



# Hierarchical VAE Based Semantic Communications for POMDP Tasks

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## INTRODUCTION

### Background

Semantic communication, a potential 6G technology, focuses on efficiently transmitting compact information for tasks without compromising performance, even with limited bandwidth. This is especially beneficial for dynamic tasks like remote control.

### Semantic communication for POMDP tasks

- Rich semantics in observation and action spaces.
- Offering diverse control scenarios.
- Delivering a well-defined semantic objective that is closer to the fundamental challenge of semantic emergence.

### Main challenges

- The extraction of decision semantic information.
- The trade-off between sufficiency and compactness.
- Low sample efficiency and poor generalization.

## Hierarchical VAE for RL

- Hierarchical coding
  - $z_1$ : sufficient feature, encode enough information of obs.
  - $z_2$ : compact feature, encode task-relevant information.
- Concatenate  $z_1$  and  $z_2$  as the transmission feature.
- Using reinforcement learning training to approximately optimize the first term of the KL objective.

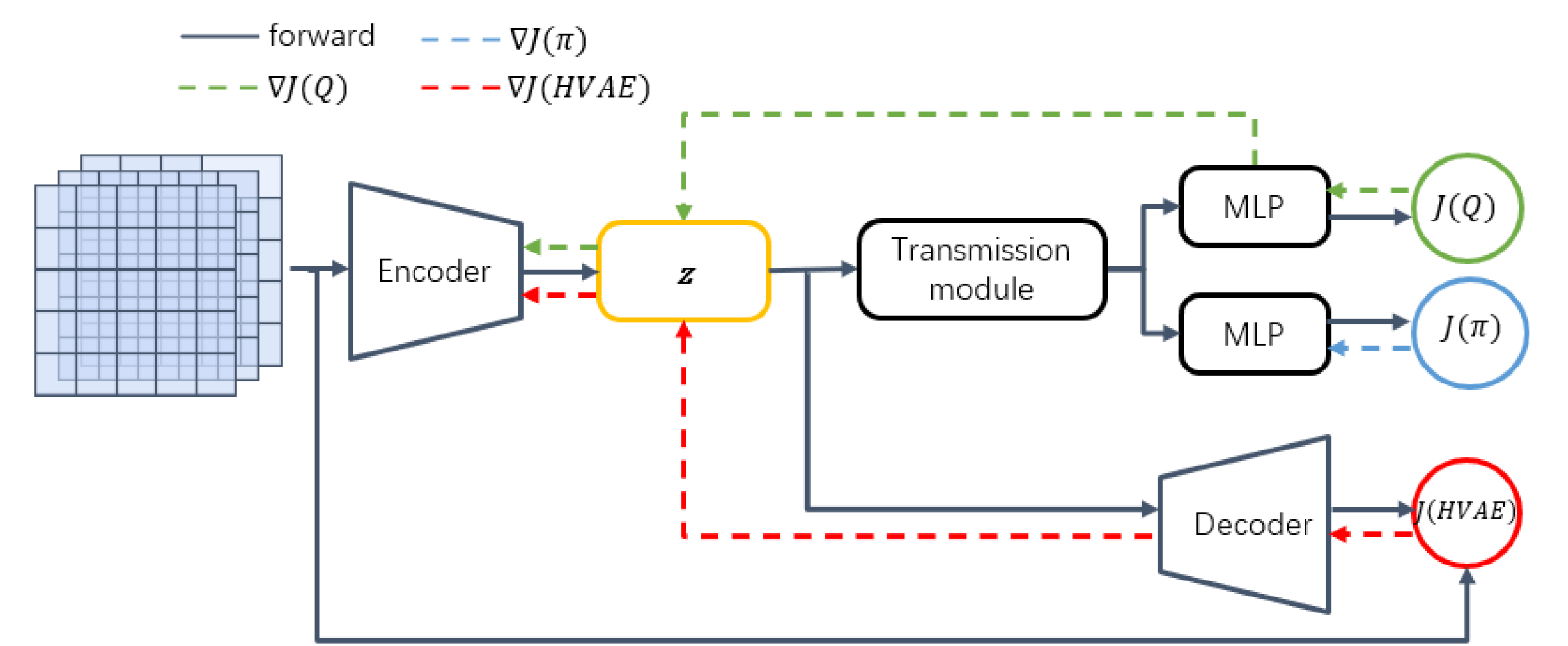


Fig. 3 The implementation of HVRL.

## METHODOLOGY

### System model

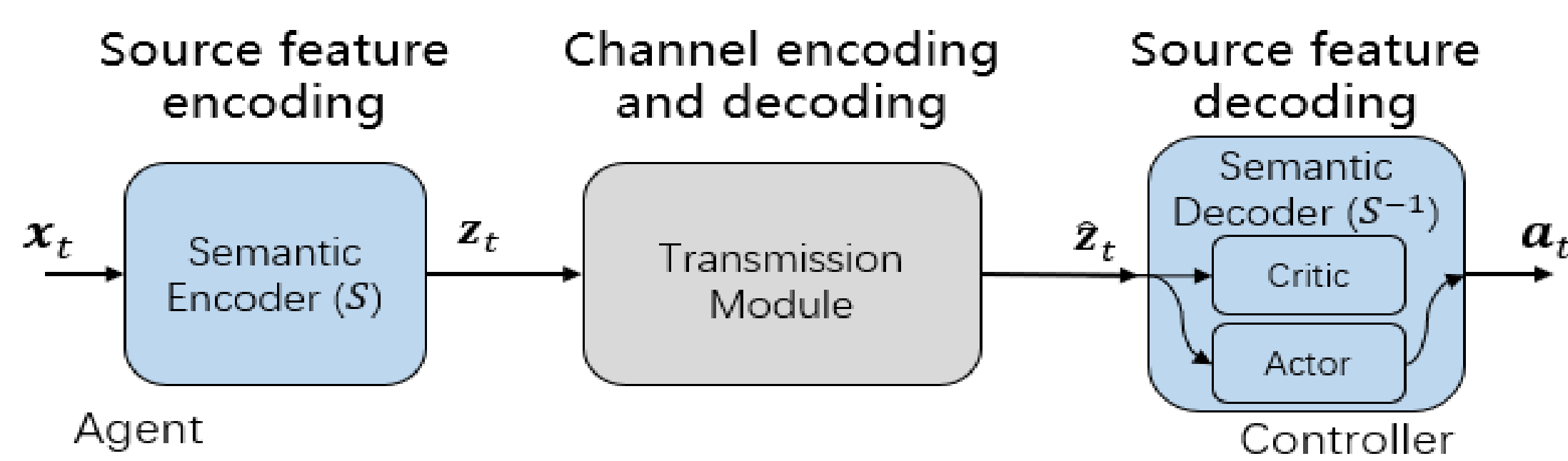


Fig. 1 Semantic communication system for remote control tasks.

### Hierarchical Feature Encoding Based on HVAE

- Probability model
  - Encoder:  $q(z_1, z_2, \dots, z_n | o) = q(z_1 | o)q(z_2 | o) \dots q(z_n | o)$
  - Decoder:  $p(o, z_1, \dots, z_n) = p(z_n) \prod_{i=1}^{n-1} p(z_i | z_{i+1})$
- Optimization objective:
  - $\mathcal{L}_{HVAE} = \mathbb{E}_{q_\phi(z_1|o)} [\log p_\theta(o | z_1)] - \mathcal{D}_{KL}[q_\phi(z_1, \dots, z_n | o) \| p_\theta(z_1, \dots, z_n)]$
  - $\mathcal{D}_{KL}[p \| q] = \mathcal{D}_{KL}(q_\phi(z_n | o) \| p_\theta(z_n)) + \mathbb{E}_{q_\phi(z_n|o)} [\mathcal{D}_{KL}(q_\phi(z_{n-1} | o) \| p_\theta(z_{n-1} | z_n))] + \dots + \mathbb{E}_{q_\phi(z_2|o)} [\mathcal{D}_{KL}(q_\phi(z_1 | o) \| p_\theta(z_1 | z_2))]$

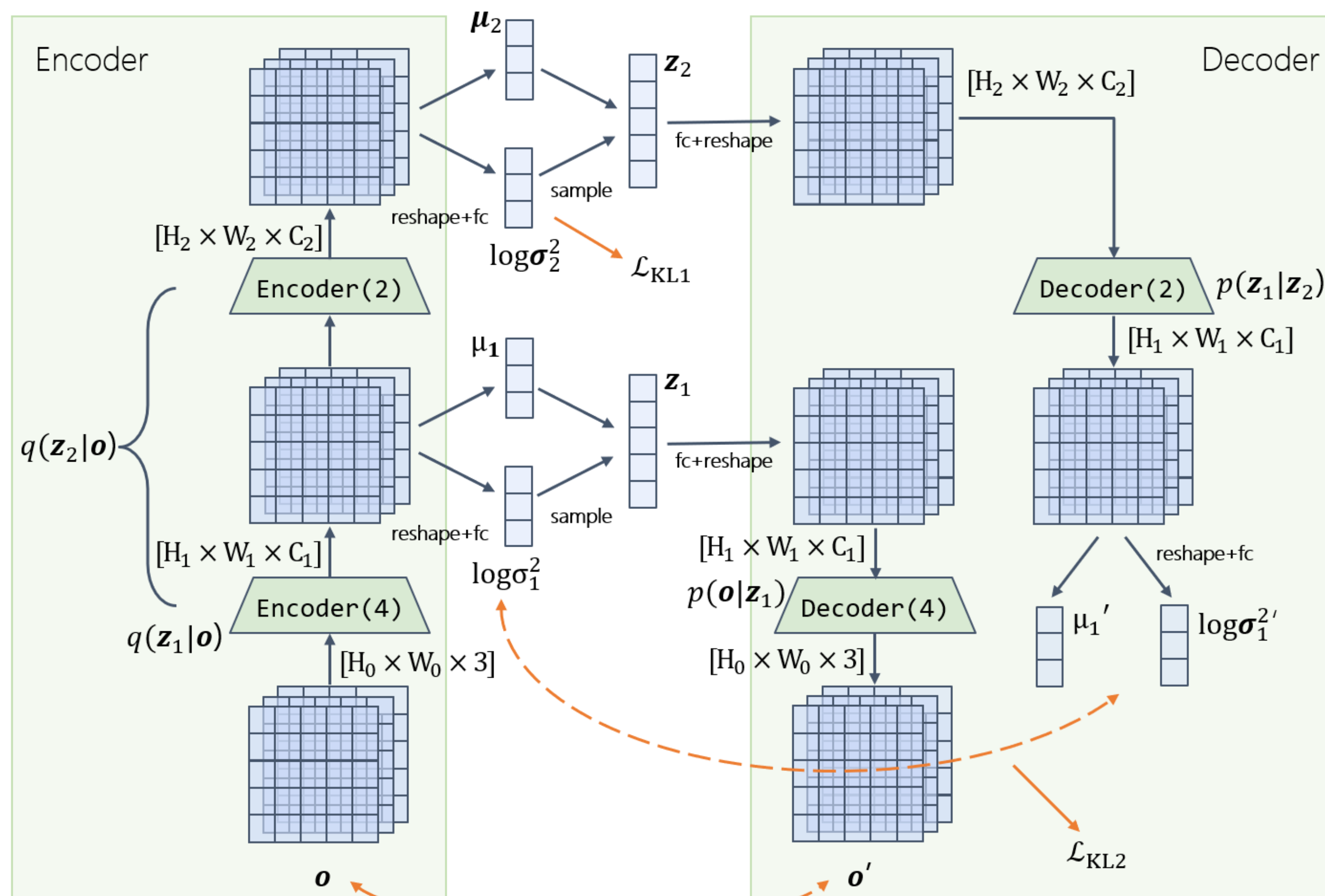


Fig. 2 The implementation of HVAE-2.

## EXPERIMENT

### Settings

- Environment: Cartpole swingup of DeepMind Control Suite.
- Metrics: cumulative reward and MSE of state prediction.

### Results and discussion

Predictor	VRL	HVRL	z1	z2
Linear	0.8426(4)	0.0102(1)	0.0718(3)	0.0249(2)
Non-linear	0.8124(4)	0.0016(1)	0.0017(2)	0.0043(3)

Table 2. The predictor performance of different methods.

- The proposed method achieves a good task performance and improves the sampling efficiency.
- $z_1$  has low sampling efficiency; and  $z_2$  is a good representation of the state, but it cannot complete the task well.

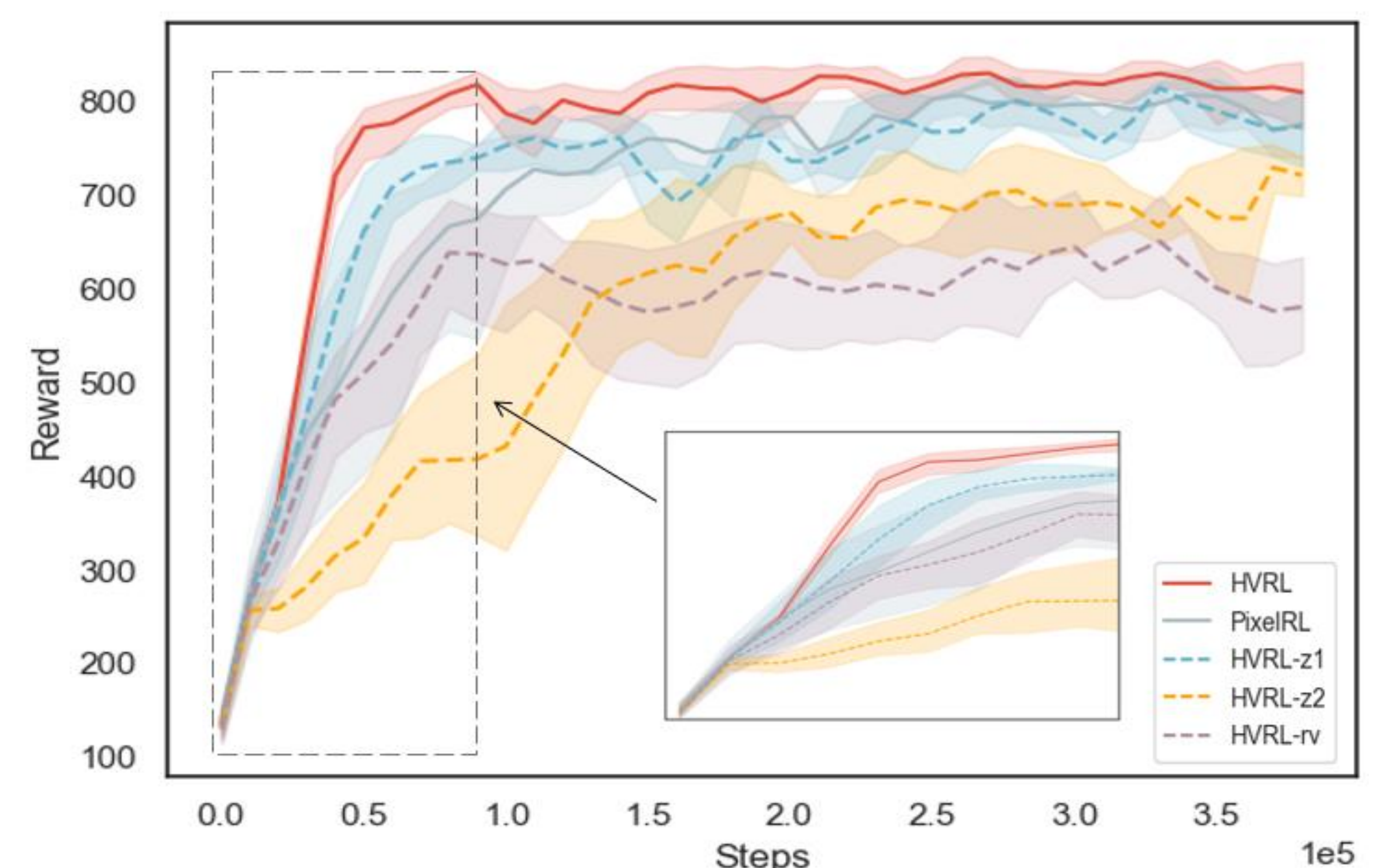


Fig. 4 Performance of different methods on cartpole-swingup environment.

## CONCLUSION

- **HVRL**, a VAE-based hierarchical encoding technique for RL.
- Balancing the **trade-off** between **compactness** and **sufficiency**.
- Reducing the **representation gap** between features and ground-truth endogenous states.
- Achieving desirable **sample efficiency** and **control performance**.