



# A Quaternion-Valued Variational Autoencoder

---

ELEONORA GRASSUCCI, DANILO COMMINIELLO, AURELIO UNCINI

*Dept. Information Engineering, Electronics and Telecommunications (DIET), Sapienza University of Rome, Italy*

IEEE ICASSP 2021 | TORONTO, CANADA

6-11 June 2021



SAPIENZA  
UNIVERSITÀ DI ROMA

State-of-the-art generative models are able to reach impressive results at the cost of **millions of parameters** which require huge computational resources.

Real-valued networks process **image channels as independent elements**, not considering intra-channels relations and correlation.

# Motivations: making deep models more accessible

Quaternion neural networks (QNNs) [1, 2, 3] allow to **reduce the number of parameters** by sharing quaternion-weight components through multiple quaternion-input parts.

QNNs process image channels as a single entity and **grasp internal latent information**, preserving intra-channels relations, thanks to the Hamilton product.

- [1] P. Arena, L. Fortuna, L. Occhipinti, and M. G. Xibilia, "Neural networks for quaternion-valued function approximation," in *IEEE Int. Symp. on Circuits and Syst. (ISCAS)*, (London, UK), pp. 307–310, May 1994
- [2] C. Gaudet and A. Maida, "Deep quaternion networks," in *IEEE Int. Joint Conf. on Neural Netw. (IJCNN)*, July 2018
- [3] T. Parcollet, M. Morchid, and G. Linarès, "A survey of quaternion neural networks," *Artif. Intell. Rev.*, Aug. 2019

# Our lead character

The core of the quaternion-valued domain  $\mathbb{H}$  is the **quaternion number**:

$$q = q_a + q_b \hat{i} + q_c \hat{j} + q_d \hat{k} = q_a + \bar{q}. \quad (1)$$

The **imaginary units** comply with the property:

$$\hat{i}^2 = \hat{j}^2 = \hat{k}^2 = -1 \quad (2)$$

Quaternions are not commutative under the operation of vector multiplication:

$$\hat{i}\hat{j} = -\hat{j}\hat{i} \quad , \quad \hat{j}\hat{k} = -\hat{k}\hat{j} \quad , \quad \hat{k}\hat{i} = -\hat{i}\hat{k}. \quad (3)$$

# Hamilton product for quaternion convolutions

In deep quaternion neural networks, the **quaternion convolution** is performed through the Hamilton product:

$$\begin{aligned}\mathbf{W} \otimes \mathbf{q} = & (\mathbf{W}_a \mathbf{q}_a - \mathbf{W}_b \mathbf{q}_b - \mathbf{W}_c \mathbf{q}_c - \mathbf{W}_d \mathbf{q}_d) \\ & + (\mathbf{W}_a \mathbf{q}_b + \mathbf{W}_b \mathbf{q}_a + \mathbf{W}_c \mathbf{q}_d - \mathbf{W}_d \mathbf{q}_c) \hat{i} \\ & + (\mathbf{W}_a \mathbf{q}_c - \mathbf{W}_b \mathbf{q}_d + \mathbf{W}_c \mathbf{q}_a + \mathbf{W}_d \mathbf{q}_b) \hat{j} \\ & + (\mathbf{W}_a \mathbf{q}_d + \mathbf{W}_b \mathbf{q}_c - \mathbf{W}_c \mathbf{q}_b + \mathbf{W}_d \mathbf{q}_a) \hat{k}\end{aligned}\tag{4}$$

The quaternion convolution allows to capture **internal latent relations** within the features of a quaternion.

# Quaternion layers

The **forward phase** for a generic quaternion fully connected layer can be defined as:

$$\mathbf{y} = \alpha(\mathbf{W} \otimes \mathbf{x} + \mathbf{b}) \quad (5)$$

where  $\mathbf{y}$  is the output of the layer,  $\mathbf{b}$  is the quaternion-valued bias offset and  $\alpha$  is any **quaternion split activation function**:

$$\alpha(q) = f(q_a) + f(q_b) + f(q_c) + f(q_d). \quad (6)$$

Deep QCNN may also involve other operations in the quaternion domain, like pooling and batch normalization [4].

[4] R. Vecchi, S. Scardapane, D. Comminiello, and A. Uncini, "Compressing deep-quaternion neural networks with targeted regularisation," *CAAI Trans. Intell. Technol.*, vol. 5, pp. 172–176, Sept. 2020

# Image processing with quaternion neural networks

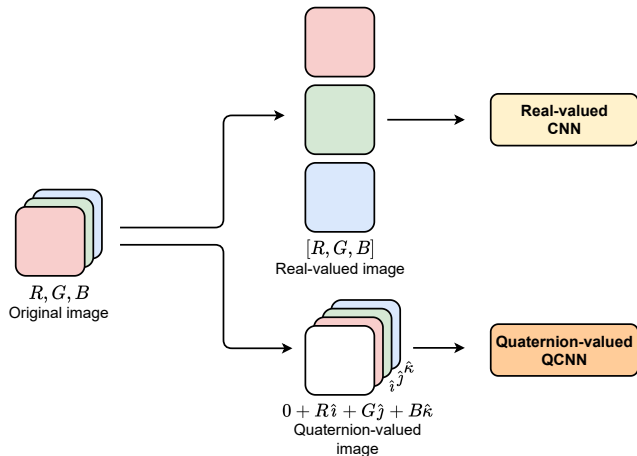


Figure 1: Image processing with real-valued CNN (top) and quaternion-valued QCNN (bottom).

Second-order statistics in the quaternion domain involve the definition of the **augmented covariance matrix**  $\tilde{\mathbf{C}}_{\mathbf{q}\mathbf{q}}$ . Further details can be found in the paper.

$$\tilde{\mathbf{C}}_{\mathbf{q}\mathbf{q}} = \mathbb{E} \{ \tilde{\mathbf{q}}\tilde{\mathbf{q}}^H \} = \begin{bmatrix} \mathbf{C}_{\mathbf{q}\mathbf{q}} & \mathbf{C}_{\mathbf{q}\mathbf{q}^i} & \mathbf{C}_{\mathbf{q}\mathbf{q}^j} & \mathbf{C}_{\mathbf{q}\mathbf{q}^{\hat{k}}} \\ \mathbf{C}_{\mathbf{q}\mathbf{q}^i}^H & \mathbf{C}_{\mathbf{q}^i\mathbf{q}^i} & \mathbf{C}_{\mathbf{q}^i\mathbf{q}^j} & \mathbf{C}_{\mathbf{q}^i\mathbf{q}^{\hat{k}}} \\ \mathbf{C}_{\mathbf{q}\mathbf{q}^j}^H & \mathbf{C}_{\mathbf{q}^j\mathbf{q}^i} & \mathbf{C}_{\mathbf{q}^j\mathbf{q}^j} & \mathbf{C}_{\mathbf{q}^j\mathbf{q}^{\hat{k}}} \\ \mathbf{C}_{\mathbf{q}\mathbf{q}^{\hat{k}}}^H & \mathbf{C}_{\mathbf{q}^{\hat{k}}\mathbf{q}^i} & \mathbf{C}_{\mathbf{q}^{\hat{k}}\mathbf{q}^j} & \mathbf{C}_{\mathbf{q}^{\hat{k}}\mathbf{q}^{\hat{k}}} \end{bmatrix} \quad (7)$$

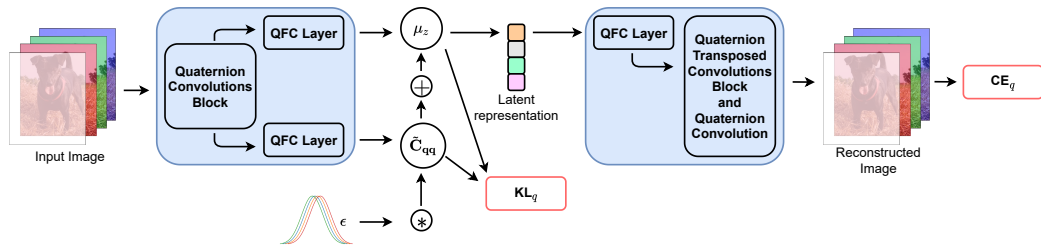


For  $\mathbb{Q}$ -proper distributions,  $\tilde{\mathbf{C}}_{\mathbf{q}\mathbf{q}}$  is a diagonal matrix:

$$\tilde{\mathbf{C}}_{\mathbf{q}\mathbf{q}} = \mathbb{E} \{ \tilde{\mathbf{q}}\tilde{\mathbf{q}}^H \} = \begin{bmatrix} \mathbf{C}_{\mathbf{q}\mathbf{q}} & 0 & 0 & 0 \\ 0 & \mathbf{C}_{\mathbf{q}^i\mathbf{q}^i} & 0 & 0 \\ 0 & 0 & \mathbf{C}_{\mathbf{q}^j\mathbf{q}^j} & 0 \\ 0 & 0 & 0 & \mathbf{C}_{\mathbf{q}^{\hat{k}}\mathbf{q}^{\hat{k}}} \end{bmatrix} \quad (8)$$

The diagonal contains the covariance matrices of the quaternion input and its involutions.

# Quaternion VAE architecture



**Figure 2:** Quaternion-valued variational autoencoder architecture (QVAE). The quaternion encoder learns the **quaternion mean  $\mu_q$**  and the **augmented covariance matrix  $\tilde{C}_{qq}$**  to build the latent representation. The quaternion decoder reconstructs the 4-channel image. The PyTorch implementation of the QVAE is available online at <https://github.com/eleGAN23/QVAE>.

## 1 Experimental Results

---

# Reconstruction task

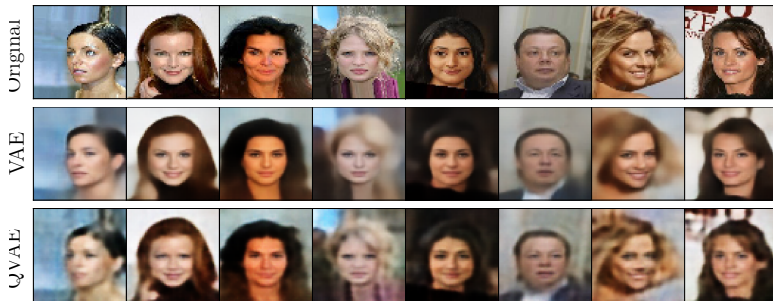


Figure 3: Original test set and reconstructed samples sets from plain VAE and proposed QVAE.

# Generation task



Figure 4: Generated fake image samples from the plain VAE and the proposed QVAE.

# Objective metrics results

**Table 1:** Averaged results from objective metrics on reconstruction (SSIM, MSE) and generation (FID) tasks.

	SSIM $\uparrow$	MSE $\downarrow$	FID $\downarrow$	# parameters $\downarrow$
VAE	0.8492	0.0047	195.7	3,762,539
QVAE	<b>0.8941</b>	<b>0.0031</b>	<b>175.7</b>	<b>1,404,996</b>

Moving neural networks from the real domain to the quaternion one allows the network to process image channels as a whole element, **capturing internal latent relations**.

Quaternion layers **reduces the number of parameters** and memory consumption.

The plain QVAE shows promising results, generating better images with less than a half the number of parameters with respect to the real-valued counterpart.

- Expand QVAE for  $\mathbb{Q}$ -improper distributions.
- Test more complex variational autoencoder in the quaternion domain.
- Extend QVAE for other kind of signals such as audio.



- [1] P. Arena, L. Fortuna, L. Occhipinti, and M. G. Xibilia, "Neural networks for quaternion-valued function approximation," in *IEEE Int. Symp. on Circuits and Syst. (ISCAS)*, (London, UK), pp. 307–310, May 1994.
- [2] C. Gaudet and A. Maida, "Deep quaternion networks," in *IEEE Int. Joint Conf. on Neural Netw. (IJCNN)*, July 2018.
- [3] T. Parcollet, M. Morchid, and G. Linarès, "A survey of quaternion neural networks," *Artif. Intell. Rev.*, Aug. 2019.
- [4] R. Vecchi, S. Scardapane, D. Comminiello, and A. Uncini, "Compressing deep-quaternion neural networks with targeted regularisation," *CAAI Trans. Intell. Technol.*, vol. 5, pp. 172–176, Sept. 2020.
- [5] X. Hou, L. Shen, K. Sun, and G. Qiu, "Deep feature consistent variational autoencoder," in *Applications of Computer Vision (WACV), 2017 IEEE Winter Conference on*, pp. 1133–1141, IEEE, 2017.
- [6] A. Vahdat and J. Kautz, "NVAE: A deep hierarchical variational autoencoder," in *Neural Information Processing Systems (NeurIPS)*, 2020.
- [7] D. Comminiello, M. Lella, S. Scardapane, and A. Uncini, "Quaternion convolutional neural networks for detection and localization of 3D sound events," in *IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP)*, (Brighton, UK), pp. 8533–8537, May 2019.
- [8] E. Grassucci, D. Comminiello, and A. Uncini, "Quaternion-valued variational autoencoder," in *arXiv preprint arXiv:2010.11647v1*, 2020.

# THANK YOU FOR YOUR ATTENTION

## QUESTIONS?

ELEONORA GRASSUCCI

[eleonora.grassucci@uniroma1.it](mailto:eleonora.grassucci@uniroma1.it)