Stochastic Model of Block Segmentation Based on Improper Quadtree and Optimal Code under the Bayes Criterion

<u>Yuta Nakahara<sup>1</sup></u> and Toshiyasu Matsushima<sup>2</sup>
1) Center for Data Science, Waseda University
2) Dept. of Pure and Applied Math. Waseda University

DCC 2022

## Summary

Our approach to lossless image compression
 Assuming stochastic generative models directly on pixel values
 Achieving the theoretical limit of the assumed models

#### Contribution

Proposal of a stochastic model based on improper quadtrees

#### Results

- Efficient representation with fewer regions (= fewer parameters)
- Improvement of code lengths in lossless compression



#### Background

- Lossless compression as an image processing
- Lossless compression on stochastic generative models
- Previous studies redefinition of stochastic generative models
- Proposed stochastic generative models
- Bayes codes
- Proposed algorithm
- Experiments
- Conclusion

#### Background

- Lossless compression as an image processing
- Lossless compression on stochastic generative models
- Previous studies redefinition of stochastic generative models
- Proposed stochastic generative models
- Bayes codes
- Proposed algorithm
- Experiments



Lossless compression as an image processing Coding procedure



Assumption of  $p(v|\theta)$  is implicit.

**p** does not directly govern the generation of v.
(Just a vector s.t.  $\sum_i p_i = 1$ )

Difficulty in discussing the optimality of the subroutines to  $p(v|\theta)$ .

Lossless compression on stochastic generative models

#### Problem setup



•  $\theta$  governs the probabilistic generation of v.  $\Rightarrow \theta$  can be statistically learned from v. (Implicit correspondence with Subroutine 2)

Bayes codes[Matsushima et al, 1991]: the codes with Bayesian learning of θ Previous studies – redefinition of stochastic generative models

Some subroutines play a role like parameter learning for an implicitly assumed  $p(\mathbf{x}|\boldsymbol{\theta})$ 

We explicitly redefine the implicit  $p(\mathbf{x}|\boldsymbol{\theta})$  and derive the optimal coding algorithm for it.

Subroutine	Stochastic generative model	
Linear prediction (e.g., [Kuroki et al, 1992], [Wu et al, 1998])	Linear autoregressive model [Nakahara and Matsushima, 2020]	
Quadtree block segmentation [Matsuda et al, 2005]	Stochastic quadtree model [Nakahara and Matsushima, 2020]	Extend in this study
Edge prediction [Meyer, 1997]	2D-HMM [Nakahara and Matsushima, 2020]	
Predictor weighting and switching (e.g., [Weinberger et al, 2000], [Martchenko and Deng, 2013])	Mixture of priors [Nakahara and Matsushima, 2021]	

#### Background

- Lossless compression as an image processing
- Lossless compression on stochastic generative models
- Previous studies redefinition of stochastic generative models

#### Proposed stochastic generative models

- Bayes codes
- Proposed algorithm
- Experiments



Proposed stochastic generative model

Let both width and height are  $2^{d_{\max}}$ .

The set of the improper quadtrees whose depth  $\leq d_{\max}$ .



One of them is chosen with probability p(m).



Proposed stochastic generative model Parameter  $\theta_s$  is independently assigned to each block *s* with probability  $p(\theta_s|m)$ .



Proposed stochastic generative model Pixel value  $v_t$  at block s is generated in order of the raster scan with probability  $p(v_t|v^{t-1}, \theta_s, m)$ .



#### Background

- Lossless compression as an image processing
- Lossless compression on stochastic generative models
- Previous studies redefinition of stochastic generative models
- Proposed stochastic generative models
- Bayes codes
- Proposed algorithm
- Experiments



### The Bayes codes [Matsushima et al, 1991]

- ◆ We cannot use  $p(v_t|v^{t-1}, \theta^m, m)$  because true *m* and  $\theta^m$  are unknown. ◆ We estimate it by  $\hat{p}_c(v_t|v^{t-1})$  in Bayesian manner. ⇒ Bayes codes
- Optimal coding probability  $p_c^*(v_t|v^{t-1})$  for our model

$$p_c^*(v_t|v^{t-1}) = \sum_{m \in \mathcal{M}} p(m|v^{t-1}) \int p(v_t|v^{t-1}, \boldsymbol{\theta}^m, m) p(\boldsymbol{\theta}^m|v^{t-1}, m) \, \mathrm{d}\boldsymbol{\theta}^m$$

Bayes codes

- Its expected code length converges to the entropy for sufficiently large data length[Clarke and Barron, 1990].
- Its convergence speed achieves the theoretical limit [Clarke and Barron, 1990].
- Efficient text coding algorithms have been constructed based on it (e.g., [Matsushima and Hirasawa, 2009]).

### The Bayes codes [Matsushima et al, 1991]

- We cannot use  $p(v_t|v^{t-1}, \theta^m, m)$  because true m and  $\theta^m$  are unknown.
- We estimate it by  $\hat{p}_c(v_t|v^{t-1})$  in Bayesian manner.  $\Rightarrow$  Bayes codes
- Optimal coding probability  $p_c^*(v_t|v^{t-1})$  for our model  $p_c^*(v_t|v^{t-1})$

$$= \sum_{m \in \mathcal{M}} \underline{p(m|v^{t-1})} \int p(v_t|v^{t-1}, \boldsymbol{\theta}^m, m) p(\boldsymbol{\theta}^m|v^{t-1}, m) \, \mathrm{d}\boldsymbol{\theta}^m$$

#### Three computationally hard parts

- 1. The summation w.r.t.  $m \leftarrow A$  recursive structure of quadtree
- ◆ 2. The posterior  $p(m|v^{t-1}) \leftarrow$  Special prior (Detailed in the paper)
- ◆ 3. The integral w.r.t.  $\theta^m$  ← Conjugate prior

Our algorithm reduces the complexity from  $O(|\mathcal{M}|)$  to  $O(2^4 d_{\max})$ without any approximation.

> Doubly exponential w.r.t.  $d_{\text{max}}$ E.g.,  $d_{\text{max}} = 2 \Rightarrow |\mathcal{M}| = 83,521$

Our algorithm reduces the complexity from  $O(|\mathcal{M}|)$  to  $O(2^4 d_{\max})$ without any approximation.

Factorization based on the independency among the regions



Our algorithm reduces the complexity from  $O(|\mathcal{M}|)$  to  $O(2^4 d_{\max})$ without any approximation.

Factorization based on the independency among the regions



Our algorithm reduces the complexity from  $O(|\mathcal{M}|)$  to  $O(2^4 d_{\max})$ without any approximation.

Factorization based on the independency among the regions



#### Background

- Lossless compression as an image processing
- Lossless compression on stochastic generative models
- Previous studies redefinition of stochastic generative models
- Proposed stochastic generative models
- Bayes codes
- Proposed algorithm

#### Experiments



### Experiment 1

Purpose: confirmation of the Bayes optimality

### Setting:

◆ We generated 1000 binary images (64x64) as follows:

- 1. Generate m according to p(m) (detailed in the paper).
- 2. Generate  $\theta_s$  according to Beta $(\theta_s | \alpha, \beta)$  for each block s.
- 3. Generate  $v_t$  according to  $Bern(v_t | \theta_s)$  for each block s.
- We compressed them by
  - The proposed method with improper quadtrees
  - The method with proper quadtrees
  - ■JBIG (implementation by [Kuhn, 1995])

### Experiment 1

Result:

Examples of the generated images





Average coding rates (bit/pel)

Improper QT (proposal)	Proper QT	JBIG
0.619	0.624	1.811

## Experiment 2

Purpose: Confirmation of the suitability to real images

### Result:

♦ MAP estimated model  $m^{MAP} = \operatorname{argmax}_{m \in M} p(m|v)$ .

Improper QT (proposal)



Proper QT



Average coding rates (bit/pel)

Improper QT (proposal)	Proper QT	JBIG
0.318	0.323	0.348

#### Background

- Lossless compression as an image processing
- Lossless compression on stochastic generative models
- Previous studies redefinition of stochastic generative models
- Proposed stochastic generative models
- Bayes codes
- Proposed algorithm
- Experiments

#### Conclusion

## Conclusion

We assumed the stochastic generative model directly on pixel values and achieved the theoretical limit of the assumed model.

- The proposed stochastic model was based on improper quadtrees.
- We obtained the efficient representation with fewer regions and improved the average code length in lossless image compression.

### References

- T. Matsushima, H. Inazumi, and S. Hirasawa, "A class of distortionless codes designed by Bayes decision theory," IEEE Transactions on Information Theory, vol. 37, no. 5, pp. 1288-1293, Sep. 1991.
- N. Kuroki, T. Nomura, M. Tomita, and K. Hirano, "Lossless image compression by two-dimensional linear prediction with variable coefficients," IEICE TRANSACTIONS on Fundamentals of Electronics, Communications and Computer Sciences, vol. 75, no. 7, pp. 882-889, 1992.
- X. Wu, E. Barthel, and W. Zhang, "Piecewise 2d autoregression for predictive image coding," in Proceedings 1998 International Conference on Image Processing. ICIP98 (Cat. No.98CB36269), 1998, pp. 901-904.
- I. Matsuda, N. Ozaki, Y. Umezu, and S. Itoh, "Lossless coding using variable block-size adaptive prediction optimized for each image," in 2005 13th European Signal Processing Conference, 2005, pp. 1-4.
- B. Meyer and P. Tischer, "TMW a new method for lossless image compression," in Proc. of 1997 Picture Coding Symposium (PCS'97), 1997, pp. 533-538.

### References

- M. J. Weinberger, G. Seroussi, and G. Sapiro, "The LOCO-I lossless image compression algorithm: principles and standardization into JPEGLS," IEEE Transactions on Image Processing, vol. 9, no. 8, pp. 1309-1324, Aug 2000.
- A. Martchenko and G. Deng, "Bayesian predictor combination for lossless image compression," IEEE Transactions on Image Processing, vol. 22, no. 12, pp. 5263-5270, 2013.
- Y. Nakahara and T. Matsushima, "Autoregressive image generative models with normal and t-distributed noise and the Bayes codes for them," in 2020 International Symposium on Information Theory and Its Applications (ISITA), 2020, pp. 81-85.
- Y. Nakahara and T. Matsushima, "A stochastic model for block segmentation of images based on the quadtree and the Bayes code for it," Entropy, vol. 23, no. 8, 2021.
- Y. Nakahara and T. Matsushima, "Bayes code for two-dimensional autoregressive hidden Markov model and its application to lossless image compression," in International Workshop on Advanced Imaging Technology (IWAIT) 2020, vol. 11515. SPIE, 2020, pp. 330-335. [Online]. Available: https://doi.org/10.1117/12.2566943

### References

Y. Nakahara and T. Matsushima, "Hyperparameter Learning of Stochastic Image Generative Models with Bayesian Hierarchical Modeling and Its Effect on Lossless Image Coding," 2021 IEEE Information Theory Workshop (ITW), 2021, pp. 1-6, doi: 10.1109/ITW48936.2021.9611418.

B. S. Clarke and A. R. Barron, "Information-theoretic asymptotics of Bayes methods," IEEE Transactions on Information Theory, vol. 36, no. 3, pp. 453-471, May 1990.

T. Matsushima and S. Hirasawa, "Reducing the space complexity of a Bayes coding algorithm using an expanded context tree," in 2009 IEEE International Symposium on Information Theory, June 2009, pp. 719-723.

M. Kuhn, "JBIG-KIT," https://www.cl.cam.ac.uk/~mgk25/jbigkit/, Accessed: 2022-3-5.