

# DEEP LEARNING BASED OFF-ANGLE IRIS RECOGNITION

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# Topics

- ▶ Off-angle Iris recognition
- ▶ Which parts of the eye are most suited for CNN based recognition systems
- ▶ Method: CNN trained with Triplet loss

# Dataset

- ▶ 4400 iris images captured from 40 subjects
- ▶ images from  $-50^\circ$  to  $+50^\circ$  in angle with a  $10^\circ$  step-size
- ▶ 10 (gray scale) iris images per angle

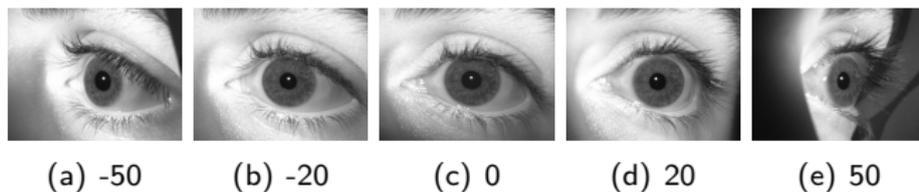


Figure: Eye image at different gaze angles

## Q1: Are different gaze angles easier or harder for iris recognition

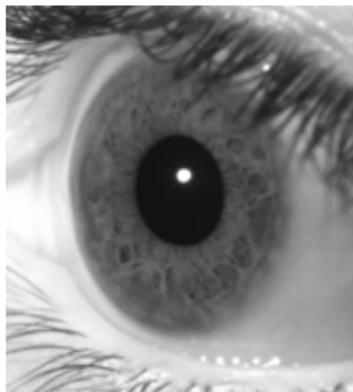
- ▶ EER is computed separately for the images of 11 different gaze angles ( $-50^\circ, -40^\circ, \dots, +40^\circ, +50^\circ$ )
- ▶ Only similarity scores between images of the same gaze angle

Q2: What is the impact of differences in the gaze angle between image

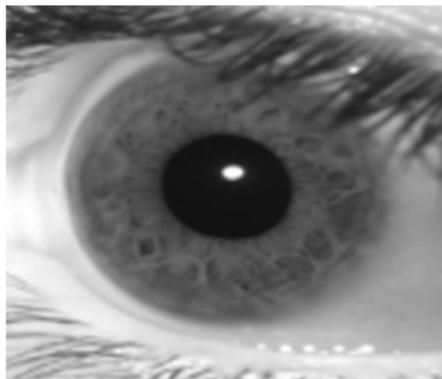
- ▶ EER is computed using only similarity scores between images with a maximum gaze angle difference of  $\theta$  with  $\theta \in \{0^\circ, 10^\circ, 20^\circ, 30^\circ, 40^\circ\}$

### Q3: Does gaze angle correction improve the results?

- ▶ Gaze angles are corrected by bringing them to the frontal view ( $0^\circ$ ).



(a) Angle:  $-50^\circ$



(b) Corrected to  $0^\circ$

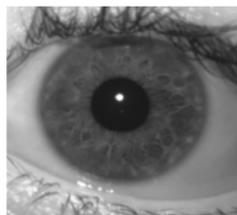
Q4: Which parts of the eye work best for the triplet loss based CNNs



(c) full eye

(d) without iris

(e) iris only



(f) iris zoomed



(g) normalized iris

# CNN Training

- ▶ 2-fold cross validation
- ▶ All images of the training fold are used for training
- ▶ Which images of the evaluation fold are used/compared depends on the research question (Q1,Q2)
- ▶ CNN architecture: SqueezeNet
- ▶ Loss function: Triplet Loss

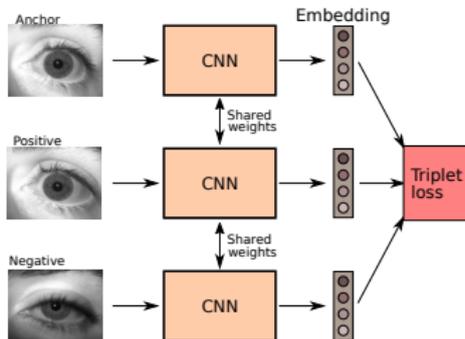
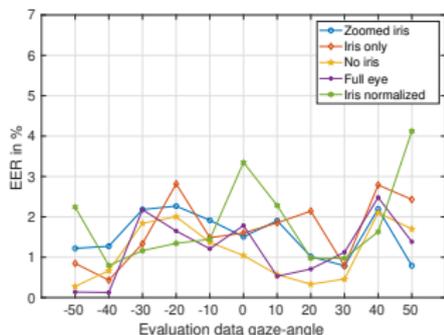
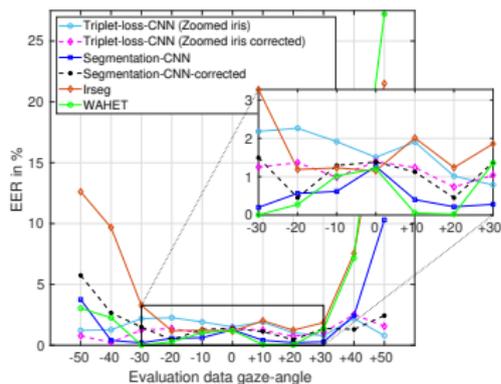


Figure: Triplet loss training

# Results for different gaze angles separately



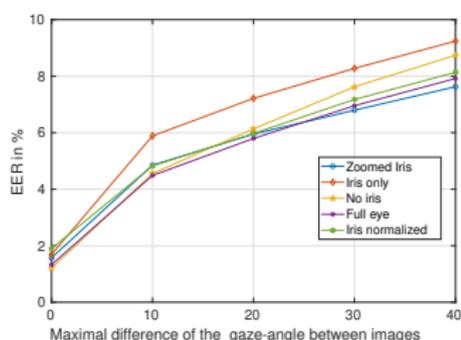
(a) Triplet Loss CNN for different parts of the eye



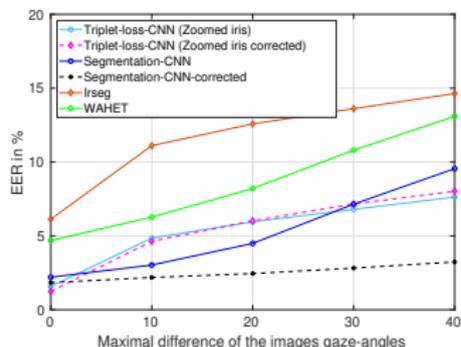
(b) Triplet CNN vs Comparison methods (Zoomed Iris, original and gaze angle corrected images)

**Figure:** Recognition results (EER in%) of the triplet loss CNN network (left) and the comparison methods (right). Only similarity scores between images of the same gaze angle are employed for EER computation

# Results for differences in the gaze angle between image



(a) Triplet Loss CNN for different parts of the eye



(b) Triplet CNN vs Comparison methods (Zoomed Iris)

**Figure:** Recognition results (EER in%) of the triplet loss CNN network (left) and the comparison methods (right) using only similarity scores between images with maximal gaze angle differences between  $0^\circ$  and  $40^\circ$

# Conclusion

- ▶ Q1: Results of the proposed CNN approach did not decrease at stronger gaze angles  
→ better choice than comparison methods for more extreme off-angle iris images ( $\geq 30^\circ$ ).
- ▶ Q2: Higher differences in the gaze angles between images deteriorate the results of our CNN approach (EER 2% at  $0^\circ$  difference and EER 8% at  $40^\circ$  difference) → Segmentation-CNN combined with gaze angle correction provides better results
- ▶ Q3 : Gaze angle correction did not improve the results of our CNN, but for the method Segmentation-CNN.
- ▶ Q4: It is not so important which parts of the eye images are used, as the results remain similar.

Thanks for your attention.  
Any questions?