

# Deep Scattering Network with Max-pooling

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# 1. CNN and Scattering Network

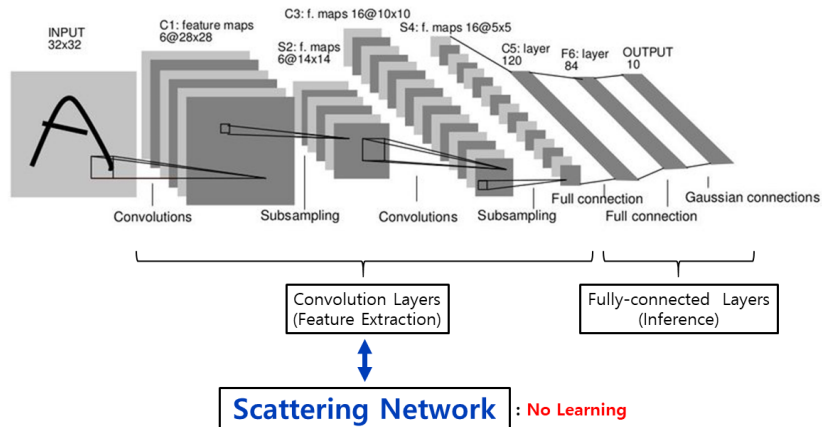
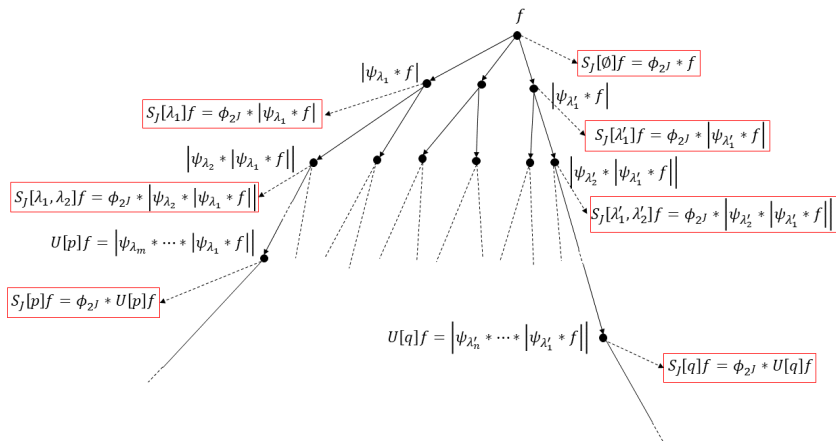


Figure 1: Two parts of CNN [1] and the role of scattering network [2].

# Scattering Network



**Figure 2:** Propagation of scattering network. Input  $f$  is propagated toward deeper network by convolving with pre-defined wavelets followed by modulus. Two paths,  $p = (\lambda_1, \dots, \lambda_m)$  of length  $m$  and  $q = (\lambda'_1, \dots, \lambda'_n)$  of length  $n$ , are shown.

## 2. Scattering Network with Max-pooling [3]

### Definition 1 (Continuous Plate)

Let  $\mathcal{A}$  be a collection of  $f : \mathbb{R}^d \rightarrow \mathbb{R}$  with compact support. If there exist rectangular compact regions  $D, D^{(i)} \subset \mathbb{R}^d$ ,  $i = 1, 2, \dots, N$  satisfying

- $\bigcup_{f \in \mathcal{A}} \text{supp}(f) \subset D$  and  $0 \in D$ ,
- $D = \bigcup_{i=1}^N D^{(i)}$ ,
- $\forall i = 1, 2, \dots, N$ ,  $|D^{(i)}| = |D|/N$ ,
- $\exists k \in \mathbb{R}^d$  such that  $|\{x \in \mathbb{R}^d : x \in (D^{(i)} - (D^{(j)} + k))\}| = 0$  if  $i \neq j$ ,

we say  $D$  is a **continuous plate** of  $\mathcal{A}$  and  $D^{(i)}$  is the  $i$ -th sub-plate of  $D$ .

## Definition 2 (Continuous Max-pooling)

Let  $\mathcal{A}$ ,  $D$  and  $D^{(i)}$  be defined as in Def. 1. Let  $L^\infty(D) := L^\infty(\mathbb{R}^d) \cap \mathcal{A}$ . For  $f \in L^\infty(D)$  and  $S > (|D| \|f\|_\infty / \|f\|_2)^{1/d}$ , a **continuous max-pooling operator**  $P : L^\infty(D) \rightarrow L^\infty(D)$  (with a pooling factor  $S$ ) is defined by

$$P(f)(x) := \sum_{i=1}^N \|f \chi_{D^{(i)}}\|_\infty \chi_{D^{(i)}}(Sx).$$

(Note:  $|D| \|f\|_\infty / \|f\|_2 \geq 1$ , hence  $S > 1$ .)

### Definition 3 (Windowed Pooled-Scattering Transform)

Let  $J \in \mathbb{Z}$  be fixed, and let  $\lambda := (j, r)$ ,  $j > -J$ ,  $r \in G^+$ .

For pre-defined wavelet  $\psi \in L^2(\mathbb{R}^d)$ , let  $\psi_\lambda(x) := 2^{dj}\psi(2^j r^{-1}x)$ .

Let  $P$  be the continuous max-pooling with a pooling factor  $S$ .

Let  $q = (\lambda_1, \lambda_2, \dots, \lambda_m)$  be a path of length  $m$ . We define

- $\tilde{U}[\emptyset] = P$
- $\tilde{U}[\lambda] : L^\infty(D) \rightarrow L^\infty(D/S) : f \mapsto \tilde{U}[\lambda]f = P(|\psi_\lambda * f|)$
- $\tilde{U}[q] : L^\infty(D) \rightarrow L^\infty(D/S^m) : f \mapsto \tilde{U}[q]f := \tilde{U}[\lambda_m]\tilde{U}[\lambda_{m-1}]\cdots\tilde{U}[\lambda_1]f$

A **windowed pooled-scattering transform**  $\tilde{S}_J[q]$  is defined by

$$\tilde{S}_J[q]f := (\phi_{2^J} * \tilde{U}[q]f), \quad \forall f \in L^\infty(D),$$

where  $\phi_{2^J}(x) := 2^{-dJ}\phi(2^{-J}x)$  is a low-pass kernel from  $\phi \in L^2(\mathbb{R}^d)$ .

# Scattering-maxp Network

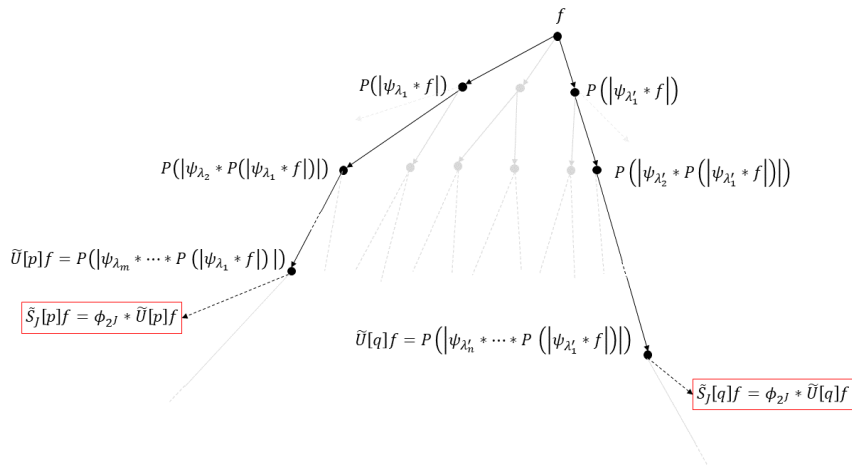


Figure 3: Propagation of **scattering-maxp network**. It is obtained by the windowed pooled-scattering transform. Two paths,  $p$  and  $q$ , are highlighted.

# Translation Invariance of Scattering-maxp Network

## Theorem 1. (Translation Invariance)

Suppose  $c \in \mathbb{R}^d$  satisfies  $0 \in D + c$  and  $|\hat{\phi}(\omega)|(|\omega|) < B$  a.e.  $\omega \in \mathbb{R}^d$  for some  $B > 0$ . Then

$$\lim_{m \rightarrow \infty} \sum_{p \in \Lambda_J^m} \|\tilde{S}_J[p]f - \tilde{S}_J[p]T_c f\|_2^2 = 0, \quad f \in L^\infty(D)$$

where  $\Lambda_J^m$  is the set of all possible paths  $p = (\lambda_1, \dots, \lambda_m)$  of length  $m$ ,  $\hat{\phi}$  is the Fourier transform of  $\phi$ , and  $T_c f(x) = f(x - c)$  is the translation by  $c$ .



### 3. Experimental Results [3], [4]

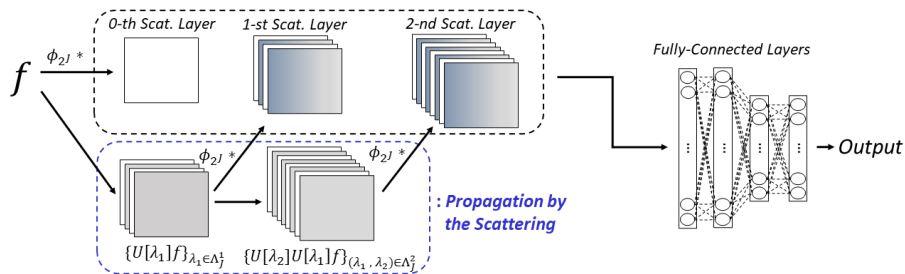


Figure 4: **Scattering**.  $f$  is an input. 4 fully-connected layers are added right after computing the output of scattering. The output of the model is calculated by soft-max.

# Scattering-maxp

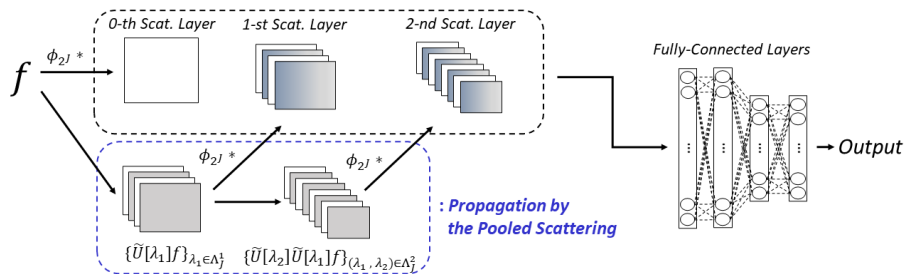
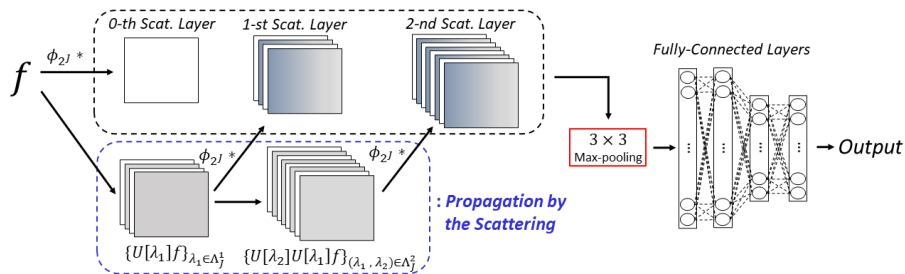


Figure 5: **Scattering-maxp**. It is based on the original one as in Figure 4 but two  $2 \times 2$  max-poolings (with  $2 \times 2$  stride) are inserted right after the scattering layer-1 and layer-2.

# Scattering-naivep



**Figure 6: Scattering-naivep.** It is based on the original one as in Figure 4 but additionally uses  $3 \times 3$  max-pooling (with  $3 \times 3$  stride) right after calculating the output of scattering.

# Training Set-up

- CPU: Intel(R) Xeon(R) Gold 5210 @ 2.20GHz / GPU: Tesla V100-32GB.
- TensorFlow 1.15.1 / Keras 2.2.4-tf.
- Loss: Categorical cross-entropy / Optimizer: Adam
- Data Augmentations: Horizontal flip & 8 rotations from  $-20^\circ$  to  $20^\circ$ .
- Batch Size: 256
- Ratio of the Training Data and the Validation Data: 3 to 1.
- Input Size:  $224 \times 224$ .

# Datasets: Caltech-101 / Caltech-256

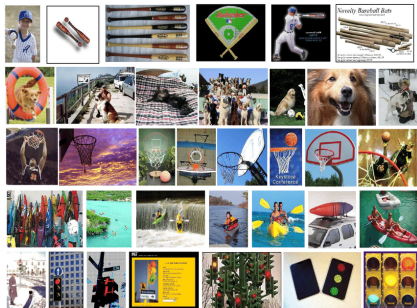
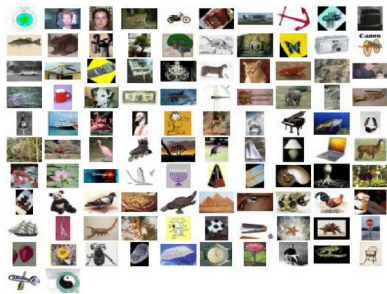
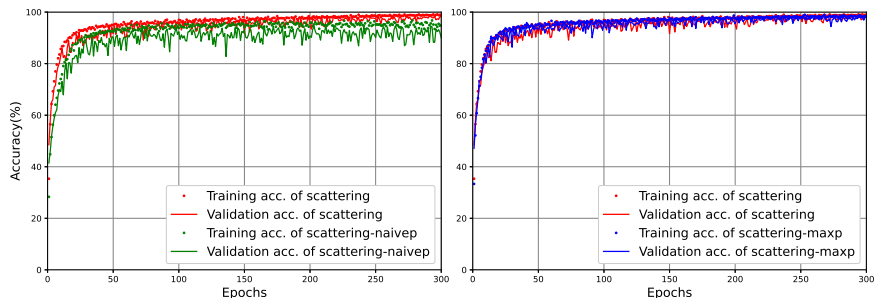


Figure 7: Datasets for experiments: (Left) Caltech-101 [5] (Right) Caltech-256 [6]

# Experimental Results on Caltech-101



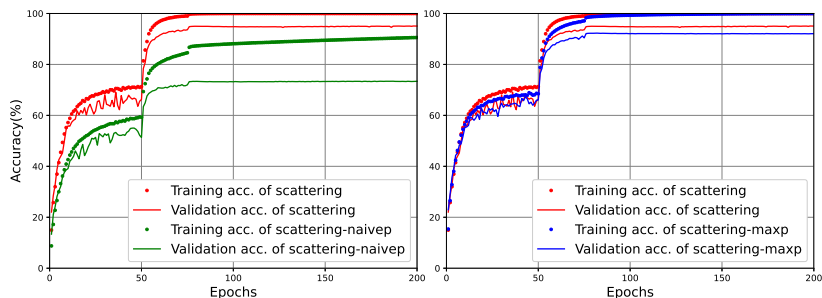
**Figure 8:** Performance of scattering based models on Caltech-101 (300 epochs). (Left) Original scattering and scattering-naivep. (Right) Original scattering and scattering-maxp. The learning rate is  $10^{-3}$  during the training session.

# Experimental Results on Caltech-101

Table 1: Classification results on Caltech-101.

Model	# of parameters	Accuracy (%)	Training time (s/epoch)
VGG-16	134,677,286	99.58	566
ResNet34	21,344,166	99.21	221
MobileNet	<b>3,332,742</b>	<b>99.95</b>	419
<b>Scattering</b>	<b>87,592,038</b>	<b>98.49</b>	<b>284</b>
Scattering-naivep	11,596,902	94.54	267
Scattering-maxp	9,944,166	98.59	<b>206</b>

# Experimental Results on Caltech-256



**Figure 9:** Performance of scattering based models on Caltech-256 (200 epochs). (Left) Original scattering and scattering-naive. (Right) Original scattering and scattering-maxp. The learning rate is adjusted to be  $10^{-3}$  from the beginning,  $10^{-4}$  after 50 epochs, and  $10^{-5}$  after 75 epochs.



# Experimental Results on Caltech-256

Table 2: Classification results on Caltech-256.

Model	# of parameters	Accuracy (%)	Training time (s/epoch)
VGG-16	135,312,321	98.58	1926
ResNet34	21,423,681	99.97	750
MobileNet	<b>3,491,617</b>	<b>99.99</b>	1433
Scattering	<b>87,631,873</b>	<b>95.06</b>	<b>1006</b>
Scattering-naivep	11,636,737	73.38	982
Scattering-maxp	9,984,001	92.11	<b>651</b>

# References

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- [5] L. FEI-FEI, R. FERGUS AND P. PERONA., *Learning generative visual models from few training examples: An incremental bayesian approach tested on 101 object categories*, in Conference on Computer Vision and Pattern Recognition Workshop, 2004.
- [6] G. GRIFFIN, A. HOLUB, AND P. PERONA., *Caltech-256 object category dataset*, preprint, 2007.