# Fast Partitioning for VVC Intra-Picture Encoding With a CNN Minimizing the Rate-Distortion-Time Cost Gerhard Tech, Jonathan Pfaff, Heiko Schwarz, Philipp Helle, Adam Wieckowski, Detlev Marpe, and Thomas Wiegand



### Introduction

#### Versatile Video Coding (VVC)

New coding tools e.g. for intra-picture coding:

multi-type tree (MTT), intra sub-block partitions (ISP), matrix-based intra prediction (MIP), extended number of directional modes, multi-reference line (MRL), low-frequency non-separable transform (LFNST), multiple transform select (MTS) ...



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### Motivation

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- 50% bit rate reduction compared to HEVC
- Requirement: Encoder selects efficient coding modes
  - Rate-distortion optimization (RDO)
    - Encode a block *B* with different partitioning, prediction, and transform mode combinations
    - Select the modes providing the minimal Lagrangian rate-distortion (RD) cost
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  - Problem: Limited encoding time; not all combinations can be tested
  - → Some modes must be skipped without testing
- Which modes should the encoder skip?
  - Optimally: Reduce encoding time, while not increasing the RD cost
  - → Subject of this presentation: Doing this by skipping MTT partitioning modes



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  - VVC partitioning
  - Parameters



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- Summary and Conclusion



### **Partitioning Restrictions**

Combined quad and a multi-type tree





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  - Quad splits
  - Recursively



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- Various options to adapt to the content
  - However: Most options irrelevant
- → Idea:
  - Skip partitioning modes based on the block content without testing
  - Introduce parameters controlling which modes are skipped



# Idea: Limit the size of tested MTT partitionings

for  $32 \times 32$  blocks with two parameters

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  - a width less than N<sub>q</sub>/2<sup>p<sub>H</sub></sup> or
  - a height less than  $N_q/2^{p_V}$
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- **Value range:**  $p_H, p_V \in \{0, 1, 2, 3\}$
- The parameters to control the size and orientation of tested MTT partitionings
- → Adaptation to block content possible

$$\mathbf{P} = \begin{pmatrix} p_H \\ p_V \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \end{pmatrix}$$





**Partitioning Restrictions** 

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  - **Reduce the encoding time**  $T(\mathbf{P})$
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- Theoretically solved—Generalized Lagrangian multipliers:
  - Per block B, select the parameter P that produces the minimal rate-distortion-time cost K:

$$K(\mathbf{P}) = \underbrace{D(\mathbf{P}) + \lambda \cdot R(\mathbf{P})}_{\text{rate-distortion cost } J(\mathbf{P})} + \underbrace{\mu \cdot T(\mathbf{P})}_{\text{time cost}}$$

- **D** distortion; R bits; T encoding time
- $\lambda$  and  $\mu$  Lagrange multipliers
- When K(P) is independent for different B, block-wise minimization also minimizes the overall RDT cost



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  - Lagrangian method requires  $J(\mathbf{P})$  and  $T(\mathbf{P})$  for all parameter combinations  $\mathbf{P}$
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  - Use a CNN to derive the parameter P
  - Train the CNN such that P minimize the RDT cost
- Training Approach
  - Training data generation:
    - Perform the Lagrangian method
    - Record the occurring RDT-cost
  - In training:
    - Use the stored RDT cost to compute the training loss





# **Generation of Training Data**

- Encode training sequences, for each  $32 \times 32$  block B:
  - Encode B with all P
  - Store the occurring RDT cost  $K(\mathbf{P})$
  - Select  $\mathbf{P}$  providing the minimum  $K(\mathbf{P})$  and continue based on its encoder state





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# **CNN-based Parameter Estimation**

Lagrange parameters:

- $\blacksquare \lambda: encoder default for QP$
- $\mu$ : determines encoding time



### **CNN-based Parameter Estimation**

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#### Approach

- Interpret the two floating point CNN outputs as  $p_H$  and  $p_V$
- Gradient descent method
- Requires derivatives of loss function with respect to  $p_H$  and  $p_V$
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Solution

- Model derivatives using an interpolated loss function
- If  $0 \le p_H \le 3$  and  $0 \le p_V \le 3$ 
  - Horizontal and vertical interpolation using cubic hermite B-splines
  - Advantage: shape preserving; produces no local minima





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Otherwise

- Artificial gradient pointing away from the sampled area
- Ensures that the gradient descent method converges back





#### **CNN-based Parameter Estimation**





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### **CNN-based Parameter Estimation**



- Modifications:
  - Max pooling size changed to input 33×33 instead of 65×65 blocks
  - 2 outputs instead of 480



# Conditions

# **Evaluation**

#### Training

- 24 million training patches
- 22 epochs
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# **Evaluation**

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- CNNs for 24 test points
  - 6 Encoding time points
  - 4 QPs: 22, 27, 32, and 37
  - An individual  $\mu$  for each CNN, such that
    - for all QPs of an encoding time point:
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    - for all QPs of an encoding time point:
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- Coding conditions
  - JVET's test conditions for All-Intra Coding
  - CNN run-times included
  - Basis and anchor: VTM-7.0
  - No default optimizations disabled





- 10% encoding time reduction, neglectable BD rate increase
- 50% encoding time reductions, 0.9% BD rate increase
- 73% encoding time reductions, 3.7% BD rate increase





Potential for further improvements, e.g. advanced CNN layouts



- Other: great number of parameters with much finer granularity.
- $\blacksquare$  Our: only estimates two parameters for a  $32\!\times\!32$  block



- Advanced loss function
- Can explore the actual RDT cost for the full parameter space offline
- Trades off estimation flexibility against estimation accuracy



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  - Interpolation of the loss function from the recorded data
  - → CNN estimates the optimal parameters
- Conclusions
  - We outperform other methods although using only two parameters for large blocks
  - → Our loss function models the RDT cost accurately
  - → Might be better to optimize fewer parameters, but with an exact loss function



# Thank you for your attention!

- Any questions?
- → gerhard.tech@hhi.fraunhofer.de

