



Motivation

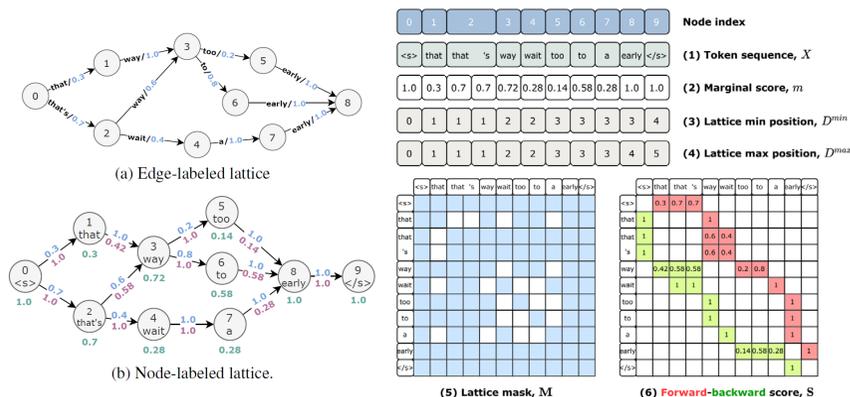
- WFST-based ASR systems are still widely-used.
- The direct output of WFST-based ASR systems is hard to read.
 - Missing punctuation
 - Without capitalization
 - Containing recognition errors
- The post-processing system is needed.
 - Rule-based
 - Sequence-to-sequence (seq2seq) model

Highlights

- LatticeBART** (proposed) can:
- use the knowledgeable pre-trained language models like BART.
 - be pre-trained in a **lattice-to-lattice (L2L) unsupervised method**.

Lattice Process Methods

- Using line-graph method to convert **edge-labeled lattice** to **node-labeled lattice**.



- Convert the node-labeled to the data format that can be input into the LatticeBART.
 - Using topological sorting to obtain a sequence of nodes
 - Adding forward-backward, marginal scores
 - Adding lattice mask and lattice positional embedding

$$H_i = \text{Softmax} \left(\frac{Q_i K_i^T}{\sqrt{d_k}} + S + M \right) (V_i + mZ)$$

Training Method

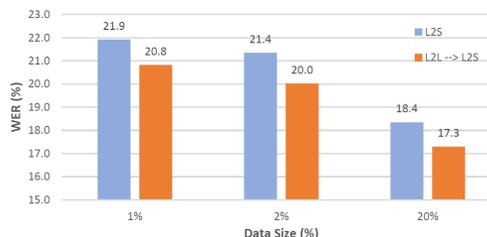
- Lattice-to-Sequence training
- Lattice-to-Lattice pre-training
 - Add noise to the inputs of encoder:
 - Homophone substitution
 - Token masking
 - Path masking
 - Depth offset
 - Weights perturbation
 - Using **causal lattice mask** to the decoder

Experiments Setup

- ASR system
 - Phone-based CTC ASR system
 - 5-layer BiLSTM acoustic model with hidden size of 320 is trained on SWBD 300 hours speech
 - 3-gram language model is trained on SWBD-Fisher 2000 hours transcripts
- Test on: eval2000, rt03
- LatticeBART
 - Use BART-base parameters (6-layer encoder and 6-layer decoder)
 - Learning rate: 1e-5
 - Control group with random initialized parameters
 - Learning rate: 1e-4
 - AdamW optimizer with cosine learning rate decay

Table 1. WER (%) results on eval2000 and rt03.

	Model	eval2000		rt03		Avg.
		Callhome	SWBD	Fisher	SWBD	
1	lattice best path	22.5	12.4	17.1	26.0	19.5
2	10-best rescore	23.0	12.6	17.3	26.1	19.8
3	20-best rescore	22.9	12.6	17.3	26.1	19.7
4	L2S _{20%}	22.9	13.3	17.6	26.4	20.1
5	L2S _{100%}	21.1	12.2	15.9	24.4	18.4
6	L2S _{20%}	21.4	11.5	15.9	24.6	18.4
7	L2S _{100%}	19.1	10.0	13.7	22.4	16.3
8	L2L _{20%} → L2S _{20%}	20.1	10.5	14.8	23.8	17.3
9	L2L _{80%} → L2S _{20%}	20.1	10.6	14.7	23.6	17.3



Performance comparison of models with small-scale data.

Table 2. Effect of different beam widths on WER (%).

Beam width	eval2000		rt03		Avg.
	Callhome	SWBD	Fisher	SWBD	
1	21.0	10.9	15.5	24.1	17.9
2	20.3	10.5	14.7	23.2	17.2
3	19.3	10.2	14.2	22.7	16.6
4	19.1	10.0	13.7	22.4	16.3
5	18.9	10.0	13.7	22.2	16.2
6	18.7	9.9	13.6	22.1	16.1