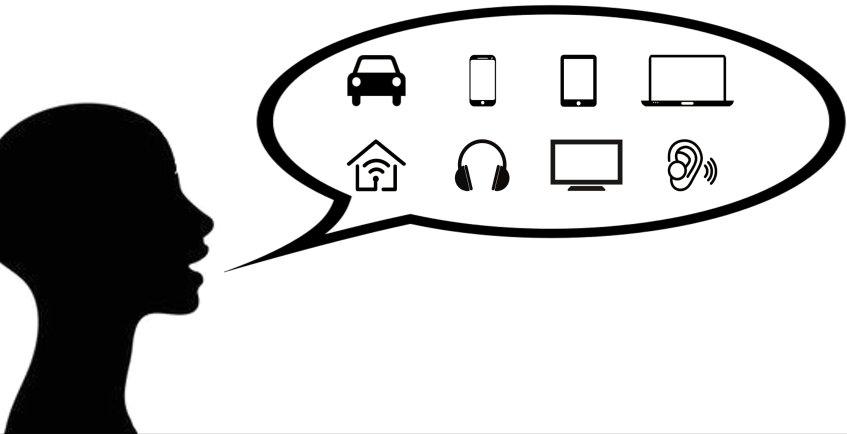


# Complex Neural Beamforming

**Lukas Pfeifenberger, Matthias Zöhrer, and Franz Pernkopf**

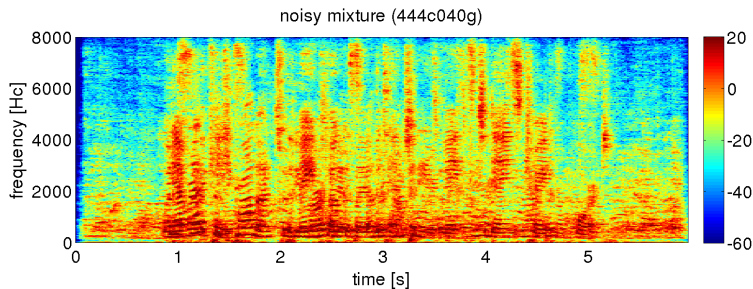
Signal Processing and Speech Communication Laboratory  
Graz University of Technology, Graz, Austria

# Speech recognition



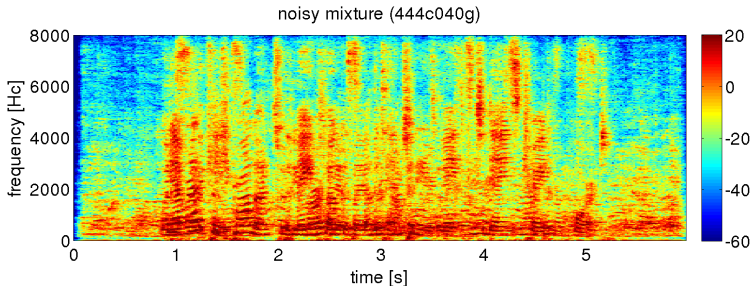
# Speech recognition

...is still a challenging task in adverse environments



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...is still a challenging task in adverse environments



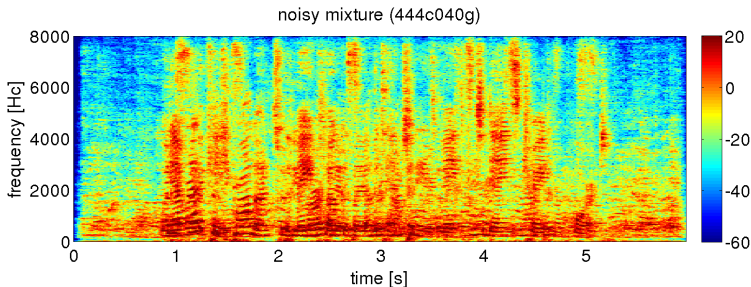
TRANSCRIPTION:

"Whatever the case the main focus of attention remains today's trade report."

"He said such products would be marketed by other companies with experience in that business."

# Speech recognition

...is still a challenging task in adverse environments



TRANSCRIPTION:

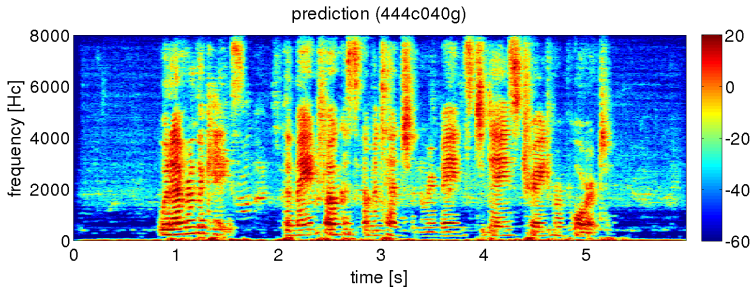
"Whatever the case the main focus of attention remains today's trade report."

"He said such products would be marketed by other companies with experience in that business."

CHiME5: Kaldi (optimized AM/LM): 46.6% WER [\[Du et al., 2018\]](#)

# Our Contribution:

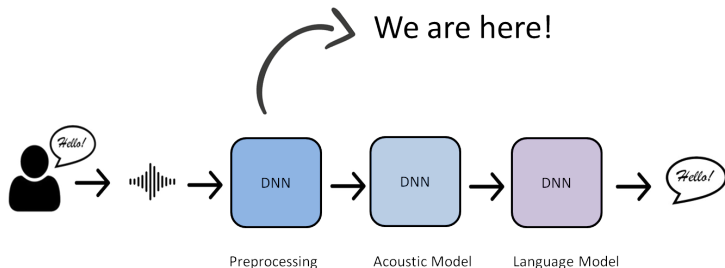
## Complex Neural Beamforming



Main idea:

Spatially select sources using complex neural networks

## 5 ASR pipeline



- End-to-End training
- Acoustic front-end

# Source Separation

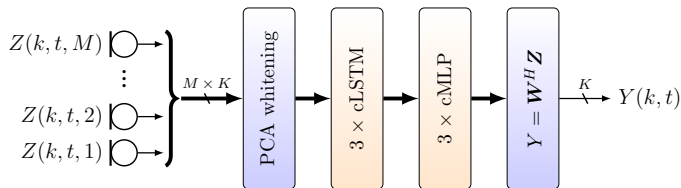
- Single-channel
  - Deep Clustering [[Hershey et al., 2016](#)]
  - Attractor Networks [[Chen et al., 2016](#)]
  - Attention Models [[Kinoshita et al., 2018](#)]
  
- Multi-channel
  - Statistical models (CGMM-EM) [[Higuchi et al., 2016](#)]
  - Mask-based beamforming [[Erdogan et al., 2016](#)]
  - Eigenvector beamforming [[Pfeifenberger et al., 2017](#)]



# Limitations

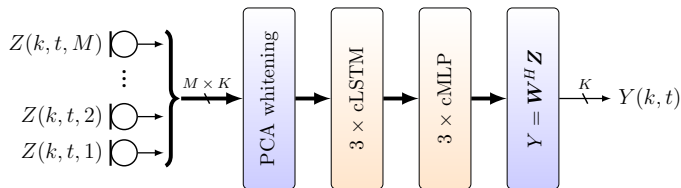
- Mask-based beamforming
  - Cannot separate multiple speakers  
(exception: Eigenvector features [[Pfeifenberger et al., 2017](#)])
  - Performance drops if speaker is moving
  - Limited to block processing
  
- Attractor Networks / Attention Models
  - Additional clustering step required (block processing)
  - Speaker re-identification/tracking only partially solved
  - No spatial exclusion (background noise)
  - Block permutation problem (PIT)

# Complex Neural Beamforming



- Input signal:  $\mathbf{Z}(k, t) = \sum_{c=1}^C \mathbf{S}_c(k, t)$
- PCA whitening:  $\bar{\mathbf{Z}} = \mathbf{U}_{PCA} \mathbf{Z} \in \mathbb{C}^{K \times T \times M}$  [Kuttruff, 2009]
- Weight estimation:  $\mathbf{W} = f_{\Theta}(\bar{\mathbf{Z}}) \in \mathbb{C}^{K \times T \times M}$

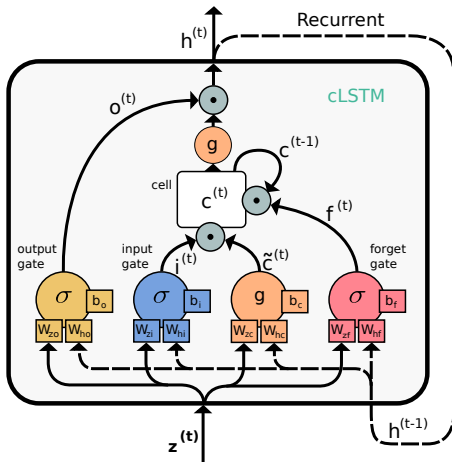
# Complex Neural Beamforming



Layer #	type	activation	shape	# of parameters
1*	cMLP	cTanh	$K(M \times M)$	18,468
2	cLSTM	cTanh	$K(M \times M)$	147,744
3	cMLP	cTanh	$M(K \times K)$	1,579,014
4*	cLSTM	cTanh	$K(2M \times 2M)$	590,976
5	cLSTM	cTanh	$K(2M \times M)$	295,488
6	cMLP	cNorm	$K(M \times M)$	18,468

\*Reduction to 4 layers is possible

# Complex LSTM cell

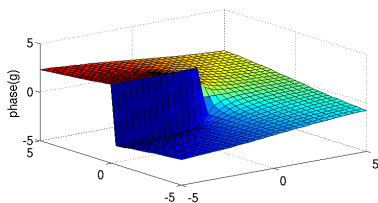
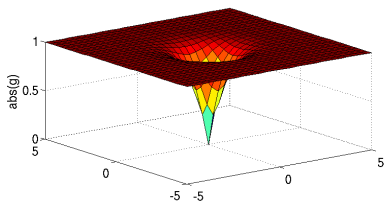


$$\begin{aligned}
 i^{(t)} &= \sigma\left(\operatorname{Re}\left\{W_{zi}z^{(t)} + W_{hi}h^{(t-1)} + b_i\right\}\right) \\
 f^{(t)} &= \sigma\left(\operatorname{Re}\left\{W_{zf}z^{(t)} + W_{hf}h^{(t-1)} + b_f\right\}\right) \\
 o^{(t)} &= \sigma\left(\operatorname{Re}\left\{W_{zo}z^{(t)} + W_{ho}h^{(t-1)} + b_o\right\}\right) \\
 \tilde{c}^{(t)} &= g(W_{zc}z^{(t)} + W_{hc}h^{(t-1)} + b_c) \\
 c^{(t)} &= f^{(t)} \odot c^{(t-1)} + i^{(t)} \odot \tilde{c}^{(t)} \\
 h^{(t)} &= o^{(t)} \odot g(c^{(t)})
 \end{aligned}$$

# Complex activations

Non-holomorphic functions required for neural beamforming:

- Applying BF weights:  $\mathbf{W}^H \vec{\mathbf{z}}$
- Magnitude normalization:  $\frac{\vec{\mathbf{z}}}{|\vec{\mathbf{z}}|_2}$
- Phase normalization:  $\mathbf{z} \odot e^{-j\varphi_{\mathbf{z}}}$
- Sigmoid activation function:  $\sigma(\text{Re}\{\mathbf{z}\})$
- tanh activation function:  $\tanh(|\mathbf{z}|) \odot \frac{\mathbf{z}}{|\mathbf{z}|}$



# Complex Gradients

- Many non-holomorphic functions are partially differentiable in their real and imaginary parts:
- Separate  $\mathbf{z} \in \mathbb{C}$  into  $\mathbf{z} = \mathbf{x} + j\mathbf{y}$
- Redefine  $g(\mathbf{z})$  to  $g(\mathbf{z}, \mathbf{z}^*)$
- Basis for partial derivatives:

[Wirtinger, 1927, Bouboulis and Theodoridis, 2011]

$$\frac{\partial g}{\partial \mathbf{z}} = \frac{1}{2} \left( \frac{\partial g}{\partial \mathbf{x}} - j \frac{\partial g}{\partial \mathbf{y}} \right)$$

$$\frac{\partial g}{\partial \mathbf{z}^*} = \frac{1}{2} \left( \frac{\partial g}{\partial \mathbf{x}} + j \frac{\partial g}{\partial \mathbf{y}} \right)$$

- Chain rule:  $\nabla_{\mathbf{z}^*} = (\nabla_{g^*})^* \frac{\partial g}{\partial \mathbf{z}^*} + \nabla_{g^*} \left( \frac{\partial g}{\partial \mathbf{z}} \right)^*$
- For a real-valued cost function:  $\nabla_{\mathbf{z}} = (\nabla_{\mathbf{z}^*})^*$

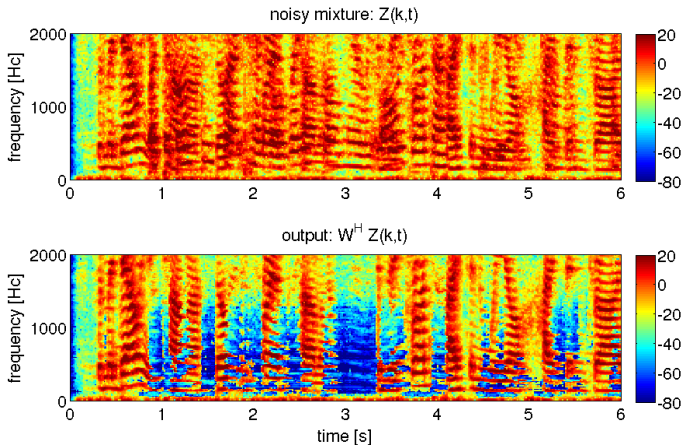
# Cost function

Maximize the  $\Delta$ SNR:  $10\log_{10} \frac{|\mathbf{W}^H \mathbf{s}_1|^2}{|\mathbf{W}^H \mathbf{s}_{2\dots N}|^2} - 10\log_{10} \frac{\|\mathbf{s}_1\|_2^2}{\|\mathbf{s}_{2\dots N}\|_2^2}$

- complex neural beamformer  $\mathbf{W} = f_{\Theta}(\bar{\mathbf{Z}})$ 
  - estimates a new set of BF weights for each time-frequency bin
  - instantaneous adaption to isotropic noise or moving speakers
- statistical beamformer (i.e. MVDR)
  - requires a block  $T$  of data to estimate BF weights
  - spatial characteristics must not change during  $T$

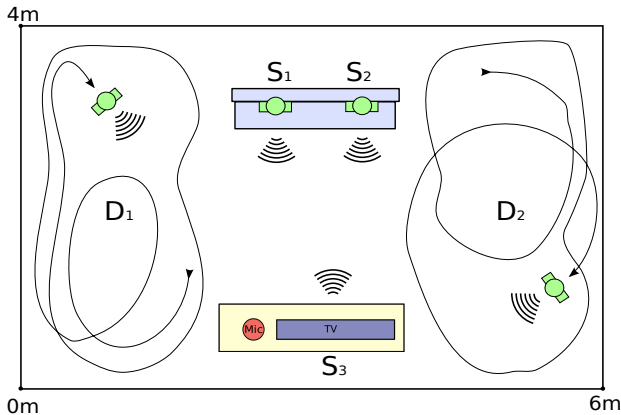
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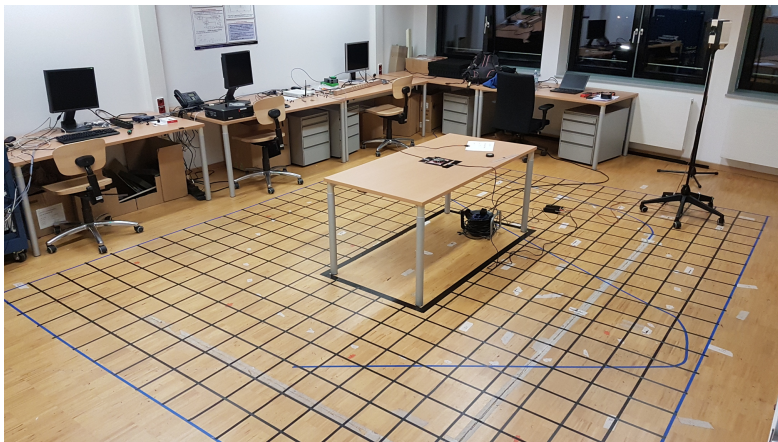


# Experiment 1: Simulated RIRs



Simulated living room scenario with multiple moving speakers from WSJ0, and a 6-channel microphone array.

## Experiment 2: Real RIRs



Recording setup for 1792 real 6-channel RIRs.

# Results

WER\* for the WSJ0 si\_et\_05 set + simulated RIRs:

Scenario	BeamformIt	MBF**	CN-BF
dynamic1 vs. dynamic2	76.7%	46.1%	21.1%
dynamic1 vs. isotropic	17.7%	32.8%	9.0%
static1 vs. isotropic	17.9%	18.5%	6.1%
static1 vs. static3	43.2%	45.6%	13.4%
static2 vs. dynamic1, static3	88.3%	58.3%	33.7%

WER\* for the WSJ0 si\_et\_05 set + real RIRs:

Scenario	BeamformIt	MBF**	CN-BF
static1 vs. isotropic	22.8%	21.8%	7.9%
static1 vs. static3	84.7%	73.1%	14.5%

\*Google Speech-to-Text API: <https://pypi.org/project/SpeechRecognition/>

\*\*Mask-based beamforming with block-online processing [Böddeker et al., 2018]

# Conclusion

- CN-BF optimizes BF weights for each T-F bin
- Outperforms statistical beamformers
- Real-time capability down to 1 frame delay
- Further research:
  - Overlapping speaker paths
  - Speaker (re-)identification
  - Dependency on trained room acoustics

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Thank you for your attention!

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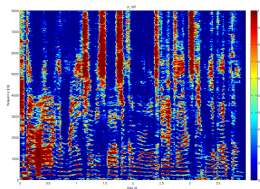
# Mask-based-BF vs. CN-BF

- Mask-based-BF

- $p(k, t) = f_{\Theta}(|Z(k, t, m)|)$

- $\hat{\Phi}_{SS}(k) = \frac{1}{T} \sum_{t=1}^T \mathbf{Z}(k, t) \mathbf{Z}^H(k, t) p(k, t)$

- $\mathbf{W}_{MVDR}(k) = \frac{\hat{\Phi}_{NN}^{-1}(k) \mathbf{v}_S(k)}{\mathbf{v}_S^H(k) \hat{\Phi}_{NN}^{-1}(k) \mathbf{v}_S(k)}$



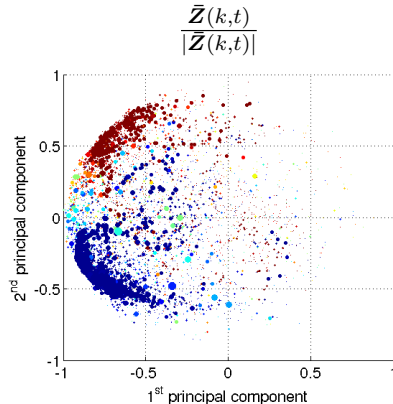
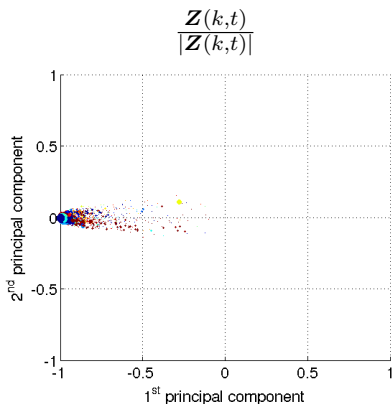
- CN-BF

- $\mathbf{W}(k, t) = f_{\Theta}(\bar{\mathbf{Z}}(k, t))$

# PCA whitening

additive mixture:  $\mathbf{Z}(k, t) = \mathbf{S}_1(k, t) + \mathbf{S}_2(k, t)$

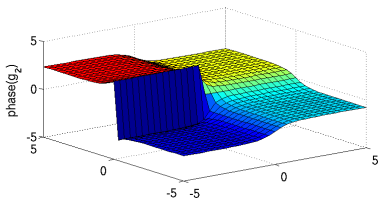
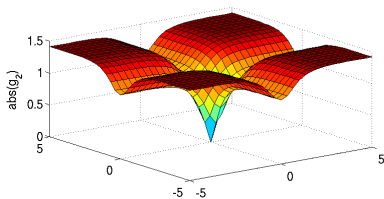
whitening:  $\bar{\mathbf{Z}}(k, t) = \mathbf{U}_{PCA}(k, t)\mathbf{Z}(k, t)$





# Alternatives to CN-BF

- Stacking:  $g(\mathbf{z}) = \tanh \left( \begin{bmatrix} \operatorname{Re}\{\mathbf{z}\} \\ \operatorname{Im}\{\mathbf{z}\} \end{bmatrix} \right)$ 
  - complex properties are lost (i.e. rotation)
- Individual gradients:  $g(\mathbf{z}) = \tanh(\operatorname{Re}\{\mathbf{z}\}) + i \tanh(\operatorname{Im}\{\mathbf{z}\})$ 
  - complex phase gets distorted
  - recurrent structures become unstable



# Image Source Method (ISM)

$$h_{m,s}(n) = \sum_{\mathbf{x} \in \nu_m(\mathbf{s})} \frac{(1-\beta)^{\text{order}(\mathbf{x})}}{4\pi \|\mathbf{m}-\mathbf{x}\|} \text{sinc}\left(n - f_s \frac{\|\mathbf{m}-\mathbf{x}\|}{c}\right) \quad [\text{Scheibler et al., 2017}]$$

