

TU1.L5.1



# Spatial Active Noise Control Based on Kernel Interpolation with Directional Weighting

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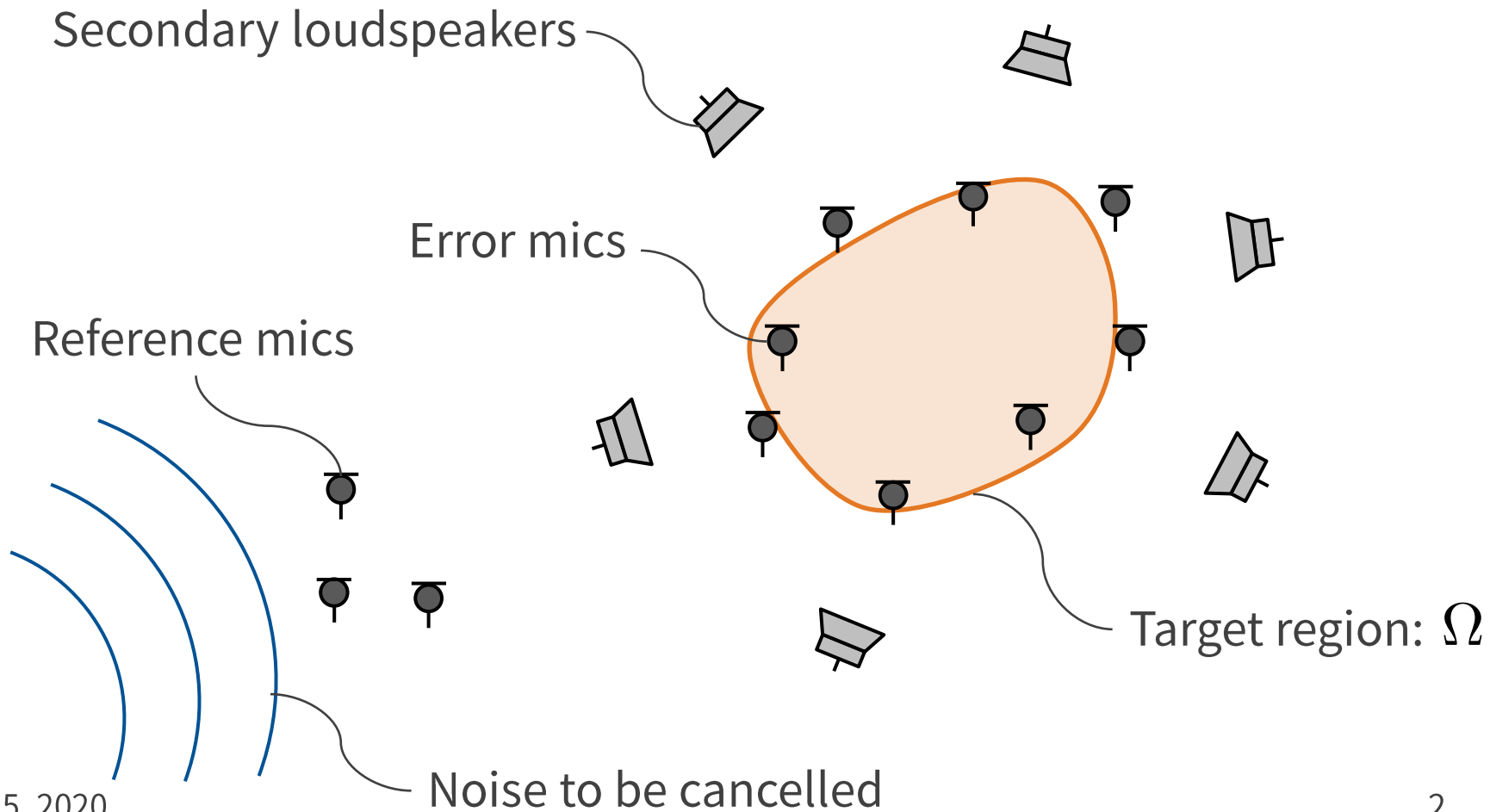
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<https://ieeexplore.ieee.org/document/9053416>



# Spatial Active Noise Control

- Spatial active noise control (ANC)
  - Noise cancellation in space with multiple loudspeakers
  - Focused on 2D problem, but can be extended to 3D case



# Multipoint pressure control

- Multipoint pressure control (MPC) in freq domain
  - Reducing noise power at discrete error microphones

## Cost function of MPC:

$$\mathcal{L}_{\text{MPC}} = \|\mathbf{e}(\omega)\|^2 = \sum_{m=1}^M |e_m(\omega)|^2$$

# of error mics

Signals of error mics at  $\omega$  :

$$\mathbf{e}(\omega) = [e_1(\omega), \dots, e_M(\omega)]^T$$

- Adaptive filtering technique is used to obtain driving signals of secondary loudspeakers for minimizing  $\mathcal{L}_{\text{MPC}}$
- Noise cancellation in the region between error mics is NOT guaranteed owing to point-wise cost function

# Cost function based on regional noise power

- Our strategy to achieve spatial ANC

[Ito+ ICASSP 2019]

## Cost function based on regional noise power:

$$\mathcal{L} = \int_{\Omega} |u(\mathbf{r}, \omega)|^2 d\mathbf{r}$$

Sound pressure at  $\mathbf{r} \in \Omega$

- Regional integral of noise power over target region  $\Omega$  is used as cost function to cancel spatial noise
- Pressure distribution inside  $\Omega$  must be estimated from signals of error mics  $\mathbf{e}$  to calculate the cost function  $\mathcal{L}$
- Adaptive filtering algorithm to minimize  $\mathcal{L}$  estimated from  $\mathbf{e}$

# Kernel interpolation of sound field

How to estimate  $u(\mathbf{r})$  ( $\mathbf{r} \in \Omega$ ) from  $\mathbf{e}$  ( $\in \mathbb{C}^M$ )?

➤ Kernel ridge regression of  $u(\mathbf{r})$

Regularization term in  $\mathcal{H}$

$$\underset{u \in \mathcal{H}}{\text{minimize}} \sum_{m=1}^M |u(\mathbf{r}_m) - e_m|^2 + \lambda \|u\|_{\mathcal{H}}^2$$

Position of  $m$ th error mic

$$\hat{u}(\mathbf{r}) = ((\mathbf{K} + \lambda \mathbf{I})^{-1} \mathbf{e})^{\top} \boldsymbol{\kappa}(\mathbf{r})$$



$$\mathbf{K} = \begin{bmatrix} \kappa(\mathbf{r}_1, \mathbf{r}_1) & \cdots & \kappa(\mathbf{r}_1, \mathbf{r}_M) \\ \vdots & \ddots & \vdots \\ \kappa(\mathbf{r}_M, \mathbf{r}_1) & \cdots & \kappa(\mathbf{r}_M, \mathbf{r}_M) \end{bmatrix} : \text{Gram matrix}$$
$$\boldsymbol{\kappa}(\mathbf{r}) = [\kappa(\mathbf{r}, \mathbf{r}_1) \quad \cdots \quad \kappa(\mathbf{r}, \mathbf{r}_M)]^{\top}$$

Kernel function of  $\mathcal{H}$

# Kernel interpolation of sound field

How to estimate  $u(\mathbf{r})$  ( $\mathbf{r} \in \Omega$ ) from  $e$  ( $\in \mathbb{C}^M$ )?

- Under the assumption that possible noise source direction is uniform, kernel function  $\kappa(\mathbf{r}, \mathbf{r}_m)$  should be 0th-order Bessel function in 2D case:

$$\kappa(\mathbf{r}, \mathbf{r}_m) = J_0(\overbrace{k \|\mathbf{r} - \mathbf{r}_m\|}^{\text{Wave number } (k = \omega/c)})$$

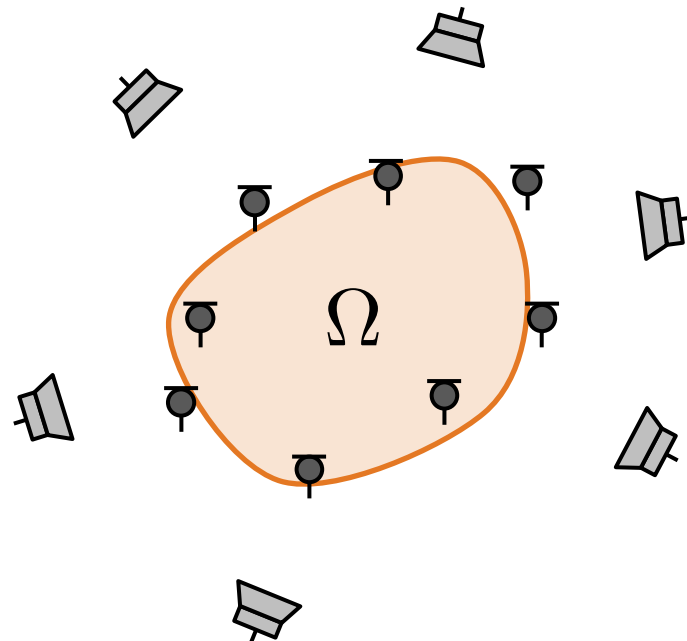
[Ito+ ICASSP 2019]

- Function interpolation with the constraint that  $u(\mathbf{r})$  satisfies Helmholtz equation [Ueno+ IWAENC 2018]
- Correspond to sound field analysis based on spherical harmonic decomposition of infinite-order [Ueno+ IEEE SPL 2018]

**Effective sound field interpolation method  
when noise signal possibly comes from all directions**

# Idea

- Use of prior knowledge on approximate direction of primary noise sources
  - In some practical applications, approximate direction of noise sources can be given in advance
  - Propose spatial ANC based on kernel interpolation with directional weighting to take the prior knowledge into consideration



# Reproducing kernel for directional weighting

- Kernel function is defined as

Planewave arriving from  $\boldsymbol{\eta}$  ( $\mathbf{k} = -k\boldsymbol{\eta}$ )

$$\kappa(\mathbf{r}_1, \mathbf{r}_2) = \frac{1}{2\pi} \int_S \frac{w(\boldsymbol{\eta}) e^{j\mathbf{k}^\top (\mathbf{r}_1 - \mathbf{r}_2)} d\boldsymbol{\eta}}$$

Directional weighting function

- $w(\boldsymbol{\eta})$  corresponds to directional weighting of regularization term.
- The smaller the weighting function is, the larger the regularization term becomes.
- Weighting function in prior arrival direction should be set larger than the other directions.



# Reproducing kernel for directional weighting

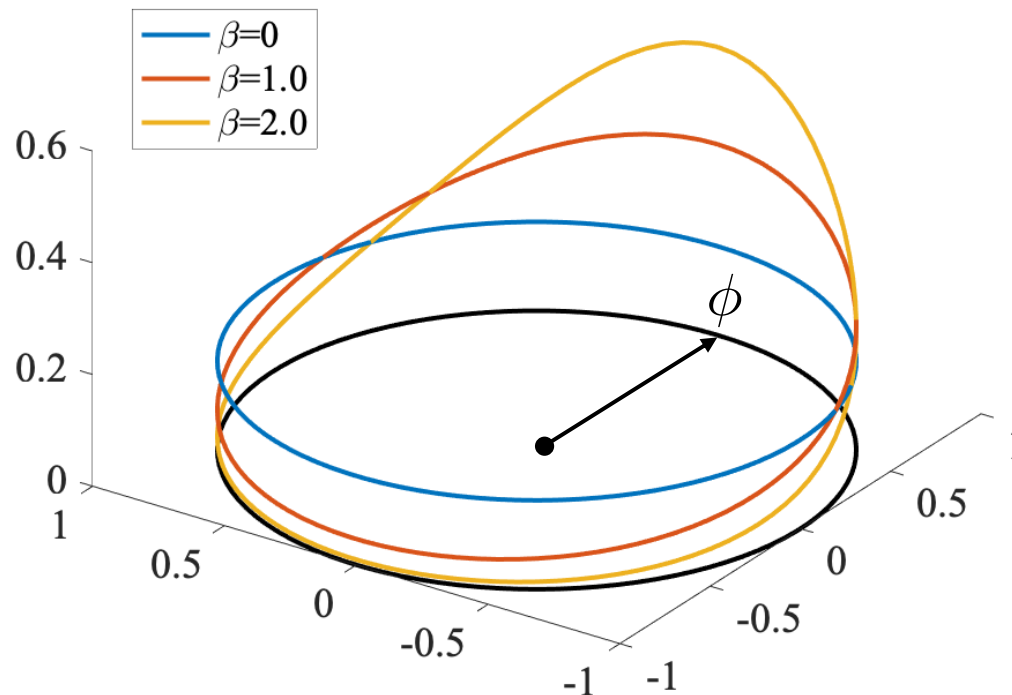
➤ Weighting function is defined as

$$w(\boldsymbol{\eta}) = e^{\beta \cos(\theta - \phi)}$$

$\theta$  : Angle of direction  $\boldsymbol{\eta}$

$\phi$  : Approximate direction of noise source

$\beta$  : Constant shape parameter



# Reproducing kernel for directional weighting

- Kernel function is derived as

$$\kappa(\mathbf{r}_1, \mathbf{r}_2) = J_0 \left( j \left[ (\beta \cos \phi - jkx_{12})^2 + (\beta \sin \phi - jky_{12})^2 \right]^{\frac{1}{2}} \right)$$

$$\text{where } (x_{12}, y_{12})^T := \mathbf{r}_1 - \mathbf{r}_2$$

– When  $w(\boldsymbol{\eta}) = 1$  ( $\beta = 0$ ),  $\kappa(\mathbf{r}_1, \mathbf{r}_2) = J_0(k\|\mathbf{r}_1 - \mathbf{r}_2\|)$

- Kernel ridge regression by replacing kernel function

$$\hat{u}(\mathbf{r}) = ((\mathbf{K} + \lambda \mathbf{I})^{-1} \mathbf{e})^T \boldsymbol{\kappa}(\mathbf{r})$$

$$\mathbf{K} = \begin{bmatrix} \kappa(\mathbf{r}_1, \mathbf{r}_1) & \cdots & \kappa(\mathbf{r}_1, \mathbf{r}_M) \\ \vdots & \ddots & \vdots \\ \kappa(\mathbf{r}_M, \mathbf{r}_1) & \cdots & \kappa(\mathbf{r}_M, \mathbf{r}_M) \end{bmatrix}$$

$$\boldsymbol{\kappa}(\mathbf{r}) = [\kappa(\mathbf{r}, \mathbf{r}_1) \quad \cdots \quad \kappa(\mathbf{r}, \mathbf{r}_M)]^T$$

# Cost function based on regional noise power

- Cost function based on regional noise power

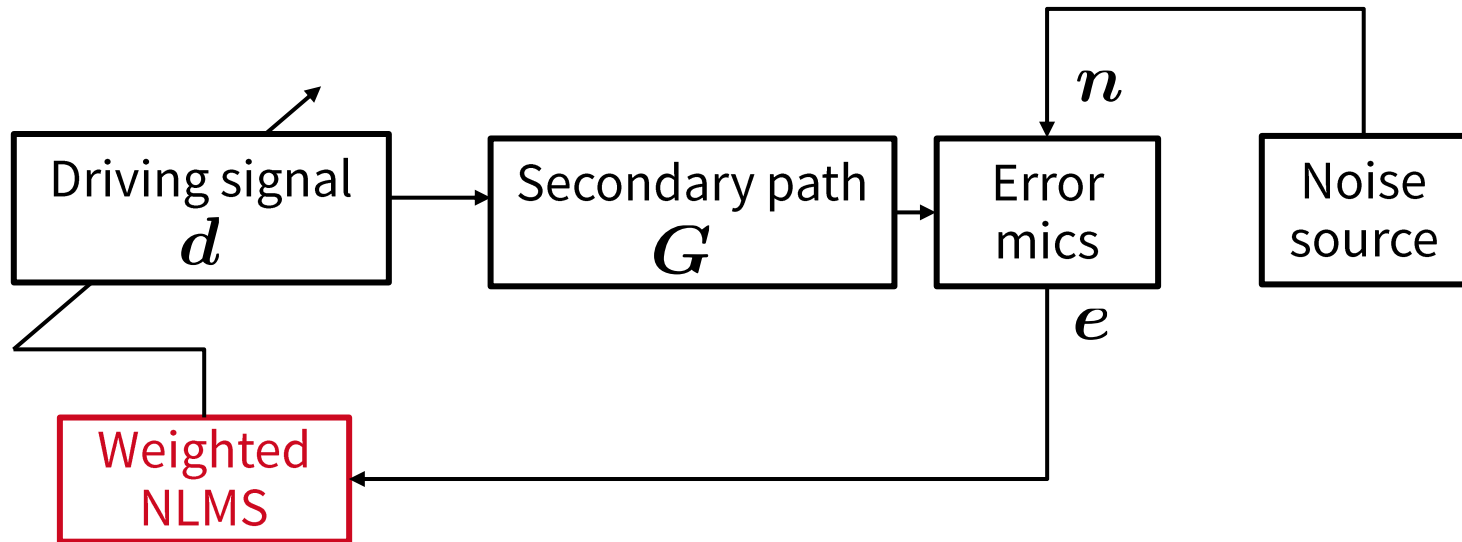
$$\mathcal{L} = \int_{\Omega} |\hat{u}(\mathbf{r})|^2 d\mathbf{r} = \mathbf{e}^H \mathbf{A} \mathbf{e}$$

$$\begin{cases} \mathbf{A} = \mathbf{P}^H \left( \int_{\Omega} \boldsymbol{\kappa}(\mathbf{r}^*) \boldsymbol{\kappa}(\mathbf{r})^T d\mathbf{r} \right) \mathbf{P} \\ \mathbf{P} = (\mathbf{K} + \lambda \mathbf{I})^{-1} \end{cases}$$

- Cost function becomes signal power of error mics with weighting matrix  $\mathbf{A}$  that can be calculated in advance.
- Difference from the cost function of MPC is only in  $\mathbf{A}$ , which means various types of adaptive filtering algorithms can be applied with the same computational cost.

# Feedback spatial ANC

- Focusing on simple feedback ANC for stationary noise



- Weighted NLMS for updating driving signals

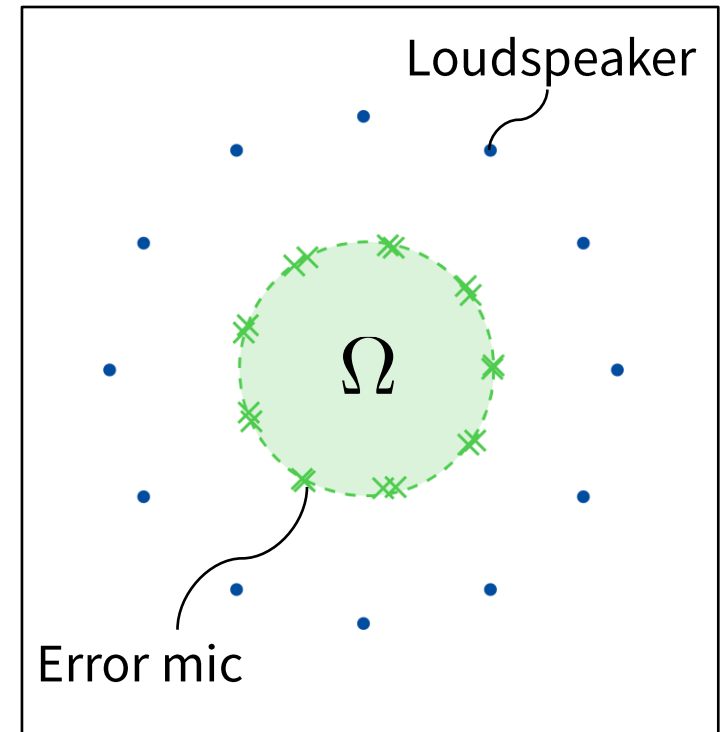
$$\mathbf{d}(n+1) = \mathbf{d}(n) - \frac{\mu_0}{\|\mathbf{G}^H \mathbf{A} \mathbf{G}\| + \epsilon} \mathbf{G}^H \mathbf{A} \mathbf{e}(n)$$

Normalized stepsize parameter

Regularization parameter

# Experimental setting

- Performance of feedback spatial ANC
  - 2D free-field simulation in freq domain
  - Comparing proposed method and MPC
  - Target region is inside circle with radius of 0.5 m
- Secondary loudspeakers:
  - 12 point sources on circle with radius of 1.0 m
- Error mics:
  - 18 omni-directional mics distributed around circle with radius of 0.5 m
- Primary noise source:
  - Single point source
- Parameter setting:
  - Parameter of weighting function is set as  $\beta=0$  or 2
  - $\beta=0$  corresponds to uniform weighting



# Performance measure

## ➤ Regional noise power reduction

Total pressure field at  $i$  th evaluation point  $\mathbf{r}_i$  for  $n$  th iteration

$$P_{\text{red}} = 10 \log_{10} \frac{\sum_i |u^{(n)}(\mathbf{r}_i)|^2}{\sum_i |u^{(0)}(\mathbf{r}_i)|^2}$$

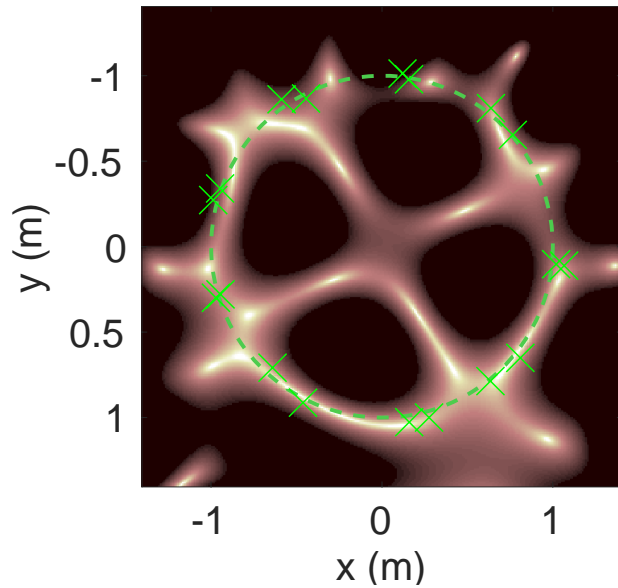
Original pressure field generated by primary noise source

- Evaluation points were obtained by discretizing  $\Omega$  at intervals of 0.02 m.
- Smaller  $P_{\text{red}}$  means better performance.

# Results

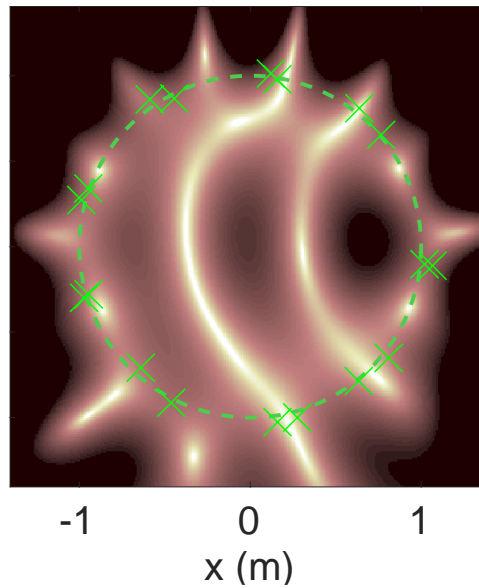
- Power distribution after 500 iterations at 280Hz
  - Primary noise source at (10.0 m, 180 deg)

MPC



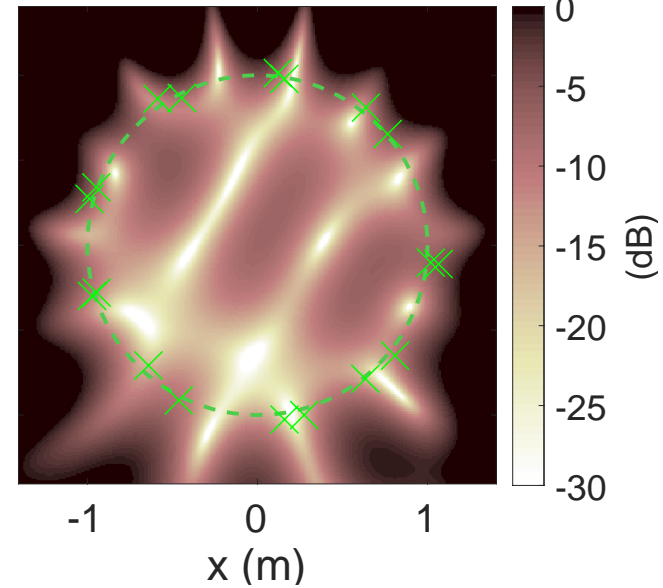
$$P_{\text{red}} = -8.0 \text{ dB}$$

Proposed  
( $\beta=0$ )



$$P_{\text{red}} = -16.1 \text{ dB}$$

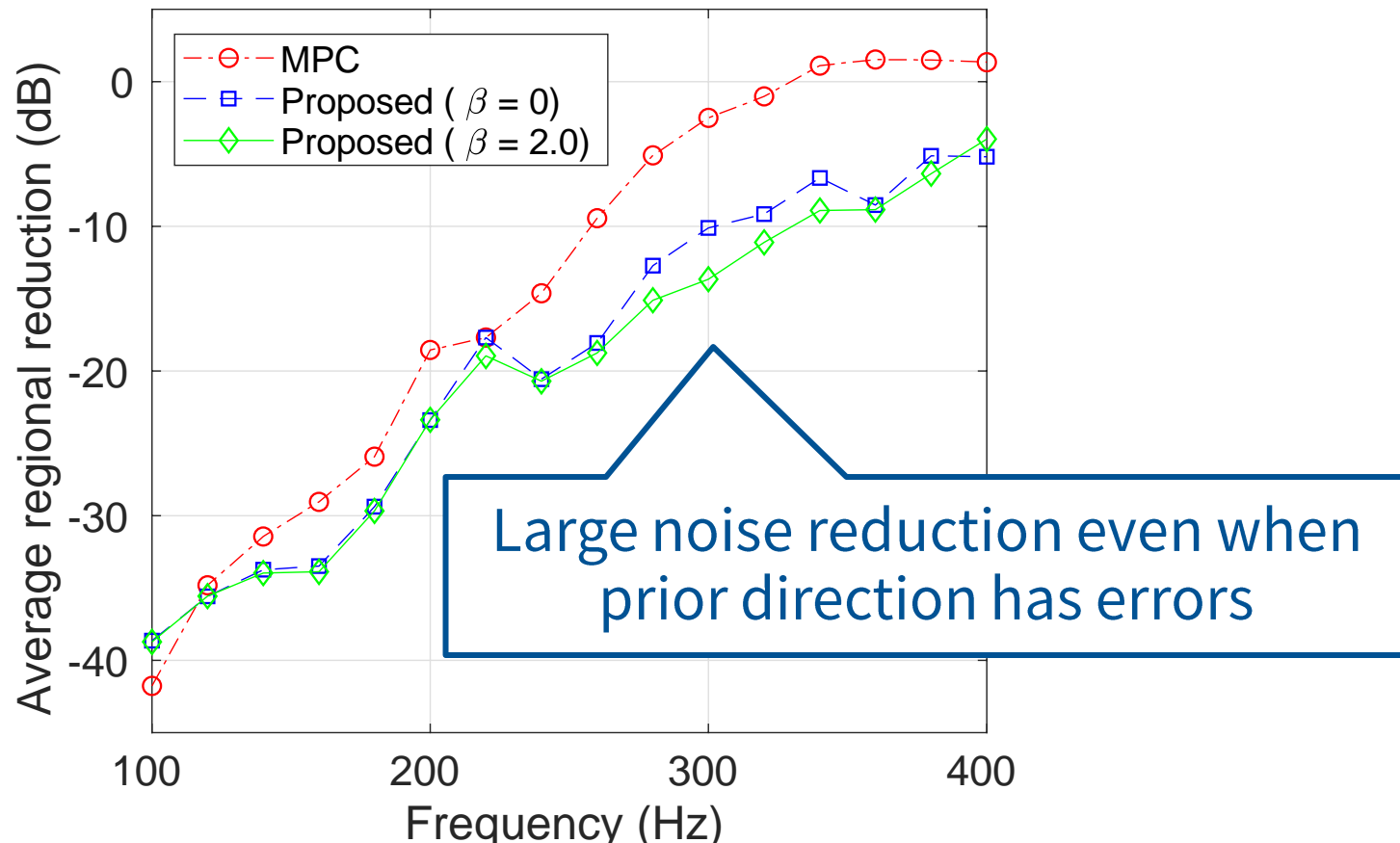
Proposed  
( $\beta=2$ )



$$P_{\text{red}} = -20.3 \text{ dB}$$

# Results

- Average  $P_{\text{red}}$  of 100 trials (after 500 iterations) when position of noise source was randomly shifted
  - Standard deviation in radial and angular directions are 0.04 m and 3.0 deg





# Conclusion

- Spatial ANC based on kernel interpolation with directional weighting
  - Cost function formulated with regional error power
  - Kernel interpolation of sound field with error mic signals
  - Approximate direction of primary noise source is used as prior knowledge
  - Computational cost of proposed weighted NLMS is equivalent to that of conventional MPC
  - Better performance even when prior noise source direction is slightly different from true direction