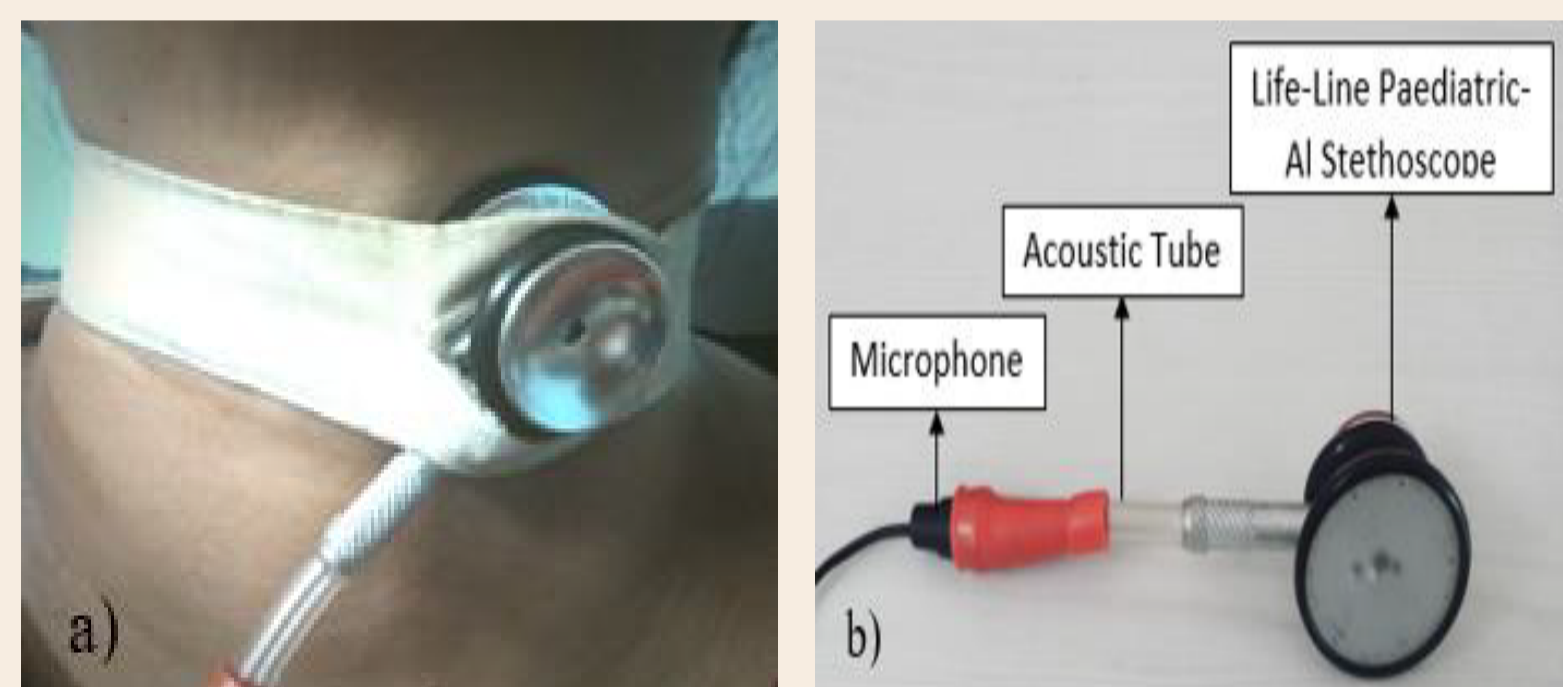


## Introduction

- ▲ **Dysphagia** - difficulty in swallowing food
- ▲ Dysphagia can potentially cause aspiration, dehydration & malnutrition
- ▲ Swallowing can be studied non-invasively through **Cervical Auscultation (CA)**
  - ▶ CA captures vibrations from the surface of the throat when swallowing food bolus
  - ▶ ... and gives reliable representations just as golden standards such as VideoFluoroScopy<sup>[1]</sup>
- ▲ **Objective:**
  - ▶ Learn acoustic representations to classify swallows as healthy or dysphagic

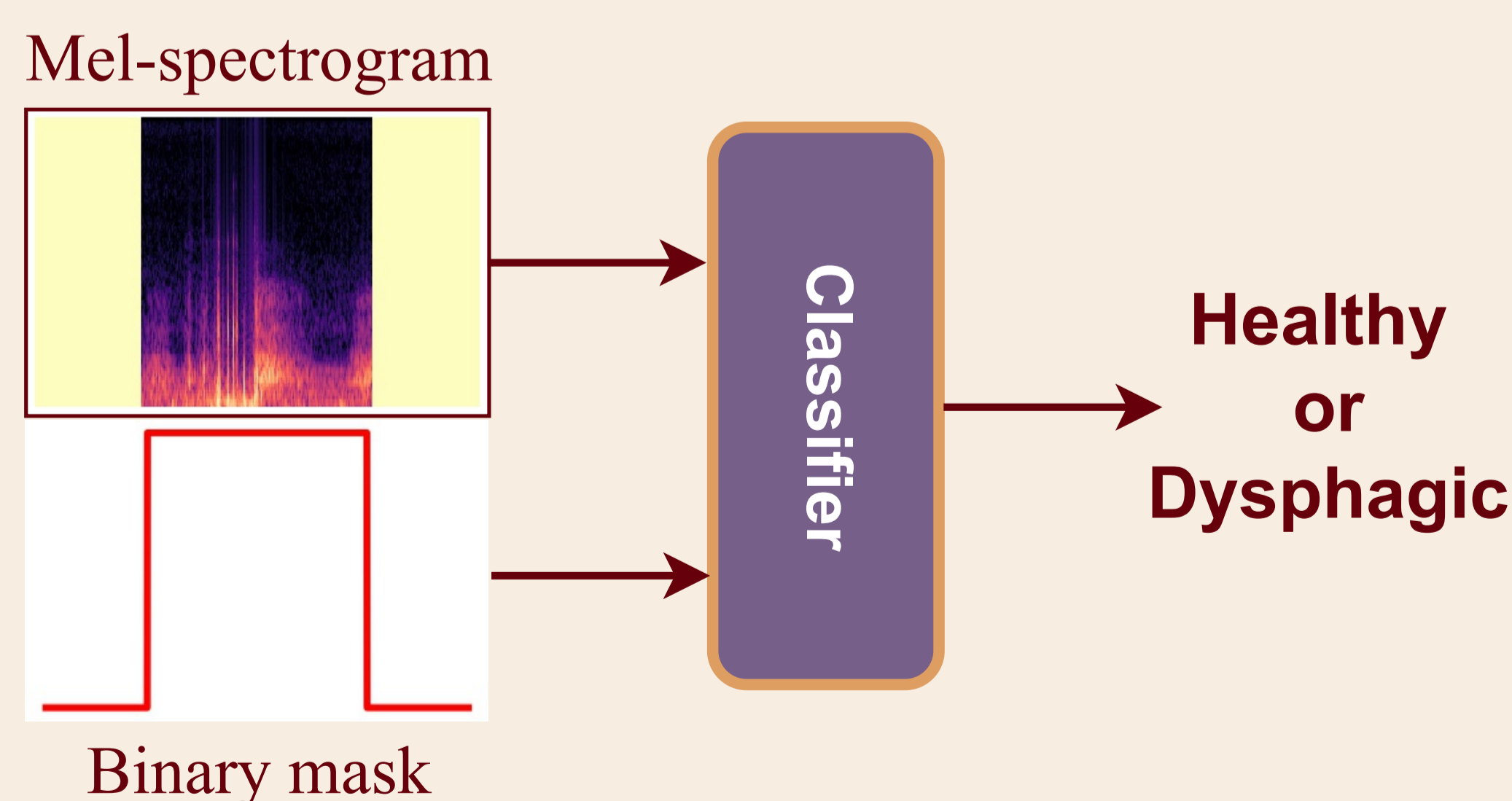
## Dataset

- ▲ 24 subjects: 14 healthy controls, 10 patients



- ▲ **CA device setup:** Paediatric-AI Stethoscope connected to microphone (30Hz - 15000Hz) was patched on throat surface posterior to cricoid cartilage, around the trachea
- ▲ **Swallow tasks:** Dry, 5ml, 10ml and 15ml of water, 3-4 times per subject
- ▲ **172 healthy & 118 dysphagic swallow recordings in total - Dataset InD**

## Swallow features and process outline



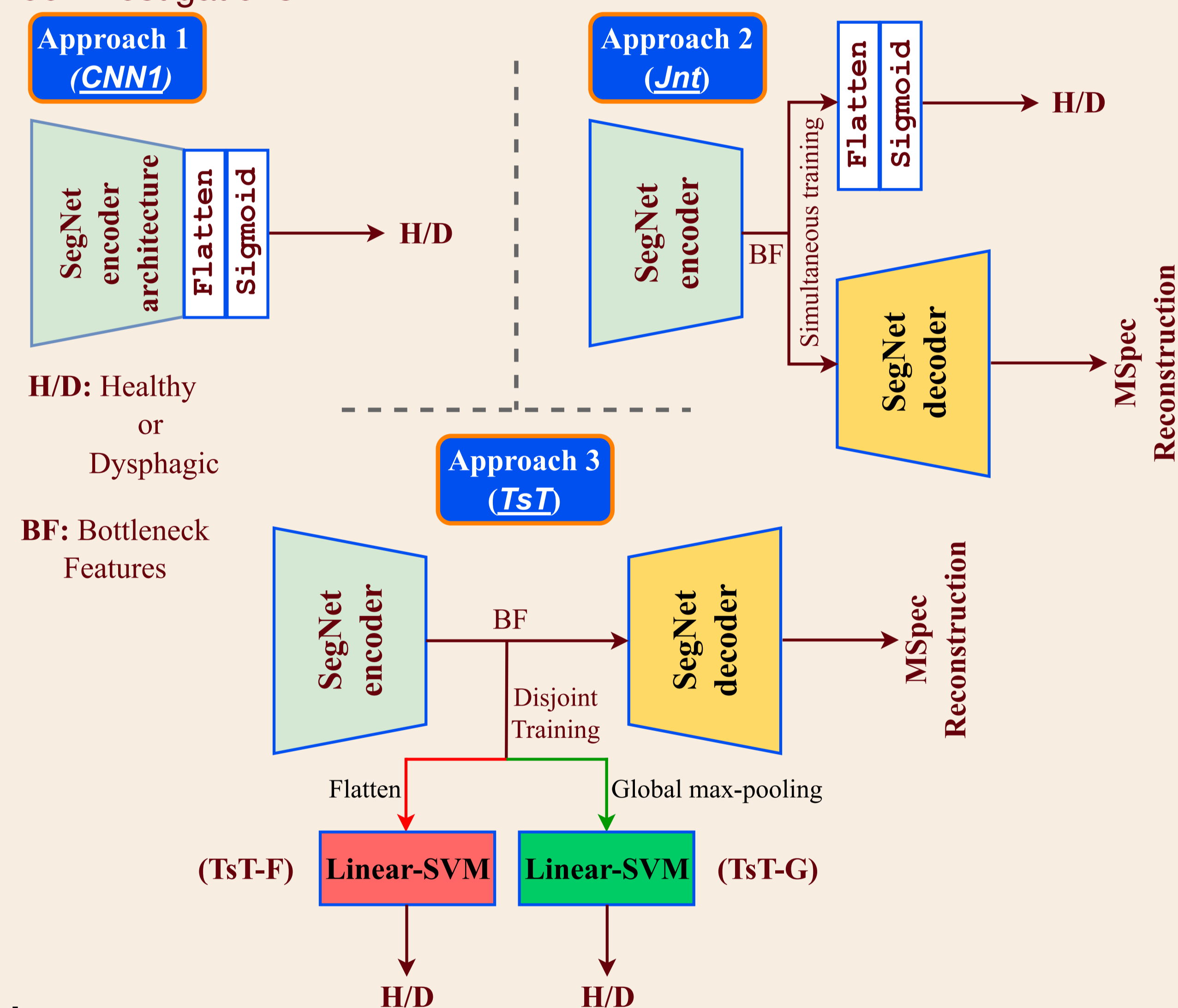
- ▲ **Input feature:** Mel-Spectrogram (MSpec) for every swallow signal was computed with 20ms long hamming window and 2ms long hop
  - ▶ **Pre-processing** - MSpecs (not signals) padded with zeros to equalize time length of all MSpecs

## Classification pipeline

- ▲ 1D-CNN (13 layers) SegNet-based<sup>[2]</sup> feature learner
- ▲ Linear-SVM classifier
- ▲ MSpecs multiplied with binary 1D-mask prior to convolution layers in SegNet

## Proposed approaches & Experimental Setup

- ▲ Three investigations



- ▲ **Setup:**

- ▶ 5 **groups** of 24 subjects with **two** patients and **three** controls in every group - 3 groups as training set, 1 group each for validation and test sets (with no common subjects); 5-fold CV
- ▶ SegNet reconstruction loss: MSE, validation accuracy based selection of SVM regularization parameter
- ▶ Baseline scheme (BLS) comparison<sup>[3]</sup>: fourier transform and spectrogram features to train an RBF-SVM classifier

## References

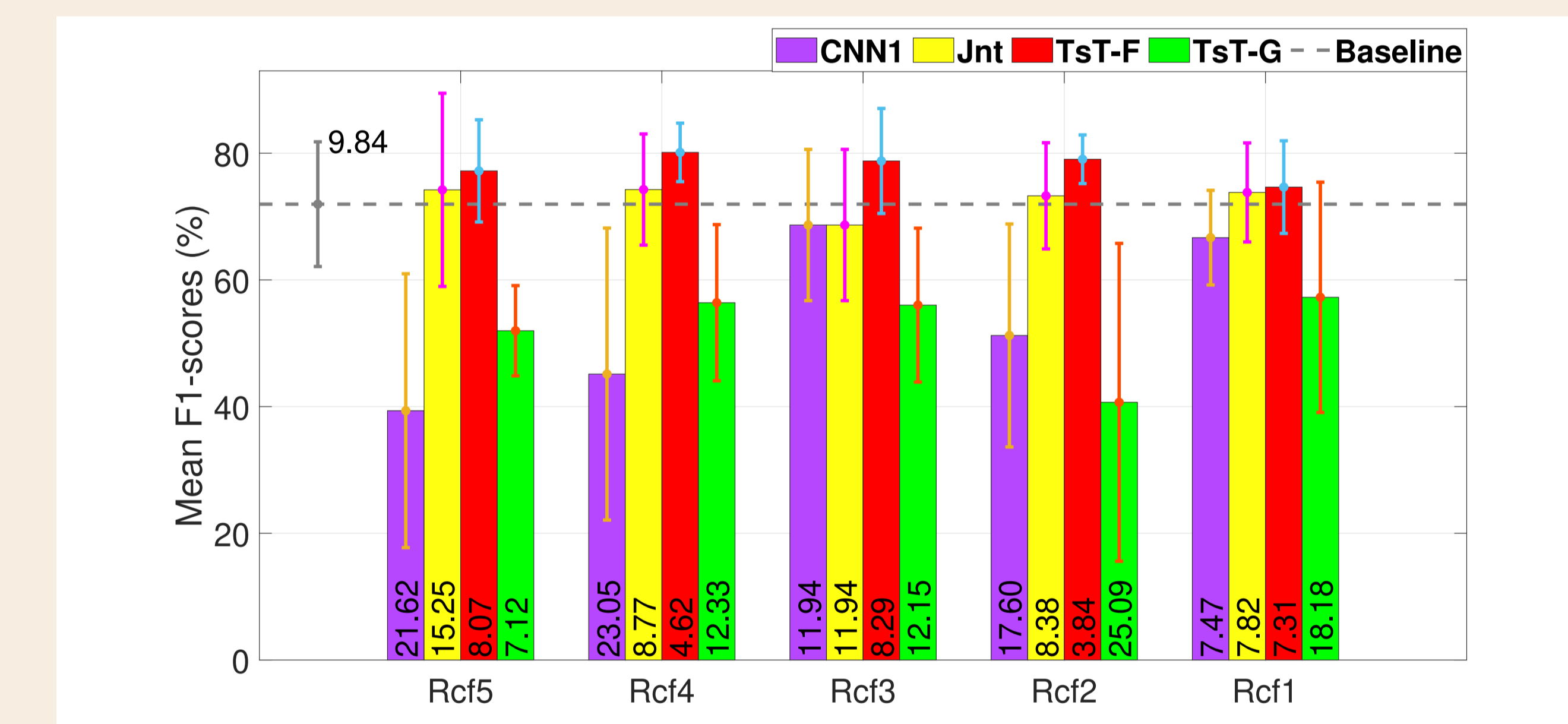
- [1] Geovana de Paula Bolzan et al., "Contribution of the cervical auscultation in clinical assessment of the oropharyngeal dysphagia," Revista CEFAC, vol. 15, no. 2, pp. 455-465, 2013.
- [2] Vijay Badrinarayanan et al., "Segnet: A deep convolutional encoder-decoder architecture for image segmentation," IEEE trans. on pattern analysis and machine intelligence, vol. 39, no. 12, pp. 2481-2495, 2017.
- [3] Shigeyuki Miyagi et al., "Classifying dysphagic swallowing sounds with support vector machines," in Healthcare. Multidisciplinary Digital Publishing Institute, 2020, vol. 8, p. 103.

## Results & Discussion

- ▲ Depth analysis: 5 "RCF-i-trials" with bottleneck features from each of the 5 progressing maxpooling layers in SegNet encoder
  - ▶ Learning at different depths identifies time-scale resolution that maximizes performance
  - ▶ Each maxpooling layer has Receptive Field Size (RCF) of 3, 10, 26, 58, 122 respectively
- ▲ Best: TsT-F in RCF4-trial with a mean (across folds) test F1-score of **80.13%**

	Mean F1-score (%)	Mean Sensitivity (%)	Mean Specificity (%)	Mean SD (%)
CNN1	54.21	43.05	62.14	±16.33
Jnt	72.84	72.91	62.92	±10.43
TsT-F	77.96	78.29	66.98	±6.43
TsT-G	52.46	49.28	47.73	±14.97

Baseline F1-score = 71.95%, Sensitivity = 76.67%, Specificity = 42.92%



- ▲ BLS suffered on InD (larger and imbalanced data)
- ▲ Jnt performance only competitive with BLS but still showed low F1-scores
- ▲ TsT-F outperformed all approaches, including TsT-G, with highest mean F1-score and lowest mean SD across all RCF-i-trials

## Conclusion

- ▲ Unsupervised feature learning followed by supervised training performed better than fully supervised training
- ▲ Performance variation at different depths hints the influence of swallow phase transition patterns
- ▲ **Future directions**
  - ▶ Adapt proposed method to identify severity of dysphagia
  - ▶ Explore time-scale analysis to outline spectral signatures pertaining to levels of severity

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