

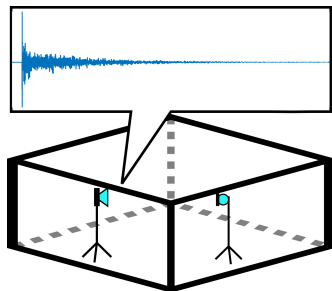
Region-to-region kernel interpolation of acoustic transfer function with directional weighting

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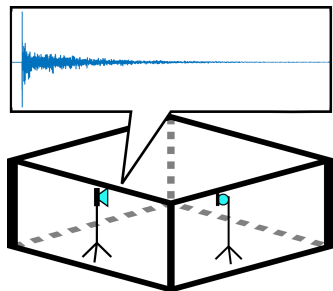
Background

- Soundwave propagation inside an environment isn't predictable.
- The relation between source and receiver signal can be estimated with the **acoustic transfer function** (ATF) of the space.



ATF interpolation with variable source and receiver

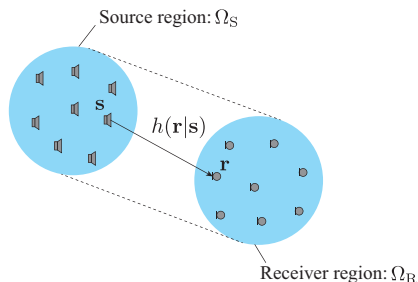
- Most ATF interpolation methods have a fixed source position.
- Our objective is to describe how the ATF changes for variable source and receiver within assigned regions. A **region-to-region** interpolation.



- ⇒ There are established region-to-region interpolation methods.
- In [Samarasinghe+, 2015], an ATF interpolation method using a spherical wavefunction expansion was proposed.
 - This formulation was compared to a kernel ridge regression method with a specialized kernel in [Ribeiro+, 2020].
 - The kernel method outperformed the wavefunction expansion for every frequency.
- ⇒ The kernel method still has issues
- Neither method takes into consideration the distribution of the sources and receivers.
 - The methods are rather susceptible to noise contamination, and as such are vulnerable to outliers in the data.

Problem statement

- Our objective is to accurately estimate the ATF between a variable receiver/source pair $\mathbf{r}|s$ within regions in a space $\Omega \subset \mathbb{R}^3$.
- We distribute L loudspeakers in a source region $\Omega_S \subset \Omega$ and M microphones in a receiver region $\Omega_R \subset \Omega$.
- In order to interpolate the ATF between regions, we must derive an interpolation function from the $N = LM$ measurements.



Properties of the ATF

- The ATF is the superposition of two components:

$$h(\mathbf{r}|\mathbf{s}, k) = h_D(\mathbf{r}|\mathbf{s}, k) + h_R(\mathbf{r}|\mathbf{s}, k).$$

- The direct component h_D is considered to be the equivalent of a recorded signal in the free-field, caused by a point source.

$$h_D(\mathbf{r}|\mathbf{s}, k) = G_0(\mathbf{r}|\mathbf{s}, k) = \frac{e^{ik\|\mathbf{r}-\mathbf{s}\|}}{4\pi\|\mathbf{r}-\mathbf{s}\|}$$

- The reverberant component h_R satisfies the Helmholtz equation on both position variables:

$$(\nabla_{\mathbf{r}}^2 + k^2)h_R(\mathbf{r}|\mathbf{s}, k) = (\nabla_{\mathbf{s}}^2 + k^2)h_R(\mathbf{r}|\mathbf{s}, k) = 0$$

- Reciprocity for every pair $\mathbf{r}|\mathbf{s}$: $h(\mathbf{r}|\mathbf{s}, k) = h(\mathbf{s}|\mathbf{r}, k)$

Optimization objective

- Since h_D is considered known, we focus our efforts on h_R .
- The interpolation function \hat{h}_R obtained from our reverberant field measurements $\mathbf{y} = [y_1, y_2, \dots, y_N]$.
- We create the cost to be minimized:

$$\mathcal{J}(f) := \sum_{n=1}^N |y_n - f(\mathbf{q}_n)|^2 + \lambda \|f\|_{\mathcal{H}}^2, \quad f \in \mathcal{H}$$

- The vector \mathbf{q}_n represents the n -th source/receiver position pair.
- The measurement vector \mathbf{y} is obtained by removing the direct component from all impulse response recordings.

The functional space \mathcal{H} must be defined to be representative of the data

Kernel ridge regression

- When \mathcal{H} is a reproducing kernel Hilbert space (RKHS) of reproducing kernel κ , the minimizer of \mathcal{J} has a closed form:

$$\hat{h}_R(\mathbf{r}|\mathbf{s}) = \boldsymbol{\kappa}(\mathbf{r}|\mathbf{s})(\mathbf{K} + \lambda\mathbf{I})^{-1}\mathbf{y},$$

where:

$$\boldsymbol{\kappa}(\mathbf{r}|\mathbf{s}) = [\kappa(\mathbf{r}|\mathbf{s}, \mathbf{q}_1), \dots, \kappa(\mathbf{r}|\mathbf{s}, \mathbf{q}_N)],$$
$$\mathbf{K} = \begin{bmatrix} \kappa(\mathbf{q}_1, \mathbf{q}_1) & \kappa(\mathbf{q}_1, \mathbf{q}_2) & \dots & \kappa(\mathbf{q}_1, \mathbf{q}_N) \\ \vdots & \vdots & \ddots & \vdots \\ \kappa(\mathbf{q}_N, \mathbf{q}_1) & \kappa(\mathbf{q}_N, \mathbf{q}_2) & \dots & \kappa(\mathbf{q}_N, \mathbf{q}_N) \end{bmatrix},$$

and $\lambda > 0$.

- In [Ribeiro+, 2020] we have shown that if the RKHS is defined with the properties of the ATF in mind, we can achieve accurate interpolations.

Definition of the generalized Hilbert space

- We express $h_{\mathbf{R}}$ using the Herglotz wavefunction

$h_{\mathbf{R}}(\mathbf{r}|\mathbf{s}) = \mathcal{I}(\tilde{h}_{\mathbf{R}}; \mathbf{r}|\mathbf{s})$, where:

$$\mathcal{I}(f; \mathbf{r}|\mathbf{s}) := \int_{\mathbb{S}^2 \times \mathbb{S}^2} e^{ik(\hat{\mathbf{r}} \cdot \mathbf{r} + \hat{\mathbf{s}} \cdot \mathbf{s})} f(\hat{\mathbf{r}}, \hat{\mathbf{s}}) d\hat{\mathbf{r}} d\hat{\mathbf{s}}.$$

- We can thus define the Hilbert space $(\mathcal{H}, \langle \cdot, \cdot \rangle_{\mathcal{H}})$ as:

$$\mathcal{H} = \left\{ h_{\mathbf{R}} = \mathcal{I}(\tilde{h}_{\mathbf{R}}; \mathbf{r}|\mathbf{s}) : \tilde{h}_{\mathbf{R}} \in L^2(W, \mathbb{S}^2 \times \mathbb{S}^2), \right. \\ \left. \tilde{h}_{\mathbf{R}}(\hat{\mathbf{r}}, \hat{\mathbf{s}}) = \tilde{h}_{\mathbf{R}}(\hat{\mathbf{s}}, \hat{\mathbf{r}}) \quad \forall \hat{\mathbf{r}}, \hat{\mathbf{s}} \in \mathbb{S}^2 \right\}$$

$$\langle f, g \rangle_{\mathcal{H}} = \int_{\mathbb{S}^2 \times \mathbb{S}^2} \frac{\overline{\tilde{f}(\hat{\mathbf{r}}, \hat{\mathbf{s}})} \tilde{g}(\hat{\mathbf{r}}, \hat{\mathbf{s}})}{W(\hat{\mathbf{r}}, \hat{\mathbf{s}})} d\hat{\mathbf{r}} d\hat{\mathbf{s}}, \quad \forall f, g \in \mathcal{H}$$

Directional kernel formulation

- The weight function W gives the reproducing kernel κ as

$$\kappa(\mathbf{r}|\mathbf{s}, \mathbf{r}'|\mathbf{s}') = \mathcal{I} \left(W(\hat{\mathbf{r}}, \hat{\mathbf{s}}) \left(\frac{e^{-ik(\hat{\mathbf{r}} \cdot \mathbf{r}' + \hat{\mathbf{s}} \cdot \mathbf{s}')} + e^{-ik(\hat{\mathbf{r}} \cdot \mathbf{s}' + \hat{\mathbf{s}} \cdot \mathbf{r}')}}{2} \right); \mathbf{r}|\mathbf{s} \right)$$

- In [Ribeiro+, 2020], the relative position of the regions within Ω was not taken into account.
- The introduction of a weight function enables the choice of a kernel function better adapted for the region configurations.
- The weight will be separable, $W(\hat{\mathbf{r}}, \hat{\mathbf{s}}) = w(\hat{\mathbf{r}})w(\hat{\mathbf{s}})$ in order to simplify calculations and guarantee reciprocity.
- As the direct component is removed, plane wave components in the direct path are expected to be less significant.

Proposed directional kernel weight function

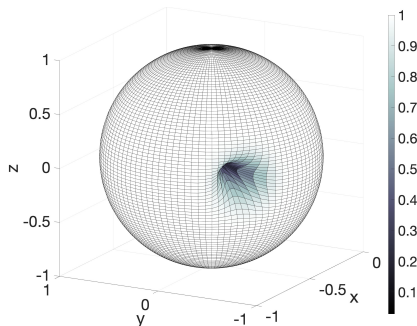
- The weight we propose for the interpolation method is:

$$w(\hat{\mathbf{v}}) = \frac{1}{4\pi} \left(1 + \gamma^2 - \frac{\cosh(\beta \hat{\mathbf{v}} \cdot \hat{\mathbf{v}}_0)}{\cosh(\beta)} \right), \quad \hat{\mathbf{v}} \in \mathbb{S}^2$$

- The direction $\hat{\mathbf{v}}_0$ is the direction connecting the centers of Ω_S and Ω_R .
- The hyperparameter β adjusts the selectivity around the direct component.
- The hyperparameter γ adjusts the minimum gain baseline of the weight.

Proposed directional kernel weight function

- Below we have an example of the weight function, represented in a gain plot.
- For a direction $\hat{\mathbf{v}}$, the distance from the center to the surface is $w(\hat{\mathbf{v}})$.



⇒ But how do we choose β and γ ?

Leave-one-out cross-validation

- Optimizing the loss function to our hyperparameters might over-condition the method to the measured data, which has noise.
- We opted instead to minimize the leave-one-out cross-validation error (LOO).

$$\text{LOO}(\mathbf{y}, \ell) = \frac{1}{N} \sum_{n=1}^N \ell \left(\hat{f}_n(\mathbf{q}_n) - y_n \right)$$

- The loss ℓ was either square error (SQE) or Tukey loss.

$$\text{SQE}(z) = |z|^2$$

$$\text{Tukey}(z) = \begin{cases} \frac{\sigma^2}{6} \left(1 - \left(1 - \frac{|z|^2}{\sigma^2} \right)^3 \right), & |z| \leq \sigma \\ \frac{\sigma^2}{6}, & |z| > \sigma \end{cases}$$

The uniform weight kernel

- For $\gamma = 1$, $\beta = 0$, we have a uniform weight $w = 1/4\pi$, κ is known to be:

$$\kappa(\mathbf{r}|\mathbf{s}, \mathbf{r}'|\mathbf{s}') = \frac{1}{2} (j_0(k\|\mathbf{r} - \mathbf{r}'\|)j_0(k\|\mathbf{s} - \mathbf{s}'\|) + j_0(k\|\mathbf{s} - \mathbf{r}'\|)j_0(k\|\mathbf{r} - \mathbf{s}'\|))$$

- This kernel function coincides with the one used in [Ribeiro+, 2020], making this estimation identical.
- The weighted kernel is an extension of this kernel function.
- This method will be the standard of comparison.

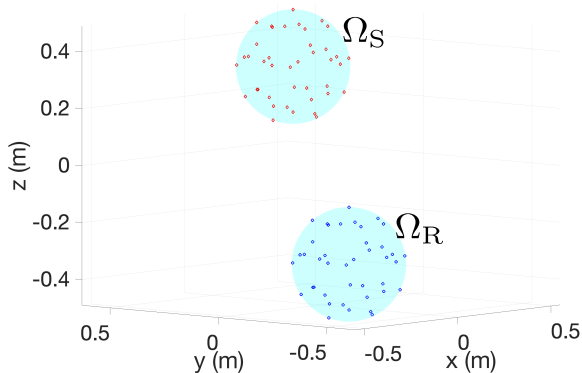
Experimental simulations

- We conducted numerical simulations with the image source method to compare both interpolation functions
- The arrays in both source and receiver regions had $L = M = 41$ points.
- Simulator conditions:

Room dimensions	[3.2, 4.0, 2.7] m
Reverberation time T_{60}	0.45 s
Reflection coefficients	[0.802, 0.866, 0.945]
Inner radius of the array	0.19m
Outer radius of the array	0.20m
Signal-to-noise ratio	20 dB

Experimental simulations

- The center of the cartesian system is at the geometric center of the room.
- The centers of the source and receiver region were $\mathbf{s}_0 = [0.35, 0.43, 0.29]^T$ m and $\mathbf{r}_0 = [-0.35, -0.43, -0.29]^T$ m.



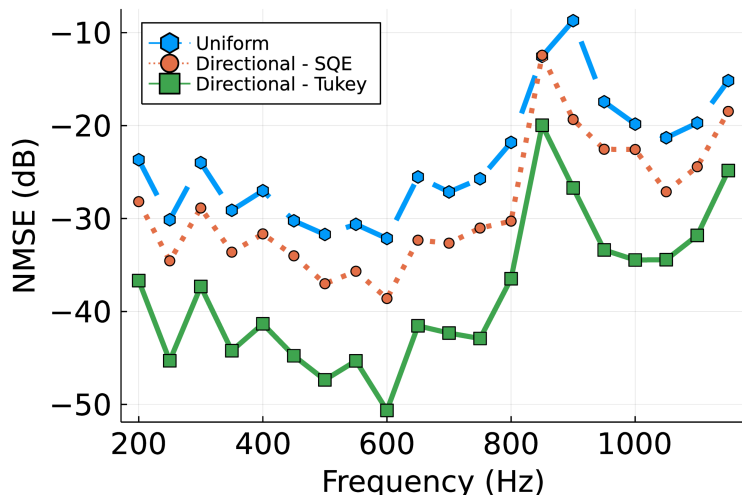
- The first criterion was the normalized mean square error (NMSE)

$$\text{NMSE} = 10 \log_{10} \left(\frac{\sum_n |\hat{h}(\mathbf{q}'_n) - h(\mathbf{q}'_n)|^2}{\sum_n |h(\mathbf{q}'_n)|^2} \right)$$

- We analyzed the NMSE for 9025 possible densely-distributed source-receiver evaluation pairs given as $\{\mathbf{q}'_n\}_{n=1}^{9025}$.
- The second criterion was the normalized square error (NSE) distribution in the regions.
- The frequency of analysis for the NSE was 950 Hz

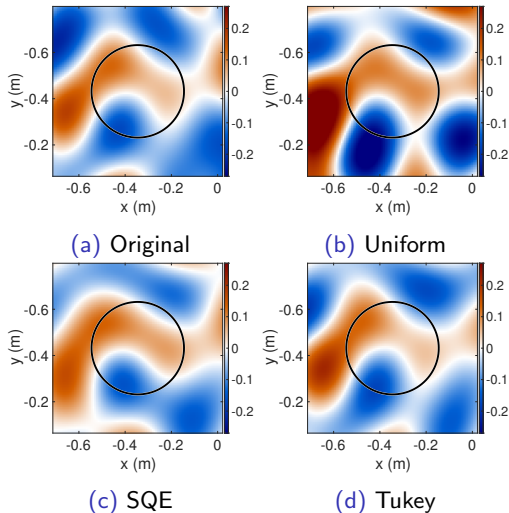
Normalized mean square error

Normalized mean square error comparison:



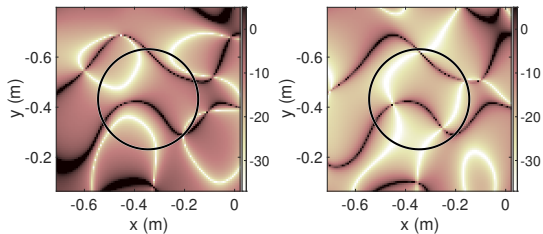
Pressure field reconstruction

Colormaps of the real part, comparing the reconstruction of the signal generated by a single source in the center of Ω_S :



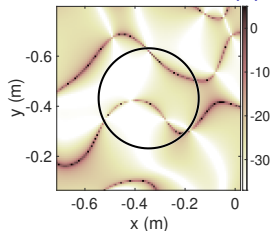
Normalized square error

Normalized square error distributions:



(a) Uniform

(b) SQE



(c) Tukey

Conclusion

In summary:

- We defined a function space \mathcal{H} using the physical properties of the ATF, which allowed us to interpolate its value for variable source and receiver positions.
- We generalized a previously established kernel formulation by adding directionality based on the expected profile of the ATF.
- This proposed formulation can be optimized using the same data points used to derive the model.
- The directional kernel estimations outperformed the uniform kernel in both mean error by frequency and in reconstructing the ATF spatially.
- Additionally, the use of a robust loss criterion also gave us better results than the standard square error.