Generation For Adaption: A GAN-Based Approach for Unsupervised Domain Adaption with 3D Point Cloud Data Junxuan Huang Junsong Yuan Chunming Qiao

> State University of New York at Buffalo {junxuanh, jsyuan ,qiao}@buffalo.edu

**Experiments results** Background Quantitative classification results (%) on PointDA-10 Dataset Recent deep networks have achieved good performance on a variety of 3d points classification tasks. However, Source Target  $S \rightarrow S^*$  $S^* \rightarrow M \quad S^* \rightarrow S$  $M \rightarrow S$ M→S\*  $S \rightarrow M$ Avg these models often face challenges in (CAD model) (RGBD camera) "wild tasks" where there are considerable 42.5 22.3 39.9 23.5 34.2 46.9 34.9 w/o Adapt differences between the labeled 42.5 57.5 27.9 40.7 47.3 54.8 MMD[19] 26.7 training/source data collected by one DANN[4] 56.7 58.7 29.4 42.3 30.5 44.2 48.1 Lidar and unseen test/target data 48.9 30.5 40.4 29.3 43.5 ADDA[5] 61.0 51.1collected by a different Lidar. MCD[6] 62 0 210 A1 A 21 2 110 50 2 45 2

Unsupervised domain adaptation (UDA) seeks to overcome such a problem without target domain labels. Instead of aligning features between source data and target data, we propose a method that uses a Generative Adversarial Network (GAN) to generate synthetic data from the source domain so that the output is close to the target domain. Groudtruth: Sofa Predication: Sofa  $\sim$ 

Groudtruth: Sofa Predication: Bookshelf ×

Predication: Sofa



Groudtruth: Bathtub Predication: Bathtub  $\sqrt{}$ 

Experiments show that our approach performs better than state-of-the-art UDA methods in three popular 3D object/scene datasets

Fig. 1. Point clouds acquired from different sensors and being misclassified

## **Proposed GAN-based DA method**



MCD[6]	62.0	31.0	41.4	31.3	46.8	59.3	45.3
PointDAN[7]	62.5	31.2	41.5	31.5	46.9	59.3	45.5
Ours	62.8	36.5	41.9	31.6	50.4	65.7	48.1
Supervised	90.5	53.2	86.2	53.2	86.2	90.5	76.6

M means ModelNet and S denotes ShapeNet while S\* represents ScanNet.

We consider six types of adaptation scenarios which are  $M \rightarrow S$ ,  $M \rightarrow S^*$ ,  $S \rightarrow M$ ,  $S \rightarrow S^*$ ,  $S^* \rightarrow M$  and  $S^* \rightarrow S$ , where M, S and  $S^*$  represent subset of Modelnet, Shapenet and Scannet respectively.



Our model architecture consists of four parts: generator, latent reconstruction module, discriminator, and feature encoder/decoder.

In the training, source domain object and target domain object will go through a shared encoder. The encoded features from source domain will be sent to the generator and a discriminator tries to distinguish features from generator or target domain. For adding multimodal information to the model, we also have Gaussian samples *z* for latent condition input to the generator. To force the generator to use the Gaussian samples *z*, we introduce a VAE encoder to recover *z* from the synthetic output. In addition, in order to enhance the quality of output object from *G*, we have an additional discriminator, a classifier *C* in training the model.

State 1												
				Ab	latio	n Stı	udy					
Table 2. Ablation analysis												
	AE	L	С	M→S	$M \rightarrow S^*$	S→M	S→S*	S*→M	S*→S	Avg		
w/o Adap	ot			42.5	22.3	39.9	23.5	34.2	46.9	34.9		
only AE	~			59.5	33.5	34.2	16.1	43.3	55.4	40.3		
AE+L	V	V		62.6	34.1	40.4	29.1	49.6	64.3	46.7		
GFA	V	V	$\checkmark$	62.8	36.5	41.9	31.6	50.4	65.7	48.1		
Supervise	d			90.5	53.2	86.2	53.2	86.2	90.5	76.6		

AE means use autoencoder with reconstruction loss in model ,L denotes latent space reconstruction with VAE , C represents the additional discriminator, a classifier.

From Table2, we could see the latent space reconstruction play a important role, the classifier's performance significantly increases in all six scenarios after adding the latent space reconstruction L



To reconstruct the shape of point clouds object we choose Earth Mover's Distance (EMD) to measure the distance between reconstructed object and input object. So that we can restrict the synthetic outputs and make it close to the input's shape. But we do not want the synthetic object to having the exact shape of input. Because we are building a synthetic dataset which means the variety is also significant. So we bring a random sampled variable z into our model and a Variational Autoencoder (VAE) is trained to encode synthetic objects to recover latent input vector, encouraging the use of conditional mode input z.



## Conclusion

We have proposed a novel generative approach to unsupervised domain adaptation in the 3D classification task. The basic idea is to transfer source training data into the style of target domain rather than selecting domain invariant feature or implementing feature alignment. Furthermore, we implemented latent reconstruction module and an addition discriminator for enhancing the performance