

# Design of a Convergence-aware Based Expectation Propagation Algorithm for Uplink MIMO SCMA Systems

Jih-Yang Lin and Pei-Yun Tsai  
Department of Electrical Engineering,  
National Central University, Taiwan  
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# Outline

- Introduction
- System model of SCMA
- Proposed convergence-aware based EPA
- Simulation result and comparison
- Conclusion
- Reference

# Introduction

- IoT applications in 5G system require massive connections and low latency for wireless communications.
- Non-orthogonal multiple access, or so called NOMA technique, has been proposed as a promising technology to meet the requirement.
- Sparse code multiple access (SCMA) is one of the famous NOMA techniques. Developed detection schemes include
  - Message passing algorithm (MPA)
  - Expectation propagation algorithm (EPA)



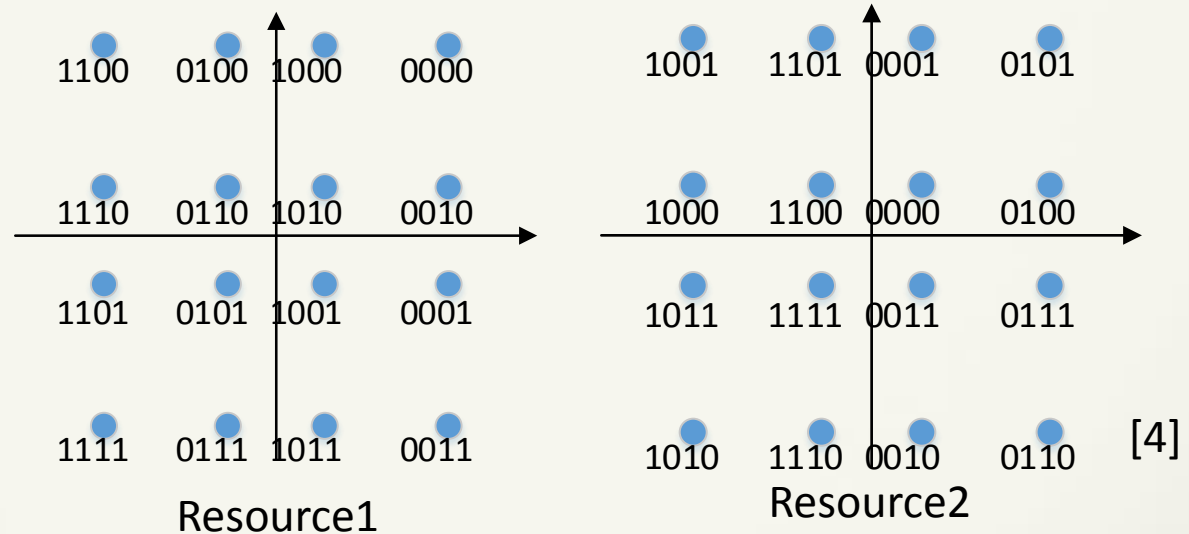
# System Model of SCMA

## ■ SCMA

### ■ Sparse matrix

$$\bullet \mathbf{S}_{sparse} = \begin{bmatrix} 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 1 & 0 \end{bmatrix} [4]$$

### ■ Constellation



# System Model of SCMA

## Uplink system model:

$$\mathbf{y}^{(n)} = \sum_{j=1}^J \text{diag}(\mathbf{h}_j^{(n)}) \mathbf{x}_j + \mathbf{v}^{(n)}$$

$$\mathbf{y}^{(n)} = [y_1^{(n)} \dots y_K^{(n)}]^T$$

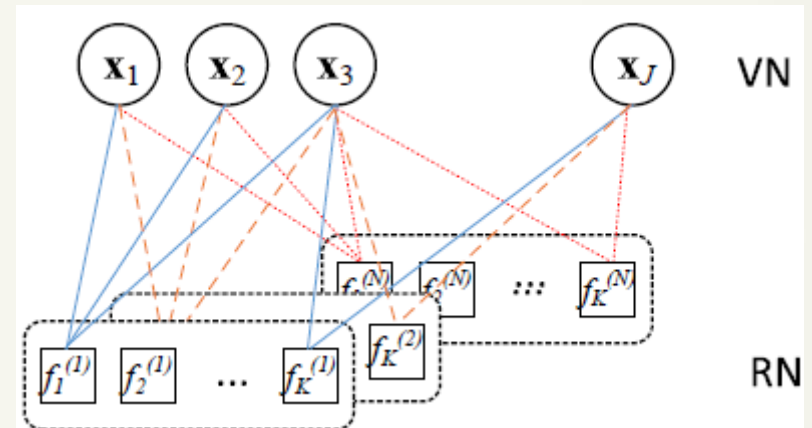
$$\mathbf{h}_j^{(n)} = [h_{j,1}^{(n)} \dots h_{j,K}^{(n)}]^T$$

$$\mathbf{x}_j = [x_{j,1} \dots x_{j,K}]^T$$

$$\mathbf{v}^{(n)} = [v_1^{(n)} \dots v_K^{(n)}]^T$$

- j : user index
- n: antenna index
- k: resource index

## Factor graph



$$f_k^{(n)} = P(y_k^{(n)} | \mathbf{x}) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{|y_k^{(n)} - \sum_{j=1}^J h_{j,k}^{(n)} x_{j,k}|^2}{2\sigma^2}}$$

$$SNR = \frac{E\{|y_k^{(n)}|^2\}}{\sigma^2}$$

# Conventional EPA

## ■ Conventional algorithm

### Algorithm : EPA [8]

```

// Initialization
1:  $\mu_{(k,n) \rightarrow j}^{(0)} = 0, \xi_{(k,n) \rightarrow j}^{(0)} = \infty, \mu_{j,k}^{(0)} = 0, \xi_{j,k}^{(0)} = 1, \forall k, n$ 
2: for  $t=1:I$  // Iteration
3:   for  $j=1:J$  // VN calculation
4:     Compute  $\mu_{j \rightarrow (k,n)}^{(t)}$  and  $\xi_{j \rightarrow (k,n)}^{(t)}$  by (1)
5:   endfor
6:   for  $k=1:K, n=1:N$  // RN calculation
7:     Compute  $\mu_{(k,n) \rightarrow j}^{(t)}$  and  $\xi_{(k,n) \rightarrow j}^{(t)}$  by (2)
8:   endfor
9:   for  $j=1:J$  // Posterior probability calculation
10:    Compute  $p^{(t)}(\mathbf{x}_j | \mathbf{y})$  by (3)
11:    Compute  $\mu_{j,k}^{(t)}$  and  $\xi_{j,k}^{(t)}$  by (4) for  $m \in \chi_j^S$ 
12:  endfor
13: endfor

```

- $R(k)$  : users associated with resource  $k$
- $V(j)$ : resources associated with user  $j$

## • Equations :

Eq. (1)

$$\xi_{j \rightarrow (k,n)}^{(t)} = \left( \frac{1}{\xi_{j,k}^{(t-1)}} - \frac{1}{\xi_{(k,n) \rightarrow j}^{(t-1)}} \right)^{-1}$$

$$\mu_{j \rightarrow (k,n)}^{(t)} = \xi_{j \rightarrow (k,n)}^{(t)} \left( \frac{\mu_{j,k}^{(t-1)}}{\xi_{j,k}^{(t-1)}} - \frac{\mu_{(k,n) \rightarrow j}^{(t-1)}}{\xi_{(k,n) \rightarrow j}^{(t-1)}} \right)$$

Eq. (2)

$$\mu_{(k,n) \rightarrow j}^{(t)} = \frac{1}{h_{j,k}^{(n)}} \left( y_k^{(n)} - \sum_{l \in R(k), l \neq j} h_{l,k}^{(n)} \mu_{l \rightarrow (k,n)}^{(t)} \right)$$

$$\xi_{(k,n) \rightarrow j}^{(t)} = \frac{1}{|h_{j,k}^{(n)}|^2} \left( \sigma^2 - \sum_{l \in R(k), l \neq j} |h_{l,k}^{(n)}|^2 \xi_{l \rightarrow (k,n)}^{(t)} \right)$$

Eq. (3)

$$p^{(t)}(\mathbf{x}_j | \mathbf{y}) \propto \prod_{k \in V(j)} I_{k,n \rightarrow j}^{(t-1)}(x_{j,k}), \quad I(x | \mu, \xi) = \frac{1}{\sqrt{2\pi\xi}} e^{-\frac{(x-\mu)^2}{2\xi}}$$

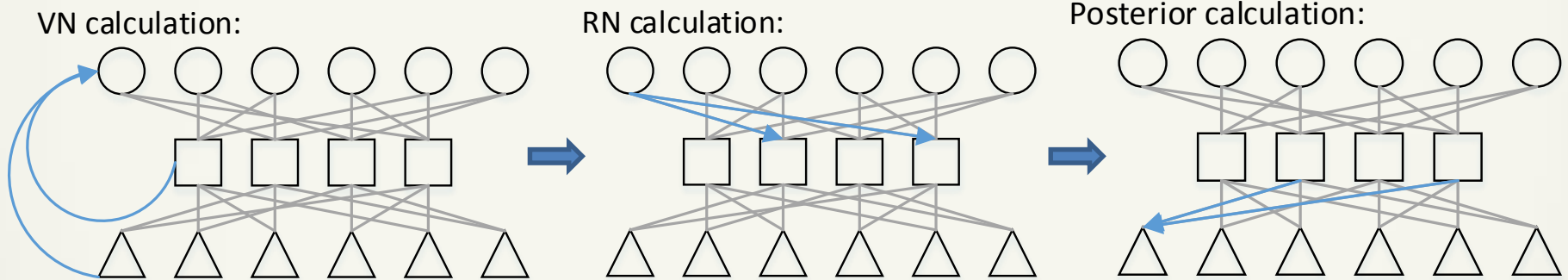
Eq. (4)

$$\mu_{j,k}^{(t)} = \sum_m p^{(t)}(\mathbf{x}_j = \mathbf{c}_{j,m} | \mathbf{y}) c_{j,m,k}$$

$$\xi_{j,k}^{(t)} = \sum_m p^{(t)}(\mathbf{x}_j = \mathbf{c}_{j,m} | \mathbf{y}) | c_{j,m,k} - \mu_{j,k}^{(t)} |^2$$

# Conventional EPA

## ■ Conventional EPA flow





# Proposed Convergence-Aware Based EPA

## ■ Proposed algorithm

### Algorithm : Convergence-aware based EPA

#### // Initialization

1:  $\mu_{(k,n) \rightarrow j}^{(0)} = 0, \xi_{(k,n) \rightarrow j}^{(0)} = \infty, \mu_{j,k}^{(0)} = 0, \xi_{j,k}^{(0)} = 1, \forall k, n$

#### 2: for $t=1:I$ // Iteration

#### 3: for $j=1:J$ // VN calculation

4: **if** (User Termination) || (Antenna Termination)

5:  $\mu_{j \rightarrow (k,n)}^{(t)} = \mu_{j \rightarrow (k,n)}^{(t-1)}, \xi_{j \rightarrow (k,n)}^{(t)} = \xi_{j \rightarrow (k,n)}^{(t-1)}, \forall k, n$

6: **else**

7: Compute  $\mu_{j \rightarrow (k,n)}^{(t)}$  and  $\xi_{j \rightarrow (k,n)}^{(t)}$  by (1)

8: **endif**

9: **endfor**

#### 10: for $k=1:K, n=1:N$ // RN calculation

11: **if** (User Termination) || (Antenna Termination)

12:  $\mu_{(k,n) \rightarrow j}^{(t)} = \mu_{(k,n) \rightarrow j}^{(t-1)}, \xi_{(k,n) \rightarrow j}^{(t)} = \xi_{(k,n) \rightarrow j}^{(t-1)}, \forall j$

13: **else**

14: Compute  $\mu_{(k,n) \rightarrow j}^{(t)}$  and  $\xi_{(k,n) \rightarrow j}^{(t)}$  by (2)

15: **endif**

16: **endfor**

17: **for**  $j=1:J$  // Posterior probability calculation

18: **if** (User Termination)

19:  $p^{(t)}(\mathbf{x}_j | \mathbf{y}) = p^{(t-1)}(\mathbf{x}_j | \mathbf{y})$

20: **else**

21: Compute  $p^{(t)}(\mathbf{x}_j | \mathbf{y})$  by (3)

22: **endif**

23: **Check if**  $(t > I_{User}) \ \&\& \ (p^{(t)}(\mathbf{x}_j | \mathbf{y}) > \Omega_{User})$  **for User Termination**

24: **Check if**  $\sum_{k \in R(j)} \xi_{j \rightarrow (k,n)}^{(t)} < \Omega_{Ant}$  **for Antenna Termination**

25: **Perform Codebook Reduction** and obtain  $\chi_j^S$

26: Compute  $\mu_{j,k}^{(t)}$  and  $\xi_{j,k}^{(t)}$  by (4) for  $m \in \chi_j^S$

27: **endfor**

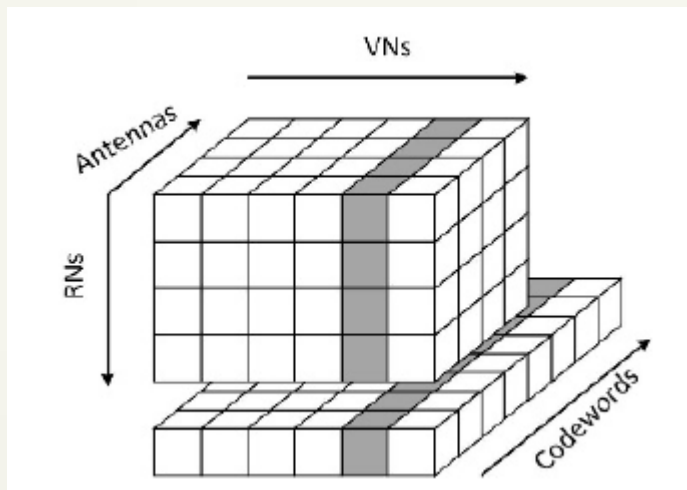
28: **endfor**

### Parameter :

- $\Omega_{User}$  : Threshold for user termination
- $\Omega_{Ant}$  : Threshold for antenna termination
- $\Omega_{Acc}$  : Threshold for codebook reduction

# Proposed Convergence-Aware Based EPA

## ■ User termination



```
23:   Check if  $(t > I_{User}) \ \&\& \ (p^{(t)}(\mathbf{x}_j|\mathbf{y}) > \Omega_{User})$  for User
      Termination
```

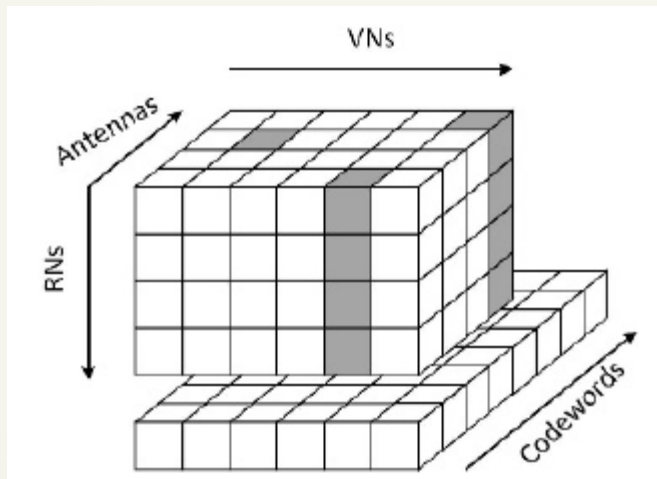
```
3:   for  $j=1:J$  // VN calculation
4:   if (User Termination)|| (Antenna Termination)
```

```
10:  for  $k=1:K, n=1:N$  // RN calculation
11:  if (User Termination)|| (Antenna Termination)
```

```
17:  for  $j=1:J$  // Posterior probability calculation
18:  if (User Termination)
```

# Proposed Convergence-Aware Based EPA

## ■ Antenna termination



24: Check if  $\sum_{k \in R(j)} \xi_{j \rightarrow (k,n)}^{(t)} < \Omega_{Ant}$  for *Antenna Termination*

3: **for**  $j=1:J$  // VN calculation

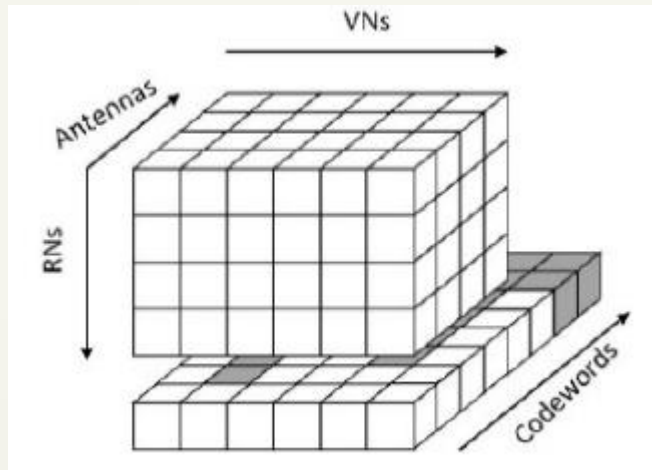
4: **if** (*User Termination*) || (*Antenna Termination*)

10: **for**  $k=1:K, n=1:N$  // RN calculation

11: **if** (*User Termination*) || (*Antenna Termination*)

# Proposed Convergence-Aware Based EPA

- Codebook reduction



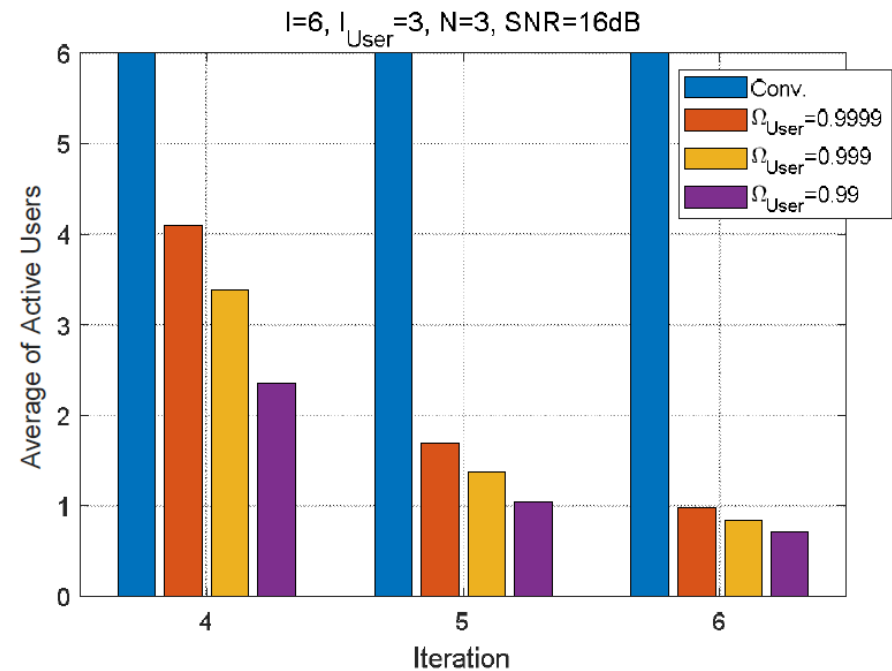
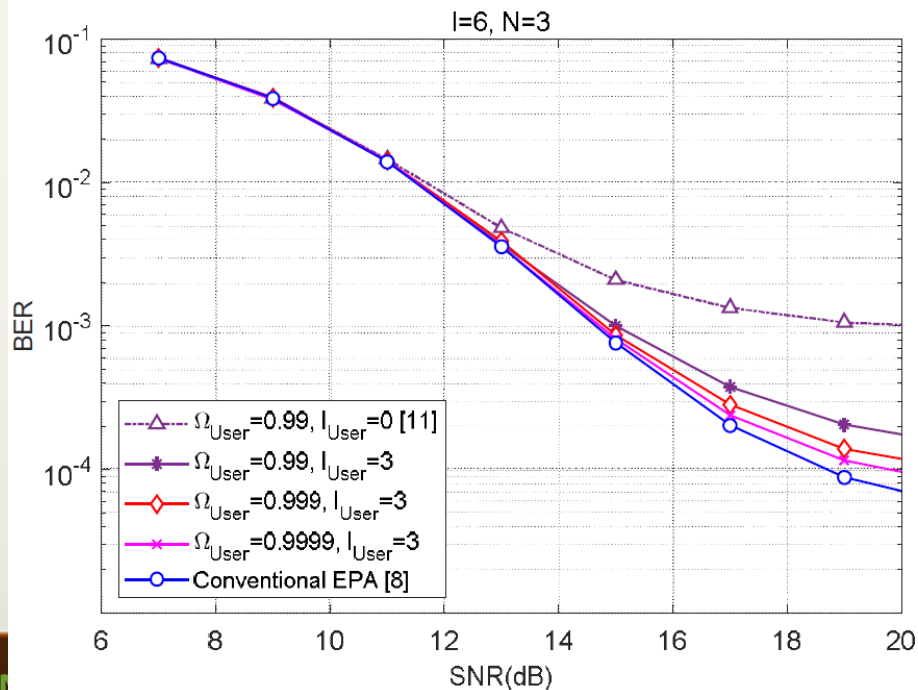
25: Perform *Codebook Reduction* and obtain  $\chi_j^S$

26: Compute  $\mu_{j,k}^{(t)}$  and  $\xi_{j,k}^{(t)}$  by (4) for  $m \in \chi_j^S$

# Simulation Result and Comparison

## ■ User termination

- Parameter :
  - $I$  : iteration time
  - $I_{User}$  : iteration constraint
  - $N$  : number of received antennas
  - $\Omega_{User}$  : threshold for user termination

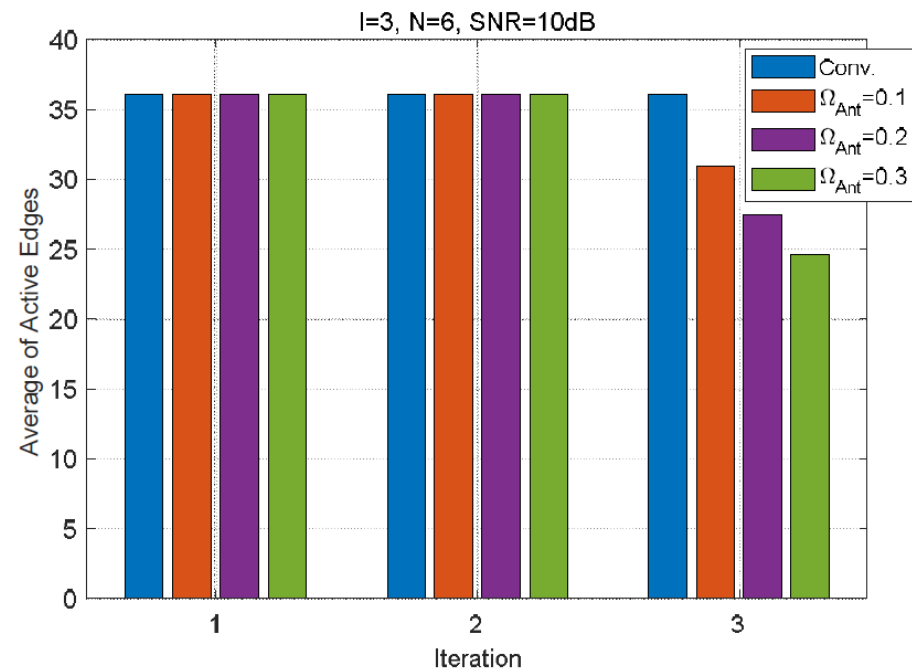
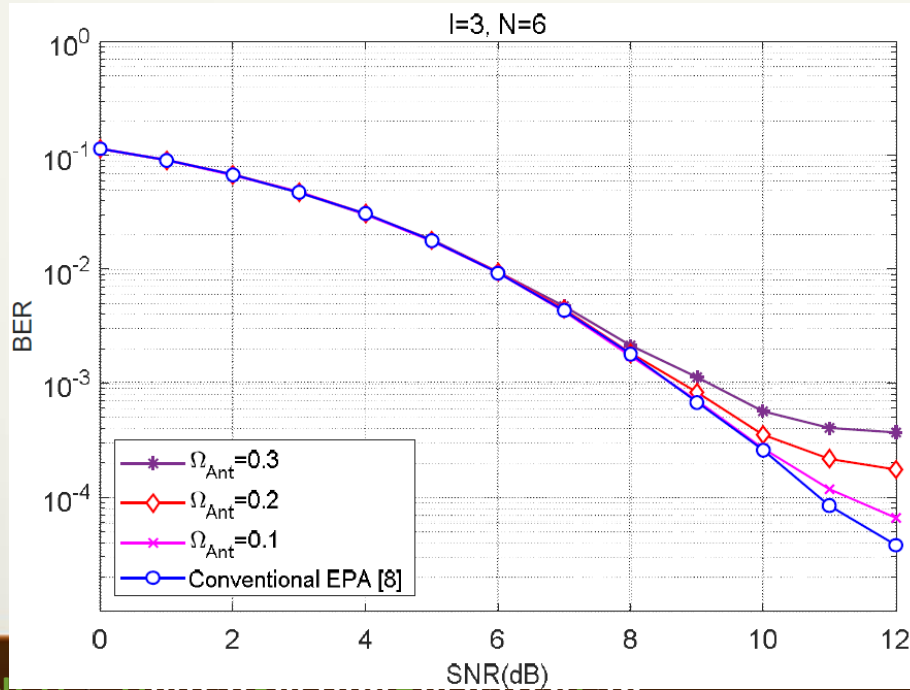


# Simulation Result and Comparison

## ■ Antenna termination

- Parameter :

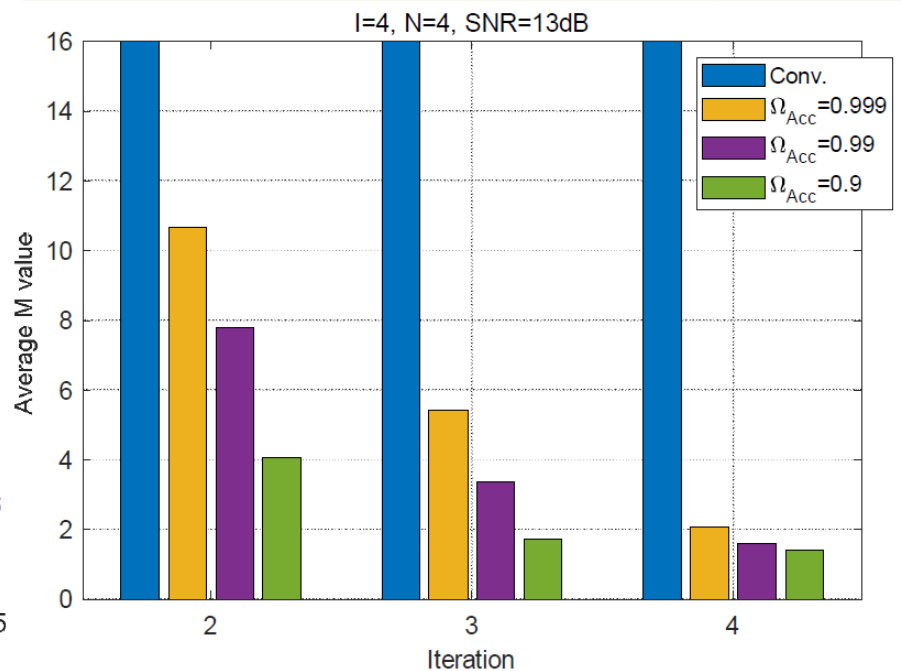
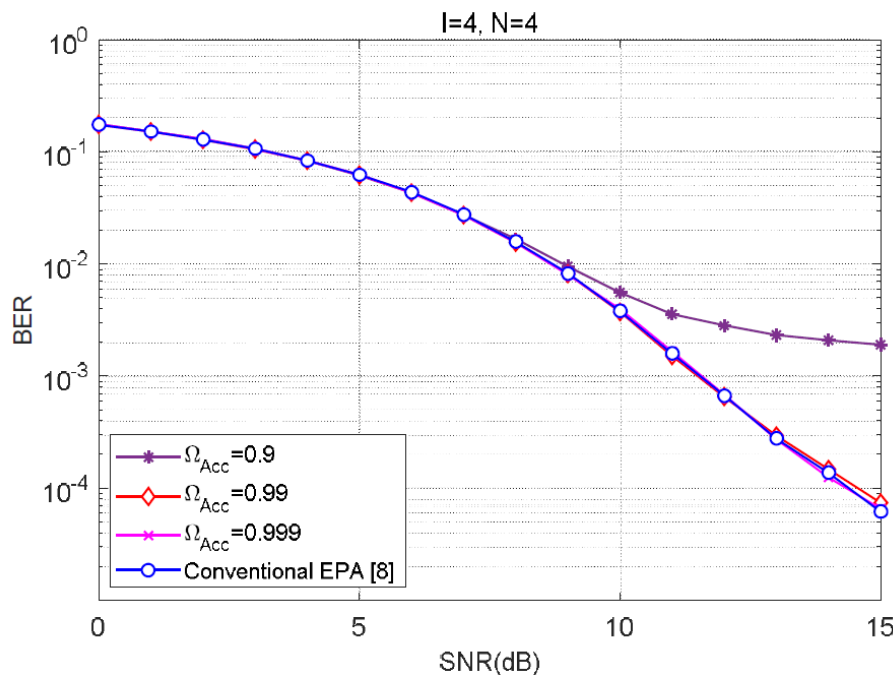
- $I$  : iteration time
- $N$  : number of received antennas
- $\Omega_{Ant}$  : threshold for antenna termination



# Simulation Result and Comparison

## Codebook reduction

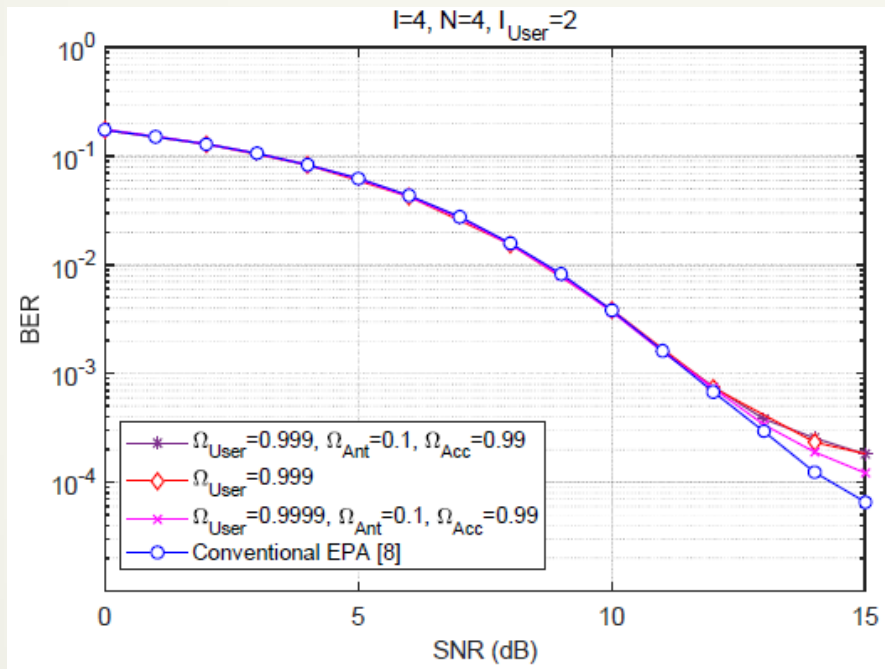
- Parameter :
  - $I$  : iteration time
  - $N$  : number of received antennas
  - $\Omega_{ACC}$  : threshold for codebook reduction



# Simulation Result and Comparison

## Algorithm

- Proposed convergence-aware based EPA



	Mul.	Div.	Add.
Conventional EPA	14784 (100%)	4632 (100%)	20184 (100%)
$\Omega_{User} = 0.999, I_{User} = 2$	8207.6 (55.5%)	3629.1 (78.4%)	15777.7 (78.2%)
$\Omega_{User} = 0.999, I_{User} = 2$ $\Omega_{Ant} = 0.1, \Omega_{ACC} = 0.99$	5492.4 (37.2%)	2464.6 (53.2%)	9465.3 (46.9%)
$\Omega_{User} = 0.9999, I_{User} = 2$ $\Omega_{Ant} = 0.1, \Omega_{ACC} = 0.99$	5546.1 (37.5%)	2513.8 (54.2%)	9574.2 (47.4%)



# Conclusion

- The proposed convergence-aware EPA contains three termination schemes.
  - User termination
  - Antenna termination
  - Codebook reduction
- Complexity reduction is achieved by stopping unnecessary computations for information update. And the simulation result shows that the algorithm only needs 37%, 54%, and 47% of the computation complexity in multiplication, divisions, and additions compared to the conventional one.

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