



Motivation

Hybrid ASR system & Conformer Architecture

- Success of using conformer architecture for end-to-end ASR system
- Impact of conformer acoustic model for hybrid ASR never investigated

 \Rightarrow We present and evaluate a competitive conformer-based hybrid model training recipe

Efficient Training with Time Down-sampling

- Time complexity of self-attention mechanism grows quadratically with sequence length
- Different time down-sampling techniques were introduced, mainly for end-to-end systems [Chan+ 2016][Zeyer+ 2018]
- It is not straightforward to apply such down-sampling methods for models trained with frame-wise target alignment

 \Rightarrow We apply time down-sampling for efficient training and use transposed convolutions to upsample the output sequence

Conformer Architecture

Standard Conformer Architecture [Gulati+ 20]

- Conformer block consists of: feed-forward (FFN) module, multi-head self-attention (MHSA) module, convolution (Conv) module
- \blacktriangleright Let x be the input sequence to conformer block i, equations are

$$x_{FFN_1} = x + \frac{1}{2} \text{FFN}(x)$$

$$x_{MHSA} = x_{FFN_1} + \text{MHSA}(x_{FFN_1})$$

$$x_{Conv} = x_{MHSA} + \text{Conv}(x_{MHSA})$$

$$x_{FFN_2} = x_{Conv} + \frac{1}{2} \text{FFN}(x_{Conv})$$
ConformerBlock_i = LayerNorm(x_{FFN_2})

Our Proposed Conformer AM Architectur



Acknowledgements



This project has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (grant agreement nº 694537, project "SEQerc CLAS"). The work reflects only the authors' views and the European Research Council Executive Agency (ERCEA) is not responsible for any use that may be made of the information it contains. This work was partially supported by the project HYKIST funded by the German Federal Ministry of Health on the basis of a decision of the German Federal Parliament (Bundestag) under funding ID ZMVI1-2520DAT04A.

Conformer-Based Hybrid ASR System for Switchboard Dataset

Mohammad Zeineldeen^{1,2,*}, Jingjing Xu^{1,*}, Christoph Lüscher^{1,2}, Wilfried Michel^{1,2}, Alexander Gerstenberger¹, Ralf Schlüter^{1,2}, Hermann Ney^{1,2} RWTH Aachen University¹, AppTek GmbH²

Tir Us Int Ad Pa Sh tra Lo Cc

Se li.

De

aining Methods	Further Results
e Down-/Up-sampling e strided/transposed convolution for time down-/up-sampling ermediate Loss d intermediate losses at 4th and 8th conformer block eameter Sharing are paramters of the intermediate loss layers as well as the ones of hsposed convolution hgSkip nect output of the VGG network to input of each conformer block	Comparison of BLSTM and Conformer AM architecturesAMLSTM Params. (Im.Hub5'005004114.25005713.86005713.87007613.88009613.7100014613.3Conformer-8812.5
	Overall Results
type: constrained Resultsup Dataset: switchboard 300h as train set, Hub5'00 as development set and Hub5'01 as test set.Input: 40-dimensional Gammatone features Target: alignments from a triphone CART-based GMMAM: 12-blocks conformer model with 512 attention dimension (8 heads) and 2048 feed-forward dimension Recognition: 4-gram count-based language model (LM)Recognition: 4-gram count-based language model (LM)othwise Convolution Kernel Size $Kernel$ <td>Work#Epochs ApproachAMLMseq. Hub Hub frainWER [%] Hub Hub 5'00 5'01> LSTM LM single pass + Transformer rescoring[Kitza+ 2019]-HybridLSTM4-gram LSTM13.9 11.7-Transformer rescoring> Lattice-based version of state-level minimum Bayes risk (sMBR) as sequence discriminative training (seq.train)[Tüske+ 2021]250LASLSTMLSTMno11.5 11.211.2[Tüske+ 2021]250LASConf.LSTMno8.6 8.48.5[Tüske+ 2021]250LASConf.LSTMno$12.5$$12.5$[Ustation of state-level minimum Bayes risk (sMBR) as sequence discriminative training (seq.train)$11.9$$11.9$$11.9$</br></br></br></br></br></br></br></td>	Work#Epochs ApproachAMLMseq. Hub Hub frainWER [%] Hub Hub 5'00 5'01> LSTM LM single pass + Transformer rescoring[Kitza+ 2019]-HybridLSTM 4 -gram LSTM 13.9 11.7-Transformer rescoring> Lattice-based version of state-level minimum Bayes risk (sMBR) as sequence discriminative training
8 8.1 16.8 12.5 8 59 8.1 17.3 12.7	Conclusion
16 8.2 17.6 12.9 32 8.4 18.0 13.2 ne Downsampling Factors and Variants 12 88 8.1 16.8 12.5	Efficient and Competitive Conformer Acoustic Model For the first time a training recipe for a conformer-base hybrid model
WER [%] Factor Train time [h] WER [%] Method WER [%] Hub5'00 SWB CH Total Hub5'00 SWB CH Total BLSTM+maxpool 8.2 17.0 12.7 3 0.92 8.1 16.8 12.5 VGG-layer2 8.4 17.7 13.1	 is evaluated We combined different training methods from the literature that boosted the WER We applied time down-sampling using strided convolution to speed u training and used transposed convolution as a simple method to

Tin _____

raining Methods	Further Results			
ne Down-/Up-sampling	Comparison of BLSTM and Conformer AM architectures			
se strided/transposed convolution for time down-/up-sampling <u>ermediate Loss</u> Id intermediate leases at 4th and 8th conformer block	AM LSTM Params. dim. [M] Hub5'00 BLSTM AM: 6 layers and with SpecAugment			
in intermediate losses at 4th and 8th conformer block trameter Sharing	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$			
nare paramters of the intermediate loss layers as well as the ones of	BLSTM 700 76 13.8 parameters, conformer AM 000 000 10.7 outportforme BLSTM AM by			
Insposed convolution InaSkip	800 96 13.7 Outpending bits bits not All by 1000 146 13.3 around 9% relative			
onnect output of the VGG network to input of each conformer block	Conformer - 88 12.5			
xperiments and Results	Overall Results			
<u>stup</u> Dataset: switchboard 300h as train set, Hub5'00 as development	Work#EpochsApproachAMLMseq. trainWER [%] Hub Hub 5'00 5'01LSTM LM single pass + Transformer			
set and Hub5'01 as test set.	[Kitza+ 2019] - Hybrid LSTM $\frac{4-\text{gram}}{\text{LSTM}}$ yes $\frac{13.9}{11.7}$ - rescoring			
Target: alignments from a triphone CART-based GMM	[Zhou+ 2021]100RNN-TLSTMLSTM11.511.5Lattice-based[Zhou+ 2021]100RNN-TLSTMIno11.211.2Version of			
AM: 12-blocks conformer model with 512 attention dimension (8	[Tüske+ 2020] 250 LAS LSTM LSTM no 9.8 10.1 state-level			
heads) and 2048 feed-forward dimension Recognition: 4-gram count-based language model (LM)	[Tüske+ 2021] 250 LAS Conf. LSTM no 9.9 10.1 minimum Bayes Trafo 8.6 8.5 8.4 8.5 risk (sMBR) as			
Performed Wernel Wernel Number of Conformer Blocks Kernel WER [%] WER [%] WER [%] L Params. Hub5'00	ours27HybridConf.4-gram LSTM12.512.1 11.3Sequence discriminative training			
Size Size Size SWB CH Total	LSTW yes 10.7 10.1 (seq.train) Trafo 10.3 9.7			
6 8.4 17.1 12.8 6 42 8.5 18.0 13.3 8 81 168 125 8 59 81 17.3 12.7	Conclusion			
16 1010 1210 1010 1210 16 8.2 17.6 12.9 12 88 8.1 16.8 12.5				
32 8.4 18.0 13.2	Efficient and Competitive Conformer Acoustic Model			
	is evaluated			
FactorTrain time [h]WER [%]Hub5'00MethodHub5'00	We combined different training methods from the literature that			
SWB CH Total SWB CH Total 1 1 2 1 2 1 1 1	 We applied time down-sampling using strided convolution to speed u 			
	ve applied time down-sampling using strued convolution to speed u			
2 1.20 0.3 10.4 12.4 3 0.92 8.1 16.8 12.5	training and used transposed convolution as a simple method to			

VGG-layerX: strided convolution as X^{th} layer of VGG network

Ablation Study of Training Methods

	WER [%]		
Training method	Hub5'00		
	SWB	CH	Total
Baseline	8.1	16.8	12.5
- SpecAugment	9.8	21.5	15.7
- Intermediate loss	8.9	18.1	13.5
- Share transp. conv params.	8.5	17.3	12.9
- LongSkip	8.1	17.2	12.7
- Focal Loss	8.1	17.0	12.6
+ Share MLP params.	8.2	16.9	12.5

layer with 512 units followed by time max-pooling layer

- SpecAugment is the most important and gives 20% relative improvement
- Intermediate loss helps better convergence and achieves 7% relative improvement

- adaptation and longer training

References

- SPEECH 2019, pp. 754–758
- Speech Recognition. ICASSP 2021, pp. 5644–5648
- ► [Tüske+ 2021] Z. Tu ske, G. Saon, B. Kingsbury. On the Limit of English Conversational Speech Recognition. INTERSPEECH 2021, pp. 2062-2066

- ► [Chan+ 2016] W. Chan, N. Jaitly, Q. Le, O. Vinyals. Listen, Attend and Spell: A Neural Network for Large Vocabulary Conversational Speech Recognition. In ICASSP. pp. 4960-4964

Email: {zeineldeen, schlueter, ney}@cs.rwth-aachen.de, jingjing.xu@rwth-aachen.de

Further improvement possible with speed perturbation, speaker

▶ [Kitza+ 2019] M. Kitza, P. Golik, R. Schlu ter, H. Ney. Cumulative Adaptation for BLSTM Acoustic Models. INTER-

► [Zhou+ 2021] W. Zhou, S. Berger, R. Schlu ter, H. Ney. Phoneme Based Neural Transducer for Large Vocabulary

▶ [Tüske+ 2020] Z. Tu ske, G. Saon, K. Audhkhasi, B. Kingsbury. Single Headed Attention Based Sequence-to-Sequence Model for State-of-the-Art Results on Switchboard. INTERSPEECH 2020, pp. 551–555

▶ [Gulati+ 20] A. Gulati, J. Qin, C. Chiu, N. Parmar, Y. Zhang, J. Yu, W. Han, S. Wang, Z. Zhang, Y. Wu, R. Pang.

Conformer: Convolution-augmented Transformer for Speech Recognition. INTERSPEECH 2020, pp. 5036–5040 ► [Zeyer+ 2018] A. Zeyer, T. Alkhouli, H. Ney. RETURNN as a Generic Flexible Neural Toolkit with Application to Translation and Speech Recognition. Annual Meeting of the Assoc. for Computational Linguistics 2018