

Adaptive and Scalable Compression of Multispectral Images using VVC

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Outline

- Multispectral image compression
 - Motivation and Goals
- Brief overview of state of the art methods
- Dataset
- Methods
 - "Plain" VVC (used as baseline)
 - PCA VVC
 - Hybrid principle component with least squares (HPCLS)
 - HPCLS-RGB (Scalable approach)
- Conclusions

Multispectral image compression

- Introduction
 - RGB images subdivide the light spectrum into three overlapping bands
 - Undersampling; information loss
 - Multispectral images subdivide the spectrum into more bands
 - Captures more information
 - High spectral resolution results in large data
 - High correlation in spectral dimensions
- Applications:
 - Satellite imaging
 - Space imaging
 - Fraud detection in documents
 - Food processing
 - Biological tissue analysis
 - ...

Goals

- Optimum compression scheme for multispectral imaging
- Incorporate spectral scalability
 - Base layer interpretable by the human visual system
 - Added enhancement layer for full reconstruction
 - Separately decodable
- Study the impact of spectral scalability on compression

State-of-the-art methods for the compression of Multispectral images

- Transform-based compression
 - PCA + 2D DWT[1]
 - Spectral partitioning + PCA + JPEG2000 [2]
 - 3D DWT [3]
 - 3D DCT + SVM [4]
 - HyperLCA transform [5]
- Prediction-based compression combining inter-band and intra-band prediction
 - Adaptive filtering approach [6]
 - Reordering of bands before applying prediction [7], [8], [9]
 - Block-based prediction [10]
 - Super-pixel for prediction [11]
- Network Based approaches
 - Deep Spectral reconstruction [12]

PCA : Principle Component Ananalysis
DWT : Discrete Wavelet Transform
DCT : Discrete Cosine Transform
SVM : Support Vector Machine
HyperLCA : Lossy Compression Algorithm for
Hyperspectral Image Systems

Dataset

- ARAD HS data set published for NTIRE2020 challenge
- 10 validation images are selected for experiments
- Each image of size 512×482 is cropped into 256×256 , resulting in 40 images
- Each MSI has 31 bands
- Sampled at 10nm increments in 400-700 nm range

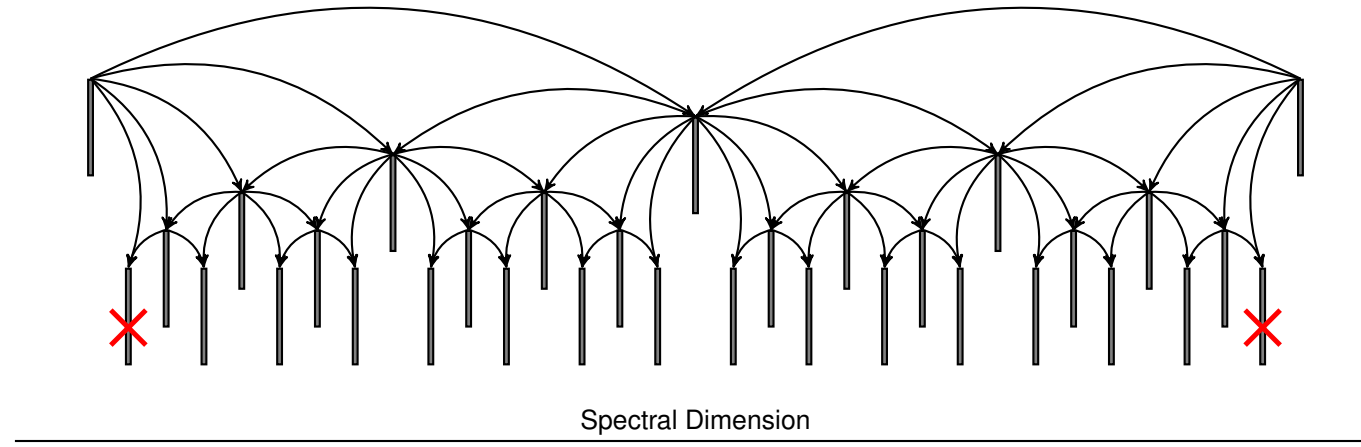


Example images from ARAD HS data set [13]

Methods

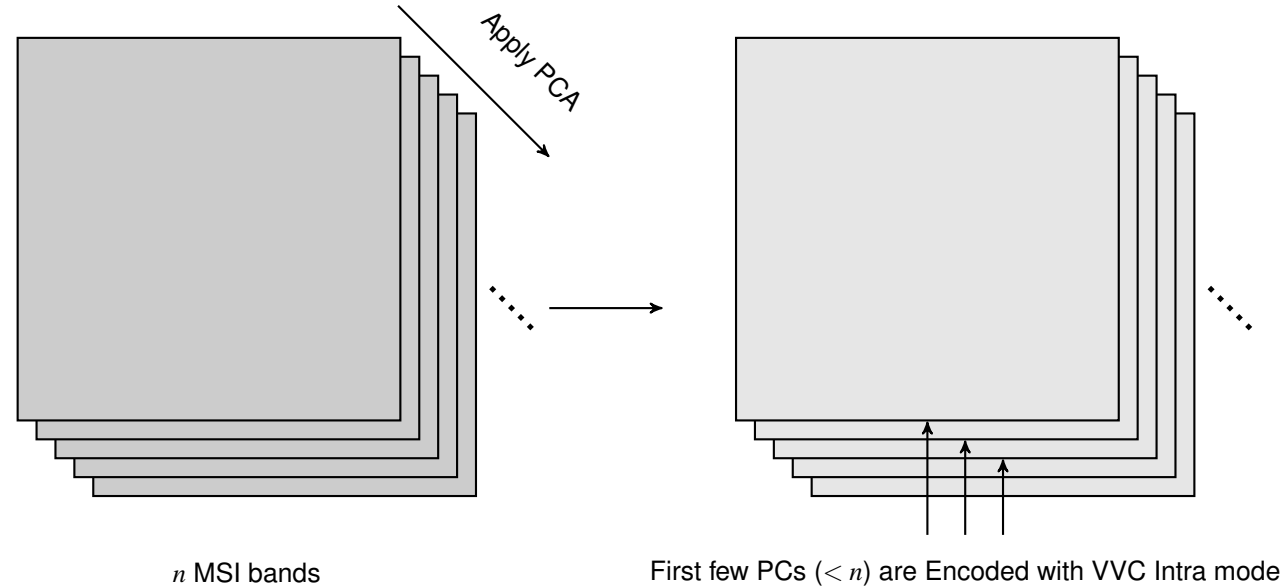
- The following methods are analyzed in a test environment for initial experiments
 - 3D-DCT, PCA-DCT, Auto-encoder, Hybrid Least Squares Predictor (HLS), Hybrid Principle Component Least Squares Predictor (HPCLS)
- The following novel methods are implemented using VVC reference software [14]
 - Plain VVC
 - PCA-VVC
 - HPCLS
 - HPCLS-RGB (Scalable approach)
- The algorithms are evaluated using PSNR and compressed file size measured in bits
- The results are averaged over all the images

Plain VVC



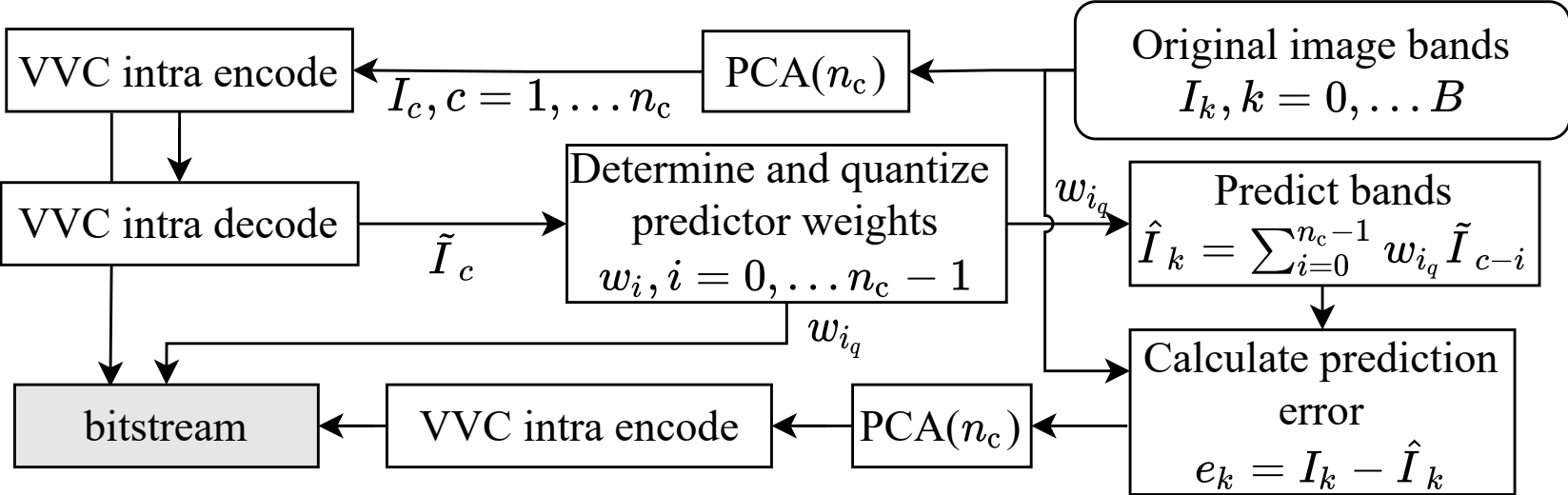
Multispectral images are encoded using VVC reference software (VTM 15.0)

- Temporal prediction concepts are applied to spectral decorrelation.
- Default random access configuration with GOP-32 is modified to be used.
- Bands are kept in sequential order.
- Apart from key pictures, all other bands are encoded with the same QP, key pictures with a QP offset of -3.
- QP is chosen from [5,50] with a step size of 5.



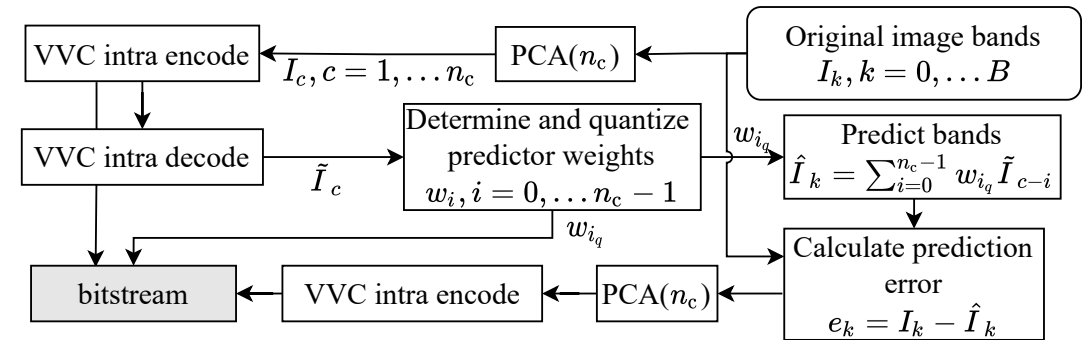
- PCA is applied for spectral decorrelation.
- Only the first few principle component images are transmitted.
- Number of tested principle components to be transmitted in error images $n_c \in [1, 10]$.
- PCA basis vectors are quantized with $\Delta_y = 2^{-13}$ and transmitted.
- VVC intra mode is used for spatial decorrelation.

HPCLS - Hybrid principle component with least squares

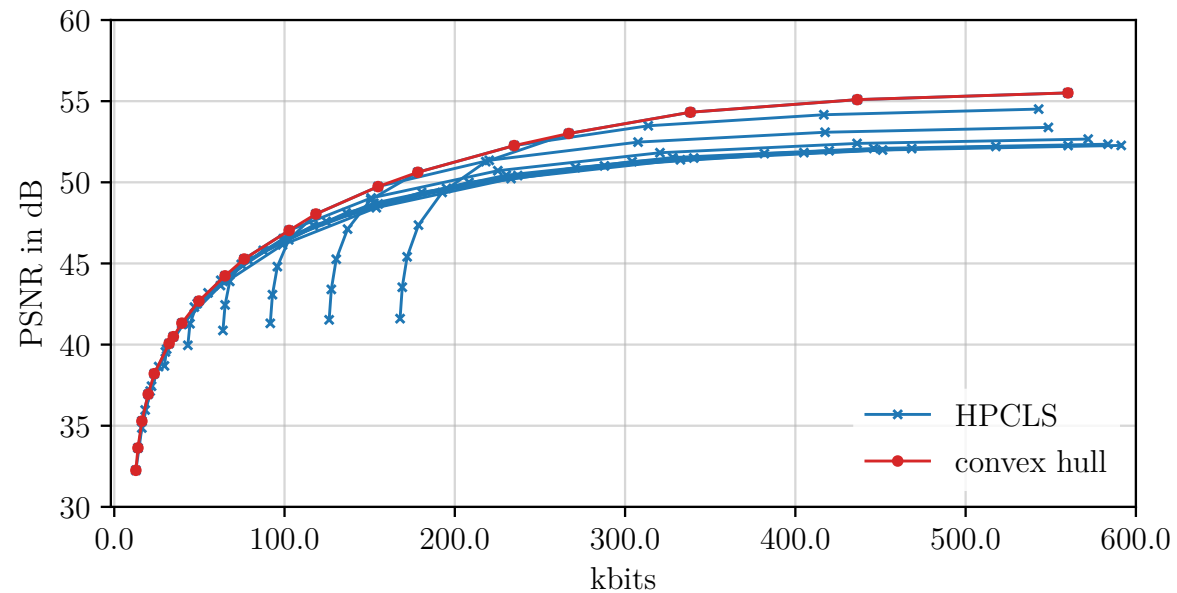


HPCLS - Hybrid principle component with least squares

- A test environment where VVC was replaced by DCT, is used to narrow down parameter search
- The following subset of parameters are tested using VVC
 - Number of reference bands for prediction $n_{\text{ref}} \in \{1, 2, 3\}$
 - QP for reference bands $q_{\text{ref}} \in [5, 50]$.
 - Number of principle components in error images $n_c \in [1, 6]$
 - Block size $S_p = 64$
 - PCA basis vectors are quantized with $\Delta_v = 2^{-13}$ and transmitted.
 - Predictor weights are quantized with $\Delta_w = 2^{-12}$ and transmitted.

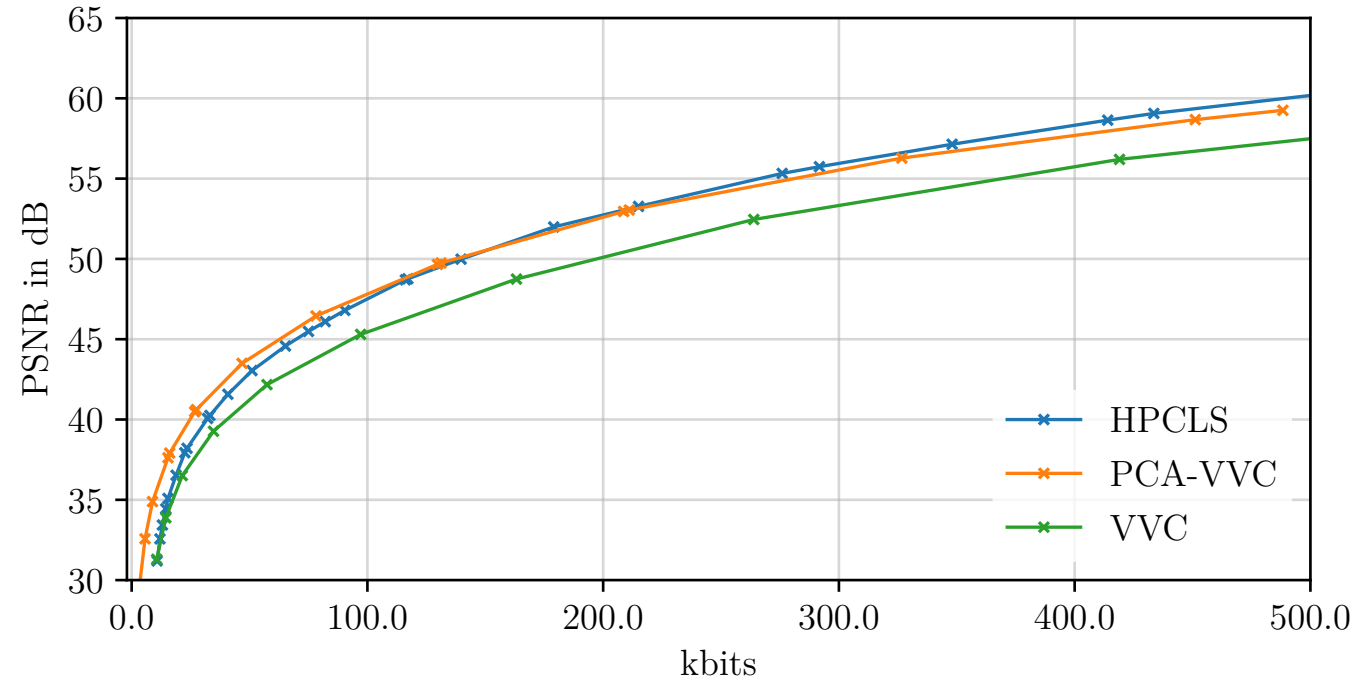


HPCLS parameter setting



- HPCLS for varying q_{ref} with $n_{\text{ref}}=1$ and $n_c=3$ fixed.
- Lower q_{ref} shifts the RD curve towards the to right.
- The convex hull is established by the best-performing rate points.
- This parameter setting is used for further comparison.

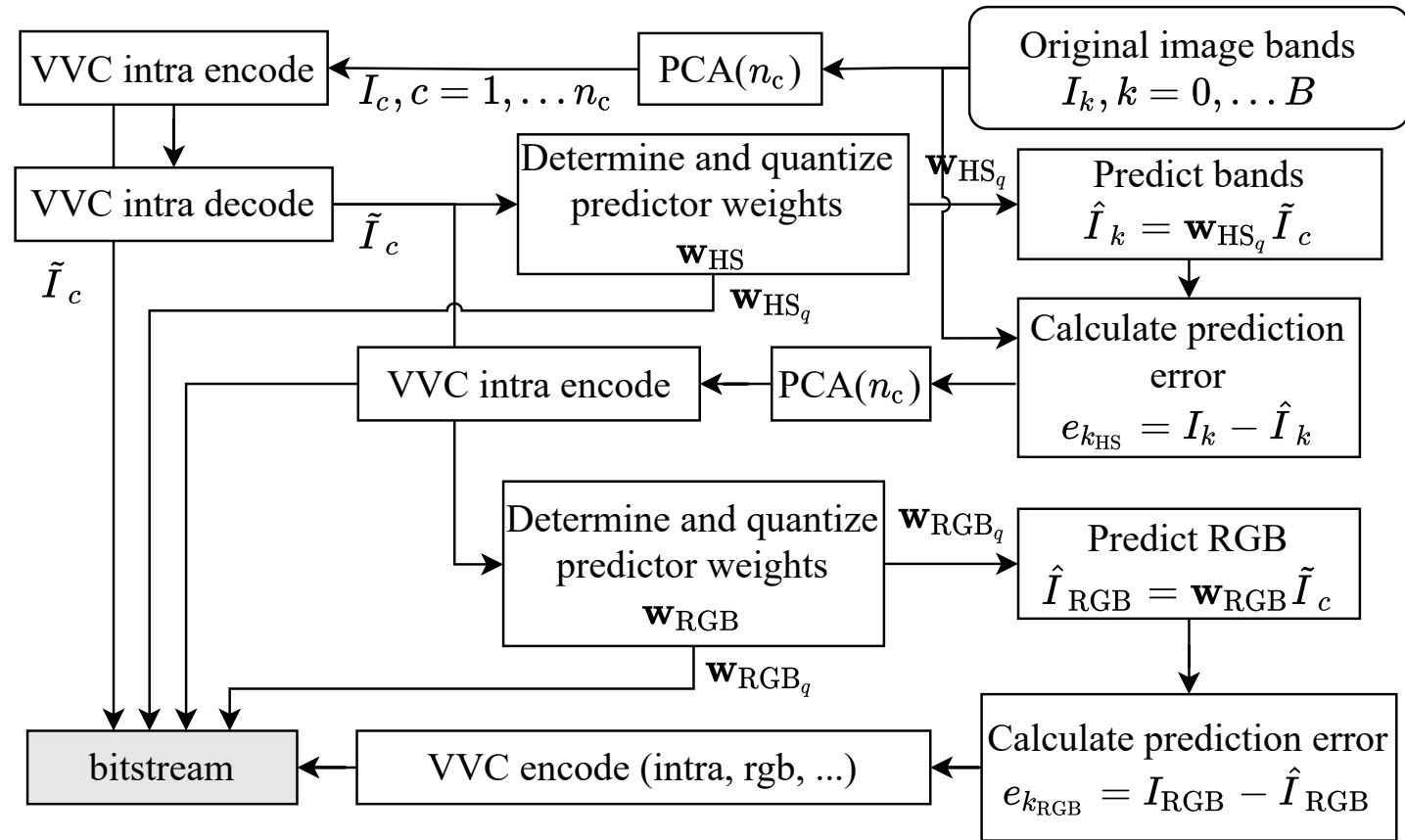
Comparison of HPCLS, PCA-VVC and "plain" VVC



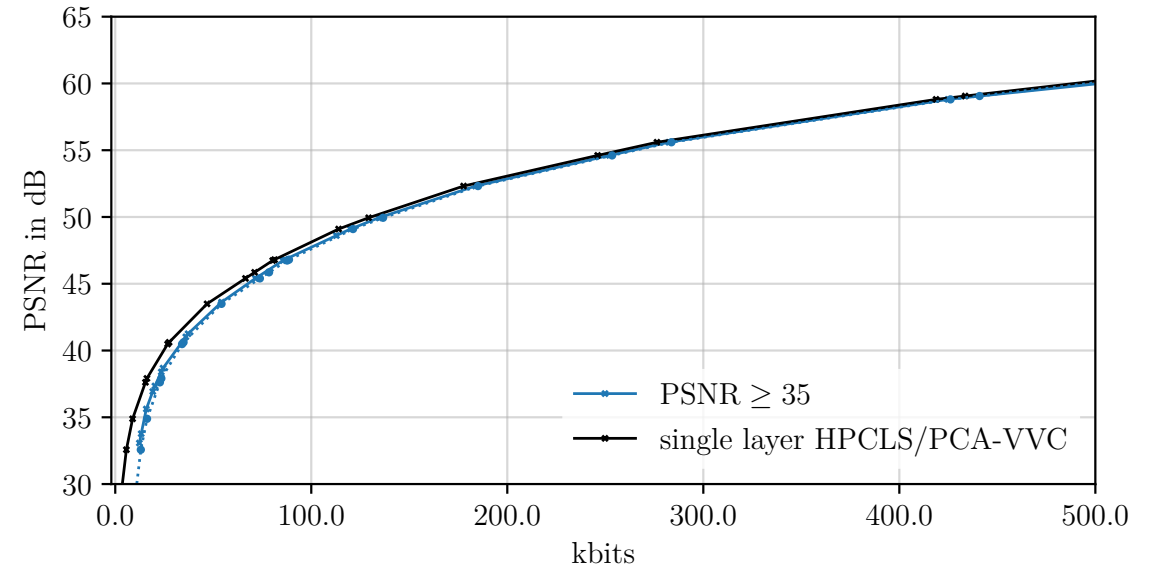
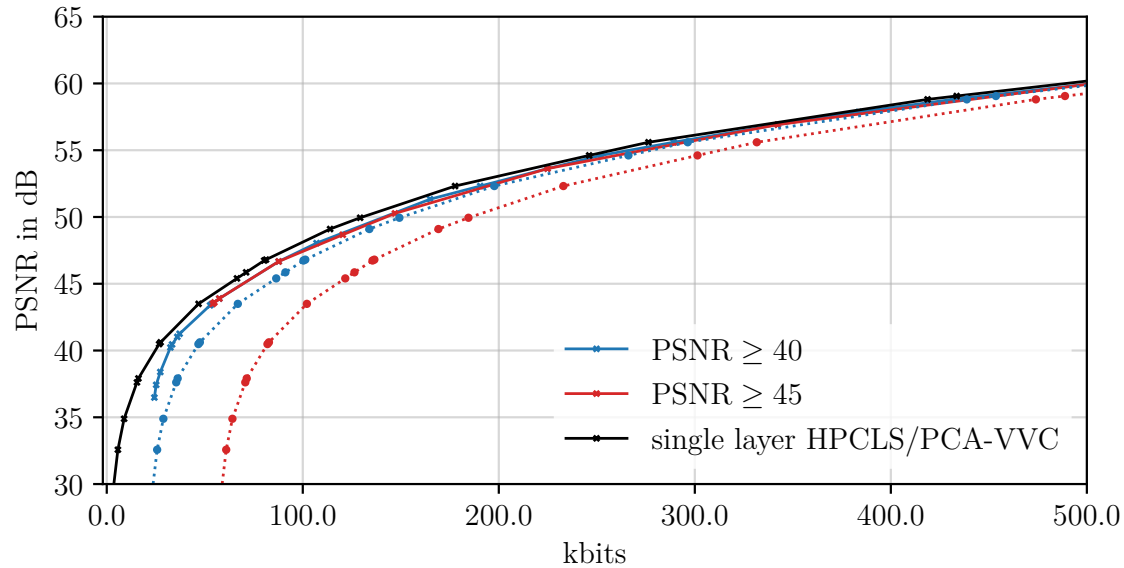
The convex hull RD curves for PCA-VVC and HPCLS is compared against "plain" VVC.

HPCLS-RGB (scalable approach)

- Parameter setting for convex hull is used as HPCLS.
- Additionally varying QP to encode residuals for RGB images.
- This technique is compared with "simulcast" which is a technique to add an RGB preview to compressed non-scalable approach.



HPCLS-RGB vs simulcast



Comparison of simulcast HPCLS/PCA-VVC (dotted) and HPCLS-RGB (solid) using adaptive and scalable coding structures for three different qualities of the RGB preview (35, 40 and 45 dB PSNR), plotted against single layer HPCLS/PCA-VVC, which does not provide a preview.

Summary and Conclusions

- Summary
 - State of the art methods to compress multispectral images
 - Description and comparison of "Plain" VVC, PCA-VVC, HPCLS
 - Description of the novel scalable approach HPCLS-RGB
 - Comparison of HPCLS-RGB against the simulcast method (best RD points combining HPCLS and PCA-VVC)
- Conclusions
 - For high RGB reconstruction quality and low MSI reconstruction quality, HPCLS-RGB outperforms the simulcast significantly
 - The non-scalable HPCLS outperforms PCA-VVC for reconstruction quality above 51db
 - A superior entropy coding scheme for the predictor weights might be helpful

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- [12] Tarek Stiebel and Dorit Merhof. “Linear Spectral Estimate Refinement for Spectral Reconstruction from RGB”. In: *Color and Imaging Conference (2020)*. DOI: [10.2352/issn.2169-2629.2020.28.41](https://doi.org/10.2352/issn.2169-2629.2020.28.41).
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