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# OPEN-SET METRIC LEARNING FOR PERSON RE-IDENTIFICATION IN THE WILD

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# **Person Re-identification (Re-ID)?**

- Associate same persons across two/multiple non-overlapping Field of views (FoVs)
- Sufficient temporal discontinuity between the visuals of same person





query







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# Introduction

#### **Our Goal:**

 To simultaneously detect and re-identify (re-id in the wild) interest/target persons



a single frame  $(\mathbf{f}_i)$ 



 $\textit{gallery} \ \texttt{set} \ (\textbf{G}_i) \ \texttt{generated} \ \textit{per frame}$ 

# Challenges

- Frame-wise re-identification problem
- Gallery set is dynamically varying with each frame
- Probe set can be larger than gallery set
- From closed-set to open-set re-ID problem

# Challenges

- **Open-set problem**: A probe set is not guaranteed to be present in a gallery
- Increase of several false alarms.





# **Previous Works**

#### Closed-set re-ID:

- Most existing like [Zheng et al., ICCV 2015], [Xiong et al., ECCV 2014] [Liao et al., CVPR 2015] re-ID solution are based on closed sets
- Open-set re-ID:
  - [Liao *et al.,* arXiv 2014] introduced the concept of open-set person re-ID
    - Performed on private dataset with poor performance
  - [Wang et al., ICIP 2016] indicated method to address the open-set re-ID problem
    - Regularized Kernel Subspace Learning but experimented over fixed gallery

#### ➢ Re-ID in wild:

- [Zheng *et al.*, CVPR 2017] introduced large-scale Person Re-identification in the Wild (**PRW**) dataset
  - Facilitate End-to-end pedestrian detection and recognition over raw video frames
  - Analyzed only closed-set re-ID performance

## **Our Contributions**

Introduced open-set metric learning (OSML) for a more realistic open set re-ID setting

- Joint optimization of Weibull distribution with Mahalanobis metric [Weinberger et al., JMLR 2009] based on OS-LMNN loss
- □ Perform re-ID over dynamically (frame-wise) generated gallery sets
- Converting open-set to closed-set re-ID problem by *rejecting* probe samples over dynamic gallery set

[1] K. Weinberger et al., "Distance metric learning for large margin nearest neighbor classification," JMLR 2009.

## **Our Re-ID Framework**

- Different components of our proposed re-ID framework
  - **Pedestrian Detection** using Mask-RCNN followed by feature extraction
  - □ Open to closed-set conversion following **Weibull rejection** before similarity ranking



Fig1. An illustrative overview of the proposed re-ID framework

# **Proposed Method : Pedestrian Detection**

- Pedestrian Detection using Mask R-CNN [He et al., ICCV2017]
  - IOU > 0.5
  - Detector Threshold > 0.9 (for accurate pedestrian localization)



Fig 2. Detected pedestrians using Mask R-CNN

[2] Kaiming He et al., "Mask r-cnn," ICCV 2017.

# **Proposed Method : Feature Extraction**

 Feature Extraction using traditional descriptors like BoW [Zheng et al., ICCV 2015], HistLBP [Xiong et al., ECCV 2014], LOMO [Liao et al., CVPR 2015], gBiCov [Ma et al., IMAGE VISION COMPUT. 2014]



#### **Proposed Method : LMNN Loss**

Mahalanobis Distance metric

$$D_{ij}^M = (x_i - x_j)^T M(x_i - x_j)$$

LMNN loss

$$\varepsilon(M) = (1-\mu) \sum_{i \dashrightarrow j} D_{ij}^M + \mu \sum_{i,j \dashrightarrow i} \sum_k \left[ \alpha + D_{ij}^M - D_{ik}^M \right]_+$$

•  $\mu$  is a weighting parameter that balances the pull and push factors



Fig 3. Schematic illustration of push pull concept based on LMNN [1] loss

[1] K. Weinberger et al., "Distance metric learning for large margin nearest neighbor classification," JMLR 2009.

# **Proposed Method : Introducing OSML**

- □ Open Set Recognition Problems : Ability to distinguish between known and unknown/uncertain samples
- □ Most Open-set Recognition models [Scheirer *et al.*, TPAMI 2014], [Rudd *et al.*, TPAMI 2017] are applicable over **fixed known classes**
- □ Open-set metric learning (OSML) is extends the concept of Open-set Recognition over similarity metric learning of variable known samples/IDs.
- □ Based on Extreme Value theorem (EVT) a learned Weibull distribution can represent unlikely samples at the tail of their distribution.
- **OS-LMNN** combines existing LMNN approach with Weibull distribution to reject unlikely samples.

## **Proposed Method : Weibull PDF and CDF**

Weibull Distribution PDF

$$\rho(x;\beta,\lambda) = \begin{cases} \frac{\beta}{\lambda} \left(\frac{x}{\lambda}\right)^{\beta-1} e^{-\left(\frac{x}{\lambda}\right)^{\beta}}, \ x \ge 0\\ 0, \ x < 0 \end{cases}$$

Weibull Distribution CDF

$$F(x;\beta,\lambda) = \left[1 - e^{-(\frac{x}{\lambda})^{\beta}}\right] \in [0,1]$$



Fig 4. Variation of Weibull PDF based on shape factor  $\beta$ 



**Fig 5.** Variation of Weibull CDF based on shape factor  $\beta$ 

### **Proposed Method : OS-LMNN Loss**

- Dynamically adjust the push pull weights based on Weibull parameters at each iteration.
- Thus our proposed loss measure is:

$$\varepsilon(M,\beta,\lambda) = \sum_{i,j \dashrightarrow i} \sum_{k} \left\{ \left( \frac{\omega_{ki}}{1+\omega_{ki}} \right) D_{ij}^{M} + \left( \frac{1}{1+\omega_{ki}} \right) \left[ \alpha + D_{ij}^{M} - D_{ik}^{M} \right]_{+} \right\}$$

where,  $\omega_{ki} = F(D_{k,\mu_i}^M; \beta, \lambda)$  is monotonically increasing and  $\mu_i$  is mean of samples of person *i* belonging to same ID

#### Proposed Method : OS-LMNN Loss (Contd.)

$$\varepsilon(M,\beta,\lambda) = \sum_{i,j \dashrightarrow i} \sum_{k} \left\{ \left( \frac{\omega_{ki}}{1+\omega_{ki}} \right) D_{ij}^{M} + \left( \frac{1}{1+\omega_{ki}} \right) \left[ \alpha + D_{ij}^{M} - D_{ik}^{M} \right]_{+} \right\}$$

where,  $\omega_{ki} = F(D_{k,\mu_i}^M; \beta, \lambda)$  is monotonically increasing

• Based on property of Weibull CDF,

$$\omega_{ki} \bigvee \qquad \left( \frac{1}{1 + \omega_{ki}} \right) \uparrow$$

• Smaller distance between dissimilar pair increases push factors weight w.r.t pull

## **Proposed Method : Optimization**

Regularization

$$M^*, \beta^*, \lambda^* = \frac{argmin}{M, \beta, \lambda} [\epsilon(M, \beta, \lambda) + \gamma R(\beta, \lambda)]$$

where,  $R(\beta, \lambda) = \frac{1}{2} \mathbb{N} \cdot (\beta + \lambda)$  is a regularization term and

 $\mathbb{N}$  is the total no. of valid triplets

• We use L-BFGS-B [7] optimizer to solve the objective by alternatively fixing Mand  $w = [\beta, \lambda]$ 

[7] Richard H Byrd et al., "A limited memory algorithm for bound constrained optimization," SIAM Journal on scientific computing, 1995.

# **Proposed Method : Sample Rejection**

- Weibull Rejection mechanism:
  - Given a dynamic gallery set a G a likelihood value is assigned to every probe sample P based on Weibull PDF
  - Pairwise computation
  - Assigns a low probability value to dissimilar pairs in new metric space
  - Reject pairs with likelihood less than a threshold au (a user parameter)
  - A probe samples rejected by all samples  $\epsilon$  G are inferred absent in dynamic set G
  - Similarity ranking are performed with remaining probe samples (closed set comparison)
  - The gallery ID attaining highest similarity with a probe are inferred same person

# **Implementation Details**

- Our model performance is evaluated and compared over PRW dataset [Zheng et al., CVPR 2017]
- Mask R-CNN [He et al., ICCV 2017] detector (pre-trained on ImageNet dataset) was fine-tuned on the PRW dataset
- Our model has two hyper-parameters which are set experimentally
  - margin  $\alpha$  = 25
  - regularization constant  $\gamma = 0.5$

[2] Kaiming He et al., "Mask r-cnn," ICCV 2017.

[8] Liang Zheng et al., "Person reidentification in the wild," CVPR 2017.

### **Evaluation Metrics**

- Detection and Identification Rate (DIR) [Liao *et al.,* arXiv 2014]
- False Acceptance Rate (FAR)

$$DIR(\tau, k) \ \frac{|\{p: p \in P_G, rank(p) \le k, \ \rho(D_{pg}^M) \ge \tau\}|}{P_G}$$

$$FAR(\tau, k) = \frac{|\{p: p \in P_N \text{ and } \rho(D_{pg}^M) \ge \tau\}|}{P_N}$$
where  $P_G$  and  $P_N$  are the two probe sets and  $G$  is the gallery set with  $g \in G$ 

• Rank-1 recognition rate and Area under ROC (AUC) curve

## **Results : DPM Detector**

Table 1. DIR vs. varying FAR for Rank-1 scores with the DPM detector. Best values are shown in **bold**.

Detector	Feature	Recognizer	FAR (%)				AUC (%)
			1	10	50	100	
DPM [Felzenszwalb <i>et al.</i> , TPAMI 2009]	HistLBP	LMNN KISSME DNS	9.89 11.17 12.79 3.92	19.34 21.83 22.70 10.12	41.21 46.01 49.34 37.65	60.92 65.45 66.50 <b>72.06</b>	39.64 43.88 45.98 37.65
		OS-LMNN (ours)	14.31	25.40	<b>54.37</b>	70.71	50.26
	LOMO	LMNN KISSME DNS XQDA OS-LMNN (ours)	12.64 15.95 23.12 21.97 <b>30.04</b>	28.69 40.60 43.72 41.54 <b>57.63</b>	61.56 63.11 70.87 67.33 <b>81.42</b>	65.58 68.98 77.58 73.70 <b>87.61</b>	53.89 58.34 65.22 61.96 <b>76.30</b>
	BoW	LMNN KISSME DNS XQDA OS-LMNN (ours)	0.43 12.56 30.03 21.17 <b>38.69</b>	3.96 30.04 55.30 40.72 <b>64.23</b>	29.84 47.07 78.71 58.02 <b>84.62</b>	90.11 55.82 87.03 66.82 <b>93.34</b>	32.85 44.35 74.19 55.16 <b>80.83</b>
	gBiCov	LMNN KISSME DNS XQDA OS-LMNN (ours)	10.12 15.95 23.12 17.57 <b>24.03</b>	23.41 40.60 43.72 33.22 <b>46.10</b>	54.70 63.11 70.87 53.86 <b>65.14</b>	62.31 68.98 <b>77.58</b> 58.96 70.09	48.24 58.34 <b>65.22</b> 49.57 61.40 <sub>20</sub>

# **Results : Mask R-CNN Detector**

Table 2. DIR vs. varying FAR for Rank-1 scores with Mask-RCNN detector. Best values are shown in **bold**.

Detector	Feature	Recognizer	FAR (%)				AUC (%)
			1	10	50	100	
Mask R-CNN [He <i>et al.</i> , ICCV 2017]	HistLBP	LMNN KISSME DNS	10.08 15.21 17.19	21.23 27.42 30.92	45.54 58.14 63.87	65.36 74.59 79.13	43.23 53.68 58.54
		OS-LMNN (ours)	23.23 <b>26.92</b>	48.72 63.71	72.64 <b>81.45</b>	79.54 <b>81.51</b>	<b>76.11</b>
	LOMO BoW	LMNN KISSME DNS XQDA OS-LMNN (ours) LMNN KISSME	24.81 26.63 <b>31.96</b> 28.03 31.65 25.3 26.25	49.45 57.95 59.41 61.00 <b>72.70</b> 54.00 56.41	70.79 80.30 87.68 84.53 <b>92.65</b> 74.74 78.27	75.90 84.73 93.04 89.19 <b>93.31</b> 79.02 82.78	65.99 74.86 81.29 78.80 <b>87.15</b> 69.83 73.10
		DNS XQDA OS-LMNN (ours)	43.51 30.41 <b>44.82</b>	66.68 60.29 <b>72.82</b>	85.25 80.28 <b>90.66</b>	93.48 84.95 <b>93.80</b>	81.85 75.49 <b>86.23</b>
	gBiCov	LMNN KISSME DNS XQDA OS-LMNN (ours)	11.62 18.05 24.88 24.06 <b>28.04</b>	21.93 39.39 41.90 41.72 <b>51.49</b>	56.01 57.48 63.69 67.57 <b>79.40</b>	71.54 62.18 69.06 80.60 <b>90.61</b>	49.17 53.58 59.13 63.50 <b>74.24</b>

#### Results



Fig 5. ROC Curve with DIR vs FAR comparison at rank-1 recognition rate for different feature descriptors

# Conclusion

- A new metric learning model has been proposed especially for performing open-set re-ID in the wild
- The concept of Weibull rejection has been introduced convert an open-set re-ID problem to a closed-set.
- The proposed model can be further improved by introducing non-linearity through kernels that can better represent the metric space for complex sets
- We plan to extend our open-set metric learning framework to end-to-end trainable deep architectures

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