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# Cell-free Massive MIMO: Exploiting the WAX Decomposition.

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# Acknowledgments

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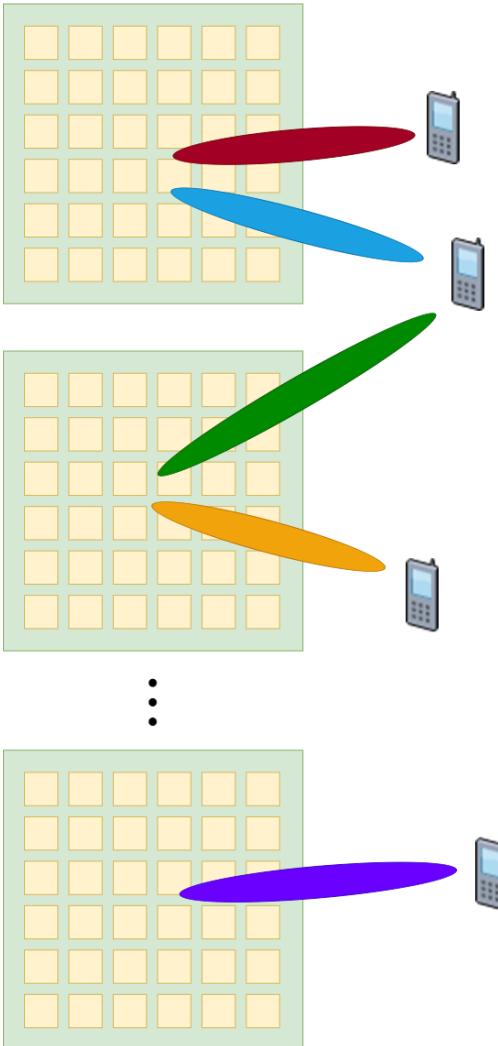
# Outline

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- Introduction
- General Scenario
- Background
- System model
- WAX decomposition
- WAX decomposition of sparse channels
- Numerical results
- Wrap-up

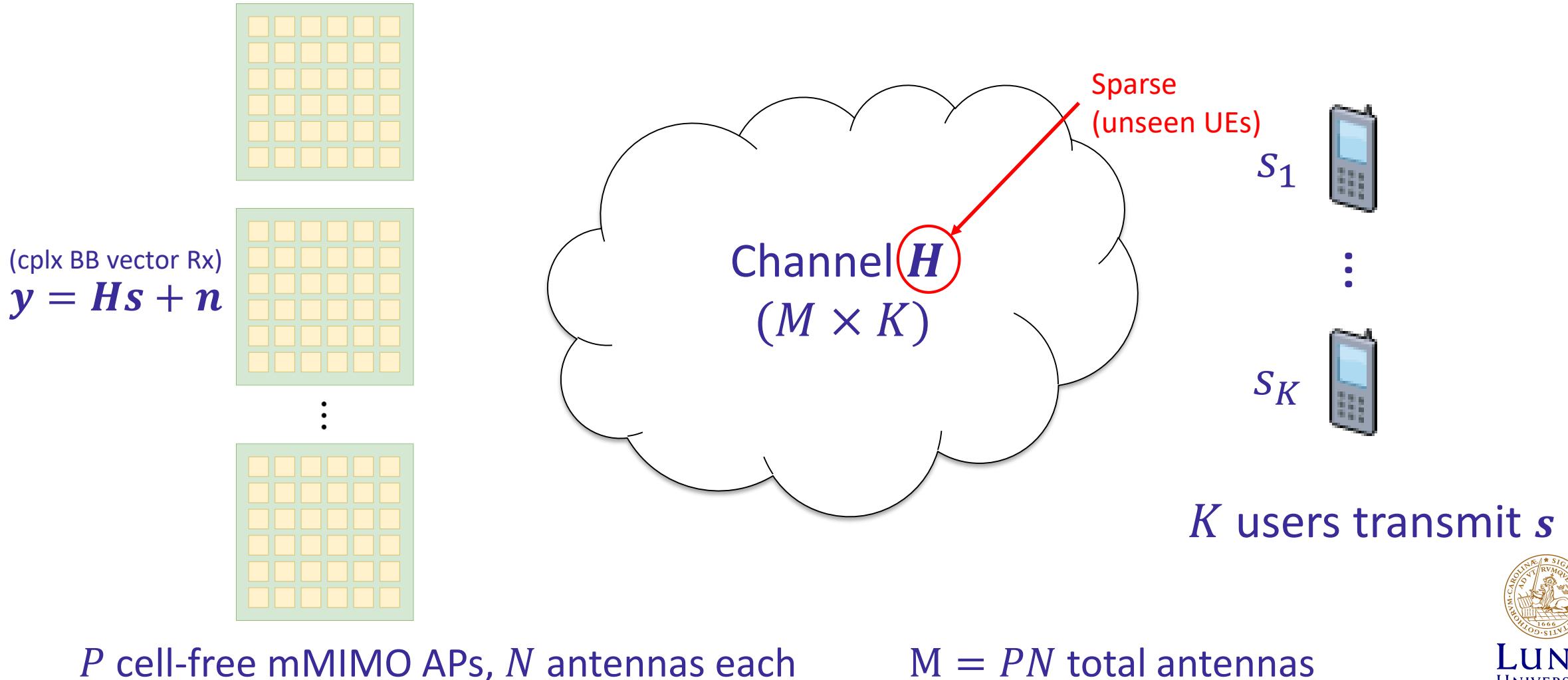
# Introduction

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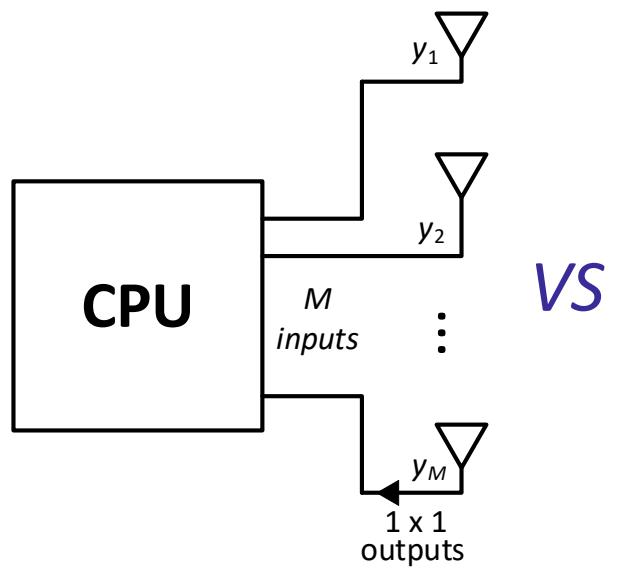


- Mobile broadband communications (5G, 6G, etc)
- Cell-free massive MIMO
- Massive number of antennas/APs.
- Centralized processing: Increased interconnection bandwidth to CPU.
- Trend towards decentralized. Increase complexity at the nodes to reduce information transfer to CPU.

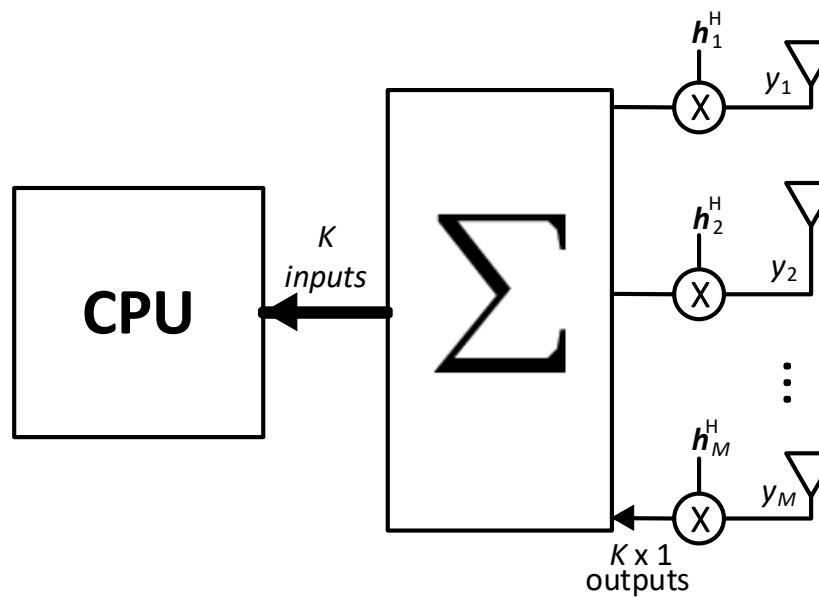
# General scenario (uplink)



# Centralized VS Decentralized (Background)



VS

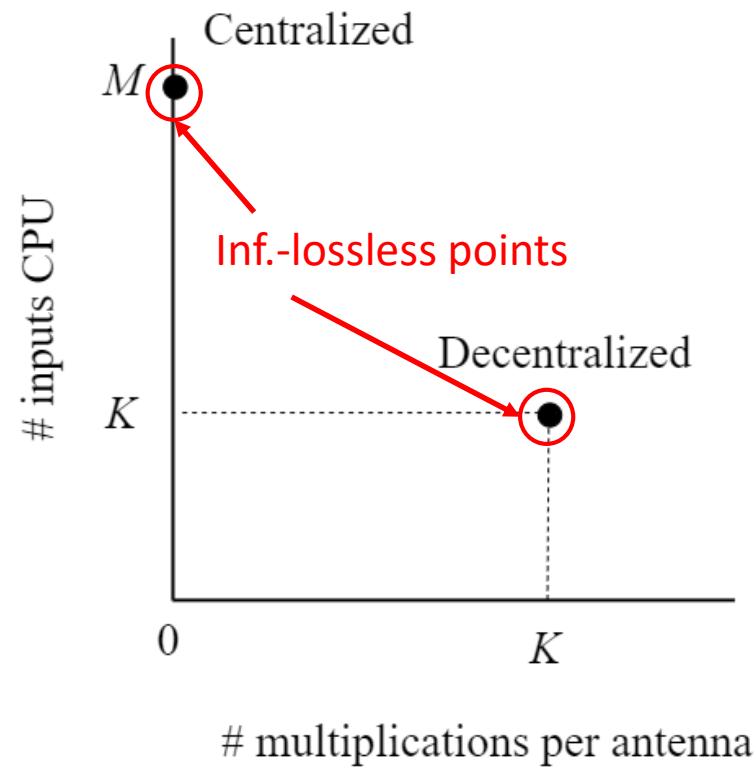


- Information lossless (both)
- $M$  vs  $K$  inputs to CPU
- 0 vs  $K$  multiplications per antenna

[1] J. Rodríguez Sánchez et al., “Decentralized Massive MIMO: Is there Anything to be Discussed?”, ISIT 2019

# Trade-off (Background)

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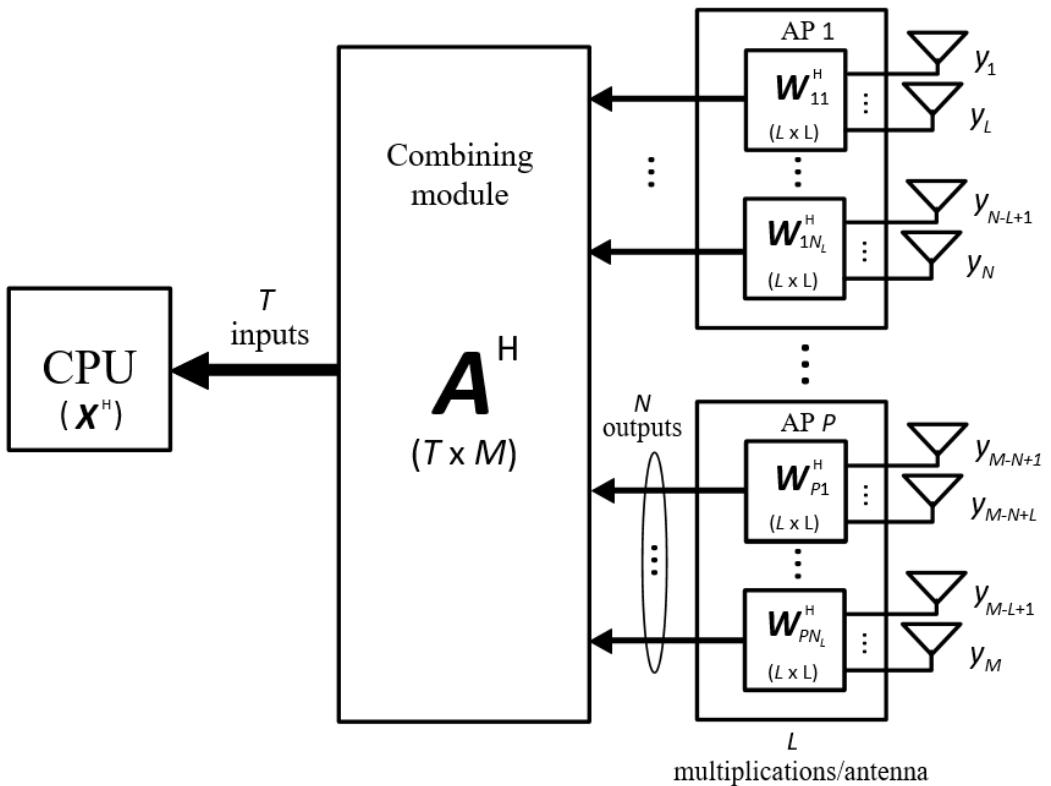


Level of decentralization (inputs CPU)

VS

Decentralized processing complexity  
(mult. per antenna)

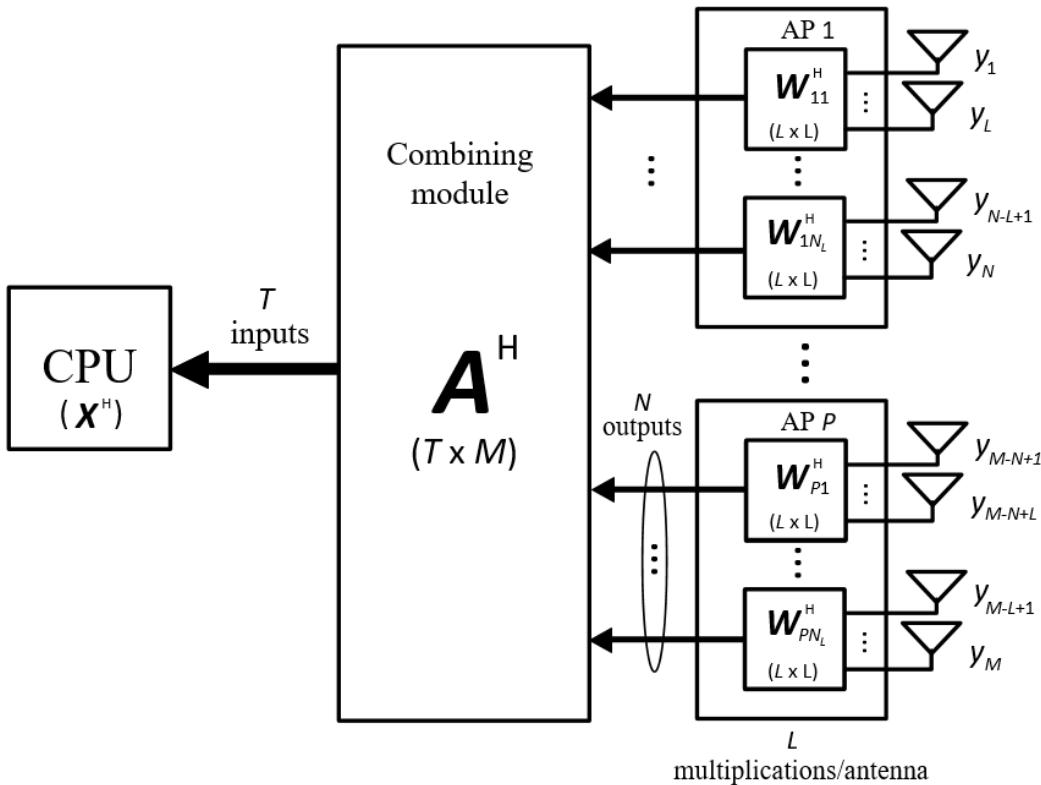
# System model



- Trade-off parameters  $L, T$
- Design variables  $A$  ( $M \times T$ )
- Tunable variables  $W_{pn}$  ( $L \times L$ ),  $X$  ( $T \times ?$ )
  
- $T$  → # inputs to CPU
- $L$  → # mult. per ant.
- $A$  → Combining module (HW?)
- $W_{pn}$  → Decentralized filters
- $X$  → Processing at CPU

[2] J. Vidal Alegria et al., "Trade-offs in Decentralized Multi-Antenna Architectures: The WAX Decomposition", TSP, 2020. (General framework)

# System model



- Trade-off parameters  $L, T$
- Design variables  $A$  ( $M \times T$ )
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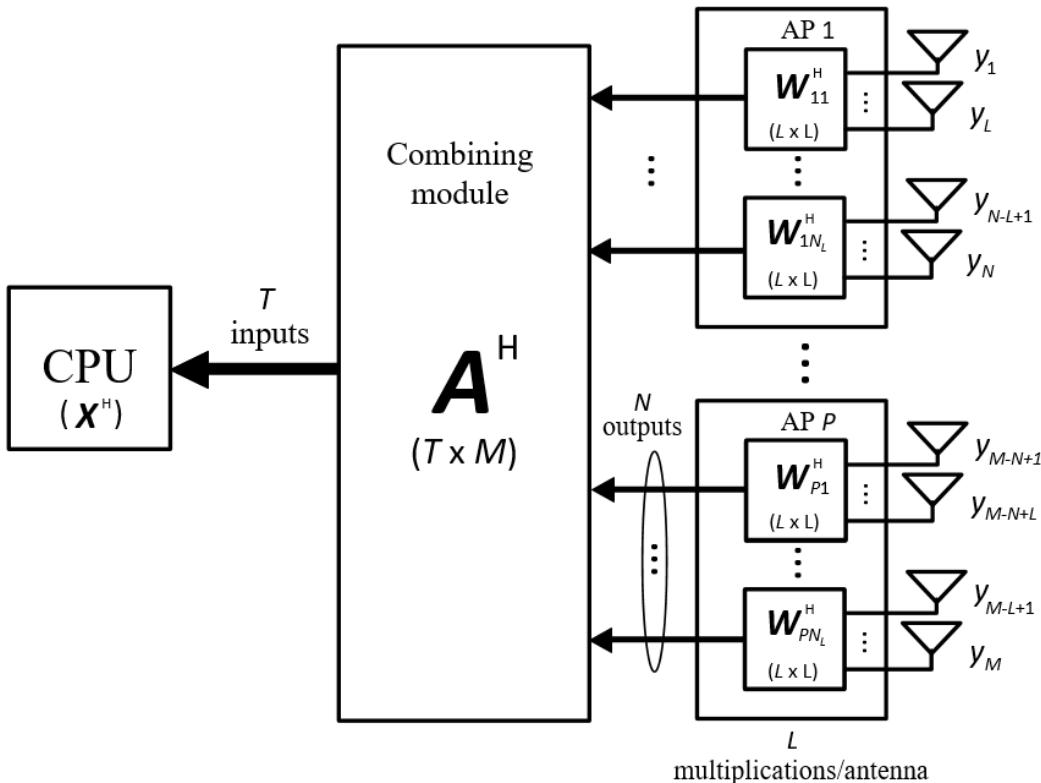
Resulting linear processing

$$\mathbf{z} = \mathbf{X}^H \mathbf{A}^H \mathbf{W}^H \mathbf{y}, \quad \mathbf{W} = \text{diag}(\mathbf{W}_{11}, \dots, \mathbf{W}_{PN_L})$$

(Recall  $\mathbf{y} = \mathbf{Hs} + \mathbf{n}$ )

[2] J. Vidal Alegria et al., "Trade-offs in Decentralized Multi-Antenna Architectures: The WAX Decomposition", TSP, 2020. (General framework)

# System model



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- Design variables  $A$  ( $M \times T$ )
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Resulting linear processing

$$\mathbf{z} = \mathbf{X}^H \mathbf{A}^H \mathbf{W}^H \mathbf{y}, \quad \mathbf{W} = \text{diag}(\mathbf{W}_{11}, \dots, \mathbf{W}_{PN_L})$$

MF simple information-lossless transformation

$$\mathbf{z} = \mathbf{H}^H \mathbf{y}$$

Information lossless  $\Leftrightarrow I(\mathbf{z}; \mathbf{s}) = I(\mathbf{y}; \mathbf{s}) \Leftrightarrow \mathbf{WAX} = \mathbf{H}$ , for some  $\mathbf{W}, \mathbf{X}$   
(See [2])



# Previous results

[2] J. Vidal Alegria et al., “Trade-offs in Decentralized Multi-Antenna Architectures: The WAX Decomposition”, TSP, 2020.



# WAX decomposition (Previous work from [2])

$$\mathbf{H} = \mathbf{WAX}$$

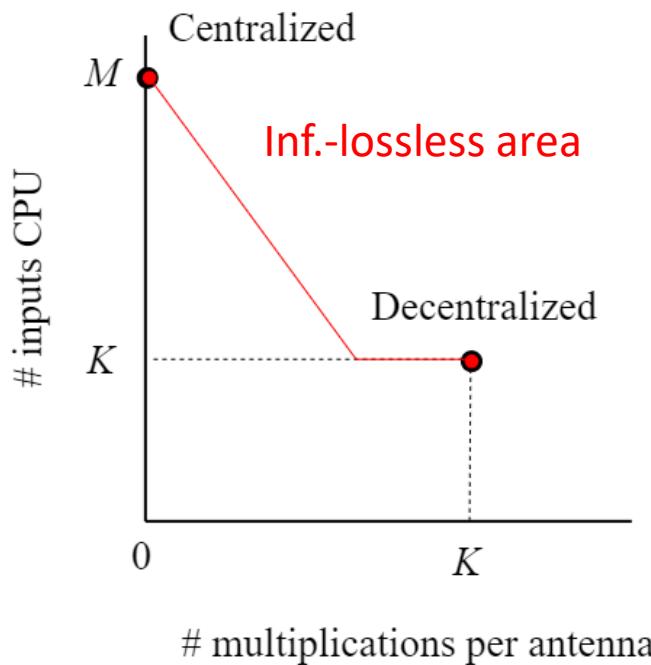
- Decomposition of  $\mathbf{H}$  ( $M \times K$ ) for fixed  $\mathbf{A}$  ( $M \times T$ ),  
where  $\mathbf{W} = \text{diag}(\mathbf{W}_{11}, \dots, \mathbf{W}_{PN_L})$ ,  $\mathbf{W}_{pn}(L \times L)$ .

Example:

$$\underbrace{\begin{bmatrix} 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 2 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 2 & 2 \end{bmatrix}}_{\mathbf{W}} \underbrace{\begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \end{bmatrix}}_{\mathbf{A}} \underbrace{\begin{bmatrix} -2 & -1 & -1 & 2 & 2 \\ 1 & -2 & -1 & 1 & 2 \\ 1 & -1 & -2 & -1 & -2 \\ 0 & 2 & 0 & -1 & 0 \\ 0 & -1 & 2 & 1 & -2 \end{bmatrix}}_{\mathbf{x}} = \underbrace{\begin{bmatrix} -3 & 1 & 0 & 1 & 0 \\ -2 & -4 & -3 & 5 & 6 \\ 1 & 1 & -2 & -2 & -2 \\ 0 & -2 & 0 & 1 & 0 \\ -2 & -2 & 5 & 4 & -4 \\ 2 & -2 & 3 & 0 & -4 \\ 1 & -2 & 2 & 3 & 4 \\ 4 & -2 & 2 & 0 & -8 \end{bmatrix}}_{\mathbf{H}}$$

# WAX decomposition (Previous work from [2])

$$\mathbf{H} = \mathbf{WAX}$$



- Decomposition of  $\mathbf{H}$  ( $M \times K$ ) for fixed  $\mathbf{A}$  ( $M \times T$ ), where  $\mathbf{W} = \text{diag}(\mathbf{W}_{11}, \dots, \mathbf{W}_{PN_L}), \mathbf{W}_{pn}(L \times L)$ .

- Main result:

- For a randomly chosen  $\mathbf{A}$ , iff

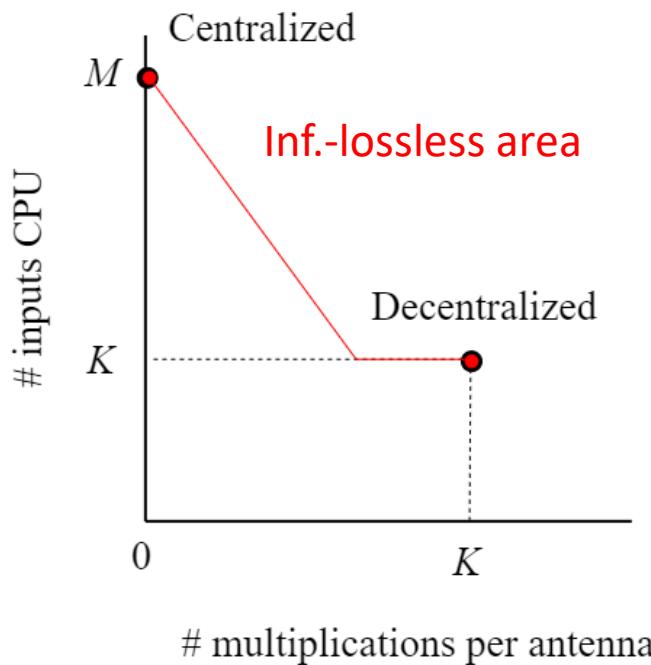
$$T > \min\left(M \frac{K - L}{K}, K - 1\right)$$

a randomly chosen  $\mathbf{H}$  (e.g., IID Rayleigh fading)  
accepts WAX decomposition with probability 1

Inf.-lossless processing within our system model for e.g. IID channel

# WAX decomposition (Previous work from [2])

$$\mathbf{H} = \mathbf{WAX}$$



- Decomposition of  $\mathbf{H}$  ( $M \times K$ ) for fixed  $\mathbf{A}$  ( $M \times T$ ), where  $\mathbf{W} = \text{diag}(\mathbf{W}_{11}, \dots, \mathbf{W}_{PN_L}), \mathbf{W}_{pn}(L \times L)$ .

- Main result:

- For a randomly chosen  $\mathbf{A}$ , iff

$$T > \min \left( M \frac{K - L}{K}, K - 1 \right)$$

What about sparse  $\mathbf{H}$ ?

a randomly chosen  $\mathbf{H}$  (e.g., IID Rayleigh fading)  
accepts WAX decomposition with probability 1

Inf.-lossless processing within our system model for e.g. IID channel



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# Follow up work

Application of WAX decomposition to sparse  $H$  matrices



# WAX decomposition for sparse $H$ (2 APs)

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$$H = \begin{pmatrix} \overbrace{H_{11}}^{N \times K_1} & \mathbf{0}_{N \times K_2} & \overbrace{\begin{matrix} H_{13} \\ H_{23} \end{matrix}}^{N \times K_3} \\ \mathbf{0}_{N \times K_1} & \underbrace{H_{22}}_{N \times K_2} & \end{pmatrix}$$

□ General channel for the 2 APs scenario

$K_1 \rightarrow$  # UEs seen by AP1

$K_2 \rightarrow$  # UEs seen by AP2

$K_3 \rightarrow$  # UEs seen by AP1 and AP2

# WAX decomposition for sparse $H$ (2 APs)

---

$$H = \begin{pmatrix} \overbrace{H_{11}}^{N \times K_1} & \mathbf{0}_{N \times K_2} & \overbrace{H_{13}}^{N \times K_3} \\ \mathbf{0}_{N \times K_1} & \underbrace{H_{22}}_{N \times K_2} & \underbrace{H_{23}}_{N \times K_3} \end{pmatrix}$$

Still block-diagonal ( $N \times N$  submatrices of  $\mathbf{W}$ )

$$H = \begin{pmatrix} \mathbf{W}_1 & \mathbf{0}_{N \times N} \\ \mathbf{0}_{N \times N} & \mathbf{W}_2 \end{pmatrix} \begin{pmatrix} \mathbf{A}_1 \\ \mathbf{A}_2 \end{pmatrix} (\mathbf{X}_1 \ \mathbf{X}_2 \ \mathbf{X}_3)$$

□ General channel for the 2 APs scenario

$K_1 \rightarrow$  # UEs seen by AP1

$K_2 \rightarrow$  # UEs seen by AP2

$K_3 \rightarrow$  # UEs seen by AP1 and AP2

2 WAX decomposition sub-problems

$$\rightarrow \begin{cases} \mathbf{W}_1 \tilde{\mathbf{A}}_1 \tilde{\mathbf{X}}_1 = \mathbf{H}_{11} & \tilde{\mathbf{A}}_1 \text{ is } N \times (T - N) \\ \mathbf{W}_2 \tilde{\mathbf{A}}_2 \tilde{\mathbf{X}}_2 = \mathbf{H}_{22} & \tilde{\mathbf{A}}_2 \text{ is } N \times (T - N) \end{cases}$$

# WAX decomposition for sparse $H$ (2 APs)

---

$$H = \begin{pmatrix} \overbrace{H_{11}}^{N \times K_1} & \mathbf{0}_{N \times K_2} & \overbrace{H_{13}}^{N \times K_3} \\ \mathbf{0}_{N \times K_1} & \underbrace{H_{22}}_{N \times K_2} & \underbrace{H_{23}}_{N \times K_3} \end{pmatrix}$$

□ General channel for the 2 APs scenario

$K_1 \rightarrow$  # UEs seen by AP1

$K_2 \rightarrow$  # UEs seen by AP2

$K_3 \rightarrow$  # UEs seen by AP1 and AP2

New conditions:  $\left\{ \begin{array}{l} (T - N) > \min \left( N \frac{K_1 - L}{K_1}, K_1 - 1 \right) \\ (T - N) > \min \left( N \frac{K_2 - L}{K_2}, K_2 - 1 \right) \end{array} \right.$

2 WAX decomposition sub-problems

$\left\{ \begin{array}{l} W_1 \tilde{A}_1 \tilde{X}_1 = H_{11} \quad \tilde{A}_1 \text{ is } N \times (T - N) \\ W_2 \tilde{A}_2 \tilde{X}_2 = H_{22} \quad \tilde{A}_2 \text{ is } N \times (T - N) \end{array} \right.$

# WAX decomposition for sparse $H$ (general)

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$$\mathbf{H} = \begin{pmatrix} b_{11} \overset{N \times K_1}{\overbrace{\mathbf{H}_{11}}} & \cdots & b_{1C} \overset{N \times K_C}{\overbrace{\mathbf{H}_{1C}}} \\ \vdots & \ddots & \vdots \\ b_{P1} \mathbf{H}_{P1} & \cdots & b_{PC} \mathbf{H}_{PC} \end{pmatrix}$$

□ General channel for any # of APs

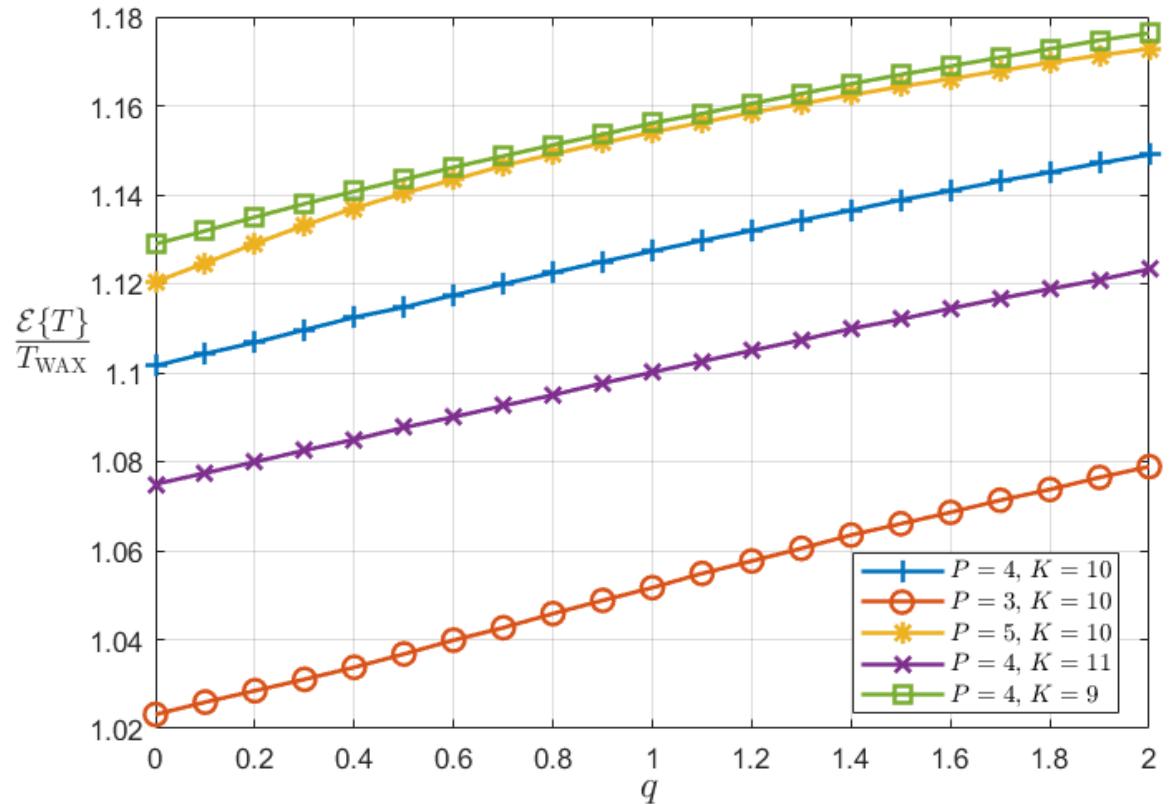
$$C = 2^P - 1$$

$$\mathbf{b}_j = (b_{j1} \quad \cdots \quad b_{jC})_2 \rightarrow \text{binary of } j$$

New conditions:  $T - \left( P - \|\mathbf{b}_j\|_1 \right) N > \min \left( \|\mathbf{b}_j\|_1 N \frac{K_j - L}{K_j}, K_1 - 1 \right), \quad j = 1, \dots, C$

# Numerical results

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- $L = 2, N = 16$
- $T_{WAX} \rightarrow$  opt.  $T$  for non-sparse  $H$
- # panels seen by each user is random,  $p(n) = a/n^q$

Degradation over original WAX trade-off v.s. Sparsity of  $H$

# Wrap-up

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- ❑ Centralized VS Decentralized. Connections to CPU VS Mult./antenna
- ❑ WAX decomposition for inf.-lossless processing
- ❑ Sparsity of  $H$  restricts trade-off
- ❑ More sparsity  $\Rightarrow$  greater degradation

# Bibliography

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- [1] *J. Rodríguez Sánchez et al.*, “**Decentralized Massive MIMO: Is there Anything to be Discussed?**”, *ISIT* 2019
- [2] *J. Vidal Alegria et al.*, “**Trade-offs in Decentralized Multi-Antenna Architectures: The WAX Decomposition**”, *TSP*, 2020.



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