

# COMPRESSED TRAINING ADAPTIVE EQUALIZATION

Baki B. Yilmaz, Alper T. Erdogan  
Koc University, Istanbul, Turkey

## What is Compressed Training Adaptive Equalization?

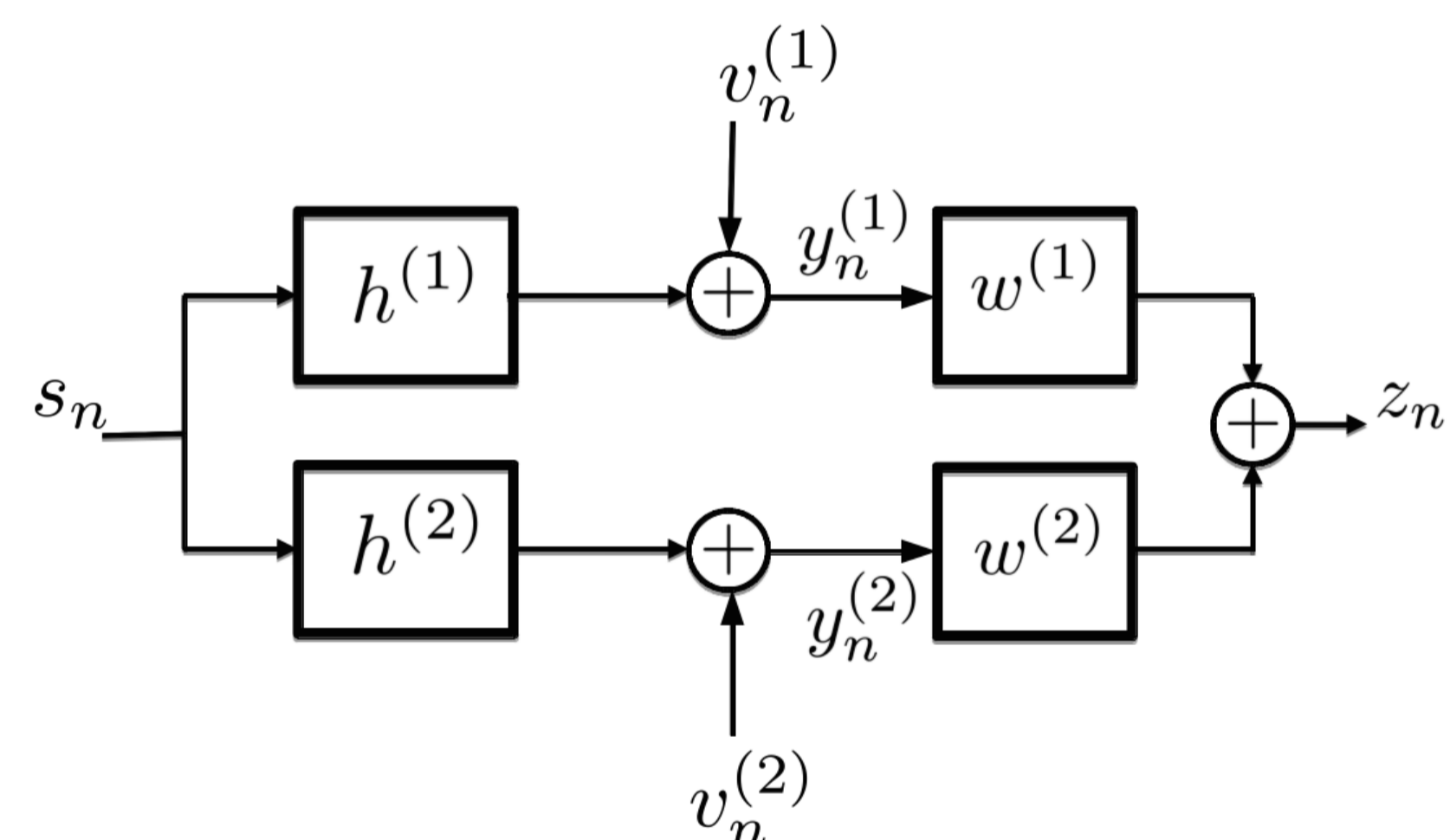
- An **adaptive equalization framework** aiming to reduce the number of training symbols in a communication packet. The equalizer coefficients are trained by exploiting
  - Training symbols,
  - Magnitude boundedness property of digital communication constellations.

## Highlights of the Framework

- Direct Link with Compressed Sensing,
- Reduce Training Length,
- Prescribe  $\text{Minimum Training Length} \propto \log(\text{Channel Spread})$ .
- Algorithms Based on Convex Settings,
- **DO NOT Make Sparse Channel Assumption.**

## Equalization Setup

- We assume the standard Fractionally-Spaced Equalization Setup:



- The receiver employs two (WLOG) diversity branches,
- $\{s_n\}$  : Transmission sequence sent by the transmitter,
- For the receiver branch  $k$ :
  - $\{h_n^{(k)} : n \in \{0, \dots, L_C - 1\}\}$  : Channel impulse response,
  - $\{w_n^{(k)} : n \in \{0, \dots, L_E - 1\}\}$  : Equalizer coefficients,
  - $\{y_n^{(k)}\}$  : Received signal,
- $\{z_n\}$  is the equalizer output sequence,
- $\{g_n\}$  : Combined channel impulse response where

$$g_n = \sum_{k=1}^2 w_n^{(k)} * h_n^{(k)}.$$

- **Perfect Equalization Condition:**  $g_n = \delta_{n-d}$ .

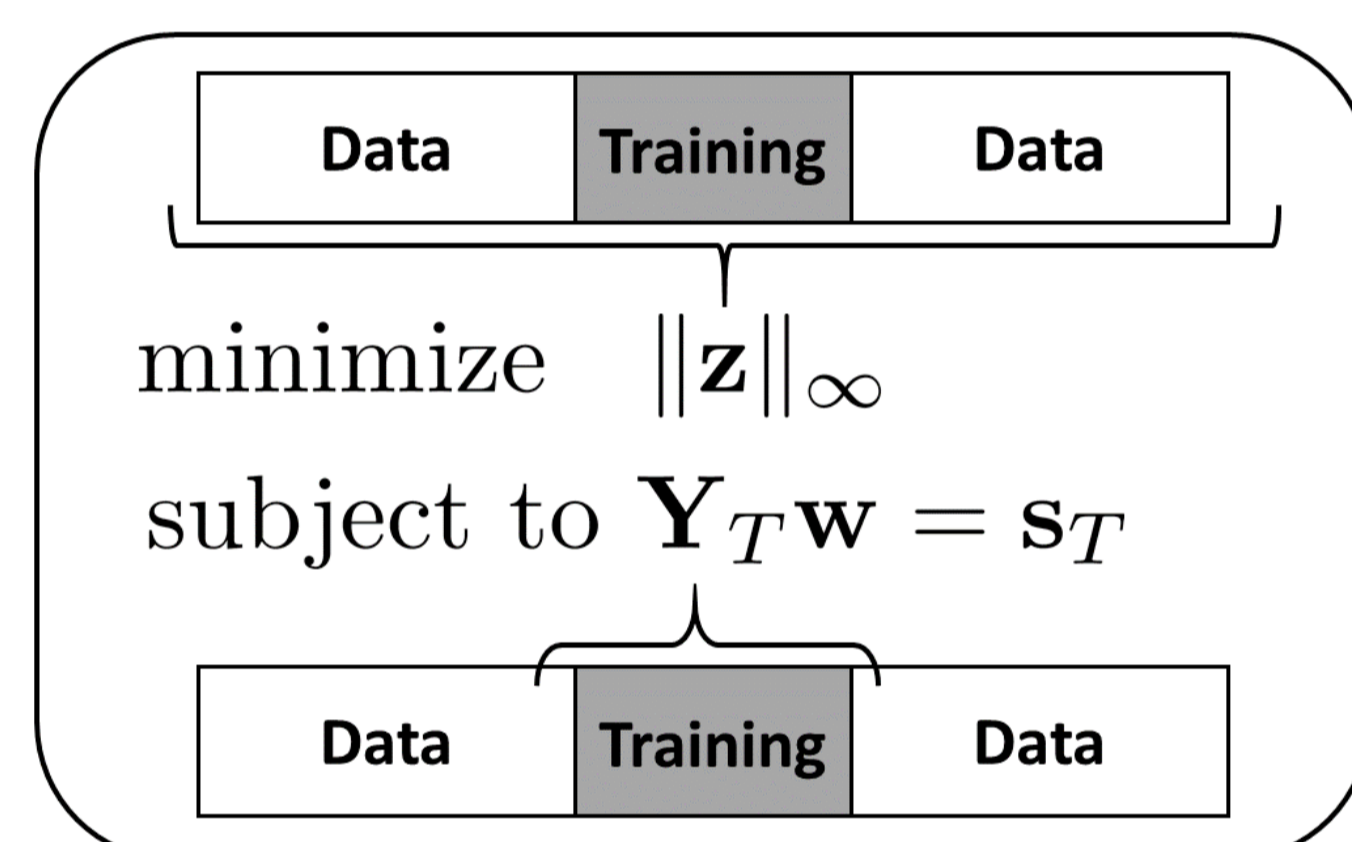
## The Proposed Framework

Noiseless/High SNR Case:

- The proposed optimization setting :

$$\begin{aligned} &\text{Setting I} \\ &\text{minimize } \|\mathbf{z}\|_\infty \\ &\text{subject to } \mathbf{Y}_T \mathbf{w} = \mathbf{s}_T \end{aligned}$$

- $\mathbf{z}$  : Vector containing all equalizer outputs for the whole packet,
- $\mathbf{Y}_T$  : Equalizer input vectors corresponding to the training region,
- $\mathbf{s}_T$  : Training symbols,
- $\mathbf{w}$  : Equalizer coefficient vector.



Noisy Case:

- The optimization setting factoring existence of noise:

$$\begin{aligned} &\text{Setting } \ell_\infty\text{-CLASSO} \\ &\text{minimize } \|\mathbf{Y}_T \mathbf{w} - \mathbf{s}_T\|_2 \\ &\text{subject to } \|\mathbf{z}\|_\infty \leq \gamma \end{aligned}$$

- $\gamma$  represents the knowledge about the symbol boundedness.
- Alternative convex optimization setting for the noisy case:

$$\begin{aligned} &\text{Setting } \ell_\infty - \ell_2\text{-LASSO} \\ &\text{minimize } \|\mathbf{Y}_T \mathbf{w} - \mathbf{s}_T\|_2 + \lambda \|\mathbf{z}\|_\infty \end{aligned}$$

- $\lambda$  is the regularization parameter.

## Connection to Compressed Sensing

- For the noiseless scenario,  $z_n$  can be written as :

$$z_n = g_0 s_n + g_1 s_{n-1} + \dots + g_{L_C-1} s_{n-L_C+1},$$

$$\|\mathbf{z}\|_\infty = \|\mathbf{g}\|_1. \quad (1)$$

- For *sufficiently long* data packet and BPSK constellation,

- The corresponding dual optimization setting:

$$\begin{aligned} &\text{Setting Ig} \\ &\text{minimize } \|\mathbf{g}\|_1 \\ &\text{subject to } \mathbf{S} \mathbf{g} = \mathbf{s}_T \end{aligned}$$

- We observe *Setting I* is equivalent to *Sparse Reconstruction Problem* if we consider

- $\mathbf{s}_T$  as the observation vector,
- $\mathbf{S}$  as the measurement matrix and,
- $\mathbf{g}$  as the one-sparse vector to be reconstructed.

## Analysis of the Proposed Approach

- The mutual coherence of the matrix  $\mathbf{S} \in \mathbb{R}^{L_T \times L_G}$  is defined as  $\mu(\mathbf{S}) = \max_{1 \leq i, j \leq M, i \neq j} \frac{|\mathbf{S}_i^T \mathbf{S}_j|}{\|\mathbf{S}_i\|_2 \|\mathbf{S}_j\|_2}$ .
- **Theorem[2]** : Let  $\mathbf{S} \in \mathbb{R}^{L_T \times L_G}$  be full rank with  $L_T < L_G$ . If the system of linear equations  $\mathbf{S} \mathbf{g} = \mathbf{y}$  has a solution  $\mathbf{g}_s$  which obeys

$$\|\mathbf{g}_s\|_0 < 0.5 (1 + \mu(\mathbf{S})^{-1})$$

then it is the unique solution for the optimization problem in *Setting Ig*.

## COROLLARY

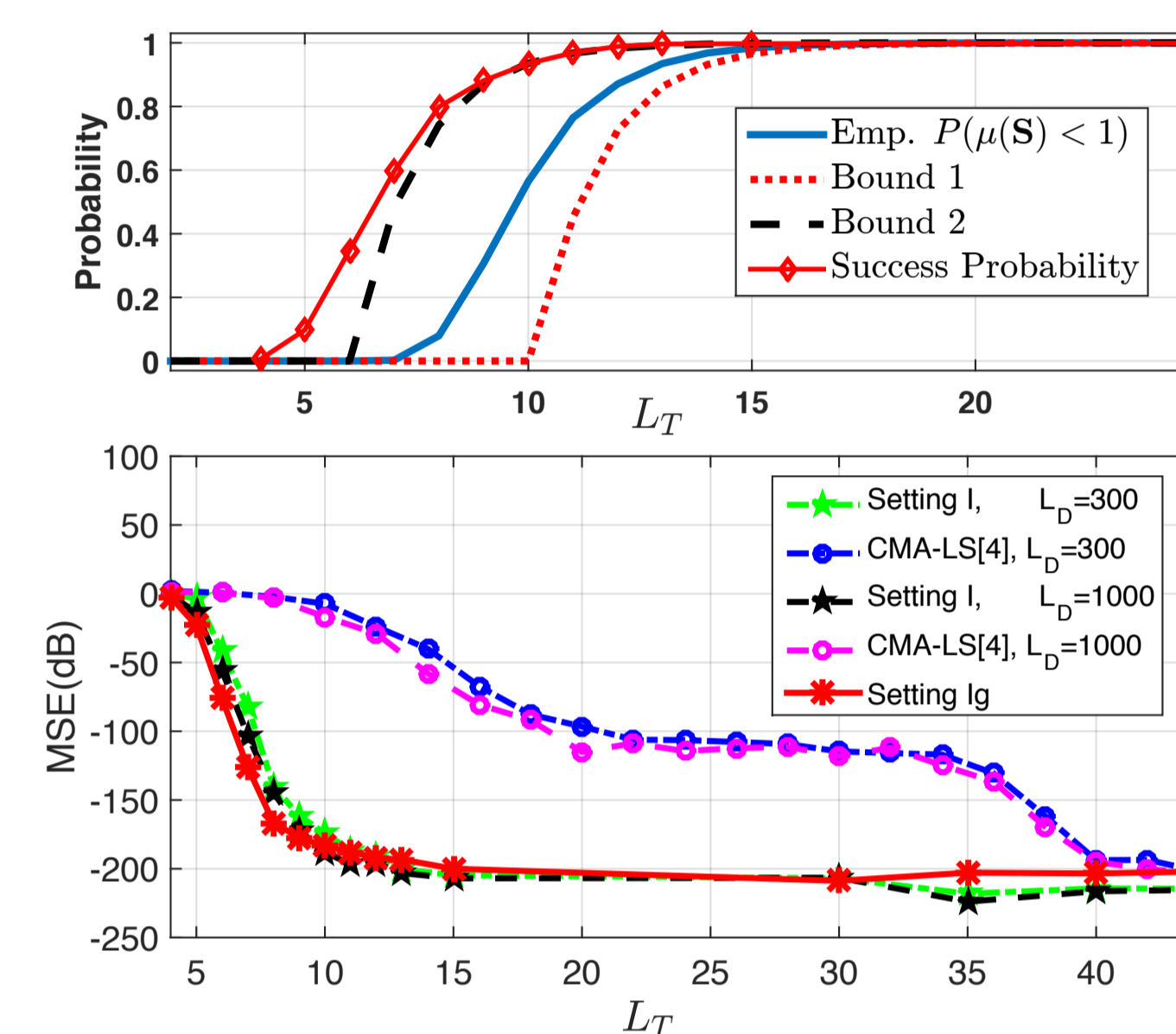
Let  $\mathbf{S} \in \mathbb{R}^{L_T \times L_G}$  be a Toeplitz matrix with i.i.d. Bernoulli elements. If  $L_T > \log_2(L_G(L_G - 1))$ , then the mutual coherence condition  $\mu(\mathbf{S}) < 1$  is satisfied with probability at least  $1 - L_G(L_G - 1) \cdot 2^{-L_T}$ .

## CONCLUSION

- We introduced convex optimization based Adaptive Equalization Framework that reduces training data to  $\mathcal{O}(\log(\text{Channel-Spread}))$  as opposed to  $\mathcal{O}(\text{Channel-Spread})$ .
- A duality based link between the proposed approach and compressed sensing is established.

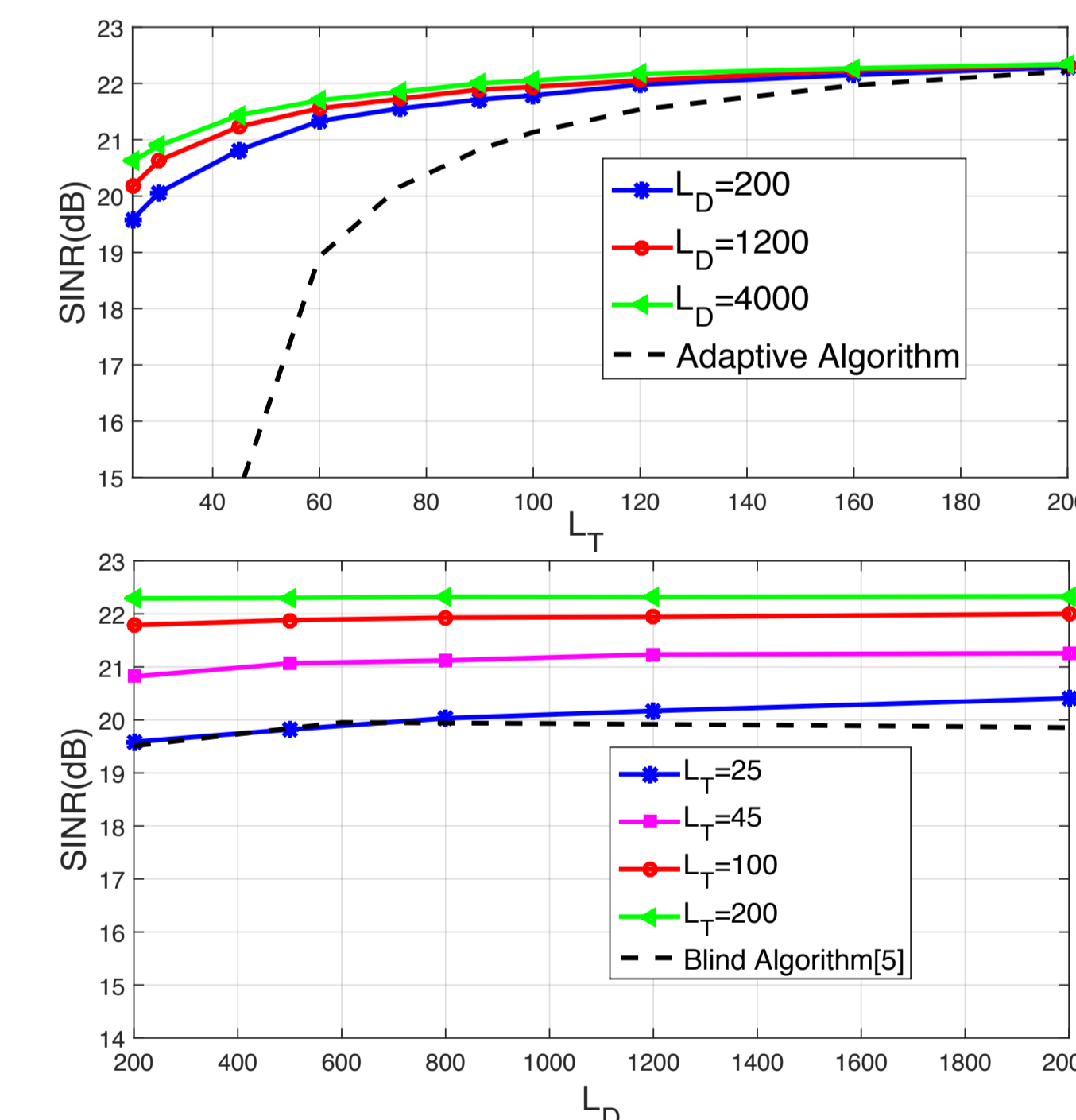
## Noiseless Case Communication Example

- Channel Length=15 and Equalizer Length=20,
- Success probability is defined as  $\|\mathbf{g}_* - \mathbf{e}_{d+1}\|_2 \leq 10^{-5}$ ,
- Comparison with the algorithm in [4](CMA+LS),
- Empirical probability vs. the bounds and Mean Square Error Performances:



## Noisy Case Communication Example

- SNR is chosen as 25dB,
- Compared with least squares and the blind algorithm in [5]:



## REFERENCES

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- [2] Michael Elad, "Sparse and Redundant Representations: From Theory to Applications in Signal and Image Processing," *Springer*, 2010.
- [3] Amelunxen, Dennis and Lotz, Martin and McCoy, Michael B and Tropp, Joel A. "Living on the edge: Phase transitions in convex programs with random data," *Information and Inference*, vol. 42, jan005, Oxford University Press, 2014.
- [4] Zaroso, V. and Comon, P., "Semi-blind constant modulus equalization with optimal step size," *(ICASSP '05)*, iii/577-iii/580 Vol. 3, 2005.
- [5] Erdogan, Alper T., "A fractionally spaced blind equalization algorithm with global convergence," *Signal processing*, 200-209, Vol. 88, 2008.

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