

## Real-Time Learning for THz Radar Mapping and UAV Control

**A. Guerra<sup>\*</sup>, F. Guidi<sup>◇</sup>, D. Dardari<sup>\*</sup>, P. M. Djurić<sup>†</sup>**

<sup>\*</sup> University of Bologna, UNIBO, Italy

<sup>◇</sup> National Research Council, CNR-IEIT, Italy

<sup>†</sup> Stony Brook University, SBU, USA

*Email: [anna.guerra3@unibo.it](mailto:anna.guerra3@unibo.it)*

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# Outline

- ◇ Research Motivations
- ◇ Problem Formulation
  - ▶ Environment Mapping & Target Detection with UAVs
  - ▶ Recall on Markov Decision Processes
- ◇ State Estimator: Occupancy Grid Mapping
- ◇ Policy Estimator: Off-policy  $Q$ -Learning
- ◇ Simulation Results
- ◇ Take-aways

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# Why UAVs

## ◇ Advantages

- ▶ Flying sensors able to offer a privileged point-of-view for sensing;
- ▶ Autonomous, flexible, and quick to react;
- ▶ Able to access impervious or dangerous areas (e.g., mountains, oceans, etc.)

## ◇ Disadvantages

- ▶ Battery constrained;
- ▶ Lightweight and low-cost on-board sensors;
- ▶ Ethical issues when used with AI.

# Possible Applications

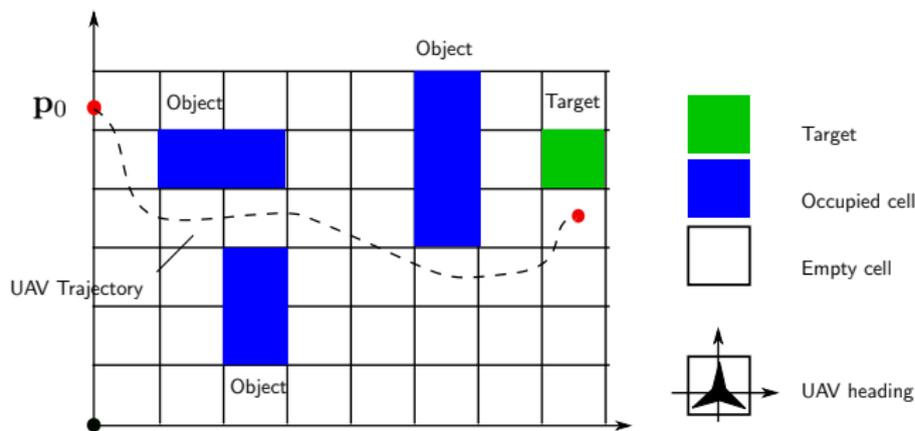


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# UAV for Detection and Mapping



Given a fixed maximum time to complete the mission,

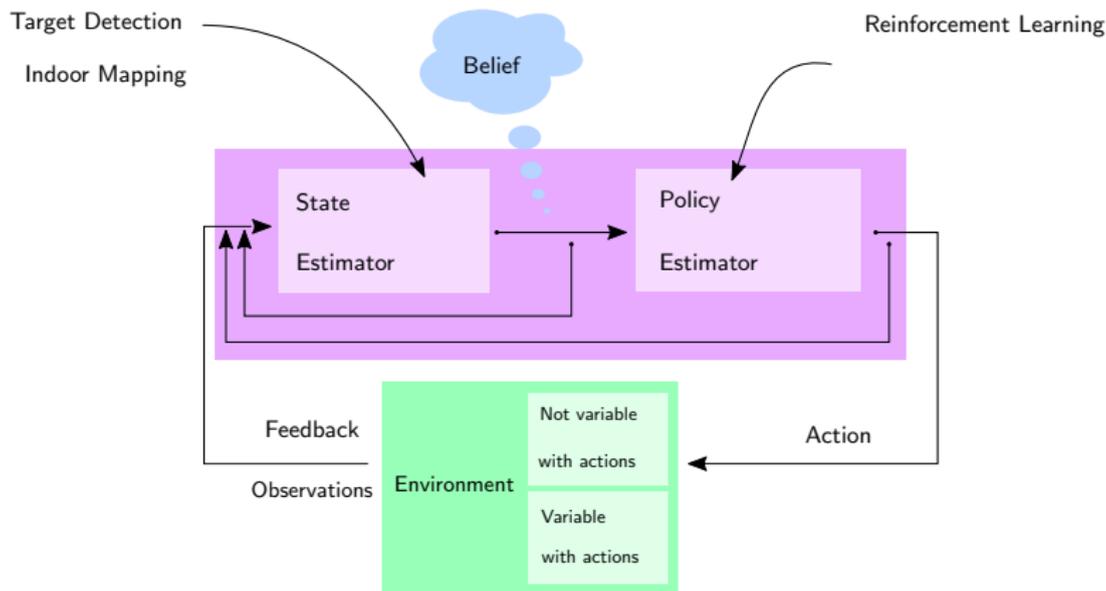
$\mathcal{G}_1$ : *Detection*: maximize the probability of detection;

$\mathcal{G}_2$ : *Mapping*: maximize the mapping coverage and accuracy.

- State Estimator*: GLRT for Target Detection and Occupancy grid mapping;
- Control*: Q-learning.

# Recall on Markov Decision Processes (MDPs)

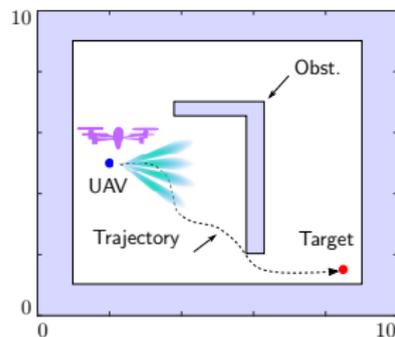
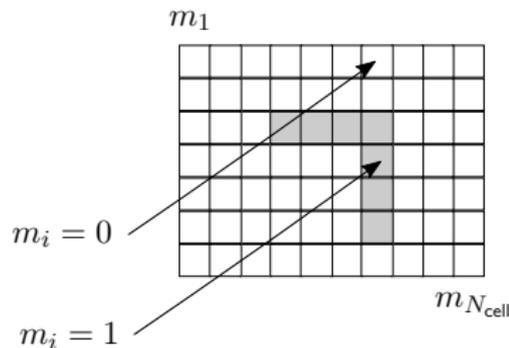
- In time-critical applications, models for navigation are often not available
- UAVs have to learn from the environment (trial and error);
- Interaction UAV-environment represented with Markov Decision Processes



# Recall on MDPs - Cont'd

## ◇ State Space

- The state vector is  $\mathbf{s}^{(k)} = [\mathbf{p}^{(k)}, \mathbf{m}^{(k)}, \mathbf{t}^{(k)}]^T$
- $\mathbf{p}^{(k)} = [x^{(k)}, y^{(k)}, h]$  is the UAV position, that can be varied by the actions;
- $\mathbf{t}^{(k)} \in \mathbb{B}^2$  indicates the presence or absence of a target, estimated by a detection module. In the next,  $\mathbf{t}^{(k)} = \mathbf{t} = \mathbf{1}$ .
- $\mathbf{m}^{(k)} = \mathbf{m} = [m_1, \dots, m_i, \dots, m_{N_{\text{cell}}}]^T \in \mathbb{B}^{N_{\text{cell}}}$  is a map of the environment, estimated by the mapping module.



# Recall on MDPs - Cont'd

## ◇ Action Space

- The UAV action is defined as  $\mathbf{a}_k = \Delta \mathbf{p}_k = [\Delta x_k, \Delta y_k]^T \in \mathbb{R}^2$  in terms of position displacement  $\Delta \mathbf{p}_k$ ;
- $\mathbf{p}_{k+1} = \mathbf{p}_k + \mathbf{a}_k$ : Next UAV position;
- $\Delta \mathbf{p}_k$  is set according to  $N_a = 4$  actions;

$$\mathcal{A} = \left\{ \underbrace{[\Delta, 0]}_{\text{Right}}, \underbrace{[-\Delta, 0]}_{\text{Left}}, \underbrace{[0, \Delta]}_{\text{Up}}, \underbrace{[0, -\Delta]}_{\text{Down}} \right\}.$$

# Recall on MDPs - Cont'd

## ◇ Reward Space

- $r_{k+1}$ : Reward at  $k + 1$  related to the action  $\mathbf{a}_k$  and the current state  $\mathbf{s}_k$ ;
- $r_{\text{task}}$ : Extrinsic/Task reward  $\rightarrow$  *detection*;
- $r_{\text{int}}$ : Intrinsic reward  $\rightarrow$  *mapping*;
- $\eta$ : Normalizing factor;

$$r_{k+1} = \underbrace{r_{i, k+1}}_{\text{Intrinsic reward}} + \eta \underbrace{r_{e, k+1}}_{\text{Extrinsic Reward}},$$

- $r_{i, k+1} = r_{c, k+1} + r_{m, k+1}$  is an intrinsic reward used for obtaining a sufficient knowledge of the surrounding environment;
- $r_{e, k+1} = r_{d, k+1}$  is a reward for the considered unmanned aerial vehicle (UAV) task.

# Reward Shaping

- ◇ **Intrinsic Reward:** *Detection Rate;*

$$r_{\text{det},k} \triangleq f_D(\sqrt{\lambda_k}, \sqrt{\xi}),$$

- $f_D(\cdot)$  is a specific function depending on the particular detector statistic
- $\lambda_k$  is the measured signal-to-noise ratio (SNR) at time instant  $k$
- $\xi$  is a threshold depending on the  $P_{\text{FA}}^*$ .

- ◇ **Extrinsic Reward:** *Map entropy and coverage;*

$$r_{\text{map},k} \triangleq \frac{H_{k+1|k}(\mathbf{m})}{|\mathcal{I}_k|} \qquad r_{\text{cov},k} \triangleq \frac{1}{N_{\text{cells}}} \sum_{i \in \mathcal{I}_k} \mathbf{1}(i \in \mathcal{D}_k)$$

- $H(\mathbf{m}) = - \sum_{i \in \mathcal{I}} b(m_i) \log_2(b(m_i))$  is the map entropy
- $\mathcal{I}_k$  set of illuminated cells at time instant  $k$
- $\mathcal{D}_k$  set of illuminated cells seen for the first time at time instant  $k$ .

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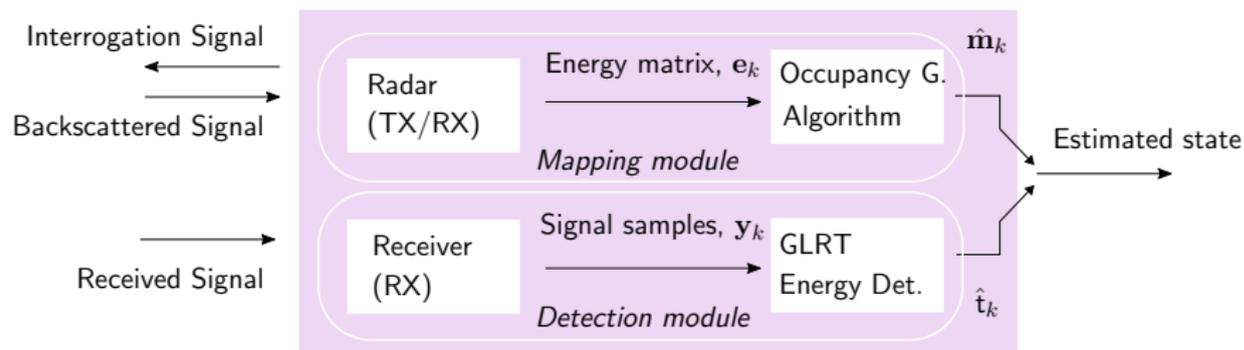
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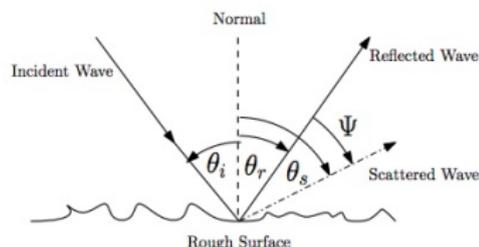
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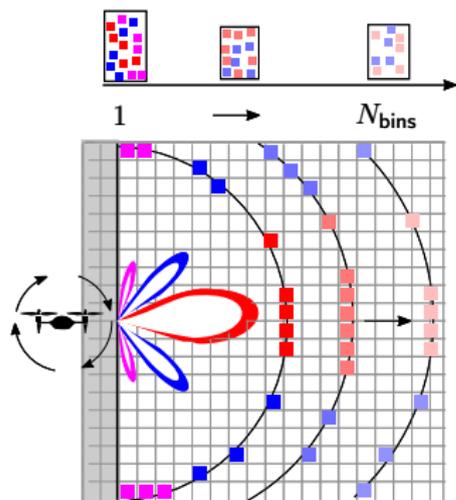
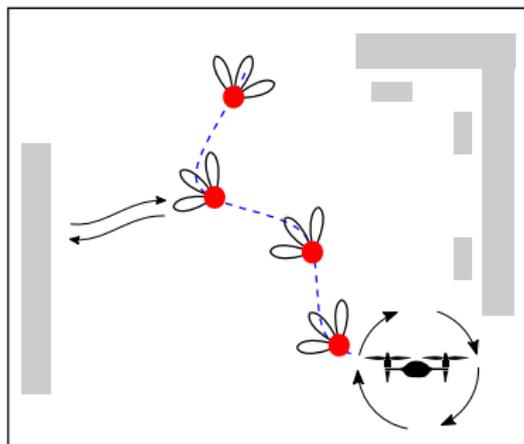
# State Estimator



- ◇ Terahertz Radar at 140 GHz [1];
- ◇ 100 virtual antennas;
- ◇ Capability to operate in scarce visibility conditions;
- ◇ *Output*: range-angle matrix;



# UAV-Radar Mapping



- ◇ **Goal:** A UAV equipped with a MIMO radar explores an unknown environment and estimate a map of it;
- ◇ **Interrogation Phase:** For each steering direction, a train of pulses is transmitted;
- ◇ **Measurement Phase:** Backscattered energy measurements are accumulated in a Range-Angle matrix;
- ◇ **Estimation Phase:** From the Range-Angle matrix, the map is estimated using an OG algorithm.

# UAV-Radar Mapping - Observations

- ◇ Scanning operation with  $N_{\text{steer}}$  beamsteering angles  $\theta_b$ .
- ◇ Measurements: range-angle matrix  $\mathbf{e}^{(k)}$  containing the accumulated measured energy at a certain time instant  $k$

$$\mathbf{e}^{(k)} = \begin{bmatrix} e_{11} & e_{21} & \dots & e_{b1} & \dots & e_{N_{\text{steer}}1} \\ e_{12} & e_{22} & \dots & e_{b2} & \dots & e_{N_{\text{steer}}2} \\ \vdots & & & & & \\ e_{1s} & e_{2s} & \dots & e_{bs} & \dots & e_{N_{\text{steer}}s} \\ \vdots & & & & & \\ e_{1N_{\text{bins}}} & e_{2N_{\text{bins}}} & \dots & e_{bN_{\text{bins}}} & \dots & e_{N_{\text{steer}}N_{\text{bins}}} \end{bmatrix}$$

- ◇ Statistical observation model: the measurement model at the radar is  $\mathbf{z}^{(k)} = \mathbf{g}(\mathbf{m}) + \mathcal{N}(0, \mathbf{R}^{(k)})$ 
  - ▶  $\mathbf{g}(\mathbf{m}) = [g_{11}(\mathbf{m}), \dots, g_{bs}(\mathbf{m}), \dots, g_{N_{\text{steer}}N_{\text{bins}}}(\mathbf{m})]$ ,  $g_{bs}(\mathbf{m})$ : radar range equation accounting for THz scattering model [1];
  - ▶  $\mathbf{R}^{(k)}$  is the covariance diagonal matrix whose generic element is given by  $\sigma_{bs}^2$

# UAV-Radar Mapping - Occupancy Grid

- ◇ Bayesian algorithm in **three main steps** using the following log-odd notation

$$\ell_i^{(k)}(m_i^{(k)}) \triangleq \log \left( \frac{p(m_i^{(k)} = 1 | \mathbf{z}^{(1:k)})}{p(m_i^{(k)} = 0 | \mathbf{z}^{(1:k)})} \right) = \log \left( \frac{p(m_i^{(k)} = 1 | \mathbf{z}^{(1:k)})}{1 - p(m_i^{(k)} = 1 | \mathbf{z}^{(1:k)})} \right)$$

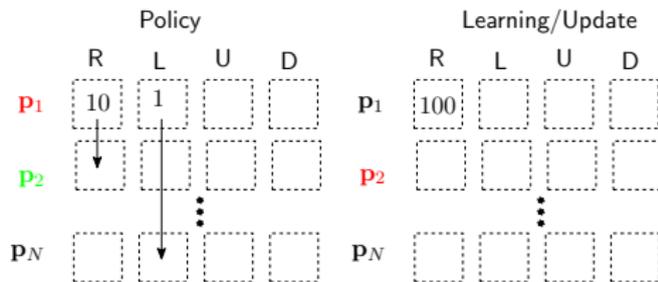
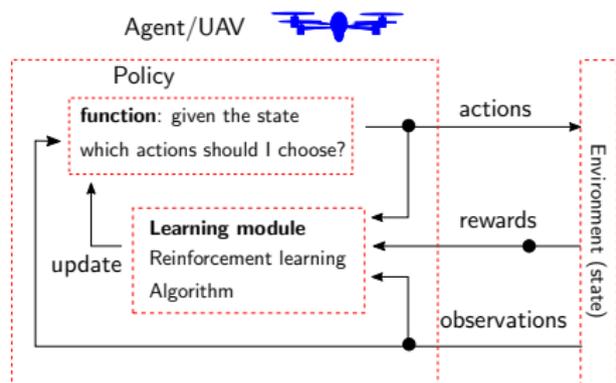
- ◇ **Initialization** The map is initialized with  $p(m_i^{(k)} = 1 | \mathbf{z}^{(1:k)}) = p(m_i^{(k)} = 0 | \mathbf{z}^{(1:k)}) = 0.5$  (complete uncertainty);
- ◇ **Measurement update** A new energy matrix is collected for each steering direction and time bin. The likelihood functions  $p(\mathbf{z}^{(k)} | m_i = 1)$  and  $p(\mathbf{z}^{(k)} | m_i = 0)$  are computed.
- ◇ **Log-odd update** the belief of the map is updated according to

$$\ell_k(m_i) = \log \left( \frac{p(\mathbf{z}^{(k)} | m_i)}{1 - p(\mathbf{z}^{(k)} | m_i)} \right) + \ell_{k-1}(m_i).$$

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# Model-free RL for UAV Control



- ◇ Tabular Policies: with discrete (few) number of actions and states, the policy can be represented as a table;
- ◇ With a  $Q$ -table, the policy is to check the value of every possible action given the current state and then choose the action with the highest value;

# Q-Learning

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## Algorithm 1: Q-Learning Navigation for a Single Episode

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**Parameters:** Set the learning parameters  $(\gamma, \alpha, \epsilon)$  and the mission time  $T_M$ ;

**Initialization:** Initialize the  $Q$ -table to zeros and the initial state  $s_0$  ;

**while**  $k < T_M$  **do**

    Generate a random value  $\epsilon_k$ ;

**if**  $\epsilon_k < \epsilon$  **then**

        Choose a random action  $\mathbf{a}_k \in \mathcal{A}$ ;

**else**

        Choose the action  $\mathbf{a}_k \in \mathcal{A}$  that corresponds to the maximum  $Q$ -value in  
         $Q(s_k, \cdot)$ ;

**end**

    UAV moves to the new state, collects the reward  $r_{k+1}$  and updates the  $Q$ -table according to

$$Q(s_k, \mathbf{a}_k) \leftarrow Q(s_k, \mathbf{a}_k) + \alpha \left[ r_{k+1} + \gamma \max_{\mathbf{a}} Q(s_{k+1}, \mathbf{a}) - Q(s_k, \mathbf{a}_k) \right]$$

**end**

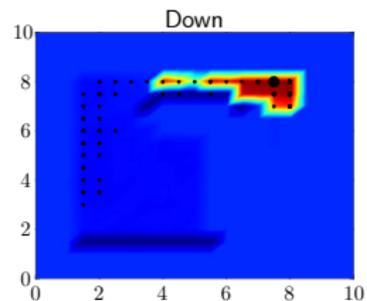
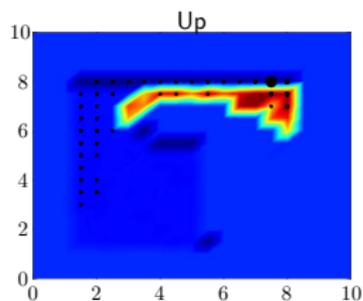
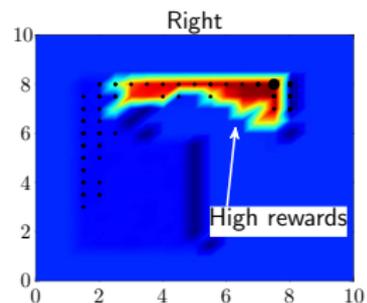
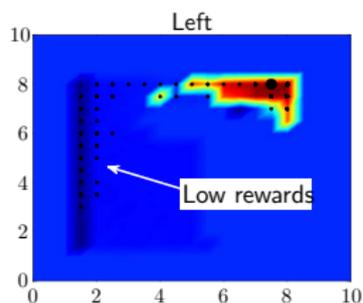
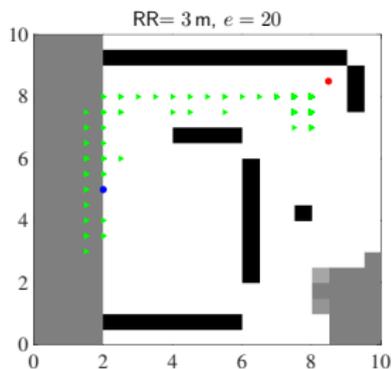
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# Simulation Results - Cont.'d.



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# Conclusions

- ◇ UAVs are a promising technology to realize dynamic wireless sensor/radar networks;
- ◇ UAVs can be intelligent: optimizing their trajectory according to the assigned tasks;
- ◇  $Q$ -learning approach with a combination of intrinsic and extrinsic rewards for target detection and environment mapping;
- ◇ Mapping aided by on-board THz radar allows for an enhanced ambient awareness;
- ◇ *Next Steps*: Distributed multi-agent learning for multi-target detection with large networks of UAVs.

Thank you



# UAV-Radar Mapping - Observation Model, Cont'd

- ◇ Measurement model:  $\mathbf{z}^{(k)} = \mathbf{g}(\mathbf{m}) + \mathcal{N}(0, \mathbf{R}^{(k)})$
- ◇ The generic element  $g_{bs}(\mathbf{m})$  is given by the radar range equation as

$$g_{bs}(\mathbf{m}) = \sigma^2 T_{\text{ED}} N_p + T_f \sum_{i \in \mathcal{R}(s)} \frac{P_t c^2 \rho_i^2 G^2 N_p}{f^2 (4\pi)^3 d_i^4}$$

- $T_{\text{ED}} \approx 1/W$  is the duration of a bin
- $T_f$  is the duration of a time frame
- $\mathcal{R}(s)$  is the number of cell located at a distance  $d_i$
- $P_t$  is the transmitted power
- $\rho_i^2$  is the radar cross section
- $G$  is the radar antenna gain
- $d_i$  is the distance
- $N_p$  is the number of transmitted pulses
- $\sigma^2 = N_0 W$  is the noise variance