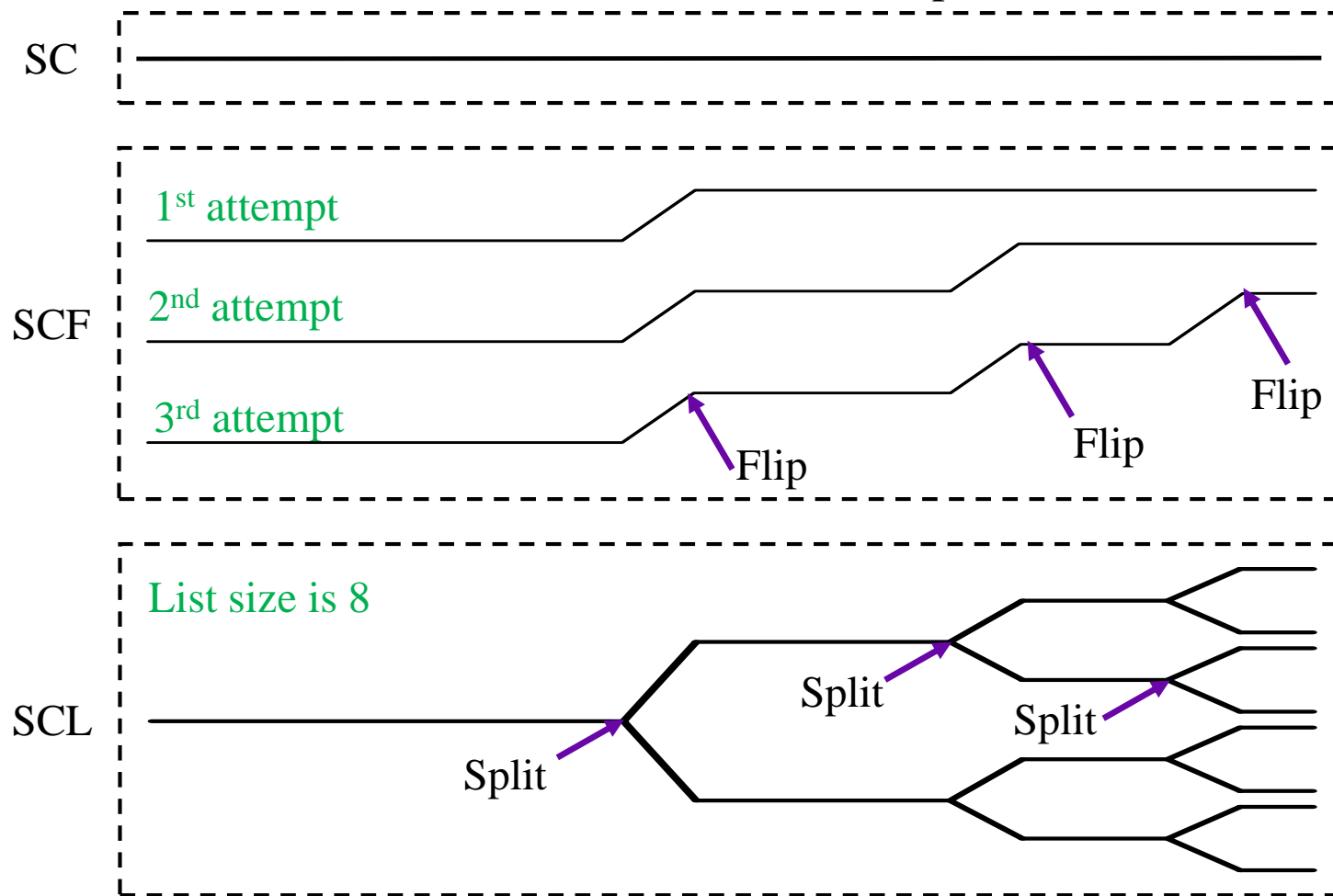
D-SCF can correct multiple erroneous bits

Low complexity

Increased complexity  
Long decoding latency

Variable decoding latency

## Line representation of SCF decoding



SC decoding following the deep first searching method can be viewed as a line.

Flip to change the decoding trajectory

SCF decoding finds a valid codeword at the cost of **time**.

Split to change the decoding trajectory

SCL decoding finds a valid codeword at the cost of **space**.

## Similarity

SCL decoding can be viewed as a parallel multi-bit flipping decoder, which selects the most **L** possible multi-bit flipping combinations at each information bit.

## Algorithm 1 Hybrid decoding with critical set

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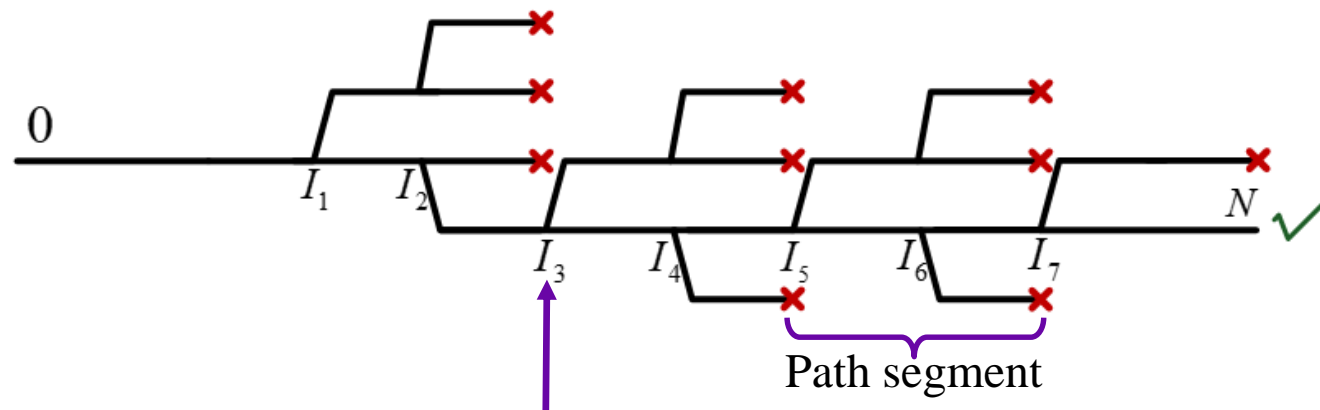
1: procedure HYBRID_DECODER( $y_1^N, S_c, \mathcal{I}, P$ )
2:    $|\mathcal{P}| \leftarrow \{1\}$ 
3:    $PM \leftarrow 0$ 
4:   for  $j \leftarrow 1$  to  $|\mathcal{P}|$  do
5:     for  $i \leftarrow 1$  to  $N$  do
6:        $LLR_j^{(i,n)} \leftarrow \text{recursivelyCalc}(y_1^N)$ 
7:       if  $i \notin \mathcal{I}$  then  $\hat{u}_j^i \leftarrow 0$ , update( $PM_j$ )
8:       else
9:         if  $LLR_j^{(i,n)} \geq 0$  then
10:           $\hat{u}_j^i \leftarrow 0$ , update( $PM_j$ )
11:         else  $\hat{u}_j^i \leftarrow 1$ , update( $PM_j$ )
12:        end if
13:      end if
14:      if  $i \in S_c$  then
15:        if  $|\mathcal{P}| = P$  then  $\mathcal{P} \leftarrow \text{argmin}_{j \in |\mathcal{P}|} PM_j$ 
16:        end if
17:         $p_{flip} \leftarrow \text{duplicatePath}(p_j), |\mathcal{P}| \leftarrow 2|\mathcal{P}|$ 
18:         $\hat{u}_{flip}^i \leftarrow 1 - \hat{u}_j^i$ , update( $PM_{flip}$ )
19:      end if
20:    end for
21:  end for
22:   $p^* \leftarrow \text{argmin}_{j \in |\mathcal{P}|} PM_j$ 
23:  return  $\hat{u}_{p^*}$ 
24: end procedure

```

$\mathcal{O}(PM \log_2 N + S / P)$

Num. of path segments

Use path metric to indicate whether the flipping is correct or not.



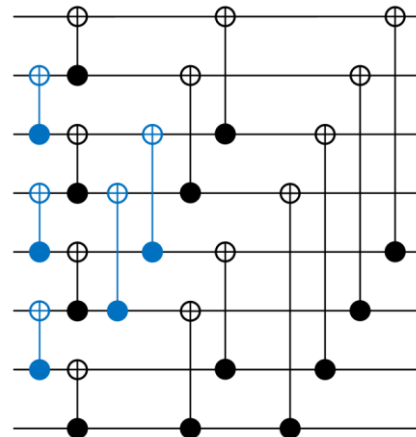
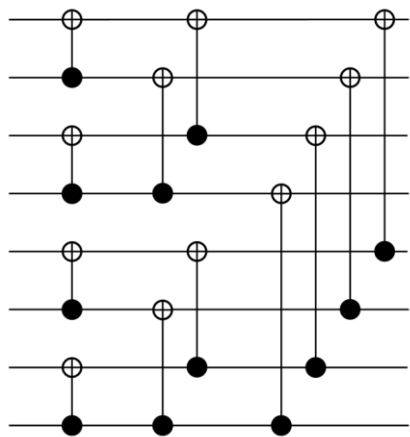
Perform pruning before path splitting

Path metric is a time-lagged indicator.

Need an accumulation of path metric to indicate the flipping.

Less path segments improve accuracy of path metric indication.

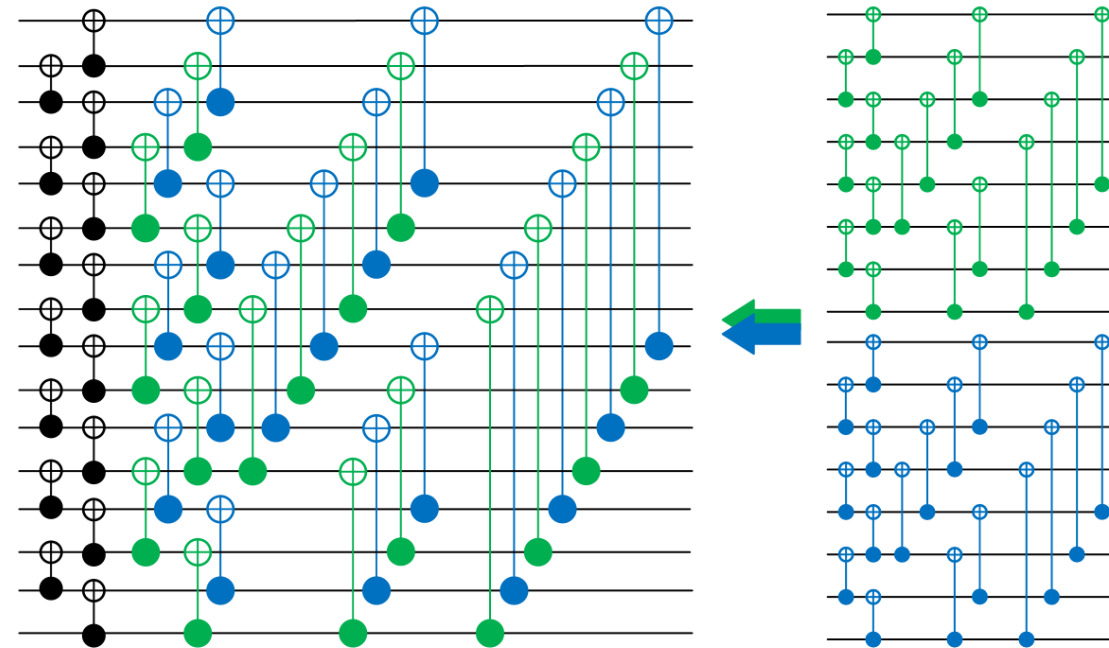
Need a fixed and efficient critical set  $S_c$ .



Arikan Polar Codes (APC) Convolutional Polar Codes (CPC)[1]

$$\beta_{APC} = 0.5$$

$$\beta_{CPC} = \frac{1}{2} \log_2 3 \approx 0.79$$



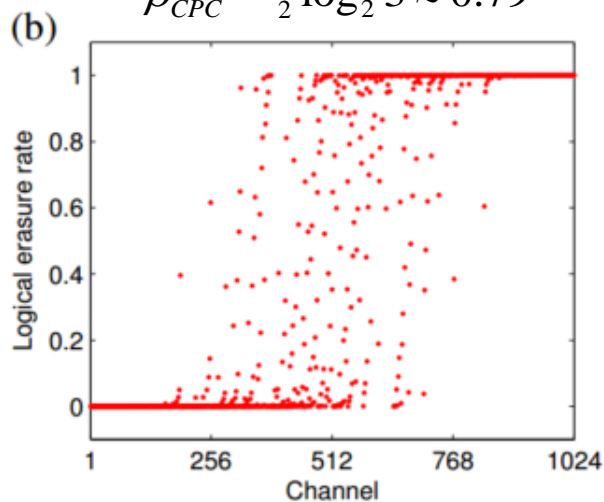
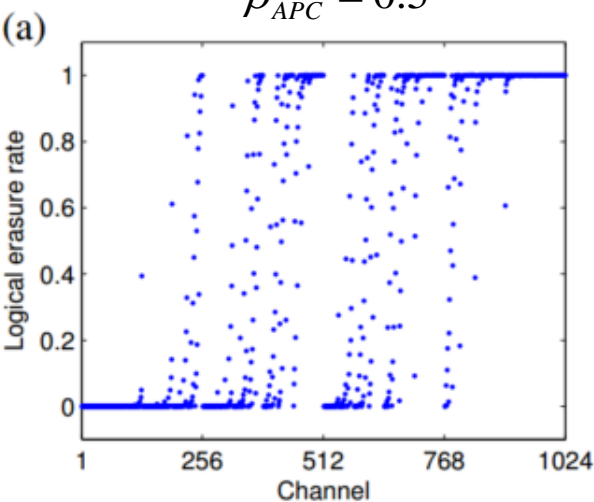
N=16

N=8

Matrix format[2]:  $c = uG_N$   $G_N = \begin{bmatrix} G_{N/2} & 0 \\ F^{\otimes(n-1)T} G_{N/2} & G_{N/2} \end{bmatrix}$   $G_2 = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$

Construction Method: Monte-Carlo Simulation

Hard decision:  $\hat{u}_i = h(L_i) = \begin{cases} u_i & \text{if } i \in \mathcal{A}^c \\ \frac{1 - \text{sgn}(L_i)}{2} & \text{if } i \in \mathcal{A} \end{cases}$   $L_i = \log \frac{W_N^{(i)}(y_1^N, u_1^{i-1} | 0)}{W_N^{(i)}(y_1^N, u_1^{i-1} | 1)}$

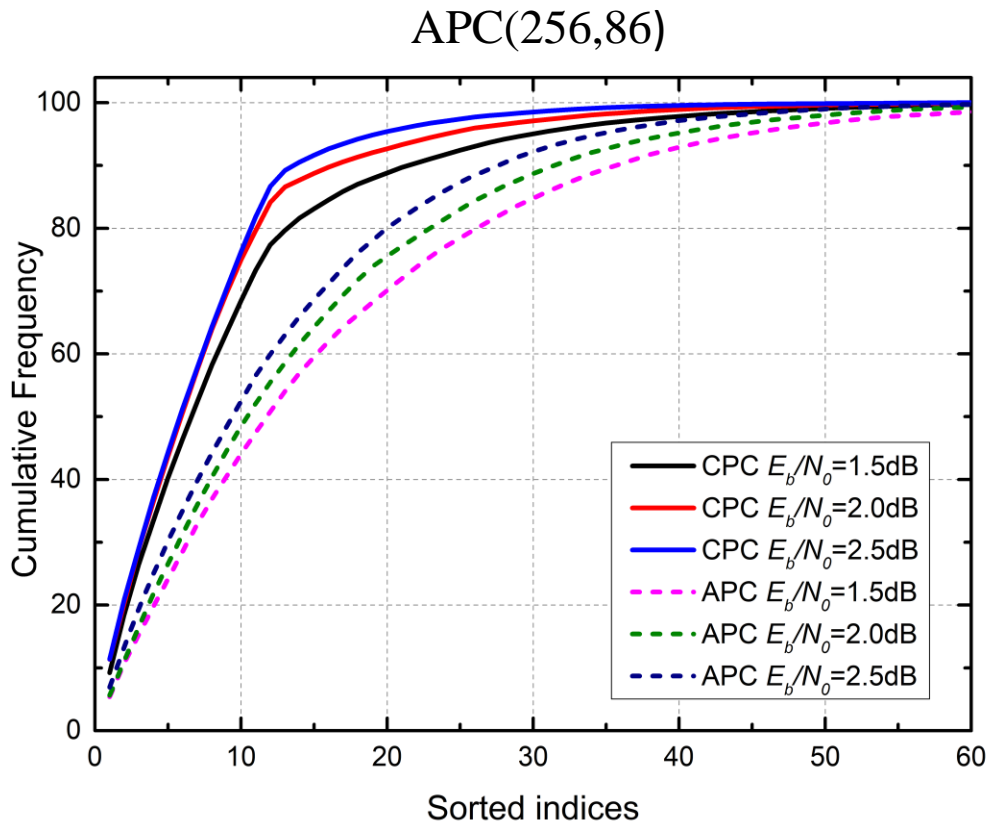


The polarization results with 50% erasure channel.

[1] Andrew James Ferris, Christoph Hirche, and David Poulin, "Convolutional polar codes," 2017, arXiv:1704.00715.

[2] H. Saber, Y. Ge, R. Zhang, W. Shi and W. Tong, "Convolutional Polar Codes: LLR-based Successive Cancellation Decoder and List Decoding Performance," 2018 IEEE International Symposium on Information Theory (ISIT), Vail, CO, 2018, pp. 1480-1484.

**Table 1.** The size of critical set for CPC  $N = 256$  and different code rate at various  $E_b/N_0$  points.



$E_b$ [dB]	Rate( $R$ )				
	1/6	1/4	1/3	1/2	2/3
1.0	49	67	84	107	157
1.5	41	55	68	90	127
2.0	38	49	56	76	112
2.5	33	38	44	59	85
3.0	24	29	29	32	64

**SC-Oracle decoder** is used to evaluate the error frequency[1]

**Conclusion:** The channel-induced errors of CPCs are more concentrated than that of APCs.

**CPCs** are more suitable for our proposed decoding scheme.

**Conclusion:**  $S_{\text{high-SNR}} \in S_{\text{low-SNR}}$

$$S_{\text{low-R}} \in S_{\text{high-R}}$$

Different code lengths have different critical sets.

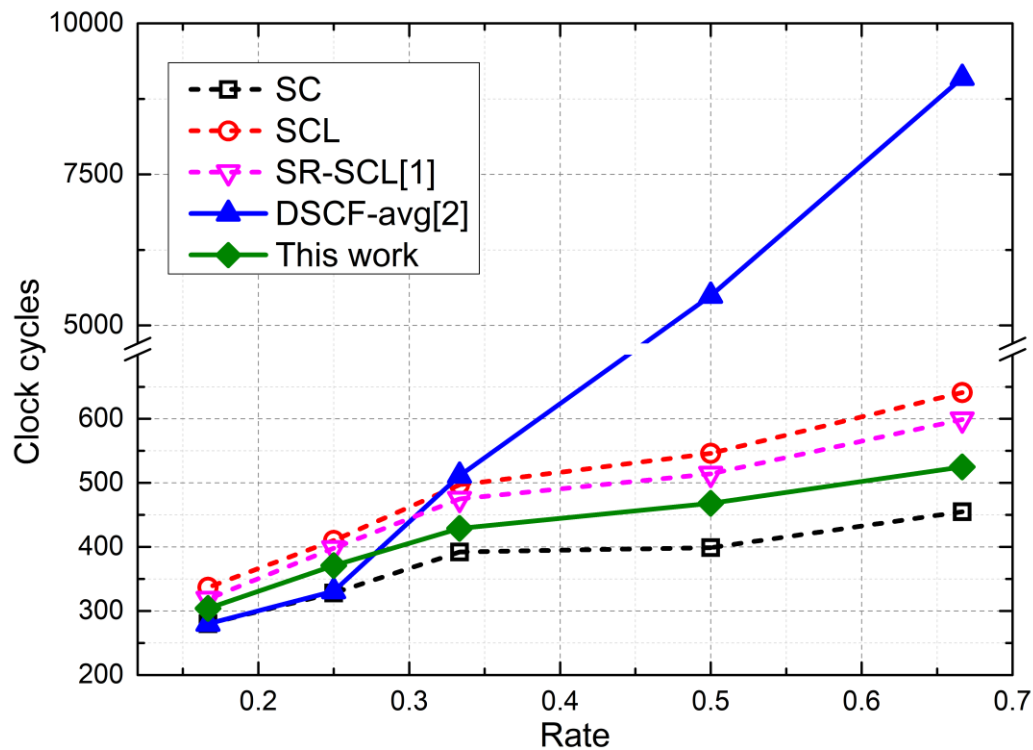
$$N = \{128, 256, 512, 1024\}$$

As for one code length, a single critical set is preferable.

[1] C. Condo, F. Ercan and W. J. Gross, "Improved successive cancellation flip decoding of polar codes based on error distribution," 2018 IEEE Wireless Communications and Networking Conference Workshops (WCNCW), Barcelona, 2018, pp. 19-24.



$E_b/N_0 = 1.0\text{dB}, N = 256, T = 20, R \in \{1/6, 1/4, 1/3, 1/2, 2/3\}$



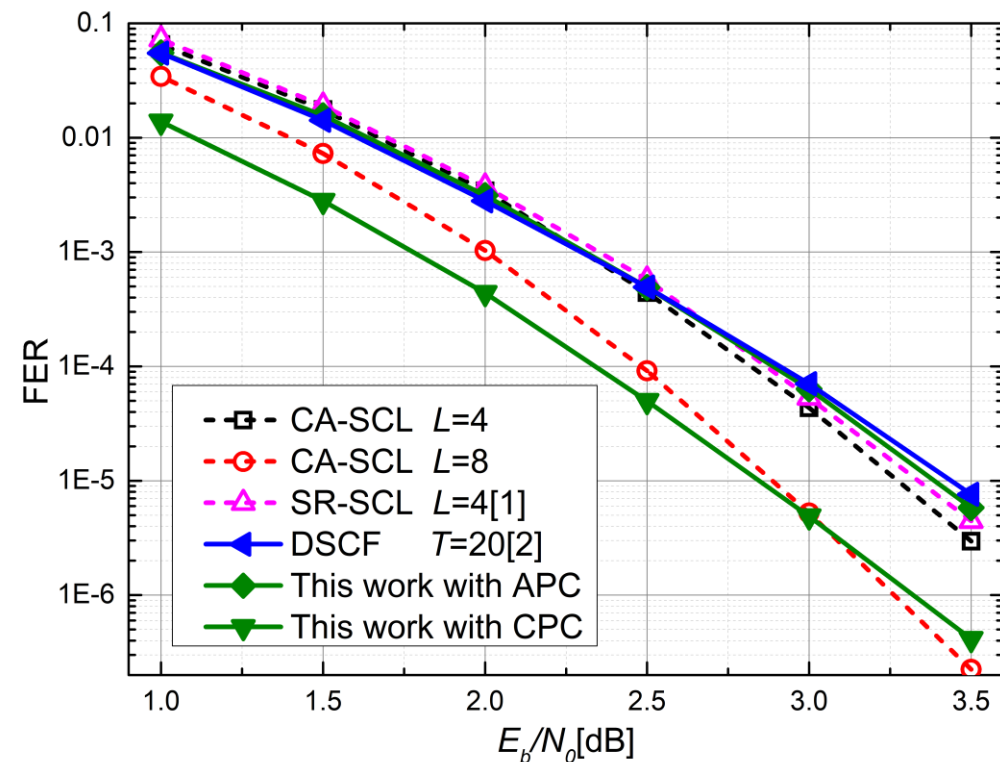
$$L_{SC} = 2N + \frac{N}{64} \log_2 \left( \frac{N}{256} \right) - \sum_{i=0}^{\log_2 N} \left\lfloor \frac{b}{2^i} \right\rfloor \left\lceil \frac{2^i}{64} \right\rceil$$

$$L_{SCL} = L_{SC} + k + F_C \quad L_{\text{hybrid}} = L_{SC} + \lceil S/P \rceil + F_C$$

[1] C. Gao, R. Liu, B. Dai and X. Han, "Path Splitting Selecting Strategy-Aided Successive Cancellation List Algorithm for Polar Codes," in IEEE Communications Letters, vol. 23, no. 3, pp. 422-425, March 2019.

[2] L. Chandesaris, V. Savin and D. Declercq, "Dynamic-SCFlip Decoding of Polar Codes," in IEEE Transactions on Communications, vol. 66, no. 6, pp. 2333-2345, June 2018.

PC(256,86)



Hybrid decoding with APCs is comparable with CA-SCL(L=4).

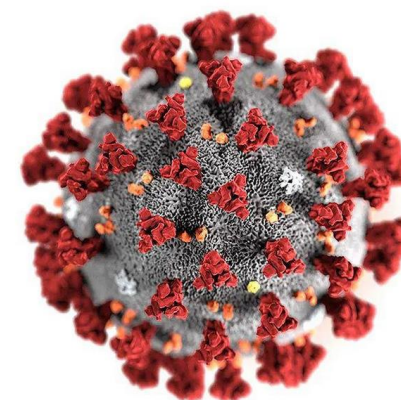
Hybrid decoding with CPCs is superior to CA-SCL(L=8).

- Get performance gain from:
1. High polarization rate of CPC
  2. Proposed hybrid decoding scheme



# Thanks!

## One world, one fight!



COVID-19